

Specification analysis of concurrent programs

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Abstract

A formal system $FL_{m,n}$ is proposed to analyse the specification of concurrent programs. The completeness theorem is also proved for this system.

1. Introduction

In [1] and [2], one of the authors and his colleagues proposed a new specification technique called Process-Data Representation (PDR). PDR aims at the improved reliability and modifiability in software system, especially involving concurrent processing, by giving a precise specification of their whole computational processes.

In PDR, concurrent interactions between processes and data are specified by describing the constraint conditions imposed on them in terms of the formulas in the forcing logic (FL).

A formal system should be formulated not only to provide a compact description of the system specification but also to make it possible to derive certain useful conclusions from the given specification.

To fill this requirement, we propose a formal system $FL_{m,n}$ as a tool for analysing the specification described in the forcing logic.

Following notations are used in this paper:

$\langle x_1, \dots, x_\ell \rangle_k$ denotes a set of the subsets of $\{x_1, \dots, x_\ell\}$ whose cardinality $\geq k$, which means "at least k out of ℓ objects $\{x_1, \dots, x_\ell\}$ ". $[x_1, \dots, x_\ell]_k$ denotes a set of the subsets of $\{x_1, \dots, x_\ell\}$ whose cardinality $\leq k$, which means, "at most k out of ℓ objects $\{x_1, \dots, x_\ell\}$ ". $\langle x_1, \dots, x_\ell \rangle_k \longrightarrow Y$ means that the element of $\langle x_1, \dots, x_\ell \rangle_k$ operates only on the element in Y , $[x_1, \dots, x_\ell]_k \longrightarrow Y$ means that the element in Y can be operated only by the element in $[x_1, \dots, x_\ell]_k$, and $X \longrightarrow Y$ means that the element of X operates on the element of Y . For example, the specification of the conditions in the dining philosophers problem can be described as follows:

$$(*) \left\{ \begin{array}{ll} \langle \text{ph1} \rangle_1 \longrightarrow [\langle \text{f5}, \text{f1} \rangle_2]_1 & [\text{ph1}, \text{ph2}]_1 \longrightarrow \langle \text{f1} \rangle_1 \\ \langle \text{ph2} \rangle_1 \longrightarrow [\langle \text{f1}, \text{f2} \rangle_2]_1 & [\text{ph2}, \text{ph3}]_1 \longrightarrow \langle \text{f2} \rangle_1 \\ \langle \text{ph3} \rangle_1 \longrightarrow [\langle \text{f2}, \text{f3} \rangle_2]_1 & [\text{ph3}, \text{ph4}]_1 \longrightarrow \langle \text{f3} \rangle_1 \\ \langle \text{ph4} \rangle_1 \longrightarrow [\langle \text{f3}, \text{f4} \rangle_2]_1 & [\text{ph4}, \text{ph5}]_1 \longrightarrow \langle \text{f4} \rangle_1 \\ \langle \text{ph5} \rangle_1 \longrightarrow [\langle \text{f4}, \text{f5} \rangle_2]_1 & [\text{ph5}, \text{ph1}]_1 \longrightarrow \langle \text{f5} \rangle_1 \end{array} \right.$$

where phk ($k=1, \dots, 5$) represents the philosopher k and fi ($i=1, \dots, 5$) represents the folk i .

Then, for example, the conclusion

$$[\text{ph1}, \dots, \text{ph5}]_2 \longrightarrow [\langle \text{f5}, \text{f1} \rangle_2, \dots, \langle \text{f4}, \text{f5} \rangle_2]_2$$

is deducible from (*) in our system.

In section 2, we shall present the system $FL_{m,n}$ and, in section 3, we shall prove the completeness theorem for $FL_{m,n}$.

In the following lines, for a set X , we denote the power set of X by $P(X)$, the cardinality of X by $\#X$ and $X - \{\phi\}$ by X^+ .

2. The formal system $FL_{m,n}$

In this section, we define the language $L_{m,n}$ and inference rules for the formal system $FL_{m,n}$.

