

Spherical functions on spherical homogeneous spaces and Rankin-Selberg convolution

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In this note, we study spherical functions on certain p -adic spherical homogeneous spaces. We show the existence, uniqueness and an explicit formula of the spherical functions, and study its application to Rankin-Selberg convolution. Though we treat only the orthogonal group case in this note, similar results hold for other cases.

§1. Preliminaries

1.1 In this and the next sections, we let F be a non-archimedean local field of characteristic different from 2, and denote by \mathfrak{o} the integer ring of F . Fix a prime element π of F and put $q = \#(\mathfrak{o}/\pi\mathfrak{o})$. Let $|\cdot|$ be the normalized valuation of F ($|\pi| = q^{-1}$). We denote by F_n^m the space of $m \times n$ matrices whose entries are in F . For a symmetric matrix S of degree m and $x \in F_n^m$, we put $S[x] = {}^t x S x$. For a real number α , we denote by $[\alpha]$ the integer with $[\alpha] \leq \alpha < [\alpha] + 1$.

1.2 Let m be a positive integer and put $n = \left\lfloor \frac{m}{2} \right\rfloor$. Let S_m be a symmetric matrix of degree m given by

$$S_m = \begin{cases} \begin{bmatrix} 0 & J_n \\ J_n & 0 \end{bmatrix} & \text{if } m \text{ is even} \\ \begin{bmatrix} 0 & 0 & J_n \\ 0 & 2 & 0 \\ J_n & 0 & 0 \end{bmatrix} & \text{if } m \text{ is odd} \end{cases}$$

where $J_n = \begin{bmatrix} 0 & 1 \\ & \ddots \\ 1 & 0 \end{bmatrix} \in GL_n(F)$. Denote by G_m (or $O(m)$) the orthogonal group

of S_m : $G_m = O(m) = \{g \in GL_m(F) \mid {}^t g S_m g = S_m\}$. Let $K_m = G_m(\mathfrak{o})$ be a maximal open compact subgroup of G_m . We normalize the Haar measure dg on G_m so that $\text{vol}(K_m) = 1$.

1.3 We define an embedding ι_m of G_m into G_{m+1} as follows:

(a) If $m = 2n$ is even,

$$\iota_m \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) = \begin{bmatrix} a & 0 & b \\ 0 & 1 & 0 \\ c & 0 & d \end{bmatrix}$$

where $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in G_m$ is the block decomposition corresponding to the partition $m = n + n$.

(b) If $m = 2n + 1$ is odd,

$${}^t_m \left(\begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{bmatrix} \right) = \begin{bmatrix} a_1 & \frac{a_2}{2} & \frac{a_2}{2} & a_3 \\ b_1 & \frac{b_2+1}{2} & \frac{b_2-1}{2} & b_3 \\ b_1 & \frac{b_2-1}{2} & \frac{b_2+1}{2} & b_3 \\ c_1 & \frac{c_2}{2} & \frac{c_2}{2} & c_3 \end{bmatrix}$$

where $\begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{bmatrix} \in G_m$ is the block decomposition corresponding to the partition $m = n + 1 + n$.

1.4 For an integer r ($1 \leq r \leq n = \lfloor \frac{m}{2} \rfloor$), let

$$N_{m,r} = \{ v_{m,r}(x, y) := \begin{bmatrix} 1_r - J_r {}^t x S_{m-2r} J_r (y - \frac{1}{2} S_{m-2r} [x]) \\ 0 & 1_{m-2r} & x \\ 0 & 0 & 1_r \end{bmatrix} \mid \\ x \in F_n^{m-2r}, y \in \text{Alt}_r(F) \}$$

and

$$M_{m,r} = \{ \mu_{m,r}(a, h) := \begin{bmatrix} a & 0 \\ h & \tilde{a} \\ 0 & \tilde{a} \end{bmatrix} \mid a \in GL_r(F), h \in G_{m-2r} \},$$

where $\text{Alt}_r = \{ y \in F_r^r \mid {}^t y + y = 0 \}$ and $\tilde{a} = J_r {}^t a^{-1} J_r$ for $a \in GL_r$. Then $P_{m,r} = N_{m,r} M_{m,r}$ is a maximal parabolic subgroup of G_m .

