# Selection Networks with $8 n \log _{2} n$ Size and $O(\log n)$ Depth 

Shuji JIMBO and Akira MARUOKA神保 秀司<br>丸岡章<br>Faculty of Engineering，Tohoku University

31－Jan－91，LA Symposium in Kyoto


#### Abstract

Selection networks composed of comparators that select the smallest $n / 2$ elements from $n$ inputs are investigated，and such networks with $8 n \log _{2} n$ size and $O(\log n)$ depth are constructed．This result improves［AKS83a］［AKS83b］in constant factor of size and in simplicity of construction，and［Pip90］in order of depth，in which selection networks with $2 n \log _{2} n$ size and $O\left((\log n)^{2}\right)$ depth are constructed．


## 1 Introduction

An comparator network is a network consisting of elements called comparators．A comparator is a module with two inputs and two outputs which sorts any two elements on the two inputs．A（n， $k$ ）－selector，where $1 \leq k<n$ ，is a comparator net－ work with $n$ inputs（ $x_{1}, x_{2}, \ldots, x_{n}$ ）and $n$ outputs $\left(y_{1}, y_{2}, \ldots, y_{n}\right)$ such that the set $\left\{y_{1}, y_{2}, \ldots, y_{k}\right\}$ consists of the smallest $k$ elements of $x_{1}, x_{2}, \ldots, x_{n}$ ． A sorting network with $n$ inputs is a comparator network with $n$ inputs（ $x_{1}, x_{2}, \ldots, x_{n}$ ）and $n$ out－ puts $\left(y_{1}, y_{2}, \ldots, y_{n}\right)$ such that $y_{1} \leq y_{2} \leq \ldots \leq y_{n}$ ． A sorting network with $n$ inputs is also called an $n$－sorter．

Since $n$－sorters are also $(n, k)$－selectors，the existence of $n$－sorters with $O(n \log n)$ size and $O(\log n)$ depth，given in［AKS83a］［AKS83b］，im－ mediately implies the existence of $(n, k)$－selectors with the same size and depth．Main concern in ［AKS83a］［AKS83b］seems to prove just the exis－ tence of $n$－sorters with the size and depth，so the construction of soters is of some intricacy，and the constant factors in the depth and size bound are enormous．In fact，in spite of efforts in［Pat］ to improve the previous construction，the numer ical constant in the size bound have not been brought below 1000．Since selectors don＇t do as much as sortors do，it is natural to ask whether we can obtain much simpler construction of $(n, k)$－ selectors with smaller constant factors．Based on the same fundamental idea as their sorters， Pippenger［Pip90］constructed（ $n, n / 2$ ）－selectors of approximate $2 n \log _{2} n$ size and $O\left((\log n)^{2}\right)$ depth． In the same spirit，we aslo investigate the construc－
tion，obtaining relatively simple（ $n, n / 2$ ）－selectors of approximate $8 n \log _{2} n$ size and $O(\log n)$ depth．

In Section 2 we explain notations and defini－ tions．In Section 3 we give some genaral prop－ erties of comparator networks．In Section 4，we give some types of comparator subnetworks which are used to construct selectors．In Section 5，we explain how to assemble the subnetworks to ob－ tain selectors．Moreover，the justification of the construction and the size and depth bound of the selectors are discussed．

## 2 Preliminaries

In the following，basic notations and definitions need for constructing（ $n, n / 2$ ）－selectors are ex－ plained．

Notation 1 （The number of the elements of a finite set）For a finite set $X,|X|$ denotes the number of the elements of $X$ ．

Notation 2 （A Cartesian product of map－ pings）Let $f: A \rightarrow B$ and $g: C \rightarrow D$ be map－ pings．$f \times g$ denotes the Cartesian product of $f$ and $g . f \times g: A \times C \rightarrow B \times D$ is defined by

$$
(f \times g)(x, y)=(f(x), g(y))
$$

for all $x \in A$ and $y \in C$ ．
The $n$ times Cartesian product of $f$ ，

$$
\overbrace{f \times f \times \ldots \times f}^{n},
$$

is denoted by $f^{\times n}$ ．

Definition 1 (A comparator network) A comparator network is a directed graph $G=(V, E)$ satisfying the following conditions:

1. $G$ has no cycles.
2. If the in-degree of a vertex $v \in V$ is 0 then the out-degree of $v$ is 1 . We call such a vertex an input terminal.
3. If the out-degree of a vertex $v \in V$ is 0 then the in-degree of $v$ is 1 . We call such a vertex an output terminal.
4. The in-degree and the out-degree of a vertex $v \in V$ except input terminals and output terminals are both 2. We call such a vertex a comparator. Only one of two labels, called MAX and MIN, is placed on each of the two edges incident out of each comparator so that if label MAX is placed on one of the two edges then label MIN is placed on the other. In this paper, we call an edge on which the label MAX is placed a MAX edge, and also call an edge on which the label MIN is placed a MIN edge.

From these conditions, we can easily deduce that the number of the input terminals of $G$ is equal to the one of the output terminals. Let $n$ denote the number of the input terminals of comparator networks $G$. Moreover, it is easy to see that there exist a set of $n$ paths from the input terminals of $G$ to the output terminals of $G$ such that, for every two paths $p, q$ of the $n$ paths, the intersection of the edges on $p$ and the edges on $q$ is empty and every edge or vertex of $G$ belongs to one of the $n$ paths, namely the edge disjoint $n$ paths cover graph $G$.

Assume that $n$ paths covering comparator network $G$ are taken to be fixed. These $n$ paths are denoted by distinct integers from 1 to $n$. We call such a path a register. The input terminal on register $i$ is called input terminal $i$ or the $i$-th input terminal. Similarly, the output terminal on register $i$ is called output terminal $i$ or the $i$-th output terminal.

We show an example of a comparator network in Figure 1.

## Definition 2 (The mapping associated with

 a comparator network) Let $G$ be a comparator network with $n$ registers, and $S$ a totally ordered set. There is a unique way of assigning mappings to the edges of $G$. All those mappings are $S^{n} \rightarrow$ $S$. Let $e$ be an edge of $G$, and let $f_{e}$ denote the

Figure 1: An example of a comparator network
mapping assigned to $e . f_{e}$ is determined in the flollowing way.

1. If $e$ is incident out of an input terminal of $G$ then $f_{e}$ is the mapping satisfying that $f_{e}\left(x_{1}, x_{2}, \ldots, x_{n}\right)=x_{i}$ for every $\left(x_{1}, x_{2}, \ldots, x_{n}\right) \in S^{n}$, where $i$ is an integer denoting the register containing $e$.
2. If $e$ is incident out of a comparator, the following two cases are concidered. Let $e_{a}$ and $e_{b}$ denote the edges incident into the comparator.
(a) If label MAX is put on $e$, then $f_{e}$ is the mapping satisfying that $f_{e}(x)=$ $\max \left\{f_{e_{a}}(x), f_{e_{b}}(x)\right\}$.
(b) If label MIN is put on $e$, then $f_{e}$ is the mapping satisfying that $f_{e}(x)=$ $\min \left\{f_{e_{a}}(x), f_{e_{b}}(x)\right\}$.

Let $e_{1}, \ldots, e_{n}$ denote the $n$ edges incident into output terminals of $G$. $\Pi_{G}^{S}$ denote the function, $f_{e_{1}} \times f_{e_{2}} \times \ldots \times f_{e_{n}}: S^{n} \rightarrow S^{n} . \Pi_{G}^{S}$ is called the mapping associated with $G . y \in S^{n}$ is called the output from $G$ corresponding to $x \in S^{n}$ if $y=$ $\Pi_{G}^{S}(x)$. In this case, $x$ is called an input to $G$.

Definition 3 (Size and depth of a comparator network) Let $G$ be a comparator network. The size of $G$ is the number of comparators belonging to $G$, and is denoted by size $(G)$. The depth of $G$ is the maximum length paths from input terminals of $G$ to output terminals of $G$, and is denoted by $\operatorname{depth}(G)$

Definition 4 (A selector) Let $k, n$ be integers with $1 \leq k \leq n-1$. Let $S$ be a totally ordered set.