The language $L_{m,n}$ consists of

(1) Constant symbols,

p_1, \dots, p_m (p-sort),

d_1, \dots, d_n (d-sort).

(2) Function symbols,

$[\dots]_k, \langle \dots \rangle_k$ (ℓ -ary, $0 \leq k \leq \ell, \ell \neq 0$),

(\dots) (q -ary, $1 \leq q$),

$((\dots))$ (r -ary, $0 \leq r$).

(3) Predicate symbols,

$\longrightarrow, \longrightarrow\!\!\!\! \gg, \longrightarrow, \longrightarrow\!\!\!\! \not\rightarrow, \implies.$

We inductively define the p-terms (respectively d-terms) as follows:

(i) p_1, \dots, p_m (d_1, \dots, d_n) are p-terms (d-terms).

(ii) If S_1, \dots, S_ℓ are p-terms (d-terms), then $[S_1, \dots, S_\ell]_k$ and $\langle S_1, \dots, S_\ell \rangle_k$ are p-terms (d-terms).

We define the p-A-terms, p-B-terms and p-C-terms (respectively d-A-terms, d-B-terms and d-C-terms) as follows:

(iii) p_1, \dots, p_m (d_1, \dots, d_n) are p-A-terms (d-A-terms).

(iv) If $\sigma_1, \dots, \sigma_\ell$ (ρ_1, \dots, ρ_ℓ) are p-A-terms (d-A-terms), then $\langle \sigma_1, \dots, \sigma_\ell \rangle_\ell$ ($\langle \rho_1, \dots, \rho_\ell \rangle_\ell$) is a p-A-term (d-A-term).

(v) If $\sigma_1, \dots, \sigma_\ell$ (ρ_1, \dots, ρ_ℓ) are p-A-terms (d-A-terms), then $(\sigma_1, \dots, \sigma_\ell)$ ($(\rho_1, \dots, \rho_\ell)$) is a p-B-term (d-B-term).

(vi) If $(())$ is a 0-ary function symbol, then $(())$ is a p-C-term and a d-C-term.

(vii) If μ_1, \dots, μ_ℓ (τ_1, \dots, τ_ℓ) are p-B-terms (d-B-terms), then $((\mu_1, \dots, \mu_\ell))$ ($((\tau_1, \dots, \tau_\ell))$) is a p-C-term (d-C-term).

In the following we use S for p-terms, T for d-terms, σ for p-A-terms, ρ for d-A-terms, μ for p-B-terms, τ for d-B-terms, α for p-C-terms and β for d-C-terms.

$S \longrightarrow T$, $S \longrightarrow\!\!\!\!\!\gg T$, $S \dashrightarrow T$, $\mu \not\rightarrow \tau$, $\alpha \longrightarrow \tau$,
 $\mu \longrightarrow \beta$ and $\alpha \dashrightarrow \beta$ are formulas.

Let X be a set, X_1, \dots, X_ℓ be subsets of $P(X)$ and $k \leq \ell$. We define $\langle X_1, \dots, X_\ell \rangle_k$ and $[X_1, \dots, X_\ell]_k$ as follows:

$$\langle X_1, \dots, X_\ell \rangle_k = \{ \bigcup_{i \in I} x_i \mid I \subset \{1, \dots, \ell\}, \#I \geq k \text{ and } x_i \in X_i \text{ for every } i \in I \},$$

$$[X_1, \dots, X_\ell]_k = \{ \bigcup_{i \in I} x_i \mid I \subset \{1, \dots, \ell\}, \#I \leq k \text{ and } x_i \in X_i \text{ for every } i \in I \}.$$

We define the canonical interpretation $\sim, -$ of terms as follows:

(i) If a is a constant symbol, then $\tilde{a} = \{\{a\}\}$ and $\bar{a} = \{a\}$.

(ii) $\langle \widetilde{S_1}, \dots, \widetilde{S_\ell} \rangle_k = \langle \widetilde{S_1}, \dots, \widetilde{S_\ell} \rangle_k$ and $[\widetilde{S_1}, \dots, \widetilde{S_\ell}]_k = [\widetilde{S_1}, \dots, \widetilde{S_\ell}]_k$.

