

ON  $-P \cdot P$  OF SURFACE SINGULARITIES

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1. INTRODUCTION

Let  $(X, x)$  be a normal surface singularity over the complex number field  $\mathbb{C}$  and  $f: (M, A) \rightarrow (X, x)$  a resolution of the singularity  $(X, x)$ . Let  $K$  be the canonical divisor on  $M$ . Let  $A = \bigcup_{i=1}^k A_i$  be the decomposition of the exceptional set  $A$  into irreducible components. Assume that  $f$  is the minimal good resolution, i.e.,  $f$  is the smallest resolution for which  $A$  consists of non-singular curves intersecting among themselves transversally, with no three through one point. It is well known that there exists a unique minimal good resolution.

**Definition 1.1.** By [12, Theorem A.1],  $K + A$  admits a unique Zariski-decomposition  $P + N$ ,  $P, N \in \sum_{i=1}^k \mathbb{Q}A_i$ , where

- (1)  $(K + A) \cdot A_i = (P + N) \cdot A_i$  for all  $i$ .
- (2)  $P$  is  $f$ -nef, i.e.,  $P \cdot A_i \geq 0$  for all  $i$ .
- (3)  $N$  is effective.
- (4)  $P \cdot N = 0$ .

Then we define the invariant  $P^2$  by  $P^2 := P \cdot P$ .

The  $P \cdot P$  is a topological invariant and its fundamental properties are stated in [15]. It is expected that  $P^2$  has many of nice properties of the invariant  $K \cdot K$  studied by Laufer [8]. The upper semicontinuity of  $-P^2$  in a family of surface singularities follows from that of the  $L^2$ -plurigenera  $\delta_m$  (cf. [2]), since the following equality holds (see [15, Introduction]):

$$-P \cdot P/2 = \limsup_{m \rightarrow \infty} \delta_m/m^2.$$

In this note, we prove the following.

**Theorem .** *Let  $\pi: X \rightarrow T$  be a deformation of a normal Gorenstein surface singularity such that  $T$  is a neighborhood of the origin of  $\mathbb{C}$ . Let  $P_t^2$  be the invariant of the fiber  $X_t, t \in T$ . Then the following conditions are equivalent:*

- (1)  $\pi$  admits the simultaneous log-canonical model.
- (2)  $P_t^2$  is constant.

## 2. PRELIMINARIES

Let  $X$  be a normal variety over  $\mathbb{C}$  of dimension  $d \geq 2$ , and  $X_{\text{sing}}$  the singular locus of  $X$ . Let  $f: Y \rightarrow X$  be a birational morphism of normal varieties and  $E = f^{-1}(X_{\text{sing}})_{\text{red}}$  the largest reduced exceptional divisor on  $Y$ . For a  $\mathbb{Q}$ -Cartier divisor  $D$  on  $X$ , we denote by  $f^{\dagger}D$  the sum of the divisors  $E$  and the strict transform of  $D$  under the morphism  $f$ . The morphism  $f: Y \rightarrow X$  is called a good resolution of the pair  $(X, D)$ , if  $Y$  is nonsingular and the support of  $f^{\dagger}D$  is a divisor with only simple normal crossings.

**Definition 2.1** (cf. [7], [13]). Let  $B$  be a reduced divisor on  $X$ . The divisor  $K_X + B$  is said to be log-canonical if the following conditions are satisfied:

- (1)  $K_X + B$  is a  $\mathbb{Q}$ -Cartier divisor.
- (2) There exists a good resolution  $f: Y \rightarrow X$  of  $(X, B)$  such that

$$K_Y + f^{\dagger}B = f^*(K_X + B) + \sum a_i E_i$$

for  $a_i \in \mathbb{Q}$  with the condition that  $a_i \geq 0$ , where the  $E_i$  are the exceptional prime divisors.

**Definition 2.2** (cf. [7], [13]). Let  $f: Y \rightarrow X$  be a partial resolution with the exceptional divisor  $E = f^{-1}(X_{\text{sing}})_{\text{red}}$ . Then the morphism  $f: Y \rightarrow X$  is called a log-canonical model of  $X$ , if the divisor  $K_Y + E$  is log-canonical and  $K_Y + E$  is  $f$ -ample.