A ( $n, k$ )-selector is a comparator network with $n$ registers and distinguished $k$ output terminals such that, for every input in $S^{n}$, the elements appearing on the distinguished output terminals are all smaller than or equal to each elements appearing on the output terminals not distinguished.

Definition 5 (A sorting network) Let $S$ be a totally ordered set. A sorting network with $n$ registers is a comparator network such that, for every input in $S^{n}$, the output $y=\left(y_{1}, y_{2}, \ldots, y_{n}\right)$ corresponding to the input satisfies the condition $y_{1} \leq y_{2} \leq \ldots \leq y_{n}$.

Note that for every $1 \leq k \leq n-1$ a sorting network with $n$ registers is also an $(n, k)$-selector.

The largest $n-k$ elements in the $n$ input elements of an $(n, k)$-selector, appear on the $n-k$ output terminals other than the distinguished ones. It is easy to see that an $(n, k)$-selector becomes an ( $n, n-k$ )-selector by letting the output terminals not distinguished be distinguished, letting the output terminals distinguished be not distinguished and interchanging the labels, MAX and MIN, on the pair of edges incident out of each comparator. So without loss of generality, we can assume that $k \leq\lfloor n / 2\rfloor$.

Notation 3 Let $G=(A, B, E)$ be a bipartite graph and $X$ be a subset of $A . \Gamma_{G}(X)$ denotes the subset of $B$,

$$
\{y \in B \mid \exists x \in X((x, y) \in E)\} .
$$

Definition 6 (An expander) Let $0<\alpha \leq 1$ and $\beta \geq 1$. Let $G=(A, B, E)$ be a bipartite graph with left vertices $A$, right vertices $B$ and edges $E$. $G$ is an $(\alpha, \beta)$-expander if every subset $X \subseteq A$ with $|X| \leq \alpha|A|$ satisfies $\left|\Gamma_{G}(X)\right| \geq \beta|X|$.

To construct selectors, we utilize linear size expanders $G$ such that the degree of each vertex of $G$ is at most a constant.

Pinsker[Pin73] first proved the existence of linear size expanders. There are several results to improve that of [Pin73]. In this paper, we employ one of these results due to Bassalygo[Bas81]. We note here that, in contrast with these existence results, Margulis[Mar73] first gave an explicit construction of linear size comparators. After that, several explicit constructions are also given in literature.

## 3 Properties of comparator networks

The following Lemma 1 and Lemma 2 can be proved by induction on the size of comparator network $G$.

Lemma 1 Let $S$ be a totally ordered set and $f$ : $S \rightarrow\{0,1\}$ be a function such that for all $i, j \in S$ with $i \leq j, f(i) \leq f(j)$. Let $G$ be a comparator network. Then for all $x \in S^{n}$,

$$
\Pi_{G}^{\{0,1\}}\left(f^{\times n}(x)\right)=f^{\times n}\left(\Pi_{G}^{S}(x)\right)
$$

Lemma 2 (Monotonicity) Let $G$ be a comparator network with $n$ registers and $S$ a totally ordered set. Let $P=\left(p_{1}, p_{2}, \ldots, p_{n}\right) \in S^{n}, Q=$ $\left(q_{1}, q_{2}, \ldots, q_{n}\right) \in S^{n}, U=\left(u_{1}, u_{2}, \ldots, u_{n}\right) \in S^{n}$, $V=\left(v_{1}, v_{2}, \ldots, v_{n}\right) \in S^{n}$. Assume that

$$
\Pi_{G}^{S}(P)=Q
$$

and

$$
\Pi_{G}^{S}(U)=V
$$

If $u_{1} \geq p_{1}, u_{2} \geq p_{2}, \ldots u_{n} \geq p_{n}$ then $v_{1} \geq q_{1}, v_{2} \geq$ $q_{2}, \ldots v_{n} \geq q_{n}$.

Notation 4 For $x \in\{0,1\}^{n}, \# x$ denotes the number of entries of $x$ equal to 1 .

Proposition 3 can be proved by Lemma 1 and Lemma 2. For a set $N$ and a set $K, N \backslash K$ denotes the complement of $K$ with respect to $N$.

Proposition 3 A comparator network $G$ with $n$ registers and distinguished $k$ output terminals is an ( $n, k$ )-selector if $G$ satisfies the following conditions:

Let $N$ denote the set of all the output terminals of $G$ and $K$ the set of the $k$ distinguished output terminals of $G$. Let $x \in\{0,1\}^{n}$ be such that $\# x=n-k$. If $x$ is an input to $G$ then the elements appearing on $K$ are all 0 and the elements appearing on $N \backslash K$ are all 1.

Proposition 3 justifies our assumption employed in what follows that the totally ordered set $S$ is taken to be set $\{0,1\}$.

## 4 Comparator subnetworks obtained from expanders

( $n, n / 2$ )-selectors given in this paper are composed of several kinds of modules. Construction and
function of those modules are explained in this section.

Definition 7 (A compressor) Let $n, m$ be positive integers and let $\alpha, \beta$ be real numbers with $0<\alpha<1$ and $\beta>1$. We shall define two types of comparator networks with $n+m$ registers. Let $x=\left(x_{1}, x_{2}, \ldots, x_{n+m}\right)$ be an input to such a comparator network, and $y=\left(y_{1}, y_{2}, \ldots, y_{n+m}\right)$ denote the output corresponding to $x$.

An ( $n, m, \alpha, \beta$ )-compressor of type 1 is a comparator network with $n+m$ registers satisfying that if $x \in\{0,1\}^{n+m}$ and $\# x \leq\lfloor\alpha n\rfloor+\lceil\beta\lfloor\alpha n\rfloor\rceil$ then $\beta \cdot \#\left(y_{1}, y_{2}, \ldots, y_{n}\right) \leq \#\left(y_{n+1}, y_{n+2}, \ldots, y_{n+m}\right)$. The registers $1,2, \ldots, n$ are called upper registers and the registers $n+1, n+2, \ldots, n+m$ are called lower registers.

An ( $n, m, \alpha, \beta$ )-compressor of type 0 is a comparator network with $n+m$ registers satisfying that if $x \in\{0,1\}^{n+m}$ and $(m-\# x) \leq\lfloor\alpha m\rfloor+\lceil\beta\lfloor\alpha m\rfloor\rceil$ then $\beta\left(m-\#\left(y_{n+1}, y_{n+2}, \ldots, y_{n+m}\right)\right) \leq n-$ $\#\left(y_{1}, y_{2}, \ldots, y_{n}\right)$. The registers $1,2, \ldots, m$ are called upper registers and the registers $m+1, m+$ $2, \ldots, n+m$ are called lower registers.

It is easy to see that an ( $n, m, \alpha, \beta$ )-compressor of type 1 becomes an ( $m, n, \alpha, \beta$ )-compressor of type 0 by interchanging register 1 and register $n+m$, register 2 and register $n+m-1, \ldots$ and interchanging the labels, $M A X$ and $M I N$, on the pair of edges incident out of each comparator.

Proposition 4 ([AKS83a][AKS83b]) Let $G$ be an ( $\alpha, \beta$ )-expander with $n$ left vertices and $m$ right vertices. Let $N$ be a comparator network with $n+m$ registers. $N$ is a ( $n, m, \alpha, \beta$ )-compressor if $N$ satisfies the following two conditions:

1. If there is a comparator of $N$ which is an intersecting vertex of two registers $i$ and $j$ with $i<j$, then $i \in\{1,2, \ldots, n\}, j \in\{n+1, n+$ $2, \ldots, n+m\}$, the edge on $i$ incident out of the comparator is labeled MIN and the edge on $j$ incident out of the comparator is labeled $M A X$.
2. If there is an edge of $G$ incident from the $i$-th left vertex to the $j$-th right vertex then there is a comparator of $N$ which is an intersecting vertex of register $i$ and register $n+j$.