The canonical interpretation \sim of d-terms is similarly defined.

(iii) $\langle \overline{\sigma_1}, \dots, \overline{\sigma_\ell} \rangle_\ell = \bigcup \{ \sigma_i \mid 1 \leq i \leq \ell \}$, $(\overline{\sigma_1}, \dots, \overline{\sigma_\ell}) = (\overline{\sigma_1}, \dots, \overline{\sigma_\ell})$ and $((\mu_1, \dots, \mu_\ell)) = \{\mu_1, \dots, \mu_\ell\}$. The canonical interpretation $-$ of d-A-terms, d-B-terms and d-C-terms are similarly defined.

If $x \subset \{p_1, \dots, p_m\}$ ($y \subset \{d_1, \dots, d_n\}$), then we denote by \hat{x} (\hat{y}) one of the p-A-terms (d-A-terms) which satisfies $\overline{\hat{x}} = x$ ($\overline{\hat{y}} = y$). We denote by $\alpha_1 \alpha_2$ the p-C-term $((\mu_1^1, \dots, \mu_k^1, \mu_1^2, \dots, \mu_\ell^2))$ where $\alpha_1 = ((\mu_1^1, \dots, \mu_k^1))$ and $\alpha_2 = ((\mu_1^2, \dots, \mu_\ell^2))$. If $\mu = (\sigma_1, \dots, \sigma_\ell)$, then μ° is the p-A-term $\langle \sigma_1, \dots, \sigma_\ell \rangle_\ell$. We denote by $S_1^* \dots^* S_\ell$ one of the p-C-terms which satisfies $\overline{S_1^* \dots^* S_\ell} = S_1^+ \dots^+ S_\ell^+$ and by $[\widetilde{S_1}, \dots, \widetilde{S_\ell}]_k$ one of the p-C-terms which satisfies

$$[\widetilde{S_1}, \dots, \widetilde{S_\ell}]_k = \bigcup \{ \widetilde{S_{j_1}^* \dots^* S_{j_q}^*} \mid 1 \leq j_1 < j_2 < \dots < j_q \leq \ell, q \leq k \}.$$

$\beta_1 \wedge \beta_2, \tau^0, T_1^* \dots * T_\ell$ and $[T_1, \dots, T_\ell]_k$ are similarly defined.

Let $\Gamma_1 = \{S_1 \longrightarrow T_1, \dots, S_\ell \longrightarrow T_\ell\}$ and $\Gamma_2 = \{S'_1 \longrightarrow T'_1, \dots, S'_k \longrightarrow T'_k\}$. We say that $S \Longrightarrow T$ is deducible from Γ_1 and Γ_2 ($\Gamma_1, \Gamma_2 \mid_{m,n} S \Longrightarrow T$) if $S \Longrightarrow T$ is provable from Γ_1, Γ_2 and $[S_1, \dots, S_\ell]_\ell \Longrightarrow [T_1, \dots, T_\ell]_\ell$ by the following inference rules.

$$(A_1) \frac{S \longrightarrow T}{(\sigma_1^0, \dots, \sigma_\ell^0, \sigma_1, \dots, \sigma_k) \not\longrightarrow (\rho_1^0, \dots, \rho_\ell^0, \rho_1, \dots, \rho_k)}$$

where $\langle \sigma_1, \dots, \sigma_k \rangle_k \in \tilde{S}$ and there exists a $\bar{\rho} \in \tilde{T}$ such that $\bar{\rho} \in \tilde{\rho}_i$ for every $i \leq k$ and $\bar{\rho} \notin \tilde{\rho}_j^0$ for every $j \leq \ell'$.

$$(A_2) \frac{(\sigma_1, \dots, \sigma_i, \dots, \sigma_j, \dots, \sigma_k) \not\longrightarrow (\rho_1, \dots, \rho_i, \dots, \rho_j, \dots, \rho_k)}{(\sigma_1, \dots, \sigma_j, \dots, \sigma_i, \dots, \sigma_k) \not\longrightarrow (\rho_1, \dots, \rho_j, \dots, \rho_i, \dots, \rho_k)}$$

where $\sigma_i = \sigma'_i, \sigma_j = \sigma'_j, \bar{\rho}_i = \bar{\rho}'_i$ and $\bar{\rho}_j = \bar{\rho}'_j$.

