Rational singularities on rational surfaces

N. Mohan Kumar

(Tata Institute of Fundamental Research)

§0.   Introduction:

We attempt to classify certain two dimensional local rings. This has been made possible by the recent developments due to Iitaka, Miyanishi, Sugie and Fujita.

We would like to study when are two local rings   A   and B   isomorphic. Stated in this generality, the problem is hopeless. In algebraic geometry, in general the analytic local rings are easier to study. So let us further assume that $\hat{A} \simeq \hat{B}$, where $\hat{\ }$ denotes the completion. Looking at the case of regular local rings, another obvious assumption to make is that quotient fields of   A and   B   are isomorphic. Even this hypothesis is not sufficient can be seen by looking at genus of functions at points of a curve of genus bigger than one. So we make a further assumption that these points lie on rational varieties.

Now let me state the problem. We consider normal two dimensional local rings, which are genus of functions on a rational surface over an algebraically closed field   k   of characteristic zero. If   A   and   B   are any two such rings with $\hat{A} \simeq \hat{B}$, is   A $\simeq$ B? When   A   is regular, this is a well known theorem of Nagata [N]. So, here we will be interested in certain normal local rings.

§1. <u>Logarithmic Kodaira dimension of local rings</u>:

Let A be any two dimensional normal local ring as

above. Then we may find a projective surface X and $x \in X$

such that, X - {x} is smooth and $\mathcal{O}_{X,x} \simeq A$.

<u>Def</u>: logarithmic Kodaira dimension $\overline{\varkappa}(A)$ is defined as logarithmic

Kodaira dimension of X - {x}.

It is easy to see that the definition depends only on A.

Now we have one more invariant of local rings. We will use this

invariant for the classification and it is very similar to the

classification of surfaces.

In this section we will consider local rings A such that

$\hat{A} \simeq K[[X^n, X^{n-1}Y,...,Y^n]]$. These are in some sense very simple

singular local rings. If X → Spec A is the minimal desingularisation,

then the exceptional fibre is just one non-singular rational curve

with self intersection number -n. So the problem becomes that of

classifying embeddings of $\mathbb{P}^1$ in a non-singular projective rational

surface, upto certain obvious equivalences.

The theorem proved is the following:

<u>Theorem 1</u>: Let X be a complete nonsingular rational surface,

$C \simeq \mathbb{P}^1$, $C \subset X$ with $C^2 = -n$. $\overline{\varkappa}$ as usual denotes logarithmic

Kodaira dimension.

Then,

1) $\overline{\varkappa}(X-C) = -\infty \iff$ There exists a birational map $f: X \to \mathbb{F}_n$

    (Hirzebruch surface) which is an isomorphism in the

3

neighbourhood C and that of the unique negative curve

in $\mathbb{F}_n$.

2) $\bar{\varkappa}$ (X-C) = 0 $\Longleftrightarrow$ There exists a birational morphism

f: X $\to$ $\mathbb{P}^2$ and f(C) is a sextic with ten double points.

3) $\bar{\varkappa}$ (X-C) = 1 $\Longleftrightarrow$ There exists a birational morphism

f: X $\to$ $\mathbb{P}^2$ and deg f(C) = 3m, m > 2 with 9 m-tuple points

and one double point.

Thus we see that, if $\bar{\varkappa}$ (A) = $-\infty$ and

$\hat{A} \simeq K[[X^n, X^{n-1}Y,\ldots,Y^n]]$, then $A \simeq K[X^n, X^{n-1}Y,\ldots,Y^n]$ localised

at the obvious maximal ideal. In   the other cases, no such

uniqueness statement can be made.

This gives another proof of a Theorem of Enriques,

which states that a curve in $\mathbb{P}^2$ can be transformed to a straight

line by a Cremona transformation if and only if it has no special

adjoints. This, in our case, will translate into the first case of

the theorem. Another interesting feature is that if $\varkappa$ (A) = 0 or 1,

the divisor class group of A could be smaller than that of $\hat{A}$.

For instance, if $\varkappa$ (A) = 0 and $\hat{A} \simeq K[[X^4, X^3Y, X^2Y^2, XY^3, Y^4]]$,

then Cl(A) $\simeq$ $\mathbb{Z}/2\mathbb{Z}$ and Cl($\hat{A}$) $\simeq$ $\mathbb{Z}/4\mathbb{Z}$. Also, if X is a projective

normal surface and x $\in$ X such that X $-$ {x} is smooth,

$\mathcal{O}_{X,x} = K[[X^n, X^{n-1}Y,\ldots,Y^n]]$, then $\varkappa$ ($\mathcal{O}_{X,x}$) = $-\infty$ $\Longleftrightarrow$ X has $\mathbb{P}^1$-ruling

and $\varkappa$ ($\mathcal{O}_{X,x}$) = 0 or 1 implies, X has a pencil of elliptic curves.