Proposition 5 Assume that there exists an ( $\alpha$, $\beta$-expander with $n$ left vertices and $m$ right vertices. Let $s$ and $k$ denote the number of edges and the maximum degree of a vertex of the expander, respectively. Then there exists a ( $n, m, \alpha, \beta$ )compressor of type 1 such that its size is $s$ and its depth is $k$.

Proof: This proposition is obvious by the fact that a bipartite graph $G$ with the maximum degree $k$ can be coloured with just $k$ colours so that no two adjacent edges have the same colour.

The following Lemma 6 and Lemma 7 are obtained directly from the results of Bassalygo[Bas81].

Lemma 6 ([Bas81]) There exists an integer $n_{0}>0$ such that for every $n \geq n_{0}$, there exists a ( $10^{-5}, 6$ )-expander with $n$ left vertices and $n$ right vertices such that its maximum degree of a vertex is at most 8 .

Lemma 7 ([Bas81]) Let $\mu$ and $\eta$ be real numbers with $0<\mu<1$ and $7 / 8 \leq \eta<1$. There exist positive integers $m_{0}$ and $s$ such that for every $m \geq$ $m_{0}$, there exists a $\left(\mu,\left[\frac{1}{\mu}\left(\frac{2 \eta}{1-\eta}-1\right)\right\rfloor\right.$-expander with $m$ left vertices and $\left\lfloor\frac{2 \eta}{1-\eta}\right\rfloor m$ right vertices such that its maximum degree of a vertex is at most $s\left\lfloor\frac{2 \eta}{1-\eta}\right\rfloor$.

Lemma 8 Let $n_{0}$ be as in Lemma 6. For every $n \geq n_{0}$, there exists a ( $10^{-10}, 18$ )-expander with $2 n$ left vertices and $n$ right vertices such that the maximum degree of a vertex over its left vertices is at most 64 and the maximum degree of a vertex over its right vertices is at most 128.

Proof: Let $G_{1}=\left(A_{1}, B_{1}, E_{1}\right)$ and $G_{2}=$ ( $A_{2}, B_{2}, E_{2}$ ) be ( $10^{-5}, 6$ )-expanders with $n$ left vertices and $n$ right vertices such that the maximum degree of a vertex of $G_{1}$ and the maximum degree of a vertex of $G_{2}$ are both at most 8. Let $G_{3}=(V, E)$ denote the graph by identifying the $i$ th right vertex of $G_{1}$ with the $i$-th left vertex of $G_{2}$ for each $i \in\{1,2, \ldots, n\}$. We can devide $V$, the set of vertices of $G_{3}$, into three subsets $V_{1}$ originating in $A_{1}, V_{2}$ originating in $B_{1}$ or $A_{2}$ and $V_{3}$ originating in $B_{2}$. Identify $V_{1}$ with $A_{1}$ and $V_{3}$ with $B_{2}$.

Let $H=(A, B, F)$ denote the bipartite graph such that

$$
\begin{aligned}
F= & \{(a, b) \in A \times B \mid \text { There exists a path } \\
& \text { of length } \left.2 \text { from } a \text { to } b \text { on } G_{3} .\right\}
\end{aligned}
$$

Then, it is obvious that $|A|=|B|=n$, the degree of each vertex in $A \cup B$ is at most $8^{2}=64$ and that $H$ is a $\left(10^{-10}, 36\right)$-expander.

Next, we construct a bipartite graph $G$ with $2 n$ left vertices and $n$ right vertices as follows. Let

## Input

Output


Figure 2: Construction of a $\left(10^{-10}, 18\right)$-expander
$H_{1}$ and $H_{2}$ be ( $10^{-10}, 36$ )-expanders isomorphic to H. $G$ is constructed by identifying the $i$-th right vertex of $H_{1}$ with the $i$-th right vertex of $H_{2}$ for each $i=1,2, \ldots, n$ as shown in Figure 2. Thus, the degree of each left vertex of $G$ is at most 64 and the degree of each right vertex of $G$ is at most 128. Moreover, it is easy to show that $G$ is a $\left(10^{-10}\right.$, 18)-expander.

The following Lemma 9 is deduced from Lemma 6 and Lemma 8.

Lemma 9 For every $\varepsilon>0$, there exist positive integers $n_{1}, k_{0}$ and a real number $\alpha_{0}$ with $0<$ $\alpha_{0}<1$ such that for every integer $n \geq n_{1}$, there exists an ( $\alpha_{0}, 6$ )-expander with $n$ left vertices, at most $(1-(\varepsilon / 28)) n-4$ right vertices and at most $8(1+\varepsilon) n$ edges such that the degree of each vertex of the expander is at most $k_{0}$.

Proof: Note that we can assume that $\varepsilon<1$ to prove the lemma. For $\varepsilon>0$, we determin $\alpha_{0}, n_{1}$ and $k_{0}$ as follows:

$$
\begin{gathered}
n_{1}=\max \left\{\left\lceil\frac{140}{\varepsilon}\right\rceil,\left\lceil\frac{14}{\varepsilon}\left(n_{0}+1\right)\right\rceil\right\} \\
\alpha_{0}=\frac{27}{70} \cdot 10^{-10} \varepsilon
\end{gathered}
$$

and

$$
k_{0}=128
$$

For every $n \geq n_{1}$, we construct the bipartite graph $G$ as shown in Figure 3. The bipartite graph $A$ and $B$ in the figure are the $\left(10^{-10}, 18\right)$-expander stated in Lemma 8 and the $\left(10^{-5}, 6\right)$-expander


Figure 3: Construction of an ( $\alpha_{0}, 6$ )-expander
stated in Lemma 6, respectively. The number of the left vertices of $A$ is $n^{\prime}=2\lfloor(\varepsilon / 14) n\rfloor$ and the number of the right vertices of $A$ is $n^{\prime} / 2 . n^{\prime \prime}$ denotes the number of left vertices of $B$, namely, $n^{\prime \prime}=n-n^{\prime}$. It is not hard to see that the bipartite graph, $G$, is an ( $\alpha_{0}, 6$ )-expander satisfying the condition of Lemma 9.

From Proposition 4, Proposition 5 and Lemma 9 , the following Lemma 10 is proved immediately.

Lemma 10 For every $\varepsilon>0$, there exist positive integers $n_{1}, k_{0}$ and a real number $\alpha_{0}$ with $0<$ $\alpha_{0}<1$ such that for every integers $n$ and $m$ with $n \geq n_{1}$ and $\left(1-\frac{c}{28}\right) n-4 \leq m \leq n$ there exist an ( $n, m, \alpha_{0}, 6$ )-compressor of type 1 and an ( $m, n$, $\left.\alpha_{0}, 6\right)$-compressor of type 0 such that those depths are both at most $k_{0}$ and those sizes are both at most $8(1+\varepsilon) n$.

The following Lemma 11 contributes to utilizing compressors in order to construct ( $n, n / 2$ )selectors.

Lemma 11 Let $X$ be a comparator network with $n$ registers. Let $x=\left(x_{1}, x_{2}, \ldots, x_{n}\right)$ be an input to $X$ and $y=\left(y_{1}, y_{2}, \ldots, y_{n}\right)$ denote the output from $X$ corresponding to the input $x$. Assume that $x \in\{0,1\}^{n}$ and, therefore, $y \in\{0,1\}^{n}$. Let $i, j$ and $k$ be integers with $1 \leq i<j<k \leq n+1$.

