A Stefan Problem with Memory and Nonlinear Boundary Condition

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Abstract. This note is devoted to the study of a Stefan problem with memory that includes a third type boundary condition associated with a maximal monotone nonlinearity. The corresponding initial-boundary value problem can be formulated as a Cauchy problem for an abstract doubly nonlinear integrodifferential equation which belongs to a class already analyzed by the authors in a recent paper [2]. A slight variation of the abstract theory developed in [2] is then applied to deduce the existence of a solution to our Stefan problem.

1. Introduction

Let us consider a two-phase material which occupies a bounded domain $\Omega \subset \mathbb{R}^3$ with smooth boundary Γ , at any time $t \in [0,T]$, T > 0 being fixed. This system is characterized by a pair of state variables, namely the (relative) temperature ϑ and the phase proportion χ . We assume that the evolution of the pair (ϑ, χ) is governed by the following energy balance equation (see [7, 8, 9] and references therein)

$$\partial_t(\vartheta + \chi + \varphi * \vartheta + \psi * \chi) - \Delta(\vartheta + k * \vartheta) = g \quad \text{in } Q := \Omega \times (0, T)$$
(1.1)

coupled with the condition

$$\chi \in \mathcal{H}(\vartheta) \quad \text{in } Q \tag{1.2}$$

relating X to ϑ . Here, Δ is the usual Laplace operator acting on the space variables, $\partial_t = \partial/\partial t$, and * denotes the convolution product with respect to time over (0, t), that is, for instance,

$$(\varphi * \vartheta)(\cdot, t) = \int_0^t \varphi(t-s)\vartheta(\cdot, s)ds, \quad t \in [0, T].$$

In addition, \mathcal{H} stands for the Heaviside graph $(\mathcal{H}(r) = 0 \text{ if } r < 0, \mathcal{H}(0) = [0,1], \mathcal{H}(r) = 1 \text{ if } r > 0)$ and the memory kernels $\varphi, \psi, k : (0,T) \to \mathbb{R}$ are given along with the function $g: Q \to \mathbb{R}$.

Initial and boundary value problems for the system (1.1)-(1.2) have been investigated in several papers (see [4, 6, 7, 9], cf. also [1, 5, 11] for related problems). Nevertheless, in all the mentioned literature, (1.1)-(1.2) is complemented with variational boundary conditions, that turn out to be linear with respect to ϑ and/or the outward normal derivative $\partial_{\nu}\vartheta$. On the contrary, in this note we prove the existence of solutions to an initial-boundary value problem for (1.1)-(1.2) characterized by a nonlinear boundary condition. To be more precise, we supply the system with

$$\partial_{\nu}(\vartheta + k * \vartheta) + \alpha(\vartheta) \ni h \quad \text{on } \Sigma := \Gamma \times (0, T)$$

$$(1.3)$$

$$(\vartheta + \chi)(\cdot, 0) = u_0 \quad \text{in } \Omega$$
 (1.4)

where $\alpha : \mathbb{R} \to 2^{\mathbb{R}}$ denotes a maximal monotone graph, and the functions $h : \Sigma \to \mathbb{R}$ and $u_0 : \Omega \to \mathbb{R}$ are known.

Problem (1.1)-(1.4) contains two monotone nonlinearities represented by the maximal monotone graphs \mathcal{H} and α . In Section 3, we consider an extended version of (1.1)-(1.4) in which the kernels φ and ψ are allowed to depend on the space variables too, and where the term $-k * \Delta \vartheta$ is replaced by a rather general second order linear convolution operator acting on ϑ . Moreover, we let the right hand side g of (1.1) incorporate an additional nonlinearity in order to represent not only a measurable function of (x,t) but a Lipschitz continuous function of ϑ as well. Then we show that the resulting problem can be reformulated as a Cauchy problem for a doubly nonlinear integrodifferential evolution equation.