$$(B_1) \frac{S_1^0 \longrightarrow T_1^0, \dots, S_{k'}^0 \longrightarrow T_{k'}^0}{(\sigma_1, \dots, \sigma_{k'}) \longrightarrow T_1^0 * \dots * T_{k'}^0}$$

where $\bar{\sigma}_i \in \tilde{S}_i^0$ for every $i \leq k'$ and $S_i^0 \longrightarrow T_i^0$ ($i \leq k'$) are all different formulas.

$$(B_2) \frac{\mu \longrightarrow ((\tau_1, \dots, \tau_i, \dots, \tau_\ell)), \mu \not\longrightarrow \tau_i}{\mu \longrightarrow ((\tau_1, \dots, \tau_{i-1}, \tau_{i+1}, \dots, \tau_\ell))}$$

$$(C_1) \frac{S_1^0 \longrightarrow T_1^0, \dots, S_{k'}^0 \longrightarrow T_{k'}^0}{S_1^0 * \dots * S_{k'}^0 \longrightarrow (\rho_1, \dots, \rho_{k'})}$$

where $\bar{\rho}_i \in \tilde{T}_i^0$ for every $i \leq k'$ and $S_i^0 \longrightarrow T_i^0$ ($i \leq k'$) are all different formulas.

$$(C_2) \frac{((\mu_1, \dots, \mu_i, \dots, \mu_{k'})) \longrightarrow \tau, \mu_i \not\longrightarrow \tau}{((\mu_1, \dots, \mu_{i-1}, \mu_{i+1}, \dots, \mu_{k'})) \longrightarrow \tau}$$

$$(D_1) \frac{((\mu_1, \dots, \mu_i, \dots, \mu_{k'})) \Longrightarrow \beta, \mu_i \longrightarrow (())}{((\mu_1, \dots, \mu_{i-1}, \mu_{i+1}, \dots, \mu_{k'})) \Longrightarrow \beta}$$

$$(D_2) \frac{\alpha \Longrightarrow ((\tau_1, \dots, \tau_i, \dots, \tau_k)) , (()) \longrightarrow \tau_i}{\alpha \Longrightarrow ((\tau_1, \dots, \tau_{i-1}, \tau_{i+1}, \dots, \tau_k))}$$

$$(E_1) \frac{\alpha \Longrightarrow \beta}{\alpha' \Longrightarrow \beta'}$$

where $\bar{\alpha} = \bar{\alpha}'$ and $\bar{\beta} = \bar{\beta}'$.

$$(E_2) \frac{((\mu_1, \dots, \mu_{\ell'})) \Longrightarrow ((\tau_1, \dots, \tau_{k'}))}{[\mu_1^{\circ}, \dots, \mu_{\ell'}^{\circ}]_1 \Longrightarrow [\tau_1^{\circ}, \dots, \tau_{k'}^{\circ}]_1}$$

where $\ell', k' \leq 1$.

$$(E_3) \frac{\alpha \Longrightarrow \beta}{[p_1]_0 \Longrightarrow [d_1]_0}$$

where $\alpha = (())$ or $\beta = (())$.

$$(F) \frac{S \Longrightarrow T}{S' \Longrightarrow T'}$$

where $\tilde{S} \subseteq \tilde{S}'$ and $\tilde{T} \subseteq \tilde{T}'$.

3. Completeness theorem

In this section, we show that the completeness theorem for $FL_{m,n}$ after defining standard models.

