

A NORMALIZATION-PROCEDURE FOR THE
FIRST ORDER CLASSICAL NATURAL DEDUCTION
WITH FULL LOGICAL SYMBOLS

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§0. Introduction

The system of natural deduction was introduced by Gentzen [1]. He also introduced the system of sequent calculus in order to prove his *Hauptsatz* which states every proof can be reduced to a proof without roundabouts. (In some cases, the *Hauptsatz* is called the *cut-elimination theorem* or the *normalization theorem*.) His system of natural deduction was not suitable for the *Hauptsatz* in the case of classical logic, because in the system the classical logic was formalized as the intuitionistic logic with the law of the excluded middle. Prawitz [2][3] settled this trouble in his system of natural deduction by formalizing the classical logic as the minimal logic with classical absurdity rule. However his solution was a partial one, since his system of classical logic did not have the logical symbols for the disjunction and for the existential quantifier as the primitive logical symbols. Seldin [4][5] and Stålmårck [6] proved the normalization theorem for the first order classical natural deduction with full logical symbols. But the reduction procedures defined by them are complicated in comparison with Prawitz's one.

In this paper, we define another reduction procedure for the first order classical natural deduction with full logical symbols. It is as simple as Prawitz's one is. In other words, our reduction procedure is a natural extension of the Prawitz's. Our proof of the normalization theorem will be done simultaneously for the intuitionistic logic and for the classical logic, as the Gentzen's proof of the *Hauptsatz* was. Notice that our normalization theorem is one of the so called *weak normalization theorems*.

§1. System

The system used in this paper is the first order classical logic formalized in the style of natural deduction. It have all logical symbols as primitive ones. The inference rules consist of the introduction rule and elimination rule for each logical symbol, and the classical absurdity rule [2]. These are denoted by $(\mathcal{L}I)$ and $(\mathcal{L}E)$ for each logical symbol \mathcal{L} , and $(\perp c)$ respectively. We present them by the inference figure schemata in the same manner with Gentzen [1] :

$$(\&I) \frac{\mathcal{A}_1 \quad \mathcal{A}_2}{\mathcal{A}_1 \& \mathcal{A}_2}$$

$$(\&E) \frac{\mathcal{A}_1 \& \mathcal{A}_2}{\mathcal{A}_i} \quad (i = 1 \text{ or } 2)$$

$$(\vee I) \frac{\mathcal{A}_i}{\mathcal{A}_1 \vee \mathcal{A}_2} \quad (i = 1 \text{ or } 2)$$

$$(\vee E) \frac{\mathcal{A}_1 \vee \mathcal{A}_2 \quad \begin{array}{c} [\mathcal{A}_1] \\ \mathcal{C} \end{array} \quad \begin{array}{c} [\mathcal{A}_2] \\ \mathcal{C} \end{array}}{\mathcal{C}}$$

$$(\supset I) \frac{\begin{array}{c} [\mathcal{A}] \\ \mathcal{B} \end{array}}{\mathcal{A} \supset \mathcal{B}}$$

$$(\supset E) \frac{\mathcal{A} \supset \mathcal{B} \quad \mathcal{A}}{\mathcal{B}}$$

$$(\neg I) \frac{\begin{array}{c} [\mathcal{A}] \\ \perp \end{array}}{\neg \mathcal{A}}$$

$$(\neg E) \frac{\neg \mathcal{A} \quad \mathcal{A}}{\perp}$$

$$(\forall I) \frac{\mathcal{F}a}{\forall x \mathcal{F}x}$$

$$(\forall E) \frac{\forall x \mathcal{F}x}{\mathcal{F}t}$$

$$(\exists I) \frac{\mathcal{F}t}{\exists x \mathcal{F}x}$$

$$(\exists E) \frac{\exists x \mathcal{F}x \quad \begin{array}{c} [\mathcal{F}a] \\ \mathcal{C} \end{array}}{\mathcal{C}}$$

$$(\perp c) \frac{\begin{array}{c} [\neg \mathcal{A}] \\ \perp \end{array}}{\mathcal{A}}$$

$(\forall I)$ and $(\exists E)$ are subject to the *restriction of eigenvariable* [1]. In a proof, the eigenvariables must be separated as usual [2].