The abstract formulation we obtain essentially reduces to a particular case of a class of evolution equations studied in [2]. In that paper, two existence results are proved by means of a semi-implicit time discretization procedure. Here, in Section 2, we state a slight generalization of the main theorem of [2], whose proof can be achieved by performing simple changes in the original one. This result applies to the abstract equation

$$(M\vartheta)' + A\vartheta + B * \vartheta \ni f + F(M\vartheta) + G(\vartheta)$$
 in V', a.e. in (0,T) (1.5)

where V' is meant to be the dual space of $V = H^1(\Omega)$ in the framework of (1.1)-(1.4). We also point out that M takes the place of $\mathcal{I} + \mathcal{H}$ (\mathcal{I} being the identity mapping) and is maximal monotone from $H = L^2(\Omega)$ to the same space H (identified with its dual space). The other maximal monotone operator is A which works from V to V' and collects the contributions of $-\Delta \vartheta$ and $\alpha(\vartheta)$ from (1.1) and (1.3), while B is a function from [0,T] into the space of linear bounded operators from V to V'. On the other hand, f maps (0,T) into V' and F, G are causal (cf. Section 2 for a precise definition) Lipschitz continuous operators on $L^2(0,T;H)$. In addition, F is required to be linear and it is naturally applied to the same selection of $M(\vartheta)$ appearing on the left hand side of (1.5).

The existence of a solution to the Cauchy problem for (1.5) is established in the next section. Afterwards, the abstract result is used in Section 3 to deduce the existence of weak solutions to the above mentioned generalized version of (1.1)-(1.4).

2. Abstract result

On account of [2, Sect. 2], we introduce the hypotheses on the data of the Cauchy problem associated with (1.5).

(A1) Let V and W be reflexive real Banach spaces and let H denote a real Hilbert space which is identified with its dual. We assume that

$$V \hookrightarrow W \hookrightarrow H \hookrightarrow W' \hookrightarrow V'$$

with dense and continuous injections, the first and the last embeddings being also compact.

(A2) M is a maximal monotone operator from H to H that is linearly bounded, namely,

 $\exists C_1 > 0 : \|w\|_H \le C_1 \left(1 + \|v\|_H\right) \quad \forall v \in H, \ \forall w \in M(v)$ (2.1)

and M^{-1} is Lipschitz continuous, i.e.,

$$\exists C_2 > 0 : \quad C_2 \|v_1 - v_2\|_H^2 \le (w_1 - w_2, v_1 - v_2) \forall v_1, v_2 \in H, \ \forall w_1 \in M(v_1), \ \forall w_2 \in M(v_2)$$

$$(2.2)$$

where (\cdot, \cdot) stands for the scalar product in *H*.

(A3) A is a maximal monotone and bounded operator from V to V' such that $A = A_1 + A_2$, where A_i coincides with the subdifferential ∂J_i of a convex and lower semicontinuous function $J_i: V \to \mathbb{R}$, for i = 1, 2. Furthermore, A_1 is linear, A_2 is bounded from V to W', and $J := J_1 + J_2$ satisfies

$$\frac{1}{2} \|v\|_{H}^{2} + J(v) \ge C_{3} \|v\|_{V}^{p} - C_{4} \quad \forall v \in V$$
(2.3)

for some constants $p \ge 2$, $C_3 > 0$, $C_4 \ge 0$.

- (A4) $B \in W^{1,1}(0,T;\mathcal{L}(V,V'))$, where $\mathcal{L}(V,V')$ stands for the Banach space of all the linear and continuous operators from V to V'.
- (A5) $F, G: L^2(0,T;H) \to L^2(0,T;H)$ are two Lipschitz continuous operators that are *causal* in the sense that

if
$$v_1, v_2 \in L^2(0, T; H)$$
, $t \in (0, T)$, and $v_1 = v_2$ a.e. in $(0, t)$,
then $F(v_1) = F(v_2)$, $G(v_1) = G(v_2)$ a.e. in $(0, t)$.

Moreover, F is linear.

(A6)
$$f \in L^2(0,T;H) + W^{1,1}(0,T;V').$$

(A7) $u_0 \in H$, $\vartheta_0 := M^{-1}(u_0) \in V$, $J(\vartheta_0) < +\infty$.

Here is the precise formulation of the Cauchy problem.