Assume that there is no comparator in intersecting vertices of any register in $\{1,2, \ldots, i-1\}$ and any register in $\{i, i+1, \ldots, n\}$ and there is
no comparator in intersecting vertices of any register in $\{1,2, \ldots, k-1\}$ and any register in $\{k, k+$ $1, \ldots, n\}$. (Note that this assumption implies that

$$
\begin{gathered}
\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right)=\#\left(y_{1}, y_{2}, \ldots, y_{i-1}\right), \\
\#\left(x_{i}, x_{i+1}, \ldots, x_{k-1}\right)=\#\left(y_{i}, y_{i+1}, \ldots, y_{k-1}\right) \text { and } \\
\left.\#\left(x_{k}, x_{k+1}, \ldots, x_{n}\right)=\#\left(y_{k}, y_{k+1}, \ldots, y_{n}\right) .\right)
\end{gathered}
$$

Assume that there exist constants $a>0$ and $0 \leq$ $c \leq 1$ such that, for every $x \in\{0,1\}^{n}$, if

$$
\#\left(x_{i}, x_{i+1}, \ldots, x_{k-1}\right) \leq a
$$

then

$$
\begin{equation*}
\#\left(y_{i}, y_{i+1}, \ldots, y_{j-1}\right) \leq c \#\left(x_{i}, x_{i+1}, \ldots, x_{k-1}\right) \tag{1}
\end{equation*}
$$

Let $u>0$ and $v \geq u$ be real numbers with $\lfloor v\rfloor-$ $\lfloor u\rfloor \leq a$. Then, $\#\left(y_{1}, y_{2}, \ldots, y_{j-1}\right) \leq u+c(v-u)$ if $\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right) \leq u$ and $\#\left(x_{1}, x_{2}, \ldots, x_{k-1}\right) \leq$ $v$.

Proof: Let $w=\#\left(y_{i}, y_{i+1}, \ldots, y_{k-1}\right)$. Let $\Delta u=$ $u-\lfloor u\rfloor$ and $\Delta v=v-\lfloor v\rfloor$. Two cases must be considered.

Case 1: $w \geq\lfloor v\rfloor-\lfloor u\rfloor$.
Since $\#\left(x_{1}, x_{2}, \ldots, x_{k-1}\right) \leq \quad v \quad$ and $\#\left(x_{1}, x_{2}, \ldots, x_{k-1}\right)$ is an integer,

$$
\begin{aligned}
\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right) & =\#\left(x_{1}, x_{2}, \ldots, x_{k-1}\right)-w \\
& \leq\lfloor v\rfloor-w .
\end{aligned}
$$

From Lemma 2 and assumption (1), we have

$$
\#\left(y_{j}, y_{j+1}, \ldots, y_{k-1}\right) \geq(1-c)(\lfloor v\rfloor-\lfloor u\rfloor),
$$

which implies

$$
\#\left(y_{i}, y_{i+1}, \ldots, y_{j-1}\right) \leq w-(1-c)(\lfloor v\rfloor-\lfloor u\rfloor) .
$$

Thus,

$$
\begin{aligned}
\# & \left(y_{1}, y_{2}, \ldots, y_{j-1}\right) \\
& \leq(\lfloor v\rfloor-w)+w-(1-c)(\lfloor v\rfloor-\lfloor u\rfloor) \\
& =\lfloor u\rfloor+c(\lfloor v\rfloor-\lfloor u\rfloor) \\
& =u+c(v-u)-((1-c) \Delta u+c \Delta v) .
\end{aligned}
$$

Case 2: $w<\lfloor v\rfloor-\lfloor u\rfloor$.
Since $\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right) \leq u \quad$ and
$\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right)$ is an integer,

$$
\#\left(x_{1}, x_{2}, \ldots, x_{i-1}\right) \leq\lfloor u\rfloor .
$$

On the other hand, by assumption (1), we have

$$
\#\left(y_{i}, y_{i+1}, \ldots, y_{j-1}\right) \leq c(\lfloor v\rfloor-\lfloor u\rfloor) .
$$

Therefore,

$$
\begin{aligned}
& \#\left(y_{1}, y_{2}, \ldots, y_{j-1}\right) \leq\lfloor u\rfloor+c(\lfloor v\rfloor-\lfloor u\rfloor) \\
& =u+c(v-u)-((1-c) \Delta u+c \Delta v)
\end{aligned}
$$

Now, $(1-c) \Delta u+c \Delta v \geq 0$ holds because $0 \leq$ $c \leq 1$. Therefore, we conclude that

$$
\#\left(y_{1}, y_{2}, \ldots, y_{j-1}\right) \leq u+c(v-u)
$$

on either case.

Definition 8 (An extractor) Let $n, m$ be positive integers and let $0<\mu<1$. We shall define a class of comparator networks, called 'extractors', with $n+2 m$ registers. Let $x=$ $\left(x_{1}, x_{2}, \ldots, x_{n+2 m}\right)$ be an input to an extractor and $y=\left(y_{1}, y_{2}, \ldots, y_{n+2 m}\right)$ denote the output corresponding to the input $x$.

An ( $n, m, \mu$ )-extractor is a comparator network with $n+2 m$ registers satisfying the following condition: Assume that $x \in\{0,1\}^{n+2 m}$. Let $d_{1}=\# x$, $d_{0}=n+2 m-\# x, c_{1}=\#\left(y_{1}, y_{2}, \ldots, y_{m}\right)$ and $c_{0}=m-\#\left(y_{n+m+1}, y_{n+m+2}, \ldots, y_{n+2 m}\right)$. The condition is that

$$
\text { if } d_{1} \leq \frac{3}{4} n+m \text { then } c_{1} \leq \mu m
$$

and

$$
\text { if } d_{0} \leq \frac{3}{4} n+m \text { then } c_{0} \leq \mu m
$$

Note that $d_{1} \leq \frac{3}{4} n+m$ or $d_{0} \leq \frac{3}{4} n+m$ must hold because $d_{1}+d_{0}=n+2 m$.

The registers $1,2, \ldots, m$ of an ( $n, m, \mu$ )extractor are called upper registers. The registers $m+1, m+2, \ldots, n+m$ of the extractor are called middle registers. The registers $n+m+1, n+m+$ $2, \ldots, n+2 m$ of the extractor are called lower registers.

The following Lemma 12 states another type of modules utilized to construct ( $n, n / 2$ )-selectors.

Lemma 12 For every $7 / 8 \leq \eta<1$ and $0<\mu<$ 1 , there exist positive integers $n_{2}, k_{1}$ and a positive real number $\delta$ such that for every integer $n \geq n_{2}$ and for every integer $m$ with $|\eta(n+2 m)-n| \leq 5$ there exist an ( $n, m, \mu$ )-extractor of depth at most $k_{1}$ and size at most $\delta(n+2 m)$.

Proof: The proof is omitted due to lack of space. $\square$

## 5 Construction of ( $n, n / 2$ )selectors

In this section, we show the procedure to construct ( $n, n / 2$ )-selectors and its justification. For simplicity, we assume that $n$ is even. First we define a comparator network called a layer.
Notation 5 Let $n$ and $j$ be positive integers. For each integer $i$, let

$$
x_{i}=\left\lceil(n / 2)\left(1-\eta^{i}\right)\right\rceil \text { and } y_{i}=(n+1)-x_{i},
$$

where $\eta$ is as in Lemma 12.
In what follows, we take the parameters $\eta, \mu$ in Lemma 12 so that $\mu \leq \alpha_{0} / 100$ and $\eta=1-(\varepsilon / 28)$, where parameter $\varepsilon$ is as in Lemma 10.
Notation 6 Let $j_{\text {max }}$ denote the integer,

$$
\begin{aligned}
& \min \{i \in \boldsymbol{Z} \mid i \geq 1 \text { and } \\
& \left.x_{i}-x_{i-1}<\max \left\{n_{1}, n_{2}, 100 / \mu\right\}\right\},
\end{aligned}
$$

where $n_{1}$ is as in Lemma 10 and $n_{2}$ is as in Lemma 12. Then, the existence of a $\left(y_{i}-x_{i}-1, x_{i}-x_{i-1}\right.$, $\mu$ )-extractor is guraranteed by Lemma 12 for $i=$ $1,2, \ldots, j_{\max }$. The existence of an ( $x_{i-1}-x_{i-2}$, $\left.x_{i}-x_{i-1}, \alpha_{0}, 6\right)$-compressor of type 1 and a ( $y_{i-1}-$ $y_{i}, y_{i-2}-y_{i-1}, \alpha_{0}, 6$-compressor of type 0 is also guaranteed by Lemma 10 for $i=2,3, \ldots, j_{\max }$. Note that $y_{i}-x_{i}-1=n-2 x_{i}, y_{i-1}-y_{i}=x_{i}-x_{i-1}$ and $y_{i-2}-y_{i-1}=x_{i-1}-x_{i-2}$.

