

On automorphic cuspidal representations of  $U(2,2)$

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Introduction

In this paper, we study the hypercuspidality of automorphic cuspidal representations of  $U(2,2)$ .

The hypercuspidality in the case of the symplectic group was introduced by I. I. Piatetski-Shapiro [5]. When  $G = \mathrm{GSp}_4$ , for a given cusp form  $f$  on  $G_{\mathbb{A}}$ ,  $f$  is called "hypercuspidal" if the Whittaker function corresponding to  $f$  vanishes. Let  $L_0^2(G_{\mathbb{A}})$  be the space of cusp forms on  $G_{\mathbb{A}}$ . We denote by  $L_{0,1}^2(G_{\mathbb{A}})$  the orthogonal complement of the space of all hypercuspidal forms in  $L_0^2(G_{\mathbb{A}})$ . Then any irreducible cuspidal representation in  $L_{0,1}^2$  has a unique non-trivial Whittaker model. Thus, the multiplicity one theorem holds for  $L_{0,1}^2$ .

Analogously, we define the hypercuspidality in the case of  $U(2,2)$  by vanishing of some Whittaker functions occurring in the Fourier expansion of a cusp form. More precisely, for a cusp form  $f$  on  $U(2,2)$ , we consider a Fourier expansion of  $f$  with respect to the center of the maximal unipotent subgroup of the Borel subgroup. Then we obtain two Whittaker functions  $W_f$  and  $V_f$  occurring in the Fourier expansion, where  $W_f$  is an ordinary Whittaker function and  $V_f$  is defined in §1. We note that in the case of  $\mathrm{Sp}_4$ ,

the function  $V_f$  did not appear in the similar Fourier expansion of a cusp form  $f$ . In terms of these functions, we say  $f$  is "U-cuspidal" (resp. "N-cuspidal") if  $W_f$  (resp.  $V_f$ ) vanishes. Moreover, if both function  $W_f$  and function  $V_f$  vanish,  $f$  is called "hypercuspidal".

Next, using the dual reductive pair, we investigate cuspidal representations obtained from the Weil-lifting of those of  $U(1,1)$  or  $U(2,1)$ . Roughly speaking, we have the following:

- (1) Cuspidal representations obtained from the Weil-lifting of those of  $U(1,1)$  are U-cuspidal.
- (2) Let  $\tau$  be a cuspidal representation of  $U(2,1)$ . Let  $\theta(\tau, \psi)$  be a cuspidal representation obtained from the Weil-lifting of  $\tau$ . Then,
  - (a) if  $\tau$  is non-hypercuspidal in a sense of [1], then  $\theta(\tau, \psi)$  is N-cuspidal, and
  - (b) if  $\tau$  is hypercuspidal in a sense of [1], then  $\theta(\tau, \psi)$  is hypercuspidal.

The details of proof will be given in my Master thesis at Tôhoku University.

### Notation

Let  $F$  be a global field whose characteristic is different from 2 and let  $\mathbb{A}_F$  be the adèle ring of  $F$ . Let  $E$  be a quadratic extension of  $F$ , and denote its Galois involution by  $x \rightarrow \bar{x}$ . We fix once and for all an element  $i$  in  $E$  such that  $\bar{i} = -i$  and a non trivial character  $\psi$  of  $\mathbb{A}_F/F$ .

### 1. Fourier expansions and the hypercuspidality

In this section, we give a definition of the hypercuspidality for cusp forms on  $U(2,2)$ .