Let X, Y be sets, u be a subset of $P(X) \times P(Y)$ and y be a subset of Y . We define $u^*, \pi_1(u), \pi_2(u), \pi_1^*(u), \pi_2^*(u)$ and $A(u, y)$ as follows:

$$u^* = \{(x, y) \in u \mid y \neq \emptyset\},$$

$$\pi_1(u) = \{x \mid (x, y) \in u \text{ for some } y\},$$

$$\pi_2(u) = \{y \mid (x, y) \in u \text{ for some } x\},$$

$$\pi_1^*(u) = \{(x_1, \dots, x_k) \mid \{x_1, \dots, x_k\} = \pi_1(u), k = \#\pi_1(u)\},$$

$$\pi_2^*(u) = \{(y_1, \dots, y_k) \mid \{y_1, \dots, y_k\} = \pi_2(u), k = \#\pi_2(u)\},$$

$$A(u, y) = \bigcup \{x \mid (x, y') \in u \text{ for some } y' \supseteq y\}.$$

We say that Γ_1 is normal if $\forall i \leq k [\widetilde{S}_i^+ \neq \emptyset \text{ and } \phi \in \widetilde{T}_i]$ and $\forall i, j \leq k [i \neq j \text{ implies } \widetilde{S}_i^+ \cap \widetilde{S}_j^+ = \emptyset]$. Also, we say that Γ_1 is good if Γ_1 is normal and $\forall i, j \leq k [i \neq j \text{ implies } \widetilde{T}_i^+ \cap \widetilde{T}_j^+ = \emptyset]$.

Lemma 1. Suppose that Γ_1 is normal.

(i) $\forall u$: a model of Γ_1 and $\Gamma_2 [\bar{\sigma} \neq \bigcup \pi_1(u^*)]$ if and only if

$\forall (x_1, \dots, x_k) \in [S_1]_1 \times \dots \times [S_k]_1 \forall (y_1, \dots, y_k) \in \widetilde{T}_1 \times \dots \times \widetilde{T}_k$
 $[\bar{\sigma} = \bigcup \{x_i \mid 1 \leq i \leq k\} \text{ and } \{i \mid x_i \neq \phi\} = \{i \mid y_i \neq \phi\} \text{ imply } \exists j \leq \ell \exists y \in \widetilde{T}_j^+$
 $\exists J \subseteq \{i \mid x_i \neq \phi\} [J \neq \emptyset, y \subseteq \bigcap \{y_i \mid i \in J\}, \bigcup \{x_i \mid i \in J\} \notin S_j^! \text{ and}$
 $\forall i \in \{i \mid x_i \neq \phi\} - J [y \not\subseteq y_i]]]$.

(ii) In (i), we can replace $\bar{\sigma} \neq \bigcup \pi_1(u^*)$ by $\bar{\rho} \neq \bigcup \pi_2(u^*)$ and

$\bar{\sigma} = \bigcup \{x_i \mid 1 \leq i \leq k\}$ by $\bar{\rho} = \bigcup \{y_i \mid 1 \leq i \leq k\}$.

Proof. (\Rightarrow) Suppose that

$\exists (x_1, \dots, x_k) \in [\widetilde{S}_1]_1 \times \dots \times [\widetilde{S}_k]_1 \exists (y_1, \dots, y_k) \in \widetilde{T}_1 \times \dots \times \widetilde{T}_k$
 $[\bar{\sigma} = \bigcup \{x_i \mid 1 \leq i \leq k\}, \{i \mid x_i \neq \phi\} = \{i \mid y_i \neq \phi\} \text{ and } \forall j \leq \ell \forall y \in \widetilde{T}_j^+ \forall J \subseteq \{i \mid x_i \neq \phi\}$
 $[J \neq \emptyset, y \subseteq \bigcap \{y_i \mid i \in J\} \text{ and } \forall i \in \{i \mid x_i \neq \phi\} - J [y \not\subseteq y_i] \text{ imply}$
 $\bigcup \{x_i \mid i \in J\} \in S_j^!]]$.