**Theorem 2.3** (cf. [6], [13]). *Let  $X$  be a normal variety of dimension  $d \leq 3$ . Then there exists the log-canonical model  $f: Y \rightarrow X$  of  $X$ . In fact, the following morphism gives the log-canonical model:*

$$\text{Proj} \left( \bigoplus_{n \geq 0} f_* \mathcal{O}_Y(n(K_Y + E)) \right) \rightarrow X,$$

where  $f: Y \rightarrow X$  is a partial resolution with  $E = f^{-1}(X_{\text{sing}})_{\text{red}}$  such that the divisor  $K_Y + E$  is log-canonical.

## 3. THE PLURIGENERA

In this section, we describe basic facts concerning plurigenera of normal isolated singularities needed later.

**Definition 3.1** (cf. [9], [16]). Let  $(X, x)$  be a normal isolated singularity and  $f: (M, A) \rightarrow (X, x)$  a good resolution of the singularity  $(X, x)$ . We define the log-plurigenera

$\{\lambda_m(X, x)\}_{m \in \mathbb{N}}$  and the  $L^2$ -plurigenera  $\{\delta_m(X, x)\}_{m \in \mathbb{N}}$  by

$$\begin{aligned}\lambda_m(X, x) &= \dim_{\mathbb{C}} \mathcal{O}_X(mK_X)/f_*\mathcal{O}_M(m(K_M + A)) \text{ and} \\ \delta_m(X, x) &= \dim_{\mathbb{C}} \mathcal{O}_X(mK_X)/f_*\mathcal{O}_M(m(K_M + A) - A), \text{ respectively.}\end{aligned}$$

The definition does not depend on the choice of the good resolution.

**Lemma 3.2.** *Let  $X$  be a normal variety and  $B$  a reduced divisor on  $X$  such that  $K_X + B$  is log-canonical. Let  $f: Y \rightarrow X$  be a good resolution of the pair  $(X, B)$  with  $B_Y := f^*B$ . Then we have  $f_*\mathcal{O}_Y(m(K_Y + B_Y)) = \mathcal{O}_X(m(K_X + B))$ .*

*Proof.* It is clear that  $f_*\mathcal{O}_Y(m(K_Y + B_Y)) \subset \mathcal{O}_X(m(K_X + B))$ . We assume that  $X$  is affine, and we show that  $f_*\mathcal{O}_Y(m(K_Y + B_Y)) \supset \mathcal{O}_X(m(K_X + B))$ .

Let  $r$  be the index of the divisor  $K_X + B$  and  $m$  a positive integer which divides by  $r$ . By assumption, we have that  $m(K_Y + B_Y) \geq f^*(m(K_X + B))$ . Hence we obtain that

$$H^0(\mathcal{O}_Y(m(K_Y + B_Y))) \supset H^0(f^*\mathcal{O}_X(m(K_X + B))) = H^0(\mathcal{O}_X(m(K_X + B))).$$

For any positive integer  $m$  and any element  $\omega$  in  $H^0(\mathcal{O}_X(m(K_X + B)))$ , we obtain that  $v_{E_i}(\omega^r) \geq -mr$  for all exceptional prime divisor  $E_i$  on  $Y$ , where  $v_{E_i}$  is the valuation associated to the prime divisor  $E_i$ . Hence  $\omega$  belongs to  $H^0(\mathcal{O}_Y(m(K_Y + B_Y)))$ .  $\square$

**Corollary 3.3.** *Let  $(X, x)$  be a normal isolated singularity and  $f: Y \rightarrow X$  a partial resolution with  $E = f^{-1}(x)_{red}$  such that  $K_Y + E$  is log-canonical. Then we have*

$$\lambda_m(X, x) = \dim_{\mathbb{C}} \mathcal{O}_X(mK_X)/f_*\mathcal{O}_Y(m(K_Y + E)).$$