For conciseness, we abbreviate the $\left(n-2 x_{i}, x_{i}-\right.$ $x_{i-1}, \mu$ )-extractor, the ( $x_{i-1}-x_{i-2}, x_{i}-x_{i-1}, \alpha_{0}$, 6)-compressor of type 1 and the ( $x_{i}-x_{i-1}, x_{i-1}-$ $x_{i-2}, \alpha_{0}, 6$-compressor of type 0 to $\mathrm{E}(i), \mathrm{C} 1(i)$ and $\mathrm{C} 0(i)$, respectively.

Notation 7 For an positive integer $i \geq 1, X(i)$ denotes set $\left\{x_{i-1}+1, x_{i-1}+2, \ldots, x_{i}\right\}$ and $Y(i)$ denotes set $\left\{y_{i}, y_{i}+1, \ldots, y_{i-1}-1\right\}$. If $x_{i}-x_{i-1}=$ $y_{i-1}-y_{i}$ then $X(i)=Y(i)=\emptyset$.

Definition 9 For each $j=1,2, \ldots, j_{\max }$, we define the layer of rank $j$ with $n$ registers, denoted by $L(j)$, as follows.

1. If $j_{\max }=1$ then $\mathrm{L}(1)$ is a sorting network, for example, the one constructed by Batcher's odd-even merge. In the following part of this definition, we assume that $j_{\text {max }}>1$.
2. $\mathrm{L}(1)$ is $\mathrm{E}(1)$.
3. If $j<j_{\text {max }}$ then $\mathrm{L}(j)$ is constructed from $\mathrm{E}(j)$, $\mathrm{C} 1(j), \mathrm{C} 1(j-1), \ldots, \mathrm{C} 1(2), \mathrm{C} 0(j), \mathrm{C} 0(j-1)$, $\ldots, \mathrm{C} 0(2)$ as follows.
(a) Join the output terminals on the upper registers of $\mathrm{E}(j)$ to the input terminals on the lower registers of $\mathrm{Cl}(j)$, the output terminals on the upper registers of $\mathrm{C} 1(j)$ to the input terminals on the lower registers of $\mathrm{Cl}(j-1), \ldots$ and the output terminals on the upper registers of $\mathrm{C} 1(3)$ to the input terminals on the lower registers of $\mathrm{C} 1(2)$ in any way.
(b) join the output terminals on the lower registers of $\mathrm{E}(j)$ to the input terminals on the upper registers of $\mathrm{C} 0(j)$, the output terminals on the lower registers of $\mathrm{C} 0(j)$ to the input terminals on the upper registers of $\mathrm{C} 0(j-1), \ldots$ and the output terminals on the lower registers of $\mathrm{C} 0(3)$ to the input terminals on the upper registers of $\mathrm{C} 0(2)$ in any way.
4. $\mathrm{L}\left(j_{\max }\right)$ is the comparator network obtained by exchanging $\mathrm{E}\left(j_{\text {max }}-1\right)$ in $\mathrm{L}\left(j_{\text {max }}-1\right)$ for a sorting network with the same number of registers as $\mathrm{E}\left(j_{\text {max }}-1\right)$.

Note: In this paper, to join an output terminal of a comparator network to an input terminal of another comparator network means to identify the two terminals and then replace the identified vertex and the two edges incident to it with one edge.

Now, we express a procedure to construct ( $n$, $n / 2$ )-selectors. In the following procedure, boldfaced letters represent variables in the procedure in order to distinguish those variables from general variables. The meanings of the variables in the procedure are as follows:

1. $\mathbf{N}$ is a variable to which a comparator network with $n$ registers is assigned. An $(n, n / 2)$ selector is to be assigned to $\mathbf{N}$ when the procedure terminates.
2. In progress of the procedure, layers are joined to $\mathbf{N}$. $\mathbf{j}$ is a variable indicating the rank of the joined layer.
3. For each $i=1,2, \ldots, j, \mathbf{l}(i)$ is a variable assigned a positive number.

## [Procedure 1]

1. (Initial setting)

$$
\mathbf{N} \leftarrow \mathrm{L}(1), \mathbf{j} \leftarrow 1, \mathbf{l}(1) \leftarrow 3 \mu|X(1)|
$$

Note: The symbol $\leftarrow$ means assignment.
2. If $j_{\max }=1$ then terminate the procedure.
3. join either $L(\mathbf{j})$ or $L(\mathbf{j}+1)$ to the comparator network assigned to $\mathbf{N}$, say $G$, so that the $i$ th output terminal of $G$ is joined to the $i$-th input terminal of the joined layer (either $L(\mathbf{j})$ or $\mathrm{L}(\mathbf{j}+1)$ ) for each $i=1,2, \ldots, n$.
The joint of the layer is the left side in Figure 4.
(a) If $\mathbf{j}<j_{\text {max }}$ and $\mathbf{l}(\mathbf{j})<7 \mu|X(\mathbf{j})|$ then

$$
\begin{aligned}
& \text { join } \mathrm{L}(\mathbf{j}+1) \text { to } G \\
& \mathbf{l}(i) \leftarrow \frac{24}{49} \mathbf{l}(i) \text { for each } i=1,2, \ldots, \mathbf{j} \\
& \mathbf{l}(\mathbf{j}+1) \leftarrow \frac{7}{2} \mathbf{l}(\mathbf{j}) \text { and } \\
& \mathbf{j} \leftarrow \mathbf{j}+1
\end{aligned}
$$

(b) Otherwise,

$$
\begin{aligned}
& \text { join } \mathrm{L}(\mathbf{j}) \text { and } \\
& \mathbf{l}(i) \leftarrow \frac{24}{49} \mathbf{l}(i) \text { for each } i=1,2, \ldots, \mathbf{j}
\end{aligned}
$$

4. If there exists an integer $i$ such that

$$
2 \leq i \leq \mathbf{j} \text { and } \sum_{k=1}^{i} \mathbf{l}(k)<1
$$

then let

$$
i_{\max }=\max \left\{i \in Z \mid \sum_{k=1}^{i} \mathbf{l}(k)<1\right\}
$$

and remove the comparator networks $\mathrm{C} 1(2)$, $\ldots, \mathrm{C} 1\left(i_{\max }\right)$ and $\mathrm{C} 0(2), \ldots, \mathrm{C} 0\left(i_{\max }\right)$ from the layer $\mathrm{L}(\mathbf{j})$ joined in step 3
5. If $\mathbf{j}=j_{\text {max }}$ and $\sum_{k=1}^{\mathbf{j}} \mathbf{l}(k)<1$ then terminate the procedure.
6. Go to the step 3.

Procedure 1 progresses as step $1 \rightarrow$ step 2 , first. Next, if the execution does not terminate at step 2 then the loop, step $3 \rightarrow$ step $4 \rightarrow$ step $5 \rightarrow$ step $6 \rightarrow$ step 3 , is executed 0 or more times, step $3 \rightarrow$ step 4 is executed and the execution terminates at step 5, at last.
If the execution of Procedure 1 terminates at step 2 then the value of $\mathbf{N}$ at the termination is a $n$-sortor, namely an ( $n, n / 2$ )-selector. In what follows, therefore, we assume that the execution of Procedure 1 terminates at step 5, namely $j_{\max }>1$.


Figure 4: The structure of the layer $\mathrm{L}(j)$

Variable $\mathbf{j}, \mathbf{l}(i)$ are modified only in step 3 except step 1. And variable $\mathbf{N}$ is modified only in step 3 and step 4 except step 1.

Now, We shall define some notations in order to make proof of simple.

