

On the exponential series of formal groups

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§1. Introduction

Let  $p$  be an odd prime. In the prime cyclotomic field  $\mathbb{Q}_p(\zeta_p)$  generated by a primitive  $p$ -th root  $\zeta_p$  of unity over the  $p$ -adic rationals  $\mathbb{Q}_p$ , there are explicit formulas of Takagi for the reciprocity law.

Let  $\mathfrak{f}_0 = (1 - \zeta_p)$  denote the prime ideal in  $\mathbb{Q}_p(\zeta_p)$ , and select a prime element  $\tilde{\omega}$  such that

$$\tilde{\omega} = p^{-1}\sqrt{-p}, \quad \tilde{\omega} \equiv \zeta_p - 1 \pmod{\mathfrak{f}_0^2}.$$

Take Takagi basis  $\kappa_i$  ( $1 \leq i \leq p$ ) as basis for the multiplicative group of the principal units  $U_1$  modulo  $\mathfrak{f}_0^{p+1}$  in  $\mathbb{Q}_p(\zeta_p)$ . Then the following formulas for the  $p$ -th norm residue symbol  $(\ , \ )$  hold.

$$(\kappa_i, \kappa_j) = 1 \quad \text{for } i+j \not\equiv 1 \pmod{p-1},$$

$$(\kappa_i, \kappa_j) = \zeta_p^{-i} \quad \text{for } i+j \equiv 1 \pmod{p-1},$$

$$(\tilde{\omega}, \kappa_i) = 1 \quad \text{for } i = 1, 2, \dots, p-1,$$

$$(\tilde{\omega}, \kappa_p) = \zeta_p.$$

For any principal unit  $v \in U_1$  we have the congruence with some integers  $t_i(v) \in \mathbb{Z}$ , called the Takagi exponents,

$$v \equiv \kappa_1^{t_1(v)} \kappa_2^{t_2(v)} \cdots \kappa_p^{t_p(v)} \pmod{\mathfrak{p}_0^{p+1}}.$$

Then it holds that for any  $v, \mu \in U_1$

$$(v, \mu) = \zeta_p^{-\sum_{i=1}^{p-1} i t_i(v) t_{p-i}(\mu)},$$

$$(\tilde{\omega}, v) = \zeta_p^{t_p(v)}.$$

These are called Takagi's formulas.

Now, the power series on indeterminate  $X$

$$E(X) = e^{L(X)} = \prod_{(m,p)=1} (1-X^m)^{-\frac{\mu(m)}{m}}$$

with  $L(X) = \sum_{\ell=0}^{\infty} \frac{1}{p^\ell} X^{p^\ell}$  is known as the Artin-Hasse exponential series, and  $E(X) \in \mathbb{Z}_p[[X]]$  plays the central role in the proof for the complementary laws of reciprocity in the cyclotomic case [1]. Then we have the congruences

$$\kappa_i \equiv E((-1)^{i-1} \tilde{\omega}^i) \pmod{\mathfrak{p}_0^{p+1}} \quad (1 \leq i \leq p).$$

For the details we refer to [6].

## §2. Exponential series of the Lubin-Tate groups

Let  $k$  be a finite extension over  $\mathbb{Q}_p$ , and  $\mathcal{O}$  the integer ring,  $\mathfrak{f}$  the prime ideal,  $\pi$  a fixed prime element in  $k$  respectively. Let  $q = p^c$  denote the number of the elements of the residue class field of  $k$ , namely,  $\mathcal{O}/\mathfrak{f} = \text{GF}(q)$ .

Let  $f(X) \in \mathcal{O}[[X]]$  be a Frobenius power series belonging to the prime element  $\pi$ , namely

$$f(X) \equiv \pi X \pmod{\text{deg } 2}, \quad f(X) \equiv X^q \pmod{\pi}$$

hold. Then there is a unique Lubin-Tate formal group  $F = F_f$  attached to the series  $f$ , especially  $f(X) = [\pi]_F(X)$  is an endomorphism of  $F$ .

