

'INVERSES' OF VIRASORO OPERATORS

Koichiro Harada

and

Ching Hung Lam

Department of Mathematics
The Ohio State University
Columbus, OH 43210, U.S.A.

The definition of vertex operator algebras is now essentially fixed due primarily to the book written by Frenkel, Lepowsky and Meurman [3] (see [1] also). We mostly follow their definition in this notes. In particular we assume the existence of the vacuum vector 1 and the conformal vector w . The components w_n of the vertex operator $Y(w, z)$ of w form the Virasoro algebra Vir spanned by $L(n)$'s if we set $L(n) = w_{n+1}$. The $L(n)$'s satisfy the famous commutation relation :

$$[L(m), L(n)] = (m - n)L(m + n) + \frac{1}{12}(m^3 - m)\delta_{m+n,0}c,$$

where c is called the central charge of the Virasoro algebra Vir or the rank of the vertex operator algebra V . The central charge c is assumed to be a rational number in [3], but we do not need it in this paper. If V_k is the eigenspace of the Virasoro operator $L(0)$ with eigenvalue k , then it is assumed that k is an integer and V is the direct sum of V_k 's :

$$V = \coprod_{k=k_0}^{\infty} V_k.$$

V_k is the subspace of the homogeneous elements of V and the elements of V_k are said to have weight k . The dimension of V_k is assumed to be finite in [3]. We, however, do not need it. We, as in [3], assume that the weight of V is bounded below and so $V_k = 0$ if $k < k_0$. That $L(-1)$ is injective is noted by Li in [4] and that $L(1)$ is surjective is shown by Dong, Lin, and Mason [2]. In this note, we shall obtain, as a corollary, an 'extension' of their result : for all $k > 0$ and $n \geq 0$, $L(-n)$ is injective on V_k and $L(n)$ is surjective on V_{k+n} provided that the central charge c of the Virasoro algebra Vir is nonnegative and the negative weight states do not occur, i.e. $V_k = 0$ for $k < 0$. These conditions are not assumed in [2] or [4]. What we actually prove is the existence of certain operators $U_{k,n}$ and $D_{k,n}$ composed of the Virasoro operators $L(n), L(-n)$ such that $L(n)U_{k,n}|_{V_k} = Id|_{V_k}$ and $D_{k,n}L(-n)|_{V_k} = Id|_{V_k}$. See Theorem 4 below for the precise statement. The injectivity

'INVERSES' OF VIRASORO OPERATORS

itself of $L(-n)$ for $n \geq 0$ under our assumption is easy to show, hence so will be the surjectivity of $L(n)$ if the duality is used. We, however, believe that the explicit operators $U_{k,n}$, and $D_{k,n}$ (i.e. 'inverses' of $L(n)$ and $L(-n)$) are of some interest for studying the Monster module, for example. The operators $U_{k,n}$ and $D_{k,n}$ can not be defined unless some conditions are met. The conditions on the central charge c and the negative states mentioned above are the simplest. For more general cases, see Theorem 7. See Corollary 8 also, where we prove, under some assumption,

$$V_{k+n} = \text{Ker}(L(n)|_{V_{k+n}}) \oplus \text{Im}(L(-n)|_{V_k}),$$

for all $n > 0$.

We start with an elementary lemma :

Lemma 1. For $k \in \{1, 2, 3, \dots\}$, we have :

- (a). $[L(0), L(n)^k] = -knL(n)$; and,
- (b). $[L(n), L(-n)^k] = 2knL(-n)^{k-1}L(0) + kn((k-1)n + \frac{1}{12}(n^2-1)c)L(-n)^{k-1}$.