1.5 Let $T_m = \{ \mathbf{d}_m(t_1, \dots, t_n) \mid t_1, \dots, t_n \in F^\times \}$ be a maximal F -split torus of G_m , where $\mathbf{d}_m(t_1, \dots, t_n)$ denotes the matrix $\text{diag}(t_1, \dots, t_n, t_n^{-1}, \dots, t_1^{-1})$ if m is even and $\text{diag}(t_1, \dots, t_n, 1, t_n^{-1}, \dots, t_1^{-1})$ if m is odd. For $t = \mathbf{d}_m(t_1, \dots, t_n) \in T_m$, put $\delta_m(t) = d(tvt^{-1})/dv = \prod_{i=1}^n |t_i|^{m-2i}$, where dv is a Haar

measure on $N_{m,n}$ (= a maximal unipotent subgroup of G_m). Denote by $X_{\text{unr}}(T_m)$ the group of unramified characters of T_m . We let the Weyl group $W_m := N_{G_m}(T_m)/T_m$ act on $X_{\text{unr}}(T_m)$ by $(w\chi)(t) = \chi(w^{-1}tw)$.

1.6 Let $\mathcal{H}_m = \mathcal{H}(G_m, K_m)$ be the Hecke algebra of (G_m, K_m) . For $\chi \in X_{\text{unr}}(T_m)$, let ϕ_χ be a function on G_m defined by $\phi_\chi(vtk) = (\delta_m^{1/2}\chi)(t)$ for $v \in N_{m,n}$, $t \in T_m$, $k \in K_m$. Define a \mathbf{C} -homomorphism χ^\wedge of \mathcal{H}_m to \mathbf{C} by

$$\chi^\wedge(\varphi) = \int_{G_m} \phi_\chi(g) \varphi(g) dg \quad (\varphi \in \mathcal{H}_m).$$

Then $\chi \mapsto \chi^\wedge$ gives rise to a bijection between $W_m \backslash X_{\text{unr}}(T_m)$ and $\text{Hom}_{\mathbf{C}}(\mathcal{H}_m, \mathbf{C})$ (cf. [Sa]).

1.7 Let $T_r^* = \left\{ \begin{bmatrix} t_1 & & 0 \\ & \ddots & \\ 0 & & t_r \end{bmatrix} \mid t_1, \dots, t_r \in F^\times \right\}$ be a maximal split torus of $GL_r(F)$. Let $\xi \in X_{\text{unr}}(T_r^*)$ and $\chi \in X_{\text{unr}}(T_m)$. We often identify ξ and χ with $(\xi_1, \dots, \xi_r) \in (\mathbf{C}^\times)^r$ and $(\chi_1, \dots, \chi_n) \in (\mathbf{C}^\times)^n$ determined by $\xi(\text{diag}(\pi^{k_1}, \dots, \pi^{k_r})) = \xi_1^{k_1} \dots \xi_r^{k_r}$ and $\chi(\mathbf{d}_m(\pi^{\ell_1}, \dots, \pi^{\ell_n})) = \chi_1^{\ell_1} \dots \chi_n^{\ell_n}$ for $(k_1, \dots, k_r) \in \mathbf{Z}^r$ and $(\ell_1, \dots, \ell_n) \in \mathbf{Z}^n$, respectively. We define the L-factor $L(\xi \otimes \chi; s)$ by

$$L(\xi \otimes \chi; s) = \prod_{1 \leq i \leq r, 1 \leq j \leq n} \{(1 - \xi_i \chi_j q^{-s})(1 - \xi_i \chi_j^{-1} q^{-s})\}^{-1}.$$

We also define the L-factors $L(\xi, \text{Sym}^2; s)$ and $L(\xi, \text{Alt}^2; s)$ by

$$L(\xi, \text{Sym}^2; s) = \prod_{1 \leq i < j \leq r} (1 - \xi_i \xi_j q^{-s})^{-1}, \quad L(\xi, \text{Alt}^2; s) = \prod_{1 \leq i < j \leq r} (1 - \xi_i \xi_j^{-1} q^{-s})^{-1}.$$

§2. Local spherical functions

2.1 Let m' and r be non-negative integers and put $m = m' + 2r + 1$. Let

$$G = G_m, K = K_m, T = T_m, \mathcal{H} = \mathcal{H}_m, n = \left\lfloor \frac{m}{2} \right\rfloor$$

$$G' = G_{m'}, K' = K_{m'}, T' = T_{m'}, \mathcal{H}' = \mathcal{H}_{m'}, n' = \left\lfloor \frac{m'}{2} \right\rfloor$$

and identify G' with a subgroup of G via $g' \mapsto \mu_{m,r}(1, \iota_m(g'))$.

