

## Some results on eigenvalues of the Cartan matrices for finite groups

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$G$ : a finite group

$F$ : an algebraically closed field of characteristic  $p > 0$

$B$ : a block of the group algebra  $FG$  with defect group  $D$  of order  $p^d$

$C_B = (c_{ij})$ : the Cartan matrix of  $B$  i.e.  $c_{ij}$  is the multiplicity of an irreducible  $FG$ -module  $S_j$  in a projective cover  $P_i$  of  $S_i$  as a composition factor, where  $S_j$  and  $P_i$  belong to  $B$ .

The following are well known properties of the Cartan matrix  $C_B$ .

- nonnegative (integral) indecomposable symmetric
- positive definite
- all elementary divisors are a power of  $p$ , the largest one is  $p^d = |D|$  and the others are smaller than  $p^d$

$\rho(B)$ : the Perron-Frobenius (i.e. the largest) eigenvalue of  $C_B$

We note the following.

- eigenvalues and elementary divisors are not equal in general
- $G = A_5$  (the alternating group of degree 5),  $p = 2$ ,  $B = B_0$  (the principal block)  
 $\implies \rho(B) = (7 + \sqrt{33})/2 > |D| = 4$

### 1. Known properties of $\rho(B)$

The following are known about lower and upper bounds for  $\rho(B)$  in [K-W].

- (1)  $|O_p(G)| \leq \rho(B) \leq u$  for any block  $B$  of  $FG$ , where  $u := \dim_F P(F_G)$  and  $P(F_G)$  is a projective cover of the trivial  $FG$ -module  $F_G$ .

(2) If  $G$  is  $p$ -solvable, then  $\rho(B) \leq |D|$ , and the equality holds if and only if the height of  $\varphi = 0$  for all  $\varphi \in \text{IBr}(B)$ .

(3) If  $D$  is cyclic, then  $\frac{|D|}{p} + 1 \leq \rho(B) \leq |D|$ .

(4) If  $D \triangleleft G$ , then  $\rho(B) = |D|$ .

We have a lower bound and an upper bound of  $\rho(B)$  in (1) in terms of  $G$ , but it should be given in terms of  $B$  for any block  $B$  and any group  $G$ . In this talk we showed a lower bound of  $\rho(B)$  in terms of  $B$ .

## 2. A lower bound of $\rho(B)$

$\text{Irr}(B)$  := the set of all ordinary (complex) irreducible characters in  $B$ ,

$\text{IBr}(B)$  := the set of all irreducible Brauer characters in  $B$ ,

$k(B) := |\text{Irr}(B)|$ ,  $l(B) := |\text{IBr}(B)|$ .

Let  $\sigma$  be a permutation on  $\{1, 2, \dots, l\}$ , where  $l = l(B)$ . Then we have the following:

**Theorem 1** ([W1]). Let  $C_B = (c_{ij})$  be the Cartan matrix of any block  $B$  of  $FG$  for any finite group  $G$ . For  $l = l(B)$ , we set  $l \setminus t := \{1, 2, \dots, l\} - \{t\}$  for  $1 \leq t \leq l$ . Then we have

$$k(B) \leq \sum_{i=1}^l c_{ii} - \sum_{j \in l \setminus t} c_{j\sigma(j)}$$

for any cycle  $\sigma$  of length  $l$  and any choice of  $1 \leq t \leq l$ .

*Proof.* By the fact  $C_B = {}^t D_B D_B$  for the decomposition matrix  $D_B$  of  $B$ , we write the right hand side of the above inequality by using decomposition numbers for  $B$  and we can show a contribution for it of any  $\chi \in \text{Irr}(B)$  is larger than or equal to 1.

**Corollary 2.** Let  $B$  be a block of  $FG$  with defect group  $D$ . Then  $k(B) \leq \rho(B)l(B)$ , and the equality holds if and only if  $l(B) = 1$  and  $k(B) = |D|$ .