Proof. (a) is an easy exercise by induction. (b) is also shown by induction as follows. Set

$$f(k) = 2kn,$$

and

$$g(k) = kn((k-1)n + \frac{1}{12}(n^2-1)c).$$

If $k = 1$, then $f(1) = 2n$ and $g(1) = \frac{1}{12}(n^3 - n)c$ and so (b) is just a defining relation of the Virasoro algebra. Suppose that (b) holds for k . We have, by a property of derivations,

$$\begin{aligned} [L(n), L(-n)^{k+1}] &= [L(n), L(-n)]L(-n)^k + L(n)[L(n), L(-n)^k] \\ &= (2nL(0) + \frac{1}{12}(n^3 - n)c)L(-n)^k + L(-n)(f(k)L(-n)^{k-1}L(0) \\ &\quad + g(k)L(-n)^{k-1}) \\ &= 2n(L(-n)^kL(0) + knL(-n)^k) + \frac{1}{12}(n^3 - n)cL(-n)^k \\ &\quad + f(k)L(-n)^kL(0) + g(k)L(-n)^k \\ &= (2n + f(k))L(-n)^kL(0) + (2kn^2 + \frac{1}{12}(n^3 - n)c + g(k))L(-n)^k. \end{aligned}$$

It now remains to show that

$$f(k+1) = f(k) + 2n,$$

and

$$g(k+1) = 2kn^2 + \frac{1}{12}(n^3 - n)c + g(k).$$

'INVERSES' OF VIRASORO OPERATORS

The first is trivial. To show the second we compute :

$$\begin{aligned}
2kn^2 + \frac{1}{12}(n^3 - n)c + g(k) &= 2kn^2 + \frac{1}{12}(n^3 - n)c + kn((k-1)n + \frac{1}{12}(n^2 - 1))c \\
&= kn(2n + kn - n) + (kn + n)\frac{1}{12}(n^2 - 1)c \\
&= kn(k+1)n + (k+1)n\frac{1}{12}(n^2 - 1)c \\
&= (k+1)n(kn + \frac{1}{12}(n^2 - 1)c) \\
&= g(k+1),
\end{aligned}$$

as required.

Definition. For each pair (k, n) of integers we define the 'up' operator

$$U_{k,n} : V_k \longrightarrow V_{k+n},$$

and the 'down' operator

$$D_{k,n} : V_{k+n} \longrightarrow V_k,$$

as follows:

$$U_{k,n} = \sum_{j=1}^{\infty} a_j L(-n)^j L(n)^{j-1},$$

and

$$D_{k,n} = \sum_{j=1}^{\infty} a_j L(-n)^{j-1} L(n)^j,$$

where

$$a_j = -\left(\frac{-12}{n}\right)^j \prod_{i=1}^j \left(\frac{1}{i((n^2 - 1)c - 12(i-1)n + 24k)} \right),$$

or

$$a_j = 0$$

if

$$(n^2 - 1)c - 12(i-1)n + 24k = 0$$

for some i , where $j \geq i \geq 1$.

Remark. If $(n^2 - 1)c - 12(i-1)n + 24k = 0$ for some i , then

$$i = \frac{(n^2 - 1)c + 24k}{12n} + 1.$$

In particular, such an $i = i_0$ is uniquely determined for a given pair (k, n) . Obviously $a_j = 0$ for all $j \geq i_0$, and $a_j \neq 0$ for $j < i_0$. Since the weight of V is bounded below, both operators are well defined on V . Note also that the coefficient a_j involves k .

'INVERSES' OF VIRASORO OPERATORS

Lemma 2. Suppose $c \geq 0$ and the weight of V is bounded below by 0, i.e. $V_k = 0$ for $k < 0$. Then for $k > 0$, $L(n)^{j-1}V_k = L(n)^jV_{k+n} = 0$ holds for all $n \geq 1$ and for all $j \geq \frac{(n^2-1)c+24k}{12n} + 1$.