Let  $V$  be a 4-dimensional vector space over  $E$  with basis  $\{e_1, e_2, e_3, e_4\}$ , and  $(\ , \ )_V$  the skew-hermitian form on  $V$  which is represented by the matrix  $\begin{pmatrix} 0 & I_2 \\ -I_2 & 0 \end{pmatrix}$  with respect to  $\{e_1, e_2, e_3, e_4\}$ .  
Let

$$G_F = \left\{ g \in GL_4(E) \mid g \begin{pmatrix} 0 & I_2 \\ -I_2 & 0 \end{pmatrix} {}^t \bar{g} = \begin{pmatrix} 0 & I_2 \\ -I_2 & 0 \end{pmatrix} \right\}$$

and

$$H_F = \left\{ h \in GL_2(E) \mid h \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} {}^t \bar{h} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}.$$

Let  $B_F$  be the Borel subgroup of  $G_F$  such that its maximal torus is

$$T_F = \left\{ \begin{pmatrix} a & & & \\ & b & & \\ & & \bar{a}^{-1} & \\ & & & \bar{b}^{-1} \end{pmatrix} \mid a, b \text{ in } E^* \right\},$$

and its unipotent radical is

$$U_F = \left\{ \begin{pmatrix} 1 & a & x - \bar{a}b & b \\ 0 & 1 & \bar{b} - \bar{a}y & y \\ & & 1 & 0 \\ 0 & & -\bar{a} & 1 \end{pmatrix} \mid a, b \text{ in } E, x, y \text{ in } F \right\}.$$

Let  $P_F$  be the parabolic subgroup stabilizing the isotropic line  $Ee_4$

Then  $P_F$  is the product  $L_F N_F$  of the Levi subgroup

$$L_F = \left\{ \begin{pmatrix} a' & & & \\ & a & & b \\ & & \bar{a}'^{-1} & \\ c & & & d \end{pmatrix} \mid a' \text{ in } E^*, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ in } H_F \right\},$$

and the unipotent radical

$$N_F = \left\{ \begin{pmatrix} 1 & a & x-\bar{a}b & b \\ 0 & 1 & \bar{b} & 0 \\ 0 & & 1 & 0 \\ & & -\bar{a} & 1 \end{pmatrix} \mid a, b \text{ in } E, x \text{ in } F \right\}.$$

Let  $Z_F$  be the center of  $U_F$ :

$$Z_F = \left\{ \begin{pmatrix} I_2 & x & 0 \\ 0 & 0 & 0 \\ 0 & & I_2 \end{pmatrix} \mid x \text{ in } F \right\}.$$

For each  $\xi, \zeta$  in  $E$  and  $t$  in  $F$ , we define characters  $\psi(\xi, t)$ ,  $\psi(\xi, \zeta)$  and  $\psi_t$  of  $U_F \backslash U_{\mathbb{A}}$ ,  $N_F \backslash N_{\mathbb{A}}$  and  $Z_F \backslash Z_{\mathbb{A}}$ , respectively, by

$$\psi(\xi, t) \left( \begin{pmatrix} 1 & a & x-\bar{a}b & b \\ 0 & 1 & \bar{b}-\bar{a}y & y \\ 0 & & 1 & 0 \\ & & -\bar{a} & 1 \end{pmatrix} \right) = \psi(\text{Tr}_{E/F}(\xi a) + ty),$$

$$\psi(\xi, \zeta) \left( \begin{pmatrix} 1 & a & x-\bar{a}b & b \\ 0 & 1 & \bar{b} & 0 \\ 0 & & 1 & 0 \\ & & -\bar{a} & 1 \end{pmatrix} \right) = \psi(\text{Tr}_{E/F}(\xi a + \zeta b))$$

and

$$\psi_t \left( \begin{pmatrix} I_2 & x & 0 \\ 0 & 0 & 0 \\ 0 & & I_2 \end{pmatrix} \right) = \psi(tx).$$