Problem (P) Find $\vartheta \in L^{\infty}(0,T;V)$ and two auxiliary functions

$$u \in W^{1,2}(0,T;V') \cap L^{\infty}(0,T;H), \quad \xi \in L^{\infty}(0,T;V')$$
(2.4)

such that

$$u' + \xi + B * \vartheta = f + F(u) + G(\vartheta) \quad \text{in } V', \text{ a.e. in } (0,T)$$

$$(2.5)$$

$$u(t) \in M(\vartheta(t))$$
 for a.a. $t \in (0,T)$ (2.6)

$$\xi(t) \in A(\vartheta(t)) \quad \text{for a.a.} \ t \in (0,T)$$
(2.7)

 $u(0) = u_0$ in V'. (2.8)

The existence of a solution to (\mathbf{P}) is ensured by

Theorem 2.1 Let (A1)-(A7) hold. Then there exists at least one solution (ϑ, u, ξ) to Problem (**P**), with the additional property that $\vartheta \in W^{1,2}(0,T;H)$.

A comparison between our Problem (\mathbf{P}) and its counterpart in [2] shows that the term

$$(B*\vartheta)(t) = \int_0^t B(t-s)\vartheta(s)ds, \quad t \in [0,T]$$

is now used in place of the original one, which is $k * B\vartheta$ for a kernel k in $W^{1,1}(0,T)$ and some operator $B \in \mathcal{L}(V, V')$ (in fact, k * B is a special case of B *, cf. (A4)). However, a careful examination of the proof of Theorem 2.1 in [2] reveals that the procedure devised there also works in the present case. Basically, the main change concerns the proof of [2, Lemma 3.6], where one has to deduce [2, ineq. (3.19)]. This can be done by taking into account that [2, ineq. (3.25)] still follows from [2, ineq. (3.23)] in our current setup.

Remark 2.2 Regarding (A3), we note that the subdifferential ∂J coincides with the sum $\partial J_1 + \partial J_2 = A$ and that the functions J, J_1 , and J_2 are all continuous from V to IR (cf. Remarks 2.3 and 2.4 in [2]).

3. Application

Here we consider a generalization of the Stefan problem (1.1)-(1.2) and provide a weak formulation of it in accordance with Problem (P). Then, the existence of solutions can be demonstrated by applying Theorem 2.1 (see [2, Sect. 5] for other possible applications of the abstract result).

Throughout this section, Ω will denote a smooth bounded domain of \mathbb{R}^N $(N \ge 1)$ and the notation for Γ , Q, Σ is the same as in the Introduction. As usual, the variable in $\Omega \cup \Gamma$ is indicated by $x = (x_1, \ldots, x_N)$ and ∂_{x_j} simply replaces $\partial/\partial x_j$, $j = 1, \ldots, N$. We start by setting the (formal) Stefan problem for the unknowns $\vartheta: Q \to \mathbb{R}$ and $\chi: Q \to [0,1]$ which have to satisfy

$$\partial_t(\vartheta + \chi + \varphi(x, \cdot) * \vartheta + \psi(x, \cdot) * \chi) + \mathcal{A}\vartheta + \mathcal{B} * \vartheta = g(x, t, \vartheta) \quad \text{in } Q \tag{3.1}$$

 $\chi \in \mathcal{H}(\vartheta)$ in Q (3.2)

$$\partial_{\nu(\mathcal{A}+\mathcal{B}*)}\vartheta + \alpha(\vartheta) \ni h(x,t) \quad \text{on } \Sigma$$
 (3.3)

$$(\vartheta + \chi)|_{t=0} = u_0 \quad \text{in } \Omega \tag{3.4}$$

in a suitable sense, where $\varphi, \psi: Q \to \mathbb{R}$ and $g: Q \times \mathbb{R} \to \mathbb{R}$ are prescribed. Moreover, \mathcal{A} is the linear second order differential operator

$$(\mathcal{A}v)(x) := -\sum_{j,m=1}^{N} \partial_{x_j}(a_{jm}(x)\partial_{x_m}v(x)), \quad x \in \Omega$$
(3.5)

and $\mathcal{B} * \vartheta$ is defined by

$$(\mathcal{B}*v)(x,t):=-\sum_{j,m=1}^N \partial_{x_j} \int_0^t (b_{jm}(x,t-s)\partial_{x_m}v(x,s))ds, \quad (x,t) \in Q.$$
(3.6)

Here the coefficients a_{jm} and b_{jm} are measurable functions from Ω and Q, respectively, to \mathbb{R} . Note that both \mathcal{A} and \mathcal{B} are in divergence form. Besides, $\partial_{\nu(\mathcal{A}+\mathcal{B}*)}$ denotes the conormal derivative related to the operator $\mathcal{A} + \mathcal{B}*$ (see below for details), while $h: \Sigma \to \mathbb{R}$ and $u_0: \Omega \to \mathbb{R}$ are given data.