Without loss of generality, we can assume that

$\{i \mid x_i \neq \phi\} = \{1, 2, \dots, k'\}$. Pick $x_i^! \in \widetilde{S}_i^+$ for $k' < i \leq k$. Let
 $u = \{(x_i, y_i) \mid 1 \leq i \leq k'\} \cup \{(x_i^!, \phi) \mid k' < i \leq k\}$. Since Γ_1 is normal, $u \models \Gamma_1$.
 Suppose that $1 \leq j \leq \ell, y \in \widetilde{T}_j^+$ and $A(u, y) \neq \emptyset$. Let $J = \{i \mid y \subseteq y_i\}$. Since
 $y \neq \emptyset$ and $A(u, y) \neq \emptyset$, $J \subseteq \{i \mid x_i \neq \phi\}$ and $J \neq \emptyset$. It is clear that
 $y \subseteq \bigcap \{y_i \mid i \in J\}$. Hence, by the assumption, $A(u, y) = \bigcup \{x_i \mid y \subseteq y_i\} =$
 $\bigcup \{x_i \mid i \in J\} \in S_j^!$. So $u \models \Gamma_2$. Hence u is a model of Γ_1 and Γ_2 by the
 definition of u . $\pi_1(u^*) = \{x_i \mid 1 \leq i \leq k'\} = \{x_i \mid 1 \leq i \leq k\} = \bar{\sigma}$. But
 this contradicts our assumption that $\forall u$: a model of Γ_1 and Γ_2
 $[\bar{\sigma} \neq \bigcup \pi_1(u^*)]$.

(\Leftarrow) Suppose that $\exists u$: a model of Γ_1 and $\Gamma_2[\bar{\sigma} = \bigcup \pi_1(u^*)]$.

Without loss of generality, since u is a model of Γ_1 and Γ_2 , we can assume that $u^* = \{(x_1, y_1), \dots, (x_{k'}, y_{k'})\}$ and $(x_i, y_i) \in \tilde{S}_i \times \tilde{T}_i$ for every $i \leq k'$. Let $x_i = y_i = \phi$ for $k' < i \leq k$. $\bigcup \{x_i | 1 \leq i \leq k\} =$

$\bigcup \{x_i | 1 \leq i \leq k'\} = \bigcup \pi_1(u^*) = \bar{\sigma}$ and $\{i | x_i \neq \phi\} = \{i | y_i \neq \phi\}$. Hence, by our assumption, $\exists j \in \mathcal{L} \exists y \in \tilde{T}_j^{+ \exists} J \subseteq \{i | x_i \neq \phi\} [J \neq \phi, y \subseteq \bigcap \{y_i | i \in J\},$

$\bigcup \{x_i | i \in J\} \notin \tilde{S}_j^!$ and $\forall i \in \{i | x_i \neq \phi\} - J [y \not\subseteq y_i]$. Therefore $A(u, y) =$

$\bigcup \{x_i | i \in J\} \notin \tilde{S}_j^!$. Since $J \neq \phi$, $A(u, y) \neq \phi$. Hence $u \notin S_j^! \longrightarrow T_j^!$. But

this contradicts that u is a model of Γ_1 and Γ_2 . Therefore

$\forall u$: a model of Γ_1 and $\Gamma_2[\bar{\sigma} = \bigcup \pi_1(u^*)]$.

(ii) The proof of (ii) is similar that of (i).

It is easy to show that if $\phi \in \tilde{S}$, then there is a S' such that $S' = \tilde{S} \cap [S_1, \dots, S_k]_k$. So let S_{Γ_1} be one of the p -terms which satisfies $S_{\Gamma_1} = \tilde{S} \cap [S_1, \dots, S_k]_k$ for every S such that $\phi \in \tilde{S}$. T_{Γ_1} is defined similarly.

Lemma 2. Suppose that Γ_1 satisfies $\forall i \leq k [\phi \in T_i]$, $\phi \in \tilde{S}$ and $\phi \in \tilde{T}$.

$\Gamma_1, \Gamma_2 \vdash S \longrightarrow T$ if and only if $\Gamma_1, \Gamma_2 \vdash S_{\Gamma_1} \longrightarrow T_{\Gamma_1}$.

Proof. (\Leftarrow) It follows easily from $\tilde{S}_{\Gamma_1} \subseteq \tilde{S}$ and $\tilde{T}_{\Gamma_1} \subseteq \tilde{T}$.

(\Rightarrow) Suppose that $\Gamma_1, \Gamma_2 \vdash S \longrightarrow T$. Let u be a model of Γ_1 and Γ_2 .