This permits us to compute the Chow group of such surfaces. So for

instance, if V $\subset$ X is an affine open subset of X, X as above, then

$\varkappa$ ($\mathcal{O}_{X,x}$) = $-\infty$ implies vector bundles over V splits as a trivial

4

bundle and a line bundle.

§2. Rational double points:

    In § 1, we saw that the uniqueness of local rings has something to$\underset{do}{/}$with its Kodaira dimension being $- \infty$. There is a very prominent class of local rings with Kodaira dimension $- \infty$, namely, rational double points. So let A be a normal two dimensional geometric local ring, which is rational. Assume that A has a rational double point. The completions of such rings are well classified [L]. They are classified as A_n-type, D_n-type and the exceptional ones. So it is natural to ask that if A and B are any two such local rings with $\hat{A} \simeq \hat{B}$, then is $A \simeq B$? The answer we have obtained is not complete, but suggests that barring some exceptions,   it is so. So let me state the theorems:

Let $R = K[X,Y,Z]_{(X,Y,Z)}$.

Theorem 2:

    Let $\hat{A} \simeq \hat{R}/(Z^{n+1} - XY)$. $(A_n$-type$)$.

Then,

    i) $A \simeq R/(Z^{n+1} - XY)$ if $n \neq 7,8$.

    ii)   if $n = 7$, $A \simeq R/(Z^8 - XY)$ or $R/(Z^2 - (Y-X^2)(Y-X^2-Y^2))$

    iii)   if $n = 8$, $A \simeq R/(Z^9 - XY)$ or $R/(Z^2 - (X+Y^3)^2 - X^3)$

Theorem 3:

    i)   If $\hat{A} \simeq \hat{R}/(X^4 + Y^3 + Z^2)$,   then $A \simeq R/(X^4 + Y^3 + Z^2)$ $- (E_6)$

    ii)   If $\hat{A} \simeq \hat{R}/(Y^3 + X^3Y + Z^2)$,   then $A \approx R/(Y^3 + X^3Y + Z^2)$ $- (E_7)$

iii) If $\hat{A} \simeq \hat{R}/(X^5 + Y^3 + Z^2)$, then either $A \cong R/(X^5 + Y^3 + Z^2)$

or $A \cong R/(X^4 Y + X^5 + Y^3 + Z^2)$ (E_8)

These theorems are proved by exhibiting a pencil of rational

curves on the projective normal surface containing above singularities.

The special cases in Theorem 2, ii) and iii) occur, when there is

no such rational pencils. In the cases of E_6, E_7 and E_8 there are

no rational pencils, but only elliptic pencils. In case the singularity

is of type E_6 or E_7, one utilises these elliptic pencils to show

uniqueness. But in case of E_8, one gets either an elliptic pencil

with all smooth members having constant j-function zero or varying

values of the j-function. These give two non-isomorphic E_8-singularities.

In case of D_n-type singularity we can prove the following:

Theorem 4:

Let X be a projective, normal rational surface and $x \in X$

a D_n-type singularity. Also assume that $X - \{x\}$ is smooth. Then,

if $n \neq 8$, X has a pencil of rational curves. If $n = 8$, then X

either has a pencil of rational curves or a pencil of elliptic curves.

In this case, I am unable to prove (or disprove) the uniqueness

of local rings. As indicated in the previous section, one may use

these results to compute Chow groups of certain normal rational

surfaces. Also, as before, the 'special' local rings have smaller

class groups than one would expect.

Finally a few words about the proofs. The concept of logarithmic

Kodaira dimension was effectively used by Miyanishi and Sugie [M-S] in

6

exhibiting A'-pencils on surfaces. The techniques are modified
to obtain IP'-pencils and the methods are very similar. One
uses a theorem of Fujita [F] to find suitable exceptional curves
to blow down and bring the surfaces to a minimal case, where
one can study the rational pencil (if it exists) effectively.
The details can be found in [MK] and [MK-MP].

REFERENCES

[1] :   Fujita, T. : *On Zariski Problem*, Proc. Japan Academy
            55A, No.3 (1979), 106 - 110.

[M-S] : Miyanishi, M. and Sugie, T. : *Affine surfaces
            containing cylinder like open sets*, J. Math. Kyoto
            Univ. Vol.20, No.1 (1980) 11-42.

[MK] :   Mohan Kumar, N. : *Rational double points on a Rational
            surface*. (To appear in Inv. Math.)

[MK-MP]: Mohan Kumar, N. and Murthy, M.P. : *Curves with
            negative self intersection on rational surfaces* .
            (To appear)

[N] :   Nagata, M. : *On rational surfaces I*., Mem. College
            of Science, University of Kyoto, Series A,
            Vol.XXXII, No.3 (1960) 351-370.

School of Mathematics
Tata Institute of Fundamental Research
Bombay 400005
I N D I A

lk:27.11.81