Further we put  $E^1 = \{ a \in E^* \mid a\bar{a} = 1 \}$  and  $\mathbb{A}_E^1 = \{ a \in \mathbb{A}_E^* \mid a\bar{a} = 1 \}$ . Then the center  $C(G_{\mathbb{A}})$  of  $G_{\mathbb{A}}$  is isomorphic to  $\mathbb{A}_E^1$ . For a character  $\chi$  of  $E^1 \backslash \mathbb{A}_E^1$ , let  $\mathcal{A}_{\chi}(G_{\mathbb{A}})$  denote the space consisting of cusp forms on  $G_{\mathbb{A}}$  which transform according to  $\chi$  under  $C(G_{\mathbb{A}})$ . For each cusp form  $f$  on  $G_{\mathbb{A}}$ , we define three Whittaker functions corresponding to  $f$  by

$$W_f^{\psi(\xi, t)}(g) = \int_{U_F \backslash U_{\mathbb{A}}} \overline{\psi(\xi, t)(u)} f(ug) du,$$

$$V_f^{\psi(\xi, \zeta)}(g) = \int_{N_F \backslash N_{\mathbb{A}}} \overline{\psi(\xi, \zeta)(n)} f(ng) dn$$

and

$$J_f^{\psi_t}(g) = \int_{Z_F \backslash Z_{\mathbb{A}}} \overline{\psi_t(z)} f(zg) dz.$$

First, for a cusp form  $f$  on  $G_{\mathbb{A}}$ , we consider a Fourier expansion of  $f$  along  $Z$ . Fix  $g$  in  $G_{\mathbb{A}}$ . As a function on the compact abelian group  $Z_F \backslash Z_{\mathbb{A}}$ ,  $f(zg)$  has a Fourier expansion of the form

$$f(g) = \int_{Z_F \backslash Z_{\mathbb{A}}} f(zg) dz + \sum_{t \in F^*} J_f^t(g).$$

Let  $[F^*]$  (resp.  $[E^*]$ ) be a complete set of representatives of  $N_{E/F}(E^*)$  (resp.  $E^1$ ) in  $F^*/N_{E/F}(E^*)$  (resp.  $E^*/E^1$ ). Then by the analogy to [4] Lemma 6.2, we obtain the following:

Proposition 1. For each cusp form  $f$  on  $G_{\mathbb{A}}$ , one has

$$f(g) = \sum_{t \in [F^*]} \left\{ \sum_{\gamma \in R_F \backslash L_F} W_f^{\psi(1, t)}(\gamma g) + \sum_{\gamma \in L(1, ti) \backslash L_F} V_f^{\psi(1, ti)}(\gamma g) + \sum_{a \in [E^*]} J_f^{\psi_t} \left( \begin{pmatrix} a & & & \\ & 1 & & \\ & & \bar{a}^{-1} & \\ & & & 1 \end{pmatrix} g \right) \right\},$$

where

$$R_F = \left\{ \begin{pmatrix} a & & & \\ & a & & \\ & & ab & \\ & & & a \end{pmatrix} \mid a \text{ in } E^1, b \text{ in } F \right\}$$

and

$$L(1, ti) = \left\{ \begin{pmatrix} a' & & & \\ & a & & \\ & & \bar{a}', -1 & \\ & & & (a'-d)t^{-1}i^{-1} \end{pmatrix} \in L_F \right\}.$$

Now let

$$W(\psi) = \{ (W_f^\psi(1, t))_{t \in [F^*]} \mid f \in \mathcal{A}_\chi(G_{\mathbb{A}}) \}$$

and

$$V(\psi) = \{ (V_f^\psi(1, ti))_{t \in [F^*]} \mid f \in \mathcal{A}_\chi(G_{\mathbb{A}}) \}.$$

We define a linear map  $D$  from  $\mathcal{A}_\chi(G_{\mathbb{A}})$  to  $W(\psi) \oplus V(\psi)$  by

$$D(f) = ((W_f^\psi(1, t))_t, (V_f^\psi(1, ti))_t).$$

In terms of this linear map, we give the following

Definition. Let  $f$  be a cusp form on  $G_{\mathbb{A}}$ . We say  $f$  is *N-cuspidal* (resp. *U-cuspidal*) if  $f$  is contained in  $D^{-1}(W(\psi))$  (resp.  $D^{-1}(V(\psi))$ ). Further we say  $f$  is *hypercuspidal* if  $f$  is contained in  $\text{Ker}(D)$ .