Let  $\pi: X \rightarrow T$  be a deformation of a normal Gorenstein surface singularity  $(X_0, x) = \pi^{-1}(0)$ , where  $T$  is a neighborhood of the origin of  $\mathbb{C}$ . Put  $X_t := \pi^{-1}(t)$ . Then we define the  $m$ -th log-plurigenus and  $m$ -th  $L^2$ -plurigenus of  $X_t$  by

$$\lambda_m(X_t) := \sum_{p \in (X_t)_{sing}} \lambda_m(X_t, p) \quad \text{and} \quad \delta_m(X_t) := \sum_{p \in (X_t)_{sing}} \delta_m(X_t, p).$$

Let  $\psi_t: M_t \rightarrow X_t$  be the minimal good resolution of the singularities and  $K_t$  the canonical divisor on  $M_t$ . Let  $A_{t,p}$  be the connected component of the exceptional set  $A_t$  on  $M_t$  which blows down to  $p \in (X_t)_{sing}$ . Let  $P_{t,p} + N_{t,p}$  be the Zariski decomposition of  $K_t + A_{t,p}$ . Here,  $P_{t,p}$  and  $N_{t,p}$  are  $\mathbb{Q}$ -divisor supported in  $A_{t,p}$ . We define the  $\mathbb{Q}$ -divisor  $P_t$  on  $M_t$  by  $P_t := \sum_{p \in (X_t)_{sing}} P_{t,p}$ . We put  $P_t^2 := -P_t \cdot P_t$  and define the function  $\mathcal{P}: T \rightarrow \mathbb{Q}$  by  $\mathcal{P}(t) = -P_t^2$ . From [15, Theorem 1.6], [11, Remark 2.7] and Introduction, we obtain the following.

**Theorem 3.4.** *For any  $m \in \mathbb{N}$ ,*

$$(3.1) \quad \lambda_m(X_t) = \mathcal{P}(t)m^2/2 + P_t \cdot K_t m/2 + b_t(m) \quad \text{and}$$

$$(3.2) \quad \delta_m(X_t) = \mathcal{P}(t)(m-1)^2/2 - P_t \cdot K_t(m-1)/2 + b'_t(m),$$

where  $b_t$  and  $b'_t$  are bounded functions. Furthermore, the function  $\mathcal{P}$  is upper semicontinuous.

#### 4. SOME INVARIANTS WHICH DEPEND ON A DEFORMATION

In this section, we fix the following notation. Let  $\pi: X \rightarrow T$  be a deformation of a normal Gorenstein surface singularity  $(X_0, x) = \pi^{-1}(0)$ , where  $T$  is a neighborhood of the origin of  $\mathbb{C}$ . Then  $X$  is a three-dimensional Gorenstein variety. Therefore, for any  $t \in T$ , we have the isomorphism  $\mathcal{O}_{X_t}(mK_X) \cong \mathcal{O}_{X_t}(mK_{X_t})$ . We denote by  $Y_t$  the fiber  $f^{-1}(t)$  and put  $f_t := f|_{Y_t}$ . Let  $f: Y \rightarrow X$  be the log-canonical model of  $X$  with  $E = f^{-1}(X_{\text{sing}})_{\text{red}}$ . We define the sheaves by  $\mathcal{I}_m := f_*\mathcal{O}_Y(m(K_Y + E))$  and  $\mathcal{Q}_m := \mathcal{O}_X(mK_X)/\mathcal{I}_m$  for any  $m \in \mathbb{N}$ . We put  $T^* := T \setminus \{0\}$ . We assume that  $T$  is sufficiently small.

Let  $\mathbb{C}(t)$  be the residue field of  $t \in T$ , i.e.,  $\mathbb{C}(t) = \mathcal{O}_{T,t}/\mathcal{M}_t$ , where  $\mathcal{M}_t$  is the maximal ideal. We use the symbol  $\otimes \mathbb{C}(t)$  instead of  $\otimes_{\mathcal{O}_T} \mathbb{C}(t)$ . By Nakayama's Lemma, we obtain that

$$(4.1) \quad \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(t) \leq \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(0),$$

where the equality holds if and only if  $\mathcal{Q}_m$  is a torsion free  $\mathcal{O}_T$ -module. Let  $\mathcal{I}_{m,0}$  be the image of the homomorphism  $\mathcal{I}_m \otimes \mathbb{C}(0) \rightarrow \mathcal{O}_{X_0}(mK_{X_0})$ .