§2. Definitions

DEFINITION. (MAXIMAL FORMULA). Let \mathfrak{A} be a formula-occurrence in a proof Π . \mathfrak{A} is a maximal formula in Π iff it satisfies the following conditions.

- (1) \mathfrak{A} is not an assumption-formula. And the inference rule whose conclusion is \mathfrak{A} is an introduction rule, a $(\forall E)$, a $(\exists E)$, or a $(\perp c)$.
- (2) \mathfrak{A} is the major premiss of an elimination rule.

DEFINITION. (NORMAL PROOF). A proof Π is normal iff it contains no maximal formula.

DEFINITION. (SEGMENT). Let $\mathfrak{A}_1, \dots, \mathfrak{A}_n$ be a sequence of formula-occurrences in a proof Π . $\mathfrak{A}_1, \dots, \mathfrak{A}_n$ is a segment in Π iff it satisfies the following conditions.

- (1) \mathfrak{A}_1 is neither the conclusion of a $(\forall E)$ nor that of a $(\exists E)$. Moreover, \mathfrak{A}_1 is not the conclusion of a $(\perp c)$ where at least one assumption formula is discharged.
- (2) For all $i < n$, ; (a) \mathfrak{A}_i is a minor premiss of a $(\forall E)$ or a $(\exists E)$, and the conclusion of the inference is \mathfrak{A}_{i+1} ; or (b) \mathfrak{A}_i is the minor premiss of a $(\neg E)$ whose major premiss is an assumption-formula discharged by a $(\perp c)$, and the conclusion of the $(\perp c)$ is \mathfrak{A}_{i+1} .
- (3) \mathfrak{A}_n is neither a minor premiss of a $(\forall E)$ nor that of a $(\exists E)$. Moreover, \mathfrak{A}_n is not the minor premiss of a $(\neg E)$ whose major premiss is an assumption-formula discharged by a $(\perp c)$.

DEFINITION. (REGULAR PROOF). In a proof-figure, an assumption-formula discharged by a $(\perp c)$ is regular iff it is the main premiss of a $(\neg E)$. A proof-figure is regular iff any assumption-formula discharged by any $(\perp c)$ in the proof is regular.

§3. Reduction steps

To simplify the description, our reduction steps are defined only for regular proofs. For non regular proofs, we use the following lemma.

LEMMA 1. *Let Π be a given non regular proof. Then we can construct a regular proof Π' which have the same set of assumptions and the same end formula with Π .*

PROOF: Let $\neg\mathfrak{A}$ be a non regular assumption-formula in Π . Then, transform Π by replacing $\neg\mathfrak{A}$ with the following subproof:

$$\frac{\frac{\neg\mathfrak{A}^2 \quad \mathfrak{A}^1}{\perp} \quad (\neg E)}{\neg\mathfrak{A}^1}$$

Where, \mathfrak{A}^1 is discharged by the $(\neg I)$ represented in the above figure with the indicator 1. And $\neg\mathfrak{A}^2$ is discharged by the $(\perp c)$ which corresponds with the $(\perp c)$ in Π discharging the $\neg\mathfrak{A}$ in Π . Then $\neg\mathfrak{A}^2$ is regular. Clearly this transformation does not change the set of assumptions and the end formula. By applying this transformation for all non regular assumption-formulae of all $(\perp c)$ s in Π , we get the regular proof: Π' . ■

Now, we define our reduction steps. Let Π be a regular but not normal proof. And let \mathfrak{M} be a maximal formula in Π , and I be the inference whose conclusion is \mathfrak{M} . The reduction of Π at \mathfrak{M} is defined according to I .