Since $u \vdash S \longrightarrow T$, $\bigcup \pi_1(u^*) \in \tilde{S}$. On the other hand, since $u \vdash \Gamma_1$,

$\bigcup \pi_1(u^*) \in [S_1, \dots, S_k]_k$. Hence $\bigcup \pi_1(u^*) \in \tilde{S} \cap [S_1, \dots, S_k]_k = S_{\Gamma_1}$. It is

similarly showed that $\bigcup \pi_2(u^*) \in \tilde{T}_{\Gamma_1}$. Therefore

$\Gamma_1, \Gamma_2 \vdash S_{\Gamma_1} \longrightarrow T_{\Gamma_1}$.

Theorem (Completeness theorem). Suppose that Γ_1 is good.

$\Gamma_1, \Gamma_2 \vdash S \implies T$ if and only if $\Gamma_1, \Gamma_2 \vDash S \implies T$.

Proof. We prove only hard direction. Suppose that $\Gamma_1, \Gamma_2 \vDash S \implies T$.

Since Γ_1 is normal, $u = \{(x_i, \phi) \mid 1 \leq i \leq k, x_i \in \tilde{S}_i^+\}$ is a model of Γ_1 and

Γ_2 . Hence $\phi = \bigcup \pi_1(u^*) \in \tilde{S}$ and $\phi = \bigcup \pi_2(u^*) \in \tilde{T}$. Hence, by virtue of

lemma 2, $\Gamma_1, \Gamma_2 \vDash S_{\Gamma_1} \implies T_{\Gamma_1}$. We try to show that

$\Gamma_1, \Gamma_2 \vDash S_{\Gamma_1} \implies T_{\Gamma_1}$. If we can show it, then $\Gamma_1, \Gamma_2 \vdash S \implies T$ by

the inference rule (F). For $x \in [S_1, \dots, S_k]_k$ and $y \in [T_1, \dots, T_k]_k$, let

$F(x) = \{(\sigma_1, \dots, \sigma_h) \mid (\sigma_1, \dots, \sigma_h) \in [S_1, \dots, S_k]_k, x = \bigcup \{\bar{\sigma}_i \mid 1 \leq i \leq h\} \text{ and } h \leq k\}$,

$F(y) = \{(\rho_1, \dots, \rho_h) \mid (\rho_1, \dots, \rho_h) \in [T_1, \dots, T_k]_k, y = \bigcup \{\bar{\rho}_i \mid 1 \leq i \leq h\} \text{ and } h \leq k\}$.

Since $\Gamma_1, \Gamma_2 \vdash [S_1, \dots, S_k]_k \implies [T_1, \dots, T_k]_k$, it is enough to show

that $\Gamma_1, \Gamma_2 \vdash (\sigma_1, \dots, \sigma_h) \longrightarrow (())$ and $\Gamma_1, \Gamma_2 \vdash (()) \longrightarrow (\rho_1, \dots, \rho_h)$

for every $(\sigma_1, \dots, \sigma_h) \in F(x)$ and $(\rho_1, \dots, \rho_h) \in F(y)$ where $x \notin \tilde{S}_{\Gamma_1}$ and

$y \notin \tilde{T}_{\Gamma_1}$. Suppose that $x \notin \tilde{S}_{\Gamma_1}$ and $(\sigma_1, \dots, \sigma_h) \in F(x)$. Without loss of

generality, we assume that $\bar{\sigma}_i \in \tilde{S}_i$ for every $i \leq h$. Let $x_i = y_i = \phi$ for

$h \leq i \leq k$ and $x_i = \bar{\sigma}_i$ for $1 \leq i \leq h$. If there is an $i \leq h$ such that $\tilde{T}_i^+ = \phi$,

then by the rule (B₁)

$$\frac{S_1 \longrightarrow T_1, \dots, S_h \longrightarrow T_h}{(\sigma_1, \dots, \sigma_h) \longrightarrow (()}$$

Hence we assume that $\tilde{T}_i^+ \neq \phi$ for every $i \leq h$. Pick $y_i \in \tilde{T}_i^+$ for $1 \leq i \leq h$.