2.2 Let $U = U_{m,r} = N_{m,r} \cdot \{ \mu_{m,r}(z, 1) \mid z \in Z_r \}$ where Z_r is the group of upper unipotent matrices in $GL_r(F)$. Throughout this section, we fix an additive character ψ of F with conductor \mathfrak{o} . We define a character ψ_U of U by

$$\psi_U(v_{m,r}(x, y) \mu_{m,r}(z, 1)) = \psi(x_{n'+1,1} - \varepsilon_m x_{n'+2,1} + \sum_{i=1}^{r-1} z_{i,i+1})$$

for $x \in M_{m-2r,r}(F)$, $y \in \text{Alt}_r(F)$ and $z \in Z_r$, where we put

$$\varepsilon_m = \begin{cases} 1 & \text{if } m \text{ is even} \\ 0 & \text{if } m \text{ is odd.} \end{cases}$$

It is easy to see that G' normalizes U and fixes ψ_U .

2.3 For $(\chi', \chi) \in X_{\text{unr}}(T') \times X_{\text{unr}}(T)$, let

$$\Omega(\chi', \chi) = \{ \mathcal{W} : G \mapsto \mathbb{C} \mid$$

- (i) $\mathcal{W}(uk'gk) = \psi_U(u) \mathcal{W}(g)$ ($u \in U, k' \in K', g \in G, k \in K$)
- (ii) $\varphi'^* \mathcal{W} * \varphi = \chi'^{\wedge(\varphi')} \chi^{\wedge(\varphi)} \mathcal{W}$ ($\varphi' \in \mathcal{H}', \varphi \in \mathcal{H}$).

Here

$$(\varphi'^* \mathcal{W} * \varphi)(g) = \int_{G'} dx' \int_G dx \varphi'(x') \mathcal{W}(x'gx) \varphi(x).$$

We call $\Omega(\chi', \chi)$ the space of spherical functions on G attached to (χ', χ) .

2.4 Remark

- (i) Let $\mathbf{G} = G' \times G$ and $\mathbf{H} = (UG')^{\text{diag}} \subset \mathbf{G}$. Then \mathbf{H} is a spherical subgroup of \mathbf{G} and $\mathcal{W} \in \Omega(\chi', \chi)$ may be regarded as a spherical function of (\mathbf{G}, \mathbf{H}) (cf. [GP]).
- (ii) When $m' = 0$ or 1 , these functions are the usual Whittaker functions. Bump, Friedberg and Furusawa [BFF] have studied the spherical functions in the case $m' = 2$, and Murase and Sugano [MS] considered the case $r = 0$.

2.5 Let $L_n = \mathbf{Z}^n$ and $L_n^+ = \{(\ell_1, \dots, \ell_n) \in L_n \mid \ell_1 \geq \dots \geq \ell_n \geq 0\}$. For $\ell = (\ell_1, \dots, \ell_n) \in L_n$, put $t_m(\ell) = \mathbf{d}_m(\pi^{\ell_1}, \dots, \pi^{\ell_n}) \in T_m$. We define $t_m(\ell') \in T_m$, for $\ell' \in L_n$, similarly. Let g_0 be an element of G given by

$$g_0 = \begin{cases} \mu_{m,r}(1, \begin{bmatrix} A & 0 \\ 0 & \tilde{A} \end{bmatrix}) & \text{if } m \text{ is even} \\ \mu_{m,r}(1, \begin{bmatrix} 1_{n'} & -2^t X & -{}^t X X J_{n'} \\ 0 & 1 & X \\ 0 & 0 & 1_{n'} \end{bmatrix}) & \text{if } m \text{ is odd} \end{cases}$$

where $X = (1, \dots, 1) \in F^{n'}$ and $A = \begin{bmatrix} 1_{n'} & {}^t X \\ 0 & 1 \end{bmatrix} \in GL_{n'+1}(F)$. For $(\ell', \ell) \in L_n \times L_n$, put $g(\ell', \ell) = t_m(\ell') g_0 t_m(\ell) \in G$.