Let  $\Lambda_{f,m}$  denote the group of  $\pi^m$  division points in the algebraic closure  $k_s$  of  $k$ , and  $L_{\pi,m} = k(\Lambda_{f,m})$  the field of  $\pi^m$  division points over  $k$ . Then we denote the integer ring, the prime ideal in  $L_{\pi,m}$  by  $\mathcal{O}_{m-1}$ ,  $\mathfrak{f}_{m-1}$  respectively.

Now, for any  $\alpha \in F(\mathfrak{f}_n)$ ,  $\beta \in L_{\pi,n+1}^\times$  take an element  $\gamma \in k_s$  such that  $[\pi^{n+1}]_F(\gamma) = \alpha$ , and define the norm residue symbol  $(\alpha, \beta)_n^F$  due to Wiles [5], [7] as follows :

$$(\alpha, \beta)_n^F = \sigma_\beta \gamma - \gamma \in \Lambda_{f,n+1}, \quad \sigma_\beta = \left( \beta, L_{\pi,n+1}^{\text{ab}} / L_{\pi,n+1} \right),$$

where  $L_{\pi,n+1}^{\text{ab}}$  means the maximal abelian extension of  $L_{\pi,n+1}$  and  $\sigma_\beta \in G(L_{\pi,n+1}^{\text{ab}} / L_{\pi,n+1})$  denotes the Artin map in local class field theory.

There is the isomorphism  $\lambda_F : F \xrightarrow{\sim} G_a$  from the group  $F$  to the additive formal group  $G_a$  over  $k$  satisfying  $\lambda_F'(0) = 1$ . This power series  $\lambda_F(X) \in k[[X]]$  is called the logarithm of  $F$  and is explicitly given by a formula

$$\lambda_F(X) = \lim_{n \rightarrow \infty} \frac{1}{\pi^n} [\pi^n]_F(X) .$$

The inverse power series  $e_F : G_a \xrightarrow{\sim} F$  with  $e_F'(0) = 1$  is called the exponential series of  $F$ .

Now, we define an exponential series  $E_F(X)$  as follows :

$$E_F(X) = e_F(L(X)) \quad \text{with} \quad L(X) = \sum_{\ell=0}^{\infty} \frac{1}{\pi^\ell} X^{q^\ell} .$$

Then we see  $E_F(X) \in k[[X]]$ , but more precisely  $E_F(X) \in X\mathcal{O}[[X]]$ . This fact depends on the generalization of the Dieudonné-Dwork lemma.

Lemma 1. It is necessary and sufficient for a power series  $P(X) \in Xk[[X]]$  to belong to  $X\mathcal{O}[[X]]$  that  $P(X^q)_{\overline{F}[\pi]}(P(X))$  has all the coefficients divisible by  $\pi$ , namely

$$P(X^q)_{\overline{F}[\pi]}(P(X)) \in X\pi\mathcal{O}[[X]] .$$

The Dieudonné-Dwork lemma is a special case of Lemma 1 for the multiplicative group  $G_m$  and  $\mathcal{O} = \mathbb{Z}_p$ .

By the definition of  $E_F(X)$  we have directly

$$E_F(X^q)_{\overline{F}[\pi]}(E_F(X)) = e_F(-\pi X) ,$$

and we know  $e_F(\pi X) \in X\pi\mathcal{O}[[X]]$ . Consequently we conclude from Lemma 1 that

$$E_F(X) \in X\sigma[[X]].$$

### §3. Complementary laws

We consider the basic formal group  $\xi$  attached to  $f(X) = \pi X + X^q$ .

For each  $n \geq 0$  we take a prime element  $u_n \in \Lambda_{f,n+1}$  in  $L_{\pi,n+1}$  such as  $[\pi]_{\xi}(u_n) = u_{n-1}$ ,  $[\pi]_{\xi}(u_0) = 0$ .

It holds from the Iwasawa-Wiles formula [2], [5], [7] that for any  $i \geq 1$

$$(E_{\xi}(u_n^i), u_n)_{\xi}^{\xi} = \left[ \frac{1}{\pi^{n+1}} T_n \left( \frac{\lambda_{\xi}(E_{\xi}(u_n^i))}{\lambda_{\xi}(u_n)u_n} \right) \right]_{\xi}(u_n),$$

where  $T_n$  denotes the trace with respect to  $L_{\pi,n+1}/k$ .