Then $\bigcup \{x_i \mid 1 \leq i \leq k\} = \bigcup \{x_i \mid 1 \leq i \leq h\} = \bar{x} = x$ and $\{i \mid x_i \neq \phi\} = \{i \mid y_i \neq \phi\}$.

Since $\Gamma_1, \Gamma_2 \vDash S_{\Gamma_1} \implies T_{\Gamma_1}$, $\forall u$: a model of Γ_1 and Γ_2 [$\bigcup \pi_1(u^*) \in \tilde{S}_{\Gamma_1}$].

Therefore $\forall u$: a model of Γ_1 and Γ_2 [$\bigcup \pi_1(u^*) \neq \bar{x}$]. Hence, by lemma 1,

$\exists j \in \mathbb{N} \exists y \in \tilde{T}_j^+ \exists J \subseteq \{i \mid x_i \neq \phi\}$ [$J \neq \emptyset, y \in \bigcap \{y_i \mid i \in J\}, \bigcup \{x_i \mid i \in J\} \notin \tilde{S}_j^+$ and

$\forall i \in \{i \mid x_i \neq \phi\} - J [y \notin y_i]$]. Without loss of generality, we assume

$J = \{1, 2, \dots, m'\}$. Then $\langle x_1, \dots, x_{m'} \rangle_{m'} = \bigcup \{x_i \mid 1 \leq i \leq m'\} =$

$\bigcup \{x_i \mid i \in J\} \notin \tilde{S}_j^+$. Also, $y \in \tilde{T}_j^+, y \subseteq y_i$ for $1 \leq i \leq m'$ and $y \not\subseteq y_i$ for

$m' < i \leq h$. Hence, by the rules (A₁) and (A₂),

$$\begin{array}{c}
S'_j \longrightarrow T'_j \\
\hline
(\hat{x}_{m'+1}, \dots, \hat{x}_h, \hat{x}_1, \dots, \hat{x}_{m'}) \not\longrightarrow (\hat{y}_{m'+1}, \dots, \hat{y}_h, \hat{y}_1, \dots, \hat{y}_{m'}) \\
\vdots \\
\hline
(\hat{x}_1, \dots, \hat{x}_h) \not\longrightarrow (\hat{y}_1, \dots, \hat{y}_h) \\
\hline
(\sigma_1, \dots, \sigma_h) \not\longrightarrow (\hat{y}_1, \dots, \hat{y}_h) .
\end{array}$$

Hence $\Gamma_1, \Gamma_2 \vdash (\sigma_1, \dots, \sigma_h) \not\longrightarrow (\hat{y}_1, \dots, \hat{y}_h)$ for every $(y_1, \dots, y_h) \in \hat{T}_1^+ \times \dots \times \hat{T}_h^+$. Therefore, by the rules $(B_1), (B_2)$ and (A_2) , $\Gamma_1, \Gamma_2 \vdash (\sigma_1, \dots, \sigma_h) \longrightarrow (())$. It is similarly proved that $\Gamma_1, \Gamma_2 \vdash (()) \longrightarrow (\rho_1, \dots, \rho_h)$.

Remark. If Γ_1 is not good, then let $\Gamma_1^* = \{S_1 \longrightarrow [\langle T_1, b_1 \rangle_2]_1, \dots, S_k \longrightarrow [\langle T_k, b_k \rangle_2]_1\}$ where b_1, \dots, b_k are new constant symbols of d -sort. If Γ_1 is normal, then for every T , there is a d -term T^* in $L_{m, n+k}$ such that $\Gamma_1, \Gamma_2 \vdash S \longrightarrow T$ if and only if $\Gamma_1^*, \Gamma_2 \vdash S \longrightarrow T^*$. If Γ_1 is normal, then Γ_1^* is good. Hence, if Γ_1 is normal, then $\Gamma_1, \Gamma_2 \vdash S \longrightarrow T$ if and only if $\Gamma_1^*, \Gamma_2 \vdash_{m, n+k} S \longrightarrow T^*$.

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