The following Lemmas are proved by an argument similar to that in [4, §1].

**Lemma 4.1.** *The following conditions are equivalent.*

- (1) *The equality in (4.1) holds.*
- (2)  *$\mathcal{Q}_m$  is a torsion free  $\mathcal{O}_T$ -module.*
- (3)  *$\mathcal{I}_m \otimes \mathbb{C}(0) = \mathcal{I}_{m,0}$ .*

**Lemma 4.2.** *For any  $t \in T^*$ , the restriction  $f_t: Y_t \rightarrow X_t$  is the log-canonical model of  $X_t$ . Moreover, for each  $m \in \mathbb{N}$ , there exists a closed analytic subset  $S_m$  of  $T$  containing the origin such that  $\lambda_m(X_t) = \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(t)$ , for all  $t \in T \setminus S_m$ .*

Let  $\psi: (M, A) \rightarrow (X_0, x)$  be a good resolution. For every  $m \in \mathbb{N}$ , we put  $\mathcal{A}_m := \psi_*\mathcal{O}_M(m(K_M + A))$  and define the invariant  $\epsilon_m$  and  $\theta_m$  by

$$\begin{aligned} \epsilon_m &:= \dim_{\mathbb{C}} \mathcal{A}_m / (\mathcal{I}_{m,0} \cap \mathcal{A}_m) \\ \theta_m &:= \dim_{\mathbb{C}} \mathcal{I}_{m,0} / (\mathcal{A}_m \cap \mathcal{I}_{m,0}). \end{aligned}$$

Then we have the diagram

$$\begin{array}{ccc} \mathcal{A}_m \cap \mathcal{I}_{m,0} & \longrightarrow & \mathcal{I}_{m,0} \\ \downarrow & & \downarrow \\ \mathcal{A}_m & \longrightarrow & \mathcal{O}_{X_0}(mK_{X_0}). \end{array}$$

From (4.1) and Lemma 4.2, we have the following inequality for every  $m \in \mathbb{N}$ :

$$(4.2) \quad \lambda_m(X_t) \leq \lambda_m(X_0) + \epsilon_m - \theta_m.$$

**Lemma 4.3.** *There exist  $a, b \in \mathbb{Q}$  such that  $\epsilon_m \leq am + b$ .*

*Proof.* First, we show that  $\psi_*\mathcal{O}_M(mK_M + (m-1)A) \subset \mathcal{I}_{m,0} \cap \mathcal{A}_m$ . Let  $\omega$  be a section of  $\psi_*\mathcal{O}_M(mK_M + (m-1)A)$ . By [2, Theorem 2.1], there exists a section  $\omega'$  of  $f_*\mathcal{O}_Y(mK_Y + (m-1)E)$  of which the image in  $\mathcal{O}_{X_0}(mK_{X_0})$  is  $\omega$ . Since  $f_*\mathcal{O}_Y(mK_Y + (m-1)E) \subset \mathcal{I}_m$ , we see that  $\omega$  belongs to  $\mathcal{I}_{m,0}$ . Hence we obtain the inclusion. Then the inclusion implies that

$$\epsilon_m \leq \dim_{\mathbb{C}} \mathcal{A}_m / \psi_*\mathcal{O}_M(mK_M + (m-1)A) = \delta_m(X_0, x) - \lambda_m(X_0, x).$$

From Theorem 3.4, we obtain the assertion.  $\square$

In [14], Tomari and Watanabe proved their main theorem by using Izumi's results on the analytic orders [5]. We need their useful arguments. The following lemma is the version due to Ishii.