Proof. Suppose

$$j \geq \frac{(n^2-1)c+24k}{12n} + 1.$$

Then

$$(j-1)n \geq \frac{(n^2-1)c}{12} + 2k,$$

and so

$$k - (j-1)n \leq -k - \frac{(n^2-1)c}{12}.$$

On the other hand, we have :

$$L(n)^{j-1}V_k \subseteq V_{k-(j-1)n}.$$

Suppose

$$-k - \frac{(n^2-1)c}{12} \geq 0.$$

Then, since $n \geq 1$, we get $k = 0$, against our assumption. Since

$$L(n)^jV_{k+n} \subseteq V_{k-(j-1)n},$$

also, we obtain the lemma.

Corollary 3. Suppose $n \geq 1$ and

$$(n^2-1)c - 12n(i_0-1) + 24k = 0,$$

for an integer i_0 , then

$$L(n)^{i_0-1}V_k = L(n)^{i_0}V_{k+n} = 0,$$

if $k > 0$.

Proof. Immediate from the previous lemma.

Theorem 4. Suppose the central charge c of the Virasoro algebra Vir of the Vertex operator algebra V is nonnegative ; i.e. $c \geq 0$ and the negative states do not occur ; i.e. $V_k = 0$ for all $k < 0$. Then

$$L(n)U_{k,n}|_{V_k} = Id|_{V_k},$$

and,

$$D_{k,n}L(-n)|_{V_k} = Id|_{V_k},$$

for all $k > 0, n > 0$.

Corollary 5. Under the same assumption as in Theorem 4, we have : $L(n)$ is surjective on V_{k+n} and $L(-n)$ is injective on V_k for all $n > 0, k > 0$.

Remark. Alternatively, the injectivity of $L(-n)|_{V_k}$ can easily be established as follows (under a slightly weaker condition). Suppose $L(-n)v = 0$, where $v \in V_k$. Since the weight of V is bounded below, we have $L(m)v = 0$, for a large $m > 0$. We may assume $n|m$. Using the Virasoro relation :

$$[L(m), L(-n)]v = (m+n)L(m-n)v + \frac{1}{12}(m^3 - m)\delta_{m-n,0}cv = 0,$$

repeatedly we obtain, with $m=n$,

$$(2nL(0) + \frac{1}{12}(n^3 - n)c)v = 0.$$

Therefore

$$(2nk + \frac{1}{12}(n^3 - n)c)v = 0.$$

Now suppose

$$24nk + (n^2 - 1)c \neq 0,$$

which obviously holds if $n > 0, k > 0, c \geq 0$. Then $v = 0$, as desired.

Proof of Theorem 4. We directly compute :

$$\begin{aligned} L(n)U_{k,n} &= \sum_{j=1}^{\infty} a_j L(n)L(-n)^j L(n)^{j-1} \\ &= \sum_{j=1}^{\infty} a_j \{L(-n)^j L(n) + f(j)L(-n)^{j-1} L(0) + g(j)L(-n)^{j-1}\} L(n)^{j-1}, \end{aligned}$$

where as in Lemma 1,

$$f(j) = 2jn,$$

and

$$g(j) = jn((j-1)n + \frac{1}{12}(n^2 - 1)c).$$

Since $L(n)^{j-1}V_k \subseteq V_{k-(j-1)n}$ and $L(0)|_{V_{k-(j-1)n}} = k - (j-1)n$, a scalar multiple, we have:

$$\begin{aligned} L(n)U_{k,n}|_{V_k} &= \sum_{j=1}^{\infty} a_j L(-n)^j L(n)^j \\ &+ \sum_{j=1}^{\infty} a_j \{f(j)(k - (j-1)n) + g(j)\} L(-n)^{j-1} L(n)^{j-1} \\ &= a_1 \{f(1)k + g(1)\} Id|_{V_k} \\ &+ \sum_{j=2}^{\infty} \{a_{j-1} + a_j(f(j)(k - (j-1)n) + g(j))\} L(-n)^{j-1} L(n)^{j-1}. \end{aligned}$$