2.6 Theorem (Cartan decomposition) *We have*

$$G = \coprod UK' \cdot g(\ell', \ell) \cdot K \quad (\text{disjoint union})$$

where ℓ' runs over L_n^+ , and ℓ over $L_r \times L_{n-r}^+$.

2.7 Corollary *For $w \in \Omega(\chi', \chi)$, we have*

$$\text{Supp } w \subset \coprod UK' \cdot g(\ell', \ell) \cdot K$$

where ℓ' runs over L_n^+ , and ℓ over L_n^+ .

2.8 Using the Cartan decomposition (Corollary 2.7) and a similar method of [Shin] and [Ka], we obtain the following existence and uniqueness of spherical functions:

Theorem *For $(\chi', \chi) \in X_{\text{unr}}(T') \times X_{\text{unr}}(T)$, there uniquely exists $w_{\chi', \chi} \in \Omega(\chi', \chi)$ with $w_{\chi', \chi}(1) = 1$. In particular, we have $\dim_{\mathbf{C}} \Omega(\chi', \chi) = 1$.*

2.9 For $\chi \in X_{\text{unr}}(T)$, we put

$$\Delta_m(\chi) = \prod_{1 \leq i < j \leq n} (1 - \chi_i^{-1} \chi_j) (1 - \chi_i^{-1} \chi_j^{-1}) \times \begin{cases} 1 & \text{if } m \text{ is even} \\ \prod_{1 \leq i \leq n} (1 - \chi_i^{-2}) & \text{if } m \text{ is odd.} \end{cases}$$

We define $\Delta_{m'}(\chi')$ for $\chi' \in X_{\text{unr}}(T')$ similarly. For $(\chi', \chi) \in X_{\text{unr}}(T) \times X_{\text{unr}}(T')$, we put

$$\mathcal{D}(\chi', \chi) = \Delta_{m'}(\chi')^{-1} \Delta_m(\chi)^{-1} \prod_{\substack{1 \leq i \leq n' \\ 1 \leq j \leq n}} (1 - q^{-1/2}(\chi'_i \chi_j^{-1})^{\eta_{ij}}) (1 - q^{-1/2}(\chi'_i \chi_j)^{-1})$$

where $\eta_{ij} = \begin{cases} 1 & \text{if } j \leq r + i \\ -1 & \text{if } j > r + i. \end{cases}$ Put

$$Q_{m'} = \begin{cases} (1 - q^{-n'}) \prod_{1 \leq i \leq n'-1} (1 - q^{-2i}) & \text{if } m' = 2n' \\ \prod_{1 \leq i \leq n'} (1 - q^{-2i}) & \text{if } m' = 2n' + 1. \end{cases}$$

2.10 The following explicit formula can be proved by a method similar to that of [CS].

Theorem For $(\chi', \chi) \in X_{\text{unr}}(T) \times X_{\text{unr}}(T')$, let $w_{\chi', \chi} \in \Omega(\chi', \chi)$ be as in Theorem 2.8. Then, for $(\ell', \ell) \in L_n^+ \times L_n^+$, we have

$$w_{\chi', \chi}(g(\ell', \ell)) = \frac{1}{Q_{m'}} \sum_{w' \in W_{m'}, w \in W_m} \mathcal{D}(w'\chi', w\chi) \\ \times (w'\chi' \cdot \delta_m^{1/2})(t_{m'}(\ell')) \cdot (w\chi \cdot \delta_m^{1/2})(t_m(\ell)).$$

§3. Application to Rankin-Selberg convolution

3.1 Let $G = G_m$ and $G^* = G_{m-1}$ be the orthogonal group of S_m and S_{m-1} defined over \mathbf{Q} . We regard G^* as a subgroup of G via ι_{m-1} . Let r be an integer with $1 \leq r \leq \lfloor \frac{m-1}{2} \rfloor$. Let $P^* = N_{m-1,r} M_{m-1,r}$ be a maximal parabolic subgroup of G^* and put $G' = G_{m'}$ with $m' = m - 2r - 1$. Then $\mu^* = \mu_{m-1,r}$ gives an isomorphism of $GL_r \times G'$ onto $M_{m-1,r}$.