**Lemma 4.4** (Ishii [3, Lemma 1.5]). *Let  $(W, w)$  be a  $d$ -dimensional normal isolated singularity and  $h: W_1 \rightarrow W$  a resolution of the singularity which factors through the blowing up by the maximal ideal of the singular point. Let  $F = \bigcup_{i=1}^k F_i$  be the exceptional divisor on  $W_1$ , where the  $F_i$  are irreducible components. Then there exist positive numbers  $\beta \in \mathbb{R}$  and  $b \in \mathbb{N}$  such that:*

*For an  $\mathcal{O}_W$ -ideal  $J = h_*\mathcal{O}_{W_1}(-\sum_{i=1}^k a_i F_i)$  with  $a_i > b$  for some  $i$ , the inequalities  $\dim_{\mathbb{C}} \mathcal{O}_W/J \geq \beta(a_i)^d$  ( $i = 1, \dots, k$ ) hold.*

**Lemma 4.5.** *If  $\theta_r \neq 0$  for some  $r \in \mathbb{N}$ , then there exists a positive integer  $c \in \mathbb{R}$  such that  $\theta_{mr} \geq cm^2$  for all  $m \in \mathbb{N}$ .*

*Proof.* Assume  $\theta_r \neq 0$ . By Lemma 3.2, we may assume that  $\psi: (M, A) \rightarrow (X_0, x)$  is a good resolution of the singularity which factors through the blowing up by the maximal ideal of the singular point. Let  $\omega$  be a section of  $\mathcal{I}_{r,0}$  which does not belong to  $\mathcal{A}_r$ . We define a homomorphism  $\varphi_m: \mathcal{O}_{X_0} \rightarrow \mathcal{I}_{mr,0}$  by  $\varphi_m(s) = s\omega^m$  for every  $m \in \mathbb{N}$ . We denote by  $J_m$  the inverse image  $\varphi_m^{-1}(\mathcal{A}_{mr} \cap \mathcal{I}_{mr,0})$ . Then we have the injection

$$\mathcal{O}_{X_0}/J_m \rightarrow \mathcal{I}_{mr,0}/\mathcal{A}_{mr} \cap \mathcal{I}_{mr,0}.$$

We put  $a_i := \min\{v_i(\omega) + r, 0\}$ , where  $v_i$  is the valuation at an irreducible component  $A_i$  of  $A$ . Then  $J_m = \psi_* \mathcal{O}_M(\sum m a_i A_i)$ . By the choice of  $\omega$ , there exists a component  $A_i$  such that  $a_i < 0$ . By Lemma 4.4, there exists  $c \in \mathbb{R}$  such that  $\theta_{mr} \geq cm^2$  for any  $m \in \mathbb{N}$ .  $\square$

**Corollary 4.6.** *If  $\mathcal{P}(t)$  is constant, then  $\theta_m = 0$  for all  $m \in \mathbb{N}$ .*

*Proof.* It follows from Theorem 3.4, (4.2) and lemmas above.  $\square$

## 5. MAIN THEOREM

In this section, we prove the main theorem. We use the same notation as in the preceding section.

**Definition 5.1.** Let  $f: Y \rightarrow X$  be the log-canonical model of  $X$  with the exceptional divisor  $E$ . We call  $f$  the simultaneous log-canonical model, SLC model for short, if the restriction  $f_t: Y_t \rightarrow X_t$  is the log-canonical model of  $X_t$  and  $K_{Y_t} + E_t$  is log-canonical for any  $t \in T$ .

**Definition 5.2.** For any  $m \in \mathbb{N}$ , we define the function  $\Lambda_m: T \rightarrow \mathbb{Z}$  by  $\Lambda_m(t) := \lambda_m(X_t)$ .

The following Lemma is proved by an argument similar to that in Lemma 4.5.

**Lemma 5.3.** *Let  $g: (X', B) \rightarrow (X_0, x)$  be a partial resolution such that  $K_{X'} + B$  is log-canonical. Let  $D$  be a reduced divisor on  $X'$  such that  $0 \leq D \leq B$ . For every  $m \in \mathbb{N}$ , we define the invariant  $\nu_m(X'; B, D)$  by*

$$\nu_m(X'; B, D) = \dim_{\mathbb{C}} g_* \mathcal{O}_M(m(K_{X'} + B)) / g_* \mathcal{O}_M(m(K_{X'} + D)).$$

*If  $\nu_r(X'; B, D) \neq 0$  for some  $r \in \mathbb{N}$ , then there exists a positive integer  $c \in \mathbb{R}$  such that  $\nu_{mr}(X'; B, D) \geq cm^2$  for all  $m \in \mathbb{N}$ .*