First we treat the case that I is a $(\perp c)$. Let J be the inference whose major premiss is \mathfrak{M} , and \mathfrak{D} be the conclusion of J . Let K_1, \dots, K_n be all the $(\neg E)$ s whose major premisses are discharged by I , if they exist. The reduction is carried out as follows:

- (1) For all i , replace the major premiss of K_i by $\neg\mathfrak{D}$.
- (2) For all i , replace the subproof of the minor premiss of K_i by the proof, say Φ_i , such that; (a) the last inference of Φ_i , say J'_i , is the same with J ; (b) the proof of the major premiss of J'_i is identical with the proof of the minor premiss of K_i ; and (c) the proofs of the minor premisses of J'_i are identical with the ones of J , if they exist.
- (3) Concatenate the premiss of I with the conclusion of J by a $(\perp c)$ where the $\neg\mathfrak{D}$'s introduced in (1) are discharged.

Notice that there is no assumption formula discharged by I , except for the major premisses of K_1, \dots, K_n ; because Π is regular. The next

diagram shows the reduction mentioned above.

$$\frac{\frac{-\mathfrak{M} \quad \Gamma_i \left\{ \begin{array}{c} \vdots \\ \mathfrak{M} \end{array} \right\} \quad K_i}{\perp} \quad \frac{\frac{\perp}{\mathfrak{M}} \quad I \quad \Sigma_1 \quad \Sigma_2 \quad J}{\mathfrak{D}}}{\mathfrak{D}} \quad \Rightarrow \quad \frac{\frac{-\mathfrak{D}}{\perp} \quad \frac{\Gamma_i \left\{ \begin{array}{c} \vdots \\ \mathfrak{M} \quad \Sigma_1 \quad \Sigma_2 \end{array} \right\} \quad J'_i}{\mathfrak{D}}}{\perp} \quad \frac{\perp}{\mathfrak{D}} \quad 1$$

In the other cases, i.e. I is an introduction rule, a $(\forall E)$, or a $(\exists E)$; the reduction steps are the same with the ones for the intuitionistic logic, defined by Prawitz [2] [3]. We show them briefly by the figures below.

(i) I is a $(\&I)$:

$$\frac{\Gamma_1 \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_1 \end{array} \right\} \quad \frac{\Gamma_2 \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_2 \end{array} \right\} \quad I}{\mathfrak{A}_1 \& \mathfrak{A}_2} \quad I}{\mathfrak{A}_i} \quad \Rightarrow \quad \Gamma_i \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_i \end{array} \right\}$$

where $\mathfrak{A}_1 \& \mathfrak{A}_2$ is the maximal formula: \mathfrak{M} .

(ii) I is a $(\forall I)$: similarly to the case (i).

(iii) I is a $(\vee I)$:

$$\frac{\frac{\Gamma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_i \end{array} \right\} \quad I \quad \Sigma_1 \left\{ \begin{array}{c} [\mathfrak{A}_1] \\ \vdots \\ \mathfrak{C} \end{array} \right\} \quad \Sigma_2 \left\{ \begin{array}{c} [\mathfrak{A}_2] \\ \vdots \\ \mathfrak{C} \end{array} \right\}}{\mathfrak{A}_1 \vee \mathfrak{A}_2} \quad I}{\mathfrak{C}} \quad \Rightarrow \quad \Gamma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_i \\ \vdots \\ \mathfrak{C} \end{array} \right\} \quad \Sigma_i$$

where $\mathfrak{A}_1 \vee \mathfrak{A}_2$ is the maximal formula: \mathfrak{M} .

(iv) I is a $(\exists I)$: similarly to the case (iii).

(v) I is a $(\supset I)$:

$$\frac{\frac{\Gamma \left\{ \begin{array}{c} [\mathfrak{A}] \\ \vdots \\ \mathfrak{B} \end{array} \right\} \quad I \quad \Sigma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A} \end{array} \right\}}{\mathfrak{A} \supset \mathfrak{B}} \quad I}{\mathfrak{B}} \quad \Rightarrow \quad \Sigma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A} \\ \vdots \\ \mathfrak{B} \end{array} \right\} \quad \Gamma$$

where $\mathfrak{A} \supset \mathfrak{B}$ is the maximal formula: \mathfrak{M} .