For any variable $\mathbf{v}$ in Procedure $1, i \in\{3,4\}$ and non-negative integer $j, V[\mathbf{v} \mid i, j]$ denotes the value of $\mathbf{v}$ just after step $i$ has been executed $j$ times if $j>0$ and. $V[\mathbf{v} \mid i, 0]$ denotes the value of $\mathbf{v}$ just before the first execution of step $i$. For positive integer $j, A(j)$ is defined as follows: If step 3 is executed less than $j$ times then $A(j)=0$. If step 3a is executed in the $j$-th execution of step 3 then $A(j)=1$. Otherwise, $A(j)=0$.

We shall prove that the comparator network obtained by executing Procedure 1 , denoted by $N(n)$, is a ( $n, n / 2$ )-selector. $k_{\max }(n)$ denotes the number of times step 4 is executed in the execution of Procedure 1. Therefore, step 3 is also executed $k_{\max }(n)$ times. We abbreviate $k_{\text {max }}(n)$ to $k_{\text {max }}$ when $n$ is obvious. Thus, $N(n)=V\left[\mathbf{N} \mid 4, k_{\max }(n)\right]$. Let $i \in\{3,4\}$ and $j \in\left\{0,1, \ldots, \dot{k_{\max }}(n)\right\}$. Let $s$ and $t$ be positive integers with $1 \leq s \leq t \leq n$. Then, letting $f$ denote the function, $\Pi_{V[\mathbf{N} \mid i, j]}^{\{0,1\}}$ : $\{0,1\}^{n} \rightarrow\{0,1\}^{n}$, we can decompose $f$ as

$$
f=f_{1} \times f_{2} \times \ldots \times f_{n}
$$

$N(i, j ; s, t)$ denotes the function,

$$
f_{s} \times f_{s+1} \times \ldots \times f_{t}:\{0,1\}^{n} \longrightarrow\{0,1\}^{t-s+1}
$$

Let $k$ be a, positive integer and $u \in\{0,1\}^{n}$. $\nu_{1}(i, j, k ; u)$ and $\nu_{0}(i, j, k ; u)$ denotes the integers,

$$
\#\left(N\left(i, j ; 1, x_{k}\right)(u)\right), x_{k}-\#\left(N\left(i, j ; y_{k}, n\right)(u)\right)
$$

respectively. For an integer $k$ with $0 \leq k \leq$ $k_{\max }(n), \xi(k)$ denotes the integer, $V[\mathbf{j} \mid 3, k]=$ $V[\mathbf{j} \mid 4, k]$. For an integer $k$ with $0 \leq k \leq k_{\max }(n)$ and an integer $i$ with $1 \leq i \leq \xi(k), \lambda(i, k)$ denotes the real number, $V[\mathbf{l}(i) \mid 3, k]=V[1(i) \mid 4, k]$.

Observing Procedure 1, the following lemma is obvious.

Lemma 13 Let $k$ be an integer with $0 \leq k \leq$ $k_{\max }$. For each $h=1,2, \ldots \xi(k)$,

$$
\lambda(h, k)=\frac{49}{24} \lambda(h, k+1) .
$$

For each $h=1,2, \ldots \xi(k)-1$,

$$
\lambda(h, k)=\frac{2}{7} \lambda(h+1, k) .
$$

Lemma 14 Let $k$ be an integer with $1 \leq k \leq$ $k_{\text {max }}$. Then

$$
\begin{equation*}
\lambda(\xi(k)-1, k-1)<7 \mu|X(\xi(k)-1)| \tag{2}
\end{equation*}
$$

and if $\xi(k)<j_{\max }$ then

$$
\begin{equation*}
2 \mu|X(\xi(k))| \leq \lambda(\xi(k), k) \tag{3}
\end{equation*}
$$

Proof: First, we shall prove (2). Assume that $A(k)=1$. Observing Procedure 1 , it is easy to see that For every $j=1,2, \ldots, k_{\max }$, if $A(j)=1$ then

$$
\begin{equation*}
\lambda(\xi(j-1), j-1)<7 \mu|X(\xi(j-1))| \tag{4}
\end{equation*}
$$

and

$$
\begin{equation*}
\xi(j)=\xi(j-1)+1 \tag{5}
\end{equation*}
$$

Therefore, by Lemma 13, (4) and (5),

$$
\lambda(\xi(k)-1, k-1)<7 \mu|X(\xi(k)-1)|
$$

holds.
Next, assume that $A(k)=0$. For every $j=$ $1,2, \ldots, k_{\max }$, if $A(j)=0$ then the following expressions hold: If $\xi(j-1)<j_{\max }$ then

$$
\begin{equation*}
\lambda(\xi(j-1), j-1) \geq 7 \mu|X(\xi(j-1))| \tag{6}
\end{equation*}
$$

and

$$
\begin{equation*}
\xi(j)=\xi(j-1) \tag{7}
\end{equation*}
$$

Since $A(1)=1$, there is a positive integer $k_{0}<j$ such that $A\left(k_{0}\right)=1$ and $A\left(k_{0}+1\right)=A\left(k_{0}+2\right)=$ $\ldots A(k)=0$. Therefore, by Lemma 13, (4), (5) and (7),

$$
\begin{aligned}
\lambda(\xi(k), k) & <\lambda\left(\xi\left(k_{0}\right), k_{0}\right) \\
& <12 \mu\left|X\left(\xi\left(k_{0}-1\right)\right)\right| \\
& =12 \mu|X(\xi(k)-1)|
\end{aligned}
$$

On the other hand, by Lemma 13,

$$
\lambda(\xi(k)-1, k-1)=\frac{7}{12} \lambda(\xi(k), k)
$$

holds. Thus, we have (2).
Next, we shall prove (3). We assume that $\xi(k)<$ $j_{\text {max }}$. If $A(k)=0$ then (3) obviously holds. If $A(k)=1$ then either of the following two cases holds:

1. There exists a positive integer $k_{0}<k$ such that $A\left(k_{0}\right)=0$ and $A\left(k_{0}+1\right)=A\left(k_{0}+2\right)=$ $\ldots=A(k)=1$. In this case, by Lemma 13 and (6), we have

$$
\begin{aligned}
\lambda(\xi(k), k) & >\lambda\left(\xi\left(k_{0}\right), k_{0}\right) \\
& \geq \frac{24}{7} \mu\left|X\left(\xi\left(k_{0}\right)\right)\right| \\
& >2 \mu|X(\xi(k))|
\end{aligned}
$$

2. $A(1)=A(2)=\ldots=A(k)=1$. In this case, we have

$$
\begin{aligned}
\lambda(\xi(k), k) & >\lambda(\xi(0), 0) \\
& >2 \mu|X(\xi(k))|
\end{aligned}
$$

Thus, we conclude (3).

Lemma 15 Let $k$ be an integer with $0 \leq k \leq$ $k_{\max }(n)$. Let $u \in\{0,1\}^{n}$ be an input to $N$ with $\# u=n / 2$. Let $\tau_{1}(i, k)$ and $\tau_{0}(i, k)$ denote the integers, $\nu_{1}(4, k, i ; u)$ and $\nu_{0}(4, k, i ; u)$, respectively, for each $i=1,2, \ldots, \xi(k)$. Then, for each $i=$ $1,2, \ldots, \xi(k)$,

$$
\begin{equation*}
\tau_{1}(i, k) \leq \sum_{h=1}^{i} \lambda(h, k) \tag{8}
\end{equation*}
$$

and

$$
\begin{equation*}
\tau_{0}(i, k) \leq \sum_{h=1}^{i} \lambda(h, k) \tag{9}
\end{equation*}
$$

hold.