Let us introduce now the assumptions that will enable us to reformulate (3.1)-(3.4) as **(P)**.

- (B1) $\varphi, \psi \in W^{1,1}(0,T;L^{\infty}(\Omega)).$
- (B2) g is a Carathéodory function satisfying $g(\cdot, \cdot, 0) \in L^2(Q)$ and

$$|g(t,x,z_1) - g(t,x,z_2)| \le c_1 |z_1 - z_2|$$
 for a.a. $(x,t) \in Q, \ \forall z_1, z_2 \in \mathbb{R}.$

for some positive constant c_1 .

(B3) $a_{jm} = a_{mj} \in L^{\infty}(\Omega)$ and $b_{jm} \in W^{1,1}(0,T;L^{\infty}(\Omega))$ for $j,m = 1,\ldots,N$. In addition, there exists a constant $c_2 > 0$ such that

$$\sum_{j,m=1}^{N} a_{jm}(x)y_jy_m \ge c_2|y|^2 \quad \forall y = (y_1,\ldots,y_N) \in \mathbb{R}^N, \text{ for a.a. } x \in \Omega.$$
(3.7)

Also, setting

$$a(v,w) := \sum_{j,m=1}^{N} \int_{\Omega} a_{jm} v_{x_j} w_{x_m} \quad \forall v, w \in H^1(\Omega)$$

and associating with any $v \in L^2(0,T; H^1(\Omega))$ the element $\beta * v \in C^0([0,T]; H^1(\Omega)')$ specified by

$$H^{1}(\Omega)'\langle (\beta * v)(t), w \rangle_{H^{1}(\Omega)} := \sum_{j,m=1}^{N} \int_{\Omega} \left(b_{jm} * v_{x_{j}} \right) (\cdot, t) w_{x_{m}}$$
$$\forall w \in H^{1}(\Omega), \ \forall t \in [0, T],$$
(3.8)

we point out that the conormal derivative $\partial_{\nu(\mathcal{A}+\mathcal{B}*)}$ is then defined for all $v \in L^2(0,T; H^1(\Omega))$ such that $(\mathcal{A}+\mathcal{B}*)v \in L^2(0,T; L^2(\Omega))$ by

$$L^{2}(0,T;H^{-1/2}(\Gamma)) \langle \partial_{\nu(\mathcal{A}+\mathcal{B}*)}v,w\rangle_{L^{2}(0,T;H^{1/2}(\Gamma))}$$

:= $\int_{0}^{T} \left(a(v(\cdot,t),w(\cdot,t)) + {}_{H^{1}(\Omega)'}\langle (\beta*v)(t),,w(\cdot,t)\rangle_{H^{1}(\Omega)}\right) dt$
 $- \int_{0}^{T} \int_{\Omega} w(\mathcal{A}+\mathcal{B}*)v \quad \forall w \in L^{2}(0,T;H^{1}(\Omega)).$ (3.9)

(B4) $\alpha = \partial \phi$ where $\phi : \mathbb{R} \to \mathbb{R}$ is a convex potential satisfying

 $\phi(z) \le c_3 \left(|z|^2 + 1 \right) \quad \forall z \in \mathbb{R}$

for some positive constant c_3 .

(B5)
$$h \in W^{1,1}(0,T;L^2(\Gamma)), u_0 \in L^2(\Omega), \text{ and } \vartheta_0 = (\mathcal{I} + \mathcal{H})^{-1}(u_0) \in H^1(\Omega).$$

Therefore, on account of (B1)-(B5), we can now state a weak formulation of the Stefan problem (3.1)-(3.4). For the sake of convenience, in the sequel we denote by $\langle \cdot, \cdot \rangle$ the duality pairing between $H^1(\Omega)'$ and $H^1(\Omega)$.