*Proof.* It is clear that  $k(B) \leq \sum_{i=1}^{l(B)} c_{ii}$  even if we do not use Theorem 1. Combine it with the fact that  $c_{ij} \leq \rho(B)$  for any  $i, j$ .

Question 1. There must be sharper inequalities than Corollary 2. For example, does it hold that  $k(B) \leq \rho(B)$ ?

The answer is no. Let  $G = \text{SL}(2, p)$ ,  $p$  an odd prime, and  $B$  be any one of blocks of defect 1. Then  $l(B) = (p - 1)/2$ ,  $k(B) = l(B) + 2$  and

$$C_B = \begin{pmatrix} 2 & 1 & 0 & \dots & 0 \\ 1 & 2 & 1 & \ddots & \vdots \\ 0 & \ddots & \ddots & \ddots & 0 \\ \vdots & \ddots & 1 & 2 & 1 \\ 0 & \dots & 0 & 1 & 3 \end{pmatrix}.$$

Therefore  $3 < \rho(C_B) < 4$  by Lemma 3.1 in [K-W], but  $k(B) \geq 4$  if  $p \geq 5$ .

Question 2. Does it hold that  $k(B) \leq \rho(B)$ , in  $p$ -solvable groups?

Now we assume  $G$  is  $p$ -solvable, then we have the following.

**Proposition 3.** *Let  $G$  be a  $p$ -solvable group and  $B$  a block of  $FG$  with  $l(B) = 2$ . Assume the  $p'$ -part  $f_i'$  of the degree  $f_i$  of two irreducible Brauer characters  $\varphi_i$  for  $i = 1, 2$  are equal. Then  $k(B) \leq \rho(C_B)$ .*

*Proof.* The explicit form of  $C_B$  in this case is known in [N-W]. Theorem 1 shows that  $k(B) \leq c_{11} + c_{22} - c_{12}$ . We can verify that the right hand side of the above inequality  $\leq \rho(B)$  by the form of  $C_B$ .

Remark 4. We added an assumption in the above proposition, but it is conjectured in [N-W, p.329] that  $f_1' = f_2'$  for  $p$ -solvable groups. Isaacs showed this is true if  $G$  is solvable in [I], and it is also proved to be true in some cases in [N-W]. Therefore,  $k(B) \leq \rho(C_B)$  for  $B$  with  $l(B) = 2$  in  $p$ -solvable groups, for example, if  $G$  is solvable,  $B$  is the principal block, or  $B$  has an abelian defect group.

Remark 5. Proposition 3 does not hold in general. K. Erdmann determined the shape of the Cartan matrix of tame blocks in [E] (i.e.  $p=2$  and a defect group  $D$  is dihedral, generalized quaternion or semidihedral). For example, it actually fails in the following cases.

Let  $G = \text{PGL}(2, 31)$  and  $B$  be the principal block. Then  $D$  is a dihedral group of order

$2^6$ ,  $l(B) = 2$ ,  $C_B = \begin{pmatrix} 4 & 2 \\ 2 & 17 \end{pmatrix}$ ,  $k(B) = 19$  (Erdmann's list D(2B)), but  $\rho(C_B) < 19$  by Lemma 3.1(2) in [K-W].

We saw in the proof of Proposition 3 that Theorem 1 works well. So the diagonal entries of  $C_B$  for  $p$ -solvable groups seem to be not so extremely larger than the other entries, while it does not hold in general as is shown in the examples above.

**Conjecture.** *If  $G$  is  $p$ -solvable, then  $k(B) \leq \rho(B)$ .*

If Conjecture is true, then Brauer's  $k(B)$  conjecture (that is  $k(B) \leq |D|$  for any finite group) is true in  $p$ -solvable groups, because [K-W] has showed  $\rho(B) \leq |D|$  in  $p$ -solvable groups. Since Brauer's  $k(B)$  conjecture is not yet proved to be true even if  $G$  is a solvable group, it must be quite difficult to show directly that Conjecture is true. There sure is a possibility of the existence of a counter example for it. But we raise some more evidences for the conjecture.