3.2 Let φ be an automorphic form on $GL_r(\mathbf{A})$ with central character ω . Assume that φ is right-invariant under $\prod_{p < \infty} GL_r(\mathbf{Z}_p)$ and square integrable over $GL_r(\mathbf{Q}) \backslash GL_r(\mathbf{A})^1$, where $GL_r(\mathbf{A})^1 = \{g \in GL_r(\mathbf{A}) \mid |\det(g)|_{\mathbf{A}} = 1\}$. We also

let f be an automorphic form on $G'(\mathbf{A})$ right-invariant under $\prod_{p<\infty} G'(Z_p)$ and square integrable over $G'(\mathbf{Q}) \backslash G'(\mathbf{A})$. Define a function $\phi(\cdot; \varphi \otimes f)$ on $G^*(\mathbf{A}) \times \mathbf{C}$ by

$$\phi(v^* \mu^*(a, g') k^*, s; \varphi \otimes f) = \varphi(a) f(g') |\det a|_{\mathbf{A}}^{s+(m'+r-1)/2},$$

where $v^* \in N_{m-1,r}(\mathbf{A})$, $a \in GL_r(\mathbf{A})$, $g' \in G'(\mathbf{A})$ and $k^* \in K_{\infty}^* \prod_{p<\infty} G^*(Z_p)$ (K_{∞}^* is a suitable maximal compact subgroup of $G^*(\mathbf{R})$). The Eisenstein series

$$E(g^*, s; \varphi \otimes f) = \sum_{\gamma \in P^*(\mathbf{Q}) \backslash G^*(\mathbf{Q})} \phi(\gamma g^*, s; \varphi \otimes f)$$

is absolutely convergent for $\operatorname{Re}(s) \gg 0$ and continued to a meromorphic function of s on the whole \mathbf{C} .

3.3 Let F be a cusp form on $G(\mathbf{A})$ right-invariant under $\prod_{p<\infty} G(Z_p)$. The object of this section is to study the following Rankin-Selberg convolution

$$Z_{F, \varphi \otimes f}(s) = \int_{G^*(\mathbf{Q}) \backslash G^*(\mathbf{A})} F(g^*) E(g^*, s - \frac{1}{2}; \varphi \otimes f) dg^*.$$

The function $Z_{F, \varphi \otimes f}(s)$ is continued to a meromorphic function of s on the whole \mathbf{C} .

3.4 Let $U = U_{m,r} \subset G$ and $\psi_U \in \operatorname{Hom}(U(\mathbf{A}), \mathbf{C}^{\times})$ be as in §2.2 replacing ψ with the additive character $\psi_{\mathbf{A}}$ of $\mathbf{Q} \backslash \mathbf{A}$ such that $\psi_{\mathbf{A}}(x_{\infty}) = \exp(2\pi i x_{\infty})$ for $x_{\infty} \in \mathbf{R}$. We set

$$w_{f,F}(g) = \int_{U(\mathbf{Q}) \backslash U(\mathbf{A})} du \int_{G'(\mathbf{Q}) \backslash G'(\mathbf{A})} dg' f(g') \psi_U(u)^{-1} F(u \mu^*(1, g') g)$$

for $g \in G(\mathbf{A})$ and

$$W_{\varphi}(x) = \int_{Z_r(\mathbf{Q}) \backslash Z_r(\mathbf{A})} \psi_{\mathbf{A}}\left(\sum_{i=1}^{r-1} z_{i,i+1}\right) \varphi(zx) dz$$

for $x \in GL_r(\mathbf{A})$.