'INVERSES' OF VIRASORO OPERATORS

It now suffices to show:

$$a_1\{f(1)k + g(1)\} = 1,$$

and, for $j > 1$,

$$\{a_{j-1} + a_j(f(j)(k - (j-1)n) + g(j))\}L(-n)^{j-1}L(n)^{j-1}|_{V_k} = 0.$$

We have :

$$f(1) = 2n, g(1) = \frac{1}{12}(n^3 - n)c,$$

and so

$$f(1)k + g(1) = 2kn + \frac{1}{12}(n^3 - n)c \neq 0,$$

and

$$a_1 = -\left(\frac{-12}{n}\right) \frac{1}{(n^2 - 1)c + 24k} = \frac{1}{f(1)k + g(1)}$$

and so

$$a_1(f(1)k + g(1)) = 1.$$

We will next show, for $j \geq 2$,

$$a_{j-1} + a_j(f(j)(k - (j-1)n) + g(j)) = 0,$$

if $a_j \neq 0$ (and hence $a_{j-1} \neq 0$ also).

Recall

$$a_j = -\left(\frac{-12}{n}\right)^j \prod_{i=1}^j \left(\frac{1}{i((n^2 - 1)c - 12(i-1)n + 24k)}\right),$$

Replacing $f(j)$ and $g(j)$ with their respective expressions given above, we obtain

$$f(j)(k - (j-1)n) + g(j) = jn\left\{\frac{1}{12}(n^2 - 1)c - (j-1)n + 2k\right\} = \frac{jn}{12}\{(n^2 - 1)c - 12(j-1)n + 24k\}.$$

By the definition of a_j , we obtain

$$\frac{a_j}{a_{j-1}} = -\frac{12}{jn} \frac{1}{(n^2 - 1)c - 12(j-1)n + 24k}$$

Therefore

$$a_{j-1} + a_j(f(j)(k - (j-1)n) + g(j)) = 0,$$

as desired.

Finally we treat the cases where $a_j = 0$ for some j . To this case to occur, there must exist an integer i_0 such that (see Remark)

$$i_0 = \frac{(n^2 - 1)c + 24k}{12n} + 1.$$

'INVERSES' OF VIRASORO OPERATORS

In this case, we have $a_j = 0$ for all $j \geq i_0$, and $a_j \neq 0$ for $j < i_0$. It then suffices to show :

$$\{a_{i_0-1} + a_{i_0}(f(i_0)(k - (i_0 - 1)n) + g(i_0))\}L(-n)^{i_0-1}L(n)^{i_0-1}|V_k = 0.$$

This, however, has been shown in Corollary 3.

The corresponding statement for the down operator :

$$D_{k,n}L(-n)|V_k = Id|V_k$$

can be proved by making the following observation :

Lemma 6. *The following relation holds :*

$$\begin{aligned} L(n)L(-n)^jL(n)^{j-1}|V_k &= L(-n)^{j-1}L(n)^jL(-n)|V_k \\ &= L(-n)^jL(n)^j \\ &\quad + \{2jn(k - (j-1)n + jn((j-1)n + \frac{1}{12}(n^2 - 1)c))\}L(-n)^{j-1}L(n)^{j-1}. \end{aligned}$$

Proof. We set $a_j = 1$ and $a_i = 0$ for $i \neq j$ in the calculation of $L(n)U_{k,n}|V_k$. Then its proof reads :

$$L(n)L(-n)^jL(n)^{j-1}|V_k = L(-n)^jL(n)^j + \{f(j)(k - (j-1)n) + g(j)\}L(-n)^{j-1}L(n)^{j-1}.$$