(1) If  $G$  is of  $p$ -length 1, or  $D$  is abelian, then Conjecture can be reduced to the case that  $D \triangleleft G$  by Külshammer [Kü].

(2) If  $B$  is tame, then Conjecture is true by [E-M, Kü, Ko1, B-W].

(3) If  $p = 3$  and  $D \simeq M(3)$  (i.e. extra special 3-group of order 27 with exponent 3), then Conjecture is true by [Ko2].

(4) Assume Brauer's  $k(B)$  conjecture is true for  $p$ -solvable groups. If  $k(B) = |D|$ , then  $k(B) = \rho(B)$  by [M].

### 3. The Cartan matrix of a certain class of finite solvable groups

If there exists a counter example for Conjecture, Theorem 1 seems to assert that the non diagonal entries of its Cartan matrix must be extremely smaller than the diagonal ones. So first we should find  $p$ -solvable groups (blocks) whose Cartan matrix has many zero entries and  $l(B)$  is large like  $SL(2,p)$  because  $\rho(B)$  is small and  $k(B)$  is large. Here by making use of Ninomiya's result in [N] we give an explicit form of the Cartan matrix of a certain class of solvable groups. The author owes to Professor Tetsuro Okuyama who taught him the following type of groups whose Cartan matrix has zero entries.

$GF(p^n)$  : the finite field with  $p^n$  elements

$A(p^n)$  : the additive group of  $GF(p^n)$

$M(p^n)$  : the multiplicative group of  $GF(p^n)$

$X(p^n)$  : the affine group of  $GF(p^n)$  i.e.  $M(p^n) \rtimes A(p^n)$  by ordinary scalar multiplication, then  $X(p^n)$  is a complete Frobenius group whose Frobenius kernel is a Sylow  $p$ -subgroup,

and it is known that the Cartan matrix of  $FX(p^n)$  is of the form  $\begin{pmatrix} 2 & 1 & \dots & 1 \\ 1 & 2 & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 \\ 1 & \dots & 1 & 2 \end{pmatrix}$ .

$\langle \sigma \rangle$  : the Galois group of  $GF(p^n)$  over  $GF(p)$  of order  $n$

$G(p^n) := \langle \sigma \rangle \rtimes X(p^n)$ .

We consider the case  $n = pq$ , where  $q$  is a prime number different from  $p$ . Let us set  $G = G(p^{pq})$ , then since  $O_{p'}(G)$  is trivial,  $G$  has only the principal block by a theorem of Fong and  $G$  is of  $p$ -length 2.

**Theorem 6.** Under the above notation (see [W2] for more detailed notation), the Cartan matrix  $C(G)$  of  $FG$  is the following.