3.5 Unfolding the Eisenstein series in the integral of $Z_{F, \varphi \otimes f}(s)$, we get

Proposition (The basic identity)

$$Z_{F, \varphi \otimes f}(s) = \int_{(\mathbf{A}^\times)^r} W_\varphi(\text{diag}(t_1, \dots, t_r)) \mathcal{W}_{f, F}(\mu^*(\text{diag}(t_1, \dots, t_r), 1)) \\ \times \prod_{i=1}^r |t_i|_{\mathbf{A}}^{s-(m+r+1)/2+2i} d^\times t_1 \dots d^\times t_r.$$

3.6 We now assume that φ , f and F are Hecke eigenform. Let $\xi_p \in X_{\text{unr}}(T_r^*(\mathbf{Q}_p))$, $\chi'_p \in X_{\text{unr}}(T_m(\mathbf{Q}_p))$ and $\chi_p \in X_{\text{unr}}(T_m(\mathbf{Q}_p))$ be the corresponding Satake parameters at p . For each p , the restriction of $\mathcal{W}_{f, F}$ to $G(\mathbf{Q}_p)$ belongs to $\Omega(\chi'_p, \chi_p)$. Then Theorem 2.8 implies that

$$\mathcal{W}_{f, F}(g) = \mathcal{W}_{f, F}^{(\infty)}(g_\infty) \prod_{p < \infty} \mathcal{W}_{\chi'_p, \chi_p}(g_p)$$

for $g = g_\infty \prod_{p < \infty} g_p \in G(\mathbf{A})$, where $\mathcal{W}_{f, F}^{(\infty)}$ is the restriction of $\mathcal{W}_{f, F}$ to $G(\mathbf{R})$. It is well-known that a similar fact holds for W_φ :

$$W_\varphi(x) = W_\varphi^{(\infty)}(x_\infty) \prod_{p < \infty} W_{\xi_p}(x_p)$$

for $x = x_\infty \prod_{p < \infty} x_p \in GL_r(\mathbf{A})$, where W_{ξ_p} is the p -adic Whittaker function attached to ξ_p on $GL_r(\mathbf{Q}_p)$ with $W_{\xi_p}(1) = 1$ (cf. [Shin]) and $W_\varphi^{(\infty)}$ is the restriction of $W_\varphi^{(\infty)}$ to $GL_r(\mathbf{R})$. Therefore we obtain the Euler product decomposition for $Z_{F, \varphi \otimes f}(s)$:

$$Z_{F, \varphi \otimes f}(s) = Z_{F, \varphi \otimes f}^{(\infty)}(s) \prod_{p < \infty} Z_p(s), \\ Z_{F, \varphi \otimes f}^{(\infty)}(s) = \int_{(\mathbf{R}^\times)^r} W_\varphi^{(\infty)}(\text{diag}(t_1, \dots, t_r)) \mathcal{W}_{f, F}^{(\infty)}(\mu^*(\text{diag}(t_1, \dots, t_r), 1)) \\ \times \prod_{i=1}^r |t_i|_\infty^{s-(m+r+1)/2+2i} d^\times t_1 \dots d^\times t_r,$$

$$Z_p(s) = \int_{(\mathbb{R}^\times)^r} W_{\xi_p}(\text{diag}(t_1, \dots, t_r)) \mathcal{W}_{\chi_p', \chi_p}(\mu^*(\text{diag}(t_1, \dots, t_r), 1)) \\ \times \prod_{i=1}^r |t_i|_p^{s-(m+r+1)/2+2i} d^\times t_1 \dots d^\times t_r.$$

3.7 By using Theorem 2.10 and Shintani's explicit formula for W_{ξ_p} ([Shin]), we obtain the following:

Theorem

$$Z_p(s) = \frac{L(\xi_p \otimes \chi_p; s)}{L(\xi_p \otimes \chi_p'; s + 1/2)} \times \begin{cases} L(\xi_p, \text{Sym}^2, 2s)^{-1} & \text{if } m \text{ is even} \\ L(\xi_p, \text{Alt}^2, 2s)^{-1} & \text{if } m \text{ is odd.} \end{cases}$$

3.8 **Remark.** Similar results hold for the integral of F on $O(m)$ against the restriction to $O(m)$ of Eisenstein series on $O(m+1)$.

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