By the way we have  $\lambda_{\xi}(E_{\xi}(u_n^i)) = L(u_n^i) \in L_{\pi,n+1}$ , because we have in general  $\lambda_F(X) = \sum_{m=1}^{\infty} \frac{c_m}{m} X^m$  with  $c_m \in \sigma$ . Thus we have

$$(E_{\xi}(u_n^i), u_n)_{\xi}^{\xi} = \left[ \frac{1}{\pi^{n+1}} T_n \left( \frac{L(u_n^i)}{\lambda_{\xi}(u_n)u_n} \right) \right]_{\xi}(u_n).$$

Lemma 2. Assume  $\ell \geq 2n + 2$ . Then we have

$$\frac{1}{\pi^{\ell}} T_n \left( \frac{u_n^{iq^{\ell}-1}}{\lambda_{\xi}(u_n)} \right) \equiv 0 \pmod{\pi^{2(n+1)}}.$$

This can be obtained by virtue of the different  $\mathfrak{D}_n$  equal to  $\mathfrak{P}_n^{q^n((n+1)(q-1)-1)} \sim \pi^{n+1} u_0^{-1} \sigma_n$ .

Lemma 3. We have

$$T_n \left( \frac{u_n^r}{\lambda_\xi(u_n)} \right) = \begin{cases} 0 & \text{for } 0 \leq r \leq q^{n-2}, \\ -\pi^n & \text{for } r = q^{n-1}. \end{cases}$$

Especially

$$\frac{1}{\pi^\ell} T_n \left( \frac{u_n^{iq^\ell - 1}}{\lambda_\xi(u_n)} \right) = 0 \quad \text{for } iq^\ell < q^n,$$

$$\frac{1}{\pi^n} T_n \left( \frac{u_n^{q^n - 1}}{\lambda_\xi(u_n)} \right) = -1.$$

These formulas come out from Euler's identity, Lagrange's interpolation formula for polynomials. The next lemma follows similarly from the same and noticing the minimal basis of  $L_{\pi, n+1}/L_{\pi, n}$  to be  $1, u_n, \dots, u_n^{q-1}$ .  $T_{n, n-1}$  denotes the trace with respect to  $L_{\pi, n+1}/L_{\pi, n}$ .

Lemma 4. Assume  $n \geq 1$ . Then we have

$$T_{n, n-1} \left( \frac{u_n^r}{\lambda_\xi(u_n)} \right) = \begin{cases} 0 & \text{for } 0 \leq r \leq q-2, \\ \frac{\pi}{\lambda_\xi(u_{n-1})} & \text{for } r = q-1, \end{cases}$$

$$T_{n, n-1} \left( \frac{u_n^r}{\lambda_\xi(u_n)} \right) = \frac{\pi}{\lambda_\xi(u_{n-1})} \sum_{s_0, r_1 \geq 0} (-1)^{s_0 - r_1} \binom{s_0}{r_1} u_{n-1}^{r_1} \pi^{s_0 - r_1} \quad \text{for } r \geq q. \\ (q-1)(s_0+1)+r_1=r$$

Now, by a repeated use of Lemma 4 we see

$$T_{n,0} \left( \frac{u_n^r}{\lambda_\xi^r(u_n)} \right) = \sum (-1)^{s_0 + \dots + s_{n-1} - r_1 - \dots - r_n} \binom{s_0}{r_1} \binom{s_1}{r_2} \dots \binom{s_{n-1}}{r_n} \pi^{n + (s_0 + \dots + s_{n-1}) - (r_1 + \dots + r_n)} \frac{u_0^{r_n}}{\lambda_\xi^r(u_0)}$$

where the summation is taken over the integers  $s_i, r_i \geq 0$  satisfying  $(q-1)(s_i+1) + r_{i+1} = r_i$  ( $0 \leq i \leq n-1$ ),  $r_0 = r$ .