| $\alpha_1$    | $\alpha_2$ | $\dots$  | $\alpha_{p-1}$ | $\beta$        | $\gamma_1$    | $\gamma_2$ | $\dots$  | $\gamma_n$ | $\theta$ |
|---------------|------------|----------|----------------|----------------|---------------|------------|----------|------------|----------|
| $2pI_q$       | $pI_q$     | $\dots$  | $pI_q$         |                | $pI_q$        | $pI_q$     | $\dots$  | $pI_q$     |          |
| $pI_q$        | $2pI_q$    | $\ddots$ | $\vdots$       |                | $pI_q$        | $pI_q$     | $\dots$  | $pI_q$     |          |
| $\vdots$      | $\ddots$   | $\ddots$ | $pI_q$         | $pJ'_1$        | $\vdots$      | $\vdots$   |          | $\vdots$   | $pJ'_2$  |
| $pI_q$        | $\dots$    | $pI_q$   | $2pI_q$        |                | $pI_q$        | $pI_q$     | $\dots$  | $pI_q$     |          |
| $p {}^t J'_1$ |            |          |                | $B_1$          | $pJ'_3$       |            |          |            | $pqJ'_4$ |
| $pI_q$        | $pI_q$     | $\dots$  | $pI_q$         |                | $(p+1)I_q$    | $pI_q$     | $\dots$  | $pI_q$     |          |
| $pI_q$        | $pI_q$     | $\dots$  | $pI_q$         |                | $pI_q$        | $(p+1)I_q$ | $\ddots$ | $\vdots$   |          |
| $\vdots$      | $\vdots$   |          | $\vdots$       | $p {}^t J'_3$  | $\vdots$      | $\ddots$   | $\ddots$ | $pI_q$     | $pJ'_5$  |
| $pI_q$        | $pI_q$     | $\dots$  | $pI_q$         |                | $pI_q$        | $\dots$    | $pI_q$   | $(p+1)I_q$ |          |
| $p {}^t J'_2$ |            |          |                | $pq {}^t J'_4$ | $p {}^t J'_5$ |            |          |            | $B_2$    |

, where  $I_s$  is the unit matrix of degree  $s$ ,  $J'_1, J'_2, J'_3, J'_4, J'_5$  is the  $(p-1)q \times m, (p-1)q \times (r-m)/p, m \times nq, m \times (r-m)/p, nq \times (r-m)/p$  matrix all of whose entries are 1, respectively. Furthermore,  $B_1 = pI_m + pqJ_m$  and  $B_2 = I_{r-m} + pqJ_{r-m}$ , where  $J_s$  is the  $s \times s$  matrix all of whose entries are 1.

It is known in general that  $\sum_{i,j=1}^{l(B)} c_{ij}/l(B) \leq \rho(B)$  for any block  $B$  of  $FG$  for any finite group  $G$ , and now when  $G = G(p^{pq})$  we can verify  $k(FG) \leq \sum_{i,j=1}^{l(FG)} c_{ij}/l(FG)$ . So we have

$k(FG) \leq \rho(FG)$ . When  $G = G(p^q)$  and  $G(p^p)$ , we have also  $k(FG) \leq \rho(FG)$ .

#### 4. Eigenvalues and elementary divisors of $C_B$

Elementary divisors of  $C_B$  are invariant under elementary operations i.e.  $C_B$  and  $SC_B T$  for unimodular matrices  $S, T$  have the same elementary divisors, while eigenvalues of them are different in general. So elementary divisors and eigenvalues of  $C_B$  do not coincide in general. When do they coincide? We have an answer to it in  $p$ -solvable groups as follows. This is a part of joint work with A. Hanaki, M. Kiyota and M. Murai [H, K, M, W].

**Theorem 7.** Let  $G$  be a  $p$ -solvable group,  $B$  a block of  $FG$  with defect group  $D$ . Then the following are equivalent.

- (a) Elementary divisors and eigenvalues of  $C_B$  coincide.
- (b)  $\rho(B) = |D|$ .
- (c) The height of  $\varphi = 0$  for all  $\varphi \in \text{IBr}(B)$ .

*Proof.* We have the following two results for  $p$ -solvable groups.

(1) Let  $G$  be a  $p$ -solvable group and  $\eta_G$  the character afforded by the principal indecomposable  $FG$ -module corresponding to the trivial  $FG$ -module  $F_G$ . Then  $\eta_G(x)$  is a power of  $p$  for any  $p$ -regular element  $x \in G$ .

(2) Let  $G$  be a  $p$ -solvable group and  $B$  a block of  $FG$  of full defect. Suppose the height of  $\varphi = 0$  for all  $\varphi \in \text{IBr}(B)$ . Then elementary divisors and eigenvalues of  $C_B$  coincide.

Then Fong's two reduction theorem works well, and we have the result.

In this case Conjecture is equivalent to Brauer's  $k(B)$  conjecture as  $\rho(B) = |D|$ .

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