**Proposition 5.4.** *Assume that there exists the SLC model of the deformation  $\pi: X \rightarrow T$ . Then the function  $\Lambda_m$  is constant for  $m \gg 0$ .*

*Proof.* Let  $f: Y \rightarrow X$  be the SLC model of the deformation  $\pi$ . Since  $K_Y + E$  is  $f$ -ample,  $R^1 f_* \mathcal{O}_Y(m(K_Y + E)) = 0$  for  $m \gg 0$ . From the exact sequence (cf. [10])

$$\begin{aligned} 0 \rightarrow f_* \mathcal{O}_Y(m(K_Y + E)) &\rightarrow f_* \mathcal{O}_Y(m(K_Y + E)) \rightarrow f_* \mathcal{O}_{Y_0}(m(K_{Y_0} + E_0)) \\ &\rightarrow R^1 f_* \mathcal{O}_Y(m(K_Y + E)), \end{aligned}$$

we have  $f_* \mathcal{O}_{Y_0}(m(K_{Y_0} + E_0)) = \mathcal{I}_m \otimes \mathbb{C}(0)$  for  $m \gg 0$ . Since  $f_* \mathcal{O}_{Y_0}(m(K_{Y_0} + E_0))$  is a submodule of  $\mathcal{O}_{X_0}(mK_{X_0})$ , we have the equality  $\mathcal{I}_m \otimes \mathbb{C}(0) = \mathcal{I}_{m,0}$ . Then Lemma 4.1 and Lemma 4.2 imply that

$$\lambda_m(X_t) = \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(0).$$

We denote by  $B$  the exceptional set on  $Y_0$ . Since  $E_0 \leq B$ , we obtain the equality

$$\dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(0) = \lambda_m(X_0, x) + \nu_m(Y_0; B, E_0).$$

Since  $\mathcal{P}(t)$  is upper semicontinuous,  $\nu_m(Y_0; B, E_0) = 0$  by the lemma above.  $\square$

**Lemma 5.5.**  $\mathcal{Q}_m$  is a torsion free  $\mathcal{O}_T$ -module for any  $m \in \mathbb{N}$ , if  $\mathcal{P}$  is constant.

*Proof.* We assume that there exists a section  $\omega \in \mathcal{O}_X(rK_X) \setminus \mathcal{I}_r$  of which the image in  $\mathcal{Q}_r$  is a torsion element. Then there exists an exceptional prime divisor  $F$  on  $Y$  lying over  $X_0$  such that  $v_F(\omega) < -r$ . We note that  $F$  is a projective surface. Let  $\mathcal{I}_F$  be the  $\mathcal{O}_Y$ -ideal of the subvariety  $F$ , and let  $L_m := m(K_Y + E)$ . Since  $L_1$  is  $f$ -ample, there exists an integer  $n \in \mathbb{N}$  such that  $\mathcal{O}_F(L_n)$  is a very ample invertible sheaf and the following sequence is exact for any  $m \in \mathbb{N}$ :

$$0 \rightarrow f_*(\mathcal{I}_F \mathcal{O}_Y(L_{mn} + F)) \rightarrow f_* \mathcal{O}_Y(L_{mn} + F) \rightarrow H^0(\mathcal{O}_F(L_{mn} + F)) \rightarrow 0.$$

By [1, III, Ex. 5.2], there exists a polynomial  $q'$  of degree 2 such that

$$\dim_{\mathbb{C}} f_* \mathcal{O}_Y(L_{mn} + F) / f_*(\mathcal{I}_F \mathcal{O}_Y(L_{mn} + F)) = q'(m)$$

for  $m \gg 0$ . Since  $\mathcal{I}_F \mathcal{O}_Y(L_{mn} + F)$  is isomorphic to  $\mathcal{O}_Y(L_{mn})$  outside a one-dimensional subvariety in  $F$ , there exists a polynomial  $q$  of degree 2 such that  $\dim_{\mathbb{C}} f_* \mathcal{O}_Y(L_{mn} + F) / \mathcal{I}_{mn} \geq q(m)$  for  $m \gg 0$ . Since any section of the sheaf  $f_* \mathcal{O}_Y(L_{mn} + F) / \mathcal{I}_{mn}$  is a torsion element of  $\mathcal{Q}_{mn}$ , we obtain the inequality (cf. (4.2))

$$\dim_{\mathbb{C}} \mathcal{Q}_{mn} \otimes \mathbb{C}(t) \leq \dim_{\mathbb{C}} \mathcal{Q}_{mn} \otimes \mathbb{C}(0) - q(m).$$