Problem (S) Find $\vartheta \in W^{1,2}(0,T;L^2(\Omega)) \cap L^{\infty}(0,T;H^1(\Omega))$ and the auxiliary functions

 $\chi \in L^{\infty}(Q), \quad \eta \in L^{\infty}(0,T;L^{2}(\Gamma))$

which satisfy

$$\vartheta + \chi \in W^{1,1}(0,T; H^1(\Omega)')$$
 (3.10)

$$<\partial_t(\vartheta + \chi + \varphi * \vartheta + \psi * \chi), v > + a(\vartheta, v) + < \beta * \vartheta, v > + \int_{\Gamma} \eta v$$

$$= (g(\cdot, \cdot, \vartheta), v) + \int_{\Gamma} hv \quad \forall v \in H^{1}(\Omega), \text{ a.e. in } (0, T)$$
(3.11)

$$\chi \in \mathcal{H}(\vartheta)$$
 a.e. in Q (3.12)

 $\eta \in \alpha(\vartheta)$ a.e. on Σ (3.13)

$$(\vartheta + \chi)(0) = u_0$$
 in $H^1(\Omega)'$. (3.14)

Our main result is

Theorem 3.1 Let (B1)-(B5) hold. Then Problem (S) admits a solution.

Remark 3.2 It is worth noting that Theorem 3.1 can be viewed as a generalization of [10, Prop. 2.4]. Moreover, making a comparison between Problem (S) and (3.1)-(3.4), we observe that equation (3.1) does not hold in $L^2(Q)$ and, especially, the boundary condition (3.3) cannot be recovered in the sense of traces in $L^2(0,T; H^{-1/2}(\Gamma))$ (contrary to the example developed in [2, Subsect. 5.1]). However, choosing $v \in H_0^1(\Omega)$ as a test function in (3.11), it is straightforward to deduce

$$\begin{aligned} a(\vartheta, v) + &< \beta * \vartheta, v > = - < \partial_t (\vartheta + \chi + \varphi * \vartheta + \psi * \chi) - g(\cdot, \cdot, \vartheta), v > \\ &\forall v \in H^1_0(\Omega), \text{ a.e. in } (0, T). \end{aligned}$$

Then, integrating in time over (0,t), $t \in (0,T]$, and recalling (B3), (3.5), and (3.6), we obtain with the help of (3.14)

$$((\mathcal{A} + B*)(1*\vartheta))(t) = -(\vartheta + \chi + \varphi * \vartheta + \psi * \chi)(\cdot, t) + u_0 + \int_0^t g(\cdot, s, \vartheta(\cdot, s)) ds$$

in $H^{-1}(\Omega)$, for a.a. $t \in (0, T)$ (3.15)

where $(1 * \vartheta)(\cdot, t) = \int_0^t \vartheta(\cdot, s) ds$. Note that the right hand side of (3.15) belongs to $L^2(Q)$. Hence, we have that $(\mathcal{A} + \mathcal{B}*)(1 * \vartheta) \in L^2(Q)$ and, in view of (3.9), the *integrated* boundary condition

$$\partial_{\nu(\mathcal{A}+\mathcal{B}*)}(1*\vartheta) + 1*\eta \ni 1*h$$

(cf. (3.13) as well) holds in the sense of traces in $L^2(0,T; H^{-1/2}(\Gamma))$. At this point, we could also argue that equation (3.1) makes sense, e.g., in $W^{-1,2}(0,T; H^{-1}(\Omega))$.

Proof of Theorem 3.1. It suffices to show that Problem (S) can be put in the abstract framework of (P). Then, the existence will follow from Theorem 2.1. Hence, let $V = H^1(\Omega)$, $H = L^2(\Omega)$, and introduce the new variable

$$u = \vartheta + \chi \,. \tag{3.16}$$

Note that, owing to (B1), the relations (3.11)-(3.12) can be rewritten in the form

$$<\partial_t u, v>+a(\vartheta, v) + \int_{\Gamma} \eta v + = < f, v>+(F(u)+G(\vartheta), v)$$

 $\forall v \in V', \text{ a.e. in } (0,T)$

 $u \in (\mathcal{I} + \mathcal{H})(\vartheta)$ a.e. in Q

where

$$\langle f(t), v \rangle = \int_{\Gamma} h(\cdot, t) v$$
 (3.17)

for any $v \in V$ and almost any $t \in [0, T]$. Here, we have set

$$F(u)(x,t) = -\psi(x,0)u(x,t) - (\partial_t \psi * u)(x,t)$$
(3.18)

$$G(\vartheta)(x,t) = g(x,t,\vartheta(x,t)) + (\psi - \varphi)(x,0)\vartheta(x,t) + (\partial_t(\psi - \varphi) * \vartheta)(x,t)$$
(3.19)

for almost all $(x,t) \in Q$. Using (B1)-(B2) and Young's inequality for convolution products, it is not difficult to check that F and G are Lipschitz continuous and causal operators from $L^2(0,T;H)$ to itself, whence (A5) is fulfilled.