Proof: We shall prove the lemma by induction on $k$. Observing the initial setting of Procedure 1, we have

$$
\xi(k)=1, \lambda(1,0)=3 \mu x_{1}
$$

Since

$$
\# u=\frac{n}{2} \leq x_{i}+\frac{3}{4}\left(n-2 x_{i}\right)
$$

and $\mathrm{L}(1)=\mathrm{E}(1)$ is an $\left(n-2 x_{1}, x_{1}, \mu\right)$-extractor, we also have

$$
\tau_{1}(1,0) \leq \mu x_{1}<3 \mu x_{1}=\lambda(1,0)
$$

and

$$
\tau_{0}(1,0) \leq \mu x_{1}<3 \mu x_{1}=\lambda(1,0)
$$

Thus, if $k=0$ then the inequalities (8) and (9) hold for $i=1=\xi(k)$.

Assume that $0 \leq k \leq k_{\max }-1$ and the inequalities, (8) and (9), hold for each $i=1,2, \ldots, \xi(k)$, by induction.

In the $(k+1)$-st execution of step 3 , the layer, $\mathrm{L}(\xi(k+1))$ is joined to the comparator network, $V[\mathbf{N} \mid 4, k]$.

From the assumption of induction and $\# u=$ $n / 2$,

$$
\begin{aligned}
& \frac{n}{2}-\left(x_{\xi(k+1)-1}-\tau_{0}(\xi(k+1)-1, k)\right) \\
& \quad \leq \frac{1}{2}\left(n-2 x_{\xi(k+1)-1}\right)+\sum_{h=1}^{\xi(k+1)-1} \lambda(h, k) \\
& \quad \tau_{1}(\xi(k+1)-1, k) \leq \sum_{h=1}^{\xi(k+1)-1} \lambda(h, k)
\end{aligned}
$$

Therefore, we have

$$
\begin{aligned}
& \left.\frac{1}{2}\left(n-2 x_{\xi(k+1)-1}\right)+\sum_{h=1}^{\xi(k+1)-1} \lambda(h, k)\right\rfloor \\
& \quad-\left\lfloor\sum_{h=1}^{\xi(k+1)-1} \lambda(h, k)\right\rfloor \\
& \quad \leq\left[\frac{1}{2}\left(n-2 x_{\xi(k+1)-1}\right) \left\lvert\,=\frac{1}{2}\left(n-2 x_{\xi(k+1)-1}\right)\right.\right.
\end{aligned}
$$

Therefore, if $\xi(k+1)<j_{\text {max }}$ then, by applying Lemma 11 to $E(\xi(k+1))$ and using Lemma 14 and Lemma 13, we have

$$
\begin{aligned}
& \nu_{1}(3, k+1, \xi(k+1) ; u) \\
& \quad \leq \mu|X(\xi(k+1))|+\tau_{1}(\xi(k+1)-1, k) \\
& \quad \leq \mu|X(\xi(k+1))|+\sum_{h=1}^{\xi(k+1)-1} \lambda(h, k)
\end{aligned}
$$

$$
\begin{aligned}
\leq & \frac{7}{12} \lambda(\xi(k+1), k+1) \\
& +\frac{49}{24} \sum_{h=1}^{\xi(k+1)-1} \lambda(h, k+1)
\end{aligned}
$$

If $\xi(k+1)=j_{\max }$ then, observing the structure of $\mathrm{L}\left(j_{\max }\right)$, we also have

$$
\begin{aligned}
& \nu_{1}(3, k+1, \xi(k+1) ; u) \\
& \quad=\nu_{1}(3, k+1, \xi(k+1)-1 ; u) \\
& \quad=\tau_{1}(\xi(k+1)-2, k) \\
& \leq \\
& \leq \frac{7}{12} \lambda(\xi(k+1), k+1) \\
& \quad+\frac{49}{24} \sum_{h=1}^{\xi(k+1)-1} \lambda(h, k+1)
\end{aligned}
$$

Since, by Lemma 14 and Lemma 13,

$$
\begin{aligned}
& \left\lfloor\frac{7}{12} \lambda(i+1, k+1)+\frac{49}{24} \sum_{h=1}^{i} \lambda(h, k+1)\right\rfloor \\
& \quad-\left\lfloor\frac{49}{24} \sum_{h=1}^{i-1} \lambda(h, k+1)\right\rfloor \\
& \leq \frac{7}{6} \lambda(i+1, k+1)+1 \\
& \leq 15 \mu|X(i)| \\
& \leq 7\left\lfloor\alpha_{0}|X(i)|\right\rfloor
\end{aligned}
$$

and

$$
\begin{aligned}
& \frac{1}{7}\left(\frac{7}{12} \lambda(i+1, k+1)+\frac{49}{24} \lambda(i, k+1)\right) \\
& +\frac{49}{24} \sum_{h=1}^{i-1} \lambda(h, k+1) \\
& \quad=\frac{7}{12} \lambda(i, k+1)+\frac{49}{24} \sum_{h=1}^{i-1} \lambda(h, k+1)
\end{aligned}
$$

hold for each $i=1,2, \ldots, \xi(k+1)-1$, by applying Lemma 11 to $\mathrm{C} 1\left(\xi(k+1)\right.$ ) (if $\xi(k+1)<j_{\text {max }}$ ), $\mathrm{C} 1(\xi(k+1)-1), \ldots$ and $\mathrm{C} 1(2)$, we have
$\nu_{1}(3, k+1, i ; u) \leq \frac{7}{12} \lambda(i, k+1)+\frac{49}{24} \sum_{h=1}^{i-1} \lambda(h, k+1)$
for each $i=1,2, \ldots, \xi(k+1)$. Note that, by the definition of $j_{\max }$,

$$
\mu|X(i)|>1
$$

holds for each $i=1,2, \ldots, j_{\max }-1$ and $\sum_{i=j}^{k} x_{i}=$ 0 if $k<j$.

By using Lemma 13, we can obtain

$$
\begin{aligned}
& \frac{7}{12} \lambda(i, k+1)+\frac{49}{24} \sum_{h=1}^{i-1} \lambda(h, k+1) \\
& \quad=\quad \lambda(i, k+1)\left(\frac{7}{5}-\frac{1}{15}\left(\frac{2}{7}\right)^{i-3}\right)
\end{aligned}
$$

On the other hand,
$\sum_{h=1}^{i} \lambda(h, k+1)=\lambda(i, k+1)\left(\frac{7}{5}-\frac{8}{245}\left(\frac{2}{7}\right)^{i-3}\right)$.
Hence,

$$
\nu_{1}(3, k+1, \dot{i} ; \dot{u}) \leq \sum_{h=1}^{i} \lambda(h, k+1)
$$

for each $i=1,2, \ldots, \xi(k+1)$. In a similar way, we also have

$$
\nu_{0}(3, k+1, i ; u) \leq \sum_{h=1}^{i} \lambda(h, k+1)
$$

for each $i=1,2, \ldots, \xi(k+1)$.
If no compressors are removed from the joined layer in the $(k+1)$-st execution of step 4 , it is obvious that

$$
\tau_{1}(i, K+1)=\nu_{1}(3, k+1, i ; u)
$$

and

$$
\tau_{0}(i, K+1)=\nu_{0}(3, k+1, i ; u)
$$

for each $i=1,2, \ldots, \xi(k+1)$. Otherwise, by the assumption of induction,

$$
\tau_{1}\left(i_{\max }, k\right) \leq \sum_{h=1}^{i_{\max }} \lambda(h, k)<1
$$

Since $\tau_{1}\left(i_{\max }, k\right) \geq 0$ and $\tau_{1}\left(i_{\max }, k\right) \in Z$, $\tau_{1}\left(i_{\max }, k\right)=0$. In a similar way, $\tau_{0}\left(i_{\max }, k\right)=0$. This shows that only 0 's move through $\mathrm{C} 1(2)$, $\mathrm{C} 1(3), \ldots, \mathrm{C} 1\left(i_{\max }\right)$ and only 1 's move through $\mathrm{C} 0(2), \mathrm{C} 0(3), \ldots, \mathrm{C} 0\left(i_{\max }\right)$ in the joined layer. Thus, removed compressors above do not work at all. Therefore, in any case,

$$
\tau_{1}(i, K+1)=\nu_{1}(3, k+1, i ; u)
$$

and

$$
\tau_{0}(i, K+1)=\nu_{0}(3, k+1, i ; u)
$$

for each $i=1,2, \ldots, \xi(k+1)$.
Lemma 16 For every even integer $n>0, N(n)$ is a ( $n, n / 2$ )-selector.