We can show that these spaces are invariant by the action of the Hecke algebra of  $G_{\mathbb{A}}$  and independent of a choice of a character  $\psi$  and a representative set  $[F^*]$ .

## 2. Lifting from $U(1,1)$ to $U(2,2)$

In this section, we consider the Weil-lifting  $\theta(\tau, \psi)$  of an irreducible automorphic cuspidal representation  $\tau$  of  $H_{\mathbb{A}}$  to  $G_{\mathbb{A}}$ , and investigate the cuspidality of  $\theta(\tau, \psi)$ .

Let  $W$  be a 2-dimensional vector space over  $E$ ,  $(\ , \ )_W$  the skew-hermitian form on  $W$  which is represented by the matrix  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  with respect to a suitable basis. We consider the symplectic space  $X_F = (V \otimes W)_F$  obtained by taking the imaginary part of the hermitian form  $(\ , \ )_W \cdot (\ , \ )_V$ . Thus  $X_F$  is a 16-dimensional space over  $F$ , and we have a dual reductive pair  $(H, G) \subset \text{Sp}_{16}(F)$ .

In the same manner as in [1], §6 and §8, we choose and fix one Weil-representation  $\omega_\psi$  of  $G_{\mathbb{A}}/H_{\mathbb{A}}$ . Let  $X_F = X_1 \oplus X_2$  be a complete parabolization of  $X_F$  and  $S(X_{1,\mathbb{A}})$  the Schwarz - Bruhat space on  $X_{1,\mathbb{A}}$ .

Now suppose  $(\tau, V_\tau)$  is an automorphic cuspidal representation of  $H_{\mathbb{A}}$  in the space of cusp forms on  $H_{\mathbb{A}}$ . For each  $\varphi$  in  $V_\tau$  and  $\Phi$  in  $S(X_{1,\mathbb{A}})$ , we put

$$\theta_\psi^\Phi(g, h) = \sum_{v \in X_{1,F}} \omega_\psi(gh)\Phi(v) \quad (h \in H_{\mathbb{A}}, g \in G_{\mathbb{A}}),$$

$$f_\varphi^\Phi(g) = \int_{H_F \backslash H_{\mathbb{A}}} \theta_\psi^\Phi(g, h)\varphi(h)dh.$$

We call the representation of  $G_{\mathbb{A}}$  realized on

$$\Theta(\tau, \psi) = \{ f_\varphi^\Phi \mid \varphi \text{ in } V_\tau, \Phi \text{ in } S(X_{1,\mathbb{A}}) \}$$

the "Weil-lifting" of  $\tau$ .

We define an embedding  $H_{\mathbb{A}} \hookrightarrow Sp_8(\mathbb{A}_F)$  by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \longmapsto \begin{pmatrix} \alpha(a) & 0 & 0 & \beta(b) \\ 0 & \alpha(a) & -\beta(b) & 0 \\ 0 & \gamma(c) & \delta(d) & 0 \\ -\gamma(c) & 0 & 0 & \delta(d) \end{pmatrix},$$

where for any  $x$  in  $E$

$$\alpha(x) = \begin{pmatrix} \operatorname{Re}(x) & \operatorname{Im}(x) \\ -N_{E/F}(i)\operatorname{Im}(x) & \operatorname{Re}(x) \end{pmatrix}, \quad \beta(x) = \begin{pmatrix} \operatorname{Im}(x) & -\operatorname{Re}(x) \\ \operatorname{Re}(x) & N_{E/F}(i)\operatorname{Im}(x) \end{pmatrix},$$

$$\gamma(x) = \begin{pmatrix} N_{E/F}(i)\operatorname{Im}(x) & -\operatorname{Re}(x) \\ \operatorname{Re}(x) & \operatorname{Im}(x) \end{pmatrix}, \quad \delta(x) = \begin{pmatrix} \operatorname{Re}(x) & N_{E/F}(i)\operatorname{Im}(x) \\ -\operatorname{Im}(x) & \operatorname{Re}(x) \end{pmatrix}.$$