(vi) I is a $(\neg I)$: similarly to the case (v).

(vii) I is a $(\forall E)$:

$$\frac{\frac{\Gamma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_1 \vee \mathfrak{A}_2 \end{array} \right\} \quad \Delta_1 \left\{ \begin{array}{c} [\mathfrak{A}_1] \\ \vdots \\ \mathfrak{M} \end{array} \right\} \quad \Delta_2 \left\{ \begin{array}{c} [\mathfrak{A}_2] \\ \vdots \\ \mathfrak{M} \end{array} \right\} \quad I \quad \Sigma_1 \quad \Sigma_2}{\mathfrak{M}} \quad I}{\mathfrak{D}}$$

$$\Rightarrow \frac{\Gamma \left\{ \begin{array}{c} \vdots \\ \mathfrak{A}_1 \vee \mathfrak{A}_2 \end{array} \right. \frac{\Delta_1 \left\{ \begin{array}{c} [\mathfrak{A}_1] \\ \vdots \\ \mathfrak{M} \end{array} \right. \frac{\Sigma_1 \quad \Sigma_2}{\mathfrak{D}}}{\mathfrak{D}} \quad \frac{\Delta_2 \left\{ \begin{array}{c} [\mathfrak{A}_2] \\ \vdots \\ \mathfrak{M} \end{array} \right. \frac{\Sigma_1 \quad \Sigma_2}{\mathfrak{D}}}{\mathfrak{D}}}{\mathfrak{D}}$$

(viii) I is a $(\exists E)$: similarly to the case (vii).

Our reduction steps are all defined by the items mentioned above. It is clear that the following fact holds.

FACT 2. *The proof which is obtained from a regular proof by applying our reduction step is also regular.*

§4. Proof of the normalization theorem

NOTATIONS. Let \mathfrak{A} be a maximal formula in a proof. By $g(\mathfrak{A})$ we denote the number of the logical symbols occurring in \mathfrak{A} . By $r(\mathfrak{A})$ we denote the maximal length of the segments whose last formula is \mathfrak{A} . By $l(\mathfrak{A})$ we denote the number of inferences below \mathfrak{A} in the proof.

DEFINITION. (DEGREE OF A MAXIMAL FORMULA). Let \mathfrak{A} be a maximal formula in a proof. The degree of \mathfrak{A} , denoted by $d(\mathfrak{A})$, is the ordered pair defined as follows:

$$d(\mathfrak{A}) = \langle g(\mathfrak{A}), r(\mathfrak{A}) \rangle$$

Degrees of maximal formulae are compared by lexicographical order.

NOTATIONS. Let Π be a proof. Notations $M(\Pi)$ and $E(\Pi)$ are defined as follows:

$$M(\Pi) = \max \{ d(\mathfrak{A}) \mid \mathfrak{A} \text{ is a maximal formula in } \Pi \}$$

$$E(\Pi) = \{ \mathfrak{A} : \mathfrak{A} \text{ is a maximal formula in } \Pi \mid d(\mathfrak{A}) = M(\Pi) \}$$

DEFINITION. (DEGREE OF A PROOF). Let Π be a proof. The degree of Π , denoted by $d(\Pi)$, is the ordered triple defined as follows:

$$d(\Pi) = \langle M(\Pi), \text{Card } E(\Pi), \sum_{\mathfrak{A} \in E(\Pi)} l(\mathfrak{A}) \rangle$$

Degrees of proofs are compared by lexicographical order.

We call a formula-occurrence \mathfrak{A} a *side-set formula* of a formula-occurrence \mathfrak{B} , if \mathfrak{A} is one of the minor premisses of the inference whose major premiss is \mathfrak{B} .