Since  $\dim_{\mathbb{C}} \mathcal{Q}_{mn} \otimes \mathbb{C}(0) - \dim_{\mathbb{C}} \mathcal{Q}_{mn} \otimes \mathbb{C}(t)$  is bounded by a linear function, we are led to a contradiction.  $\square$

*Remark 5.6.* From the proof above, we see that  $Y_0$  is irreducible. Thus any irreducible component of  $E$  dominates  $T$ . Since  $Y_0$  is a principal divisor, for any irreducible component  $F$  of  $E$ , the intersection  $F \cap Y_0$  is a one-dimensional variety.

**Lemma 5.7.**  $\mathcal{I}_{m,0} = \mathcal{A}_m$  for any  $m \in \mathbb{N}$ , if  $\mathcal{P}$  is constant.

*Proof.* The inclusion  $\mathcal{I}_{m,0} \subset \mathcal{A}_m$  follows from Corollary 4.6. Let  $\omega$  be a section of  $\mathcal{A}_m$  and  $\omega'$  a section of  $\mathcal{O}_X(mK_X)$  of which the image in  $\mathcal{O}_{X_0}(mK_{X_0})$  is  $\omega$ . If  $v_F(\omega') < -m$  for an irreducible component  $F$  of  $E$ , then there exists an irreducible component  $A_i$  of  $A$  lying over the variety  $F \cap Y_0$  such that  $v_{A_i}(\psi^* \omega) < -m$ . It contradicts the definition of  $\omega$ . Hence  $\omega'$  belongs to  $\mathcal{I}_m$ , and  $\omega$  also belongs to  $\mathcal{I}_{m,0}$ .  $\square$

**Theorem 5.8.** *The following conditions are equivalent.*

- (1)  $\pi: X \rightarrow T$  admits the SLC model.
- (2) The map  $\Lambda_m: T \rightarrow \mathbb{Z}$  is constant for any  $m \in \mathbb{N}$ .
- (3) The map  $\mathcal{P}: T \rightarrow \mathbb{Q}$  is constant.

*Proof.* We consider the following condition: (2)' The map  $\Lambda_m: T \rightarrow \mathbb{Z}$  is constant for  $m \gg 0$ . By Proposition 5.4 (1) implies (2)'. It follows from Theorem 3.4 that (2)' implies (3). We assume that  $\mathcal{P}$  is constant. Then, from Lemma 4.1 and lemmas above, we obtain the following equalities for any  $m \in \mathbb{N}$ :

$$\mathcal{I}_m \otimes \mathbb{C}(0) = \mathcal{I}_{m,0} = \mathcal{A}_m, \quad \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(t) = \dim_{\mathbb{C}} \mathcal{Q}_m \otimes \mathbb{C}(0).$$

Now it is clear that (2) holds, and that  $Y_0 = \text{Proj}(\bigoplus_{m \in \mathbb{N}} \mathcal{I}_m \otimes \mathbb{C}(0))$  is the log-canonical model of  $X_0$ . Since  $\mathcal{A}_m = \mathcal{I}_m \otimes \mathbb{C}(0) = f_* \mathcal{O}_{Y_0}(m(K_{Y_0} + E_0))$  for  $m \gg 0$  (cf. proof of Proposition 5.4) and  $K_{Y_0} + E_0$  is ample,  $K_{Y_0} + E_0$  is log-canonical. On the other hand,  $f_t: Y_t \rightarrow X_t$  is the log-canonical model for  $t \in T^*$  by Lemma 4.2. Hence we obtain the condition (1).  $\square$

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