Therefore, after noticing that  $T_0(u_0^{r_n}) = 0$  for  $r_n \not\equiv 0 \pmod{q-1}$  and  $r_n \equiv r \pmod{q-1}$ , we have

$$(E_\xi(u_n^i), u_n)_n^\xi = 0 \quad \text{for } i \not\equiv 1 \pmod{q-1}.$$

In the sequel we compute  $(E_\xi(u_n^i), u_n)_n^\xi$  for the cases  $i \equiv 1 \pmod{q-1}$ .

First, from Lemma 4 for  $iq^\ell \geq q^n$

$$(*) \quad \frac{1}{\pi^\ell} T_n \left( \frac{u_n^{iq^\ell - 1}}{\lambda_\xi^{iq^\ell - 1}(u_n)} \right) = \sum_{j_1, \dots, j_n} (-1)^{j_0 - n - 1} \binom{j_0 - 1 - j_1}{j_1(q-1)} \binom{j_1 - 1 - j_2}{j_2(q-1)} \dots \binom{j_{n-1} - 1 - j_n}{j_n(q-1)} \pi^{j_0 - (q-1)(j_1 + \dots + j_n) - \ell}$$

where  $j_0 = \frac{iq^\ell - 1}{q-1}$  and  $j_m$  runs over the integers satisfying

$$\frac{q^{n-m} - 1}{q-1} \leq j_m \leq \frac{1}{q} (j_{m-1} - 1).$$

Here we can find easily the minimum of all the exponents of  $\pi$ , when  $\ell$  and  $j_1, \dots, j_n$  run over the possible ranges under the assumption  $1 \leq i \leq q^{n+1} - 1$ ,  $i \equiv 1 \pmod{q-1}$ . The minimum exponent

becomes  $t + s_q\left(\frac{i-q^t}{q-1}\right)$ , where  $t$  means the non-negative integer such that  $q^t \leq i < q^{t+1}$  and  $s_q(x)$  denotes the sum of the coefficients of the canonical  $q$ -expansion of  $x$ .

Consequently, under the condition  $q > 2n + 2$  we have a congruence

$$\frac{1}{\pi^{n+1}} T_n\left(\frac{L(u_n^i)}{\lambda_\xi(u_n) u_n}\right) \equiv \frac{1}{\pi^{n+1}} A_0^{(i)} \pi^{t+s_q\left(\frac{i-q^t}{q-1}\right)} \pmod{\pi^{n+1}},$$

where  $A_0^{(i)} \in \mathbb{Z}$  is the sum of all coefficients of terms with the exponent  $t + s_q\left(\frac{i-q^t}{q-1}\right)$  of  $\pi$  in the formulas  $(*)_\ell$ ,  $n-t \leq \ell \leq n$ .

After a simple observation we see that there are two terms with coefficients not zero to be considered, namely in the cases  $\ell = n-t$ ,  $n-t+1$ . Furthermore, these coefficients cancel out.

Thus we obtain

$$(E_\xi(u_n^i), u_n)_n^\xi = 0 \quad \text{for } 1 \leq i \leq q^{n+1} - 1.$$

Because there is the isomorphism  $\phi : \xi \cong F$ ,  $\phi'(0) = 1$  and  $(\alpha, \beta)_n^F = \phi(\phi^{-1}(\alpha, \beta)_n^\xi)$  holds, we obtain, by denoting  $v_n = \phi(u_n)$ , the following

Theorem 1. Under the assumption  $q > 2n + 2$  we have

$$(E_F(u_n^i), u_n)_n^F = 0 \quad \text{for } 1 \leq i \leq q^{n+1} - 1,$$

$$(E_F(u_n^{q^{n+1}}), u_n)_n^F = v_n.$$



The second formula follows from the fact that  $E_\xi(u_n^q) = [\pi]_\xi(E_\xi(u_n)) \bar{\xi} e_\xi(\pi u_n)$ , and repeatedly  $E_\xi(u_n^{q^{n+1}}) = [\pi^{n+1}]_\xi(E_\xi(u_n)) \bar{\xi} e_\xi(\pi^{n+1} u_n + \pi^n u_n^q + \dots + \pi u_n^{q^n})$ , and from Lemma 3, namely  $(E_\xi(u_n^{q^{n+1}}), u_n)_n^\xi = u_n$ .