LEMMA 3. Let Π be a given regular proof. If Π is not normal, we can find in it a formula-occurrence \mathfrak{A} which satisfies the following conditions.

- (1) $\mathfrak{A} \in E(\Pi)$
- (2) If $\mathfrak{B} \in E(\Pi)$; and if \mathcal{S} is a segment in Π , whose last formula is \mathfrak{A} ; then \mathfrak{B} is not above the first formula of \mathcal{S} .
- (3) If $\mathfrak{B} \in E(\Pi)$; and if \mathcal{S} is a segment in Π , whose last formula is \mathfrak{B} ; then the first formula of \mathcal{S} is not above nor equal to any of the side-set formulae of \mathfrak{A} .

PROOF: Construct a sequence $\mathfrak{A}_1, \mathfrak{A}_2, \dots$ of maximal formulae in Π by the following manner. Take \mathfrak{A}_1 from the maximal formulae satisfying the condition (1) and (2). If \mathfrak{A}_1 also satisfies the condition (3), terminate the sequence at it. If not, take \mathfrak{A}_2 from the maximal formulae destroying the condition (3) for \mathfrak{A}_1 and satisfying the condition (1) and (2). By iterating this construction, we obtain the sequence $\mathfrak{A}_1, \mathfrak{A}_2, \dots$. It holds that, for all m and n satisfying $m < n$, there exists a formula-occurrence \mathfrak{C} in Π such that; (a) \mathfrak{A}_m is above or equal to \mathfrak{C} ; (b) \mathfrak{C} have its side-set formula; and (c) there exists a segment in Π , whose last formula is \mathfrak{A}_n , and whose first formula is above or equal to the side-set formula of \mathfrak{C} . Hence, if $m \neq n$ then $\mathfrak{A}_m \neq \mathfrak{A}_n$. Therefore, the sequence $\mathfrak{A}_1, \mathfrak{A}_2, \dots$ is finite. Then, the last formula of the sequence satisfies all the conditions for \mathfrak{A} . ■

It is clear that the following fact holds.

FACT 4. Let \mathfrak{A} be a formula-occurrence in a proof Π . If \mathfrak{A} satisfies the conditions of Lemma 3, then it also satisfies the following condition.

- (3') If $\mathfrak{B} \in E(\Pi)$, then \mathfrak{B} is not above nor equal to any of the side-set formulae of \mathfrak{A} .

THEOREM.(NORMALIZATION THEOREM). Let Π be a given proof. Then we can construct a normal proof which have the same set of assumptions and the same end formula with Π .

PROOF: By Lemma 1 and Fact 2, it can be assumed that Π is regular. We prove this theorem by induction on the degree of Π . If Π is not normal, we can find in Π a formula-occurrence, say \mathfrak{M} , which is one of the maximal formulae satisfying the conditions for \mathfrak{A} of Lemma 3. Reduce Π at \mathfrak{M} . Then, the degree of the proof obtained, say Π' , is lower than that of Π . In the following we show this fact according to the inference, say I , whose conclusion is \mathfrak{M} .

Case 1. I is a ($\&I$) or a ($\forall I$): Because \mathfrak{M} satisfies the condition (1) for \mathfrak{A} of Lemma 3, it holds that

$$\langle M(\Pi), \text{Card } E(\Pi) \rangle > \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

This leads $d(\Pi) > d(\Pi')$.

Case 2. I is a $(\forall I)$ or a $(\exists I)$: Because \mathfrak{M} satisfies the conditions (1) and (2) for \mathfrak{A} of Lemma 3, it holds that

$$\langle M(\Pi), \text{Card } E(\Pi) \rangle > \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

This leads $d(\Pi) > d(\Pi')$.

Case 3. I is a $(\supset I)$ or a $(-I)$: Because \mathfrak{M} satisfies the conditions (1) and (3') for \mathfrak{A} of Lemma 3 and Fact 4, it holds that

$$\langle M(\Pi), \text{Card } E(\Pi) \rangle > \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

This leads $d(\Pi) > d(\Pi')$.