According to this embedding, the Weil-representation  $\omega_\psi^\circ$  of  $Sp_8(\mathbb{A}_F)$  can be restricted to  $SU(1,1)$ . Furthermore, in the same manner as in [1], it can be extended to an ordinary representation  $\omega_\psi^\circ$  of  $H_{\mathbb{A}}$ . This extension is determined only up to twisting by a character of  $\mathbb{A}_E^1$  composed with the determinant. Therefore we choose

one such extension  $\omega_\psi^\circ$  in accordance with the choice of the ordinary representation  $\omega_\psi$  of  $H_A G_A$ . Then the Weil-representation  $\omega_\psi^\circ$  can be realized on the Schwarz - Bruhat space  $S(W_A)$  of  $W_A$ . Hence, for each  $\phi \in S(W_A)$ , we put

$$\theta_\phi(h) = \sum_{w \in W_F} \omega_\psi^\circ(h) \phi(w)$$

and denote by  $\theta(\psi, \chi^{-1})$  the space consisting of theta-series  $\theta_\phi$  which transform according to  $\chi^{-1}$  under the center of  $H_A$ .

Theorem 2. Let  $(\tau, V_\tau)$  be an irreducible cuspidal representation of  $H_A$  in  $\mathcal{A}_\chi(H_A)$ .

- (1) If  $\tau$  is non-trivial, then  $\theta(\tau, \psi)$  is also non-trivial.
- (2)  $\theta(\tau, \psi)$  is cuspidal if and only if  $\tau$  is orthogonal to  $\theta(\psi, \chi^{-1})$ .
- (3) If  $\theta(\tau, \psi)$  is cuspidal and non-trivial, then it is U-cuspidal, but not hypercuspidal.

### 3. Lifting from $U(2,1)$ to $U(2,2)$

We use the similar argument as in §2.

Let  $W$  be a 3-dimensional vector space over  $E$  with a basis  $\{w_{-1}, w_0, w_1\}$  and  $(\ , \ )_W$  the hermitian form which is represented by the matrix

$$\begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix}$$

with respect to  $\{w_{-1}, w_0, w_1\}$ . Let  $G^\circ$  be the corresponding unitary group, and  $N^\circ$  the maximal unipotent subgroup of  $G^\circ$ :

$$N_F^\circ = \left\{ \begin{pmatrix} 1 & a & z \\ 0 & 1 & -\bar{a} \\ 0 & 0 & 1 \end{pmatrix} \mid a, z \text{ in } E, z + \bar{z} = -a\bar{a} \right\}.$$



In the same manner as in §2, we have a dual reductive pair  $(G, G^\circ) \subset \text{Sp}_{24}(F)$ . Further, for an irreducible cuspidal representation  $(\tau, V_\tau)$  of  $G_{\mathbb{A}}^\circ$ , we denote by  $\Theta(\tau, \psi)$  the Weil-lifting of it.

For the general theory of cusp forms on  $G_{\mathbb{A}}^\circ$ , we refer to [1]. We define a character  $\psi_\circ$  of  $N_F^\circ \backslash N_{\mathbb{A}}^\circ$  by

$$\psi_\circ \left( \begin{pmatrix} 1 & a & z \\ 0 & 1 & -\bar{a} \\ 0 & 0 & 1 \end{pmatrix} \right) = \psi(\text{Tr}_{E/F}(a)).$$

For  $\phi \in L_0^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ)$ , we put

$$W_\phi^\circ(g) = \int_{N_F^\circ \backslash N_{\mathbb{A}}^\circ} \overline{\psi_\circ(n)} \phi(ng) dn.$$

Also we put

$$L_{0,0}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ) = \{ \phi \in L_0^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ) \mid W_\phi^\circ \equiv 0 \},$$

$$L_{0,1}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ) = \text{the orthocomplement of } L_{0,0}^2 \text{ in } L_0^2.$$

These spaces are invariant under  $G_{\mathbb{A}}^\circ$  and independent of  $\psi$ . Clearly, we have an orthogonal decomposition

$$L_0^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ) = L_{0,0}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ) \oplus L_{0,1}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ).$$

We know from [1] that the multiplicity one theorem holds for  $L_{0,1}^2$ .