On the other hand, the maximal monotone operator M defined by

$$Mv = (\mathcal{I} + \mathcal{H})(v), \quad v \in H$$
(3.20)

clearly satisfies (A2) and, in particular, (2.1)-(2.2). Next, let us take $W = H^{3/4}(\Omega)$, so that (A1) holds, and specify the functions

$$J_1(v) = \frac{1}{2}a(v,v), \quad J_2(v) = \int_{\Gamma} \phi(v), \quad v \in V.$$
 (3.21)

In view of (B3), the quadratic form a is continuous and symmetric. Therefore $A_1 = \partial J_1$ is a linear and bounded operator from V to V' which is given by

$$\langle A_1(v), w \rangle = a(v, w) \quad \forall v, w \in V.$$

$$(3.22)$$

As far as $A_2 = \partial J_2$ is concerned, we can invoke, for instance, [2, Lemmas 5.1 and 5.2] and verify that

$$w \in A_2(z)$$
 if and only if $\langle w, v \rangle = \int_{\Gamma} \omega v \quad \forall v \in V$,
for some $\omega \in L^2(\Gamma)$ such that $\omega \in \partial \phi(z)$ a.e. in Γ . (3.23)

In addition, from (B4) it follows that (see, e.g., [2, Lemma 5.2]) there exists a positive constant C_5 , depending only on c_3 and the surface measure of Γ , such that

$$|\langle w, v \rangle| \le C_5 \left(1 + \|z|_{\Gamma}\|_{L^2(\Gamma)} \right) \|v|_{\Gamma}\|_{L^2(\Gamma)} \quad \forall z, v \in V, \ \forall w \in A_2(z).$$
(3.24)

Since the trace operator $v \mapsto v|_{\Gamma}$ is continuous from W to $L^2(\Gamma)$, by (3.24) we deduce that $A_2 = \partial J_2$ maps bounded sets of V into bounded sets of the dual space of W. Then, in order to conclude the verification of (A3), it remains to check (2.3). Note, however, that (2.3) is a direct consequence of (3.21), (3.7), and the fact that ϕ is bounded from below by an affine function (see, e.g., [3, Prop. 2.1, p. 51]). Hence, by recalling that $A = A_1 + A_2$, it turns out that assumption (A3) is completely satisfied.

Next, we introduce the operator

$$\langle B(t)v,w\rangle = \sum_{j,m=1}^{N} \int_{\Omega} b_{jm}(\cdot,t)v_{x_j}w_{x_m} \quad \forall v,w \in V, \ \forall t \in [0,T].$$
(3.25)

and use (B3) to infer that B fulfills (A4). Moreover, on account of (3.8), it is clear that

the image of $v \in L^2(0,T;V)$ under (B^*) is $\beta * v \in L^2(0,T;V')$.

Finally, we observe that (B4), (B5), (3.17), (3.20), and (3.21) entail the validity of (A6) and (A7).

In conclusion, thanks to (3.16)-(3.23) and (3.25), we deduce that Problem (S) can be equivalently set as Problem (P). Indeed, the solution component ξ in (P) satisfies $\xi = A_1 \vartheta + \xi_2$ for some $\xi_2 \in A_2(\vartheta)$ almost everywhere in (0,T), and η in (S) is exactly the boundary function corresponding to ξ_2 in (3.23). Thus, the $L^{\infty}(0,T; L^2(\Gamma))$ regularity of η follows from (2.4) and (3.24). Note also that $\chi \in L^{\infty}(Q)$ comes directly from (3.12), which actually implies that $0 \leq \chi \leq 1$ almost everywhere in Q. Then, Theorem 2.1 enables us to conclude the proof. \Box

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