Case 4. I is a $(\forall E)$, a $(\exists E)$, or a $(\perp c)$: Let J be the inference in Π whose major premiss is \mathfrak{M} . Let \mathfrak{D}^1 be the formula-occurrence in Π which is the conclusion of J . Let \mathfrak{D}^0 be the last formula of a segment in Π which includes \mathfrak{D}^1 as its member.

Case 4-1. \mathfrak{D}^0 is not a maximal formula in Π : Because \mathfrak{M} satisfies the conditions (1) and (3') for \mathfrak{A} of Lemma 3 and Fact 4, it holds that

$$\langle M(\Pi), \text{Card } E(\Pi) \rangle > \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

This leads $d(\Pi) > d(\Pi')$.

Case 4-2. \mathfrak{D}^0 is a maximal formula in Π : It holds that $d(\mathfrak{D}^0) < M(\Pi)$, since;

- (a) If J is a $(\forall E)$ or a $(\exists E)$, then there exists a segment in Π whose first formula is above or equal to one of the side-set formulae of \mathfrak{M} and whose last formula is \mathfrak{D}^0 . This leads $d(\mathfrak{D}^0) < M(\Pi)$, because \mathfrak{M} satisfies the condition (3) for \mathfrak{A} of Lemma 3.
- (b) Otherwise, it holds that $g(\mathfrak{D}^1) < g(\mathfrak{M})$. This leads $d(\mathfrak{D}^0) < d(\mathfrak{M}) = M(\Pi)$.

Let $\widetilde{\mathfrak{D}}^0$ be the maximal formula in Π' which corresponds with \mathfrak{D}^0 . Then it holds that $d(\widetilde{\mathfrak{D}}^0) \leq M(\Pi)$, since $g(\widetilde{\mathfrak{D}}^0) = g(\mathfrak{D}^0)$ and $r(\widetilde{\mathfrak{D}}^0) \leq r(\mathfrak{D}^0) + 1$.

Case 4-2-1. $d(\widetilde{\mathfrak{D}}^0) < M(\Pi)$: Because \mathfrak{M} satisfies the conditions (1) and (3') for \mathfrak{A} of Lemma 3 and Fact 4, it holds that

$$\langle M(\Pi), \text{Card } E(\Pi) \rangle > \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

This leads $d(\Pi) > d(\Pi')$.

Case 4-2-2. $d(\widetilde{\mathfrak{D}}^0) = M(\Pi)$: Because \mathfrak{M} satisfies the conditions (1) and (3) for \mathfrak{A} of Lemma 3, it holds that

$$(i) \quad \langle M(\Pi), \text{Card } E(\Pi) \rangle = \langle M(\Pi'), \text{Card } E(\Pi') \rangle$$

Since \mathfrak{M} is above \mathfrak{D}^0 , it holds that

$$(ii) \quad l(\mathfrak{M}) > l(\widetilde{\mathfrak{D}}^0)$$

Let \mathfrak{A} be a formula-occurrence in Π satisfying that $\mathfrak{A} \in E(\Pi)$ and that \mathfrak{A} is not equal to \mathfrak{M} . Let $\widetilde{\mathfrak{A}}$ be a formula-occurrence in Π' which corresponds with \mathfrak{A} . Because \mathfrak{M} satisfies the condition (3') for \mathfrak{A} of Fact 4, \mathfrak{A} is not above nor equal to any of the side-set formula of \mathfrak{M} . This leads

$$(iii) \quad l(\mathfrak{A}) \geq l(\widetilde{\mathfrak{A}})$$

Due to (ii) and (iii),

$$(iv) \quad \sum_{\mathfrak{A} \in E(\Pi)} l(\mathfrak{A}) > \sum_{\mathfrak{A} \in E(\Pi')} l(\mathfrak{A})$$

From (i) and (iv), we obtain that $d(\Pi) > d(\Pi')$. ■

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