Now for each  $x$  in  $F^*$ , we take a vector  $w_x$  in  $W$  such that  $(w_x, w_x)_W = x$ , and let  $G_{x,F}^\circ$  be the stabilizer of  $w_x$  in  $G_F^\circ$ . Then we obtain a following

Proposition 3.  $\Theta(\tau, \psi)$  is cuspidal if and only if

$$\int_{G_{x,F}^\circ \backslash G_{x,\mathbb{A}}^\circ} \phi(gh) dg = 0$$

for any  $x$  in  $F^*$ ,  $\phi$  in  $V_\tau$  and  $h$  in  $G_{\mathbb{A}}^\circ$ .

In particular, if we take  $w_x = \frac{1}{2}w_{-1} + xw_1$ , then for any  $x$  in  $F^*$

$$G_{x,F}^\circ > \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & 1 \end{pmatrix} \mid a \text{ in } E^1 \right\}.$$

Thus if  $V_\tau$  satisfies the condition

$$(\#) \quad \int_{E^1 \backslash \mathbb{A}_E^1} \varphi \left( \begin{pmatrix} 1 & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & 1 \end{pmatrix} g \right) da = 0 \quad \text{for any } \varphi \text{ in } V_\tau \text{ and } g \text{ in } G_{\mathbb{A}}^\circ,$$

then  $\theta(\tau, \psi)$  is cuspidal.

Theorem 4. (1) Suppose  $(\tau, V_\tau) \subset L_{0,1}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ)$ . If  $\tau$  is non-trivial, then  $\theta(\tau, \psi)$  is also non-trivial. Moreover, if  $V_\tau$  satisfies the condition (#), then  $\theta(\tau, \psi)$  is N-cuspidal, but not hypercuspidal.  
 (2) Suppose  $(\tau, V_\tau) \subset L_{0,0}^2(G_F^\circ \backslash G_{\mathbb{A}}^\circ)$ . If  $V_\tau$  satisfies the condition (#), then  $\theta(\tau, \psi)$  is hypercuspidal.

In the proof, we take a complete polarization of  $X_F = (V \otimes W)_F$  by  $X_F = X_1 \oplus X_2$ , where  $X_1 = e_1 \otimes W + e_2 \otimes W$  and  $X_2 = e_3 \otimes W + e_4 \otimes W$ . Under this decomposition of  $X_F$ , we can give explicitly the action of the Weil-representation  $\omega_\psi$  of  $G_{\mathbb{A}} G_{\mathbb{A}}^\circ$  to Schwarz-Bruhat space  $S(X_1, \mathbb{A}) \simeq S(W_{\mathbb{A}} \oplus W_{\mathbb{A}})$ . In the case (1), we put  $f = f_\varphi^\Phi \in \theta(\tau, \psi)$ , where  $\Phi \in S(W_{\mathbb{A}} \oplus W_{\mathbb{A}})$  and  $\varphi \in V_\tau$ . Then by computing  $W_f^\psi$  directly, we have

$$W_f^\psi(1, \frac{1}{2}t) \equiv 0 \quad \text{for } l \neq t \in [F^*]$$

$$W_f^\psi(1, \frac{1}{2})(g) = \int_{Z_{\mathbb{A}}^\circ \backslash G_{\mathbb{A}}^\circ} \omega_\psi(gh) \Phi(w_1, w_0) W_\varphi^\psi(h) dh,$$

where  $Z^\circ$  is the center of  $N^\circ$ . In particular, the latter formula defines the "local Weil-lifting" of a non-degenerate admissible representation of  $G_{F_V}^\circ$  to  $G_{F_V}$ .

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