Proof: The proof is obvious by Lemma 15 and the structure of $\mathrm{L}\left(j_{\max }\right)$.

## Lemma $17 \operatorname{depth}(N(n))=O(\log n)$.

Proof: By Lemma 13, we have

$$
\begin{aligned}
\sum_{h=1}^{\xi(k)} \lambda(h, k) & =\lambda(1,0)\left(\frac{24}{49}\right)^{k} \sum_{h=1}^{\xi(k)}\left(\frac{7}{2}\right)^{h-1} \\
& <2^{2 \log _{2} n+2 j_{\max }-k}
\end{aligned}
$$

Since, by the definition of $j_{\max }, n \eta^{j_{\max }} \geq 1$,

$$
j_{\max } \leq-\frac{1}{\log _{2} \eta} \log _{2} n
$$

Therefore, $\sum_{h=1}^{\xi(k)} \lambda(h, k)<1$ holds if

$$
k \geq 2\left(1-\frac{1}{\log _{2} \eta}\right) \log _{2} n
$$

On the other hand, by Lemma 14, $\xi(k)=j_{\text {max }}$ holds if

$$
\lambda(\xi(k), k)<1 \leq 2 \mu|X(\xi(k))|
$$

Therefore, we conclude that

$$
k_{\max }(n) \leq\left\lfloor 2\left(1-\frac{1}{\log _{2} \eta}\right) \log _{2} n\right\rfloor=O(\log n)
$$

Lemma 18 There exists a positive integer $n_{3}$ such that, for every even integer $n \geq n_{3}, \operatorname{size}(N(n)) \leq$ $8 n \log _{2} n$.

Proof: For a positive integer k , let $s_{1}(k)$ the total size of the compressors contained by the layer, $\mathrm{L}(\xi(k))$, let $s_{2}(k)$ and $s_{3}(k)$ denote the sizes of the extractor and the sorting network contained by the layer, $\mathrm{L}(\xi(k))$, respectively. We also define $s_{1}(0)$, $s_{2}(0)$ and $s_{3}(0)$ as $s_{1}(0)=s_{3}(0)=0$ and $s_{2}(0)=$ size(E(1)). Thus,

$$
\operatorname{size}(N(n))=\sum_{k=0}^{k_{\max }}\left(s_{1}(k)+s_{2}(k)+s_{3}(k)\right)
$$

From Lemma 17 and the definition of $j_{\text {max }}$, it is easy to see that $\sum_{k=0}^{k_{\text {max }}} s_{3}(k)=O(\log n)$.

If $\xi(k) \leq j_{\max }$ then $A(k-2)=A(k-1)=$ $A(k)=0$ does not hold, for the following reason. Let $k$ be an positive integer with $\xi(k) \leq j_{\max }$. Assume that $A(k)=A(k+1)=0$. Since $\xi(k-1)=$
$\xi(k+1)$, by using Lemma 14 and Lemma 13, we have

$$
\lambda(\xi(k+1), k+1) \leq \frac{288}{49}|X(\xi(k+1)-1)| .
$$

On the other hand, by the definition of $j_{\max }$, we have

$$
|X(\xi(k+1)-1)| \leq \frac{101}{99} \cdot \frac{8}{7}|X(\xi(k+1))|
$$

Thus, $\lambda(\xi(k+1), k+1)<7 \mu|X(\xi(k+1))|$ and $A(k)=1$ hold. Moreover, by Lemma 11, we have $s_{2}(k) \leq \eta^{\xi(k)-1} \delta n$. Therefore, we have

$$
\sum_{k=0}^{k_{\max }} s_{2}(k) \leq 3 \delta\left(\sum_{i=1}^{j_{\max }} \eta^{i-1}\right) n=O(n) .
$$

We already showed that $\sum_{k=0}^{k_{\text {max }}}\left(s_{2}(k)+s_{3}(k)\right)=$ $O(n)$ and hence it suffices to show that there exists a $f=O(n)$ such that $\sum_{k=0}^{k_{\text {max }}(n)} s_{1}(k) \leq 8 n \log _{2} n+$ $f(n)$. Let $k_{0}=\left\lfloor\log _{2} n / \log _{2}(49 / 24)\right\rfloor$. We have

$$
\sum_{k=0}^{k_{0}} s_{1}(k) \leq \frac{8(1+\varepsilon)}{\log _{2}(49 / 24)} n \log _{2} n+16(1+\varepsilon) n
$$

Since $\log _{2}(49 / 24)>1$ and we may take $\varepsilon$ as arbitrarily small, it suffices to show that $\sum_{\substack{ \\k_{\text {max }} \\ k_{0}+1}} s_{1}(k)=O(n)$. Since $\sum_{h=1}^{i} \lambda(h, k)<$ $(7 / 5) \lambda(i, k)$ by Lemma 13, if $\lambda(i, k) \leq 5 / 7$ then the compressors, $\mathrm{C} 1(i)$ and $\mathrm{C} 0(i)$, are removed from the layer joined at the $j$-th execution of step 4 for each $j=k, k+1, \ldots, k_{\max }$. Since $\lambda(1,0)<n$, by Lemma 13 and the definition of $k_{0}$, we have $\lambda\left(1, k_{0}\right) \leq 1$. Therefore, we have the following inequality by estimating the total size of the compressors not removed.

$$
\begin{aligned}
& \sum_{k=k_{0}+1}^{k_{\max }} \\
& \leq 2 \sum_{i=1}^{j_{\max }} 8(1+\varepsilon)|X(i)| \log _{\frac{49}{24}}\left(\frac{7}{5}\left(\frac{7}{2}\right)^{i-1}\right) \\
& \leq 16(1+\varepsilon) \sum_{i=1}^{j_{\max }}\left(\log _{2} 4^{i}\right)|X(i)| \\
& \leq 32(1+\varepsilon) \sum_{i=1}^{j_{\max }} i\left(\frac{n}{2}(1-\eta) \eta^{i-1}+1\right)
\end{aligned}
$$

Since $j_{\max }=O(\log n)$, we conclude that

$$
\sum_{k=k_{0}+1}^{k_{\max }} s_{1}(k)=O(n)
$$

From Lemma 16, Lemma 17 and Lemma 18, the following main theorem is immediately obtained.

Theorem 1 For every positive even integer $n$, $N(n)$ is an ( $n, n / 2$ )-selector. There exists a positive integer $n_{3}$ such that, for every even integer $n \geq n_{3}$, size $(N(n)) \leq 8 n \log _{2} n$. Moreover, $\operatorname{depth}(N(n))=O(\log n)$.

## References

[AKS83a] M. Ajtai, J. Komlós, and E. Szemerédi. An $O(n \log n)$ sorting network. In Proceedings of the 15th Annual ACM Symposium on Theory of Computing, pages 1-9, 1983.
[AKS83b] M. Ajtai, J. Komlós, and E. Szemerédi. Sorting in $c \log n$ parallel steps. Combinatorica, 3 (1):1-19, 1983.
[Bas81] L. A. Bassalygo. Asymptotically optimal switching circuits. Problemy Peredachi Informatsii, 17:206211, 1981. English translation in Problems of Information Transmission.
[Mar73] G. A. Margulis. Explicit constructions of concentrators. Problemy Peredachi Informatsii, 9 (4):71-80, 1973. English translation in Problems of Information Transmission.
[Pat] M. S. Paterson. Improved sorting networks with $O(\log n)$ depth. Algorithmica, to appear.
[Pin73] N. Pinsker. On the complexity of a concentrator. In 7th International Teletraffic Conference, pages 318/1-318/4, Stockholm, June 1973.
[Pip90] N. Pippenger. Selection networks. In SIGAL of IPSJ 'go Algorithms, pages 2-11, Springer-Verlag, August 1990. (Lecture Notes in Computer Science 450 ).