The equality of the first and the third quantity in the lemma is now obvious. To show the remaining equality, let us write

$$f(j) = f(j, n) = 2jn$$

and

$$g(j) = g(j, n) = jn((j-1)n + \frac{1}{12}(n^2 - 1)c),$$

as f and g are functions of two variables j and n . Then by Lemma 1, we obtain :

$$L(n)^jL(-n) = L(-n)L(n)^j - f(j, -n)L(n)^{j-1}L(0) - g(j, -n)L(n)^{j-1}.$$

Therefore

$$L(-n)^{j-1}L(n)^jL(-n)|V_k = L(-n)^jL(n)^j + \{-f(j, -n)k - g(j, -n)\}L(-n)^{j-1}L(n)^{j-1}.$$

It now suffices to show :

$$-f(j, -n)k - g(j, -n) = f(j, n)(k - (j-1)n) + g(j, n),$$

or

$$-g(j, -n) = -2jn(j-1)n + g(j, n),$$

which can be established easily.

It is now immediate from Lemma 6 that

$$D_{k,n}L(-n)|V_k = L(n)U_{k,n}|V_k = Id|V_k.$$

This completes the proof of the theorem.

We do not see an immediate application of it, but what we actually proved in Theorem 5 was :

‘INVERSES’ OF VIRASORO OPERATORS

Theorem 7. Suppose :

(a). $(n^2 - 1)c - 12(i - 1)n + 24k \neq 0$ for any $i \geq 1$; or,

(b). $L(n)^{j-1}V_k = 0$ for all $j \geq i_0$, where

$$i_0 = \frac{(n^2 - 1)c + 24k}{12n} + 1.$$

Then

$$L(n)U_{k,n}|_{V_k} = Id|_{V_k},$$

and,

$$D_{k,n}L(-n)|_{V_k} = Id|_{V_k},$$

for all $n > 0$.

Corollary 8. Under the same assumption as in Theorem 7 (in particular if $c \geq 0$, $k_0 = 0$, and $k > 0$), we have

$$V_{k+n} = Ker(L(n)|_{V_{k+n}}) \oplus Im(L(-n)|_{V_k}),$$

for all $n > 0$.

Proof. Consider the exact sequence :

$$0 \longrightarrow Ker(L(n)|_{V_{k+n}}) \xrightarrow{i} V_{k+n} \xrightarrow{L(n)} V_k \longrightarrow 0,$$

where i is the natural injection. Since the ‘up’ operator $U_{k,n}$ splits the exact sequence, we have

$$V_{k+n} = Ker(L(n)|_{V_{k+n}}) \oplus Im(U_{k,n}).$$

Since

$$U_{k,n} = \sum_{j=1}^{\infty} a_j L(-n)^j L(n)^{j-1},$$

we obtain :

$$Im(U_{k,n}) \subseteq Im(L(-n)).$$

Hence

$$V_{k+n} = Ker(L(n)|_{V_{k+n}}) + Im(L(-n)|_{V_k}).$$

To show the sum is direct, let

$$v \in Ker(L(n)|_{V_{k+n}}) \cap Im(L(-n)|_{V_k}).$$

Then $v = L(-n)v'$, where $v' \in V_k$, and $L(n)v = 0$. We can now apply the ‘down’ operator

$$D_{k,n} = \sum_{j=1}^{\infty} a_j L(-n)^{j-1} L(n)^j,$$

to the both sides of the relation $v = L(-n)v'$ to obtain $v' = 0$. This completes the proof of the corollary.

'INVERSES' OF VIRASORO OPERATORS

REFERENCES

- [1] R.E.Borcherds, *Vertex algebras, Kac-Moody algebras, and the Monster*, Proc.Natl.Acad.Sci.USA **83** (1986), 3068-3071.
- [2] C.Dong,Z.Lin,and G.Mason, *On vertex operator algebras as sl_2 -module*, (to appear).
- [3] I.Frenkel,J.Lepowsky, and A.Meurman, *Vertex Operator Algebras and the Monster Monster*, Pure and Applied Math., Vol.134, Academic Press, 1988.
- [4] Li, *Symmetric invariant bilinear forms on vertex operator algebras*, J.Pure Appl. Algebra, to appear.