#### §4. Explicit formulas in prime division fields

In this section we give a generalization of Takagi's formulas stated in Introduction.

First, the formula of de Shalit reads as follows [2] :

For  $\alpha \in F(\mathfrak{f}_n)$ ,  $\beta \in L_{\pi, n+1}^\times$  take a power series  $h \in X\mathcal{O}[[X]]$  such that  $\alpha = h(v_n)$  and the Coleman power series  $g(X) \in X\mathcal{O}[[X]]$  with  $\beta = g(v_n)$ . Then it holds that

$$(\alpha, \beta)_n^F = \left[ \frac{1}{\pi^{n+1}} \left\{ \sum_{v \in \Lambda_{f, n+1}} \left( \lambda_F^{\circ h} \frac{\lambda_F^{\circ h \circ [\pi]_F}}{\pi} \right) \delta g(v) + \frac{dh}{dX}(0) \left( 1 - \frac{Ng}{g} \right)(0) \right\} \right]_F(v_n),$$

where  $Ng$  denotes Coleman's norm operator of  $g$  and  $\delta g$  means the logarithmic derivative of  $g$ , namely  $(\delta g)(X) = \frac{1}{\lambda_F'(X)} \frac{1}{g(X)} \frac{d}{dX} g(X)$ .

By making use of de Shalit formula we obtain the following

Lemma 5. For  $i \geq q$  or  $j \geq q$  or  $i \not\equiv 1 \pmod{(j, q-1)}$

we have

$$\frac{1}{\pi} T_0 \left( \frac{L(u_0^i) j u_0^{j-1}}{\lambda_\xi'(u_0) (1-u_0^j)} \right) \equiv 0 \pmod{\pi}.$$

For  $q = i + mj$ ,  $1 \leq m \leq q - 1$ ,  $1 \leq i, j$

$$\frac{1}{\pi} T_0 \left( \frac{L(u_0^i) j u_0^{j-1}}{\lambda_\xi^j(u_0) (1-u_0^j)} \right) \equiv \begin{cases} 0 \pmod{\pi} & (i = 1) , \\ j \pmod{\pi} & (i \geq 2) . \end{cases}$$

Thus we have under the condition  $q = i + mj$

$$(E_\xi(u_0^i), 1-u_0^j)_0^\xi = [-j]_\xi(u_0) \quad \text{for } i \geq 1 .$$

From this lemma we obtain

$$(E_\xi(u_0^i), E(u_0^j))_0^\xi = [j]_\xi(u_0) \quad \text{for } q = i + p^a j ,$$

$$(E_\xi(u_0^i), E(u_0^j))_0^\xi = 0 \quad \text{otherwise.}$$

Herein  $E(X)$  is the ordinary Artin-Hasse exponential series in Introduction.

Finally, for any Lubin-Tate group  $F$  isomorphic to  $\xi$  over  $\mathcal{O}$  belonging to the prime  $\pi$  we obtain the following

Theorem 2. We have

$$(E_F(u_0^i), E(u_0^j))_0^F = [j]_F(v_0) \quad \text{if } q = i + p^a j, p^a | q ,$$

$$(E_F(u_0^i), E(u_0^j))_0^F = 0 \quad \text{otherwise ,}$$

$$(E_F(u_0^i), u_0)_0^F = 0 \quad \text{if } 1 \leq i \leq q - 1 ,$$

$$(E_F(u_0^q), u_0)_0^F = v_0 .$$

In particular, in the case where  $k = \mathbb{Q}_p$ ,  $q = p$ ,  $F = G_m$ ,  $\pi = p$  and necessarily  $v_0 = \zeta_p^{-1} - 1$ ,  $u_0 = -\tilde{\omega}$ , the formulas in Theorem 2 coincide just with Takagi's formulas quoted in Introduction.

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