

An MR-complete system \mathbf{S} and its functional interpretation

Osamu Takaki (高木 理)¹ and Mariko Yasugi (八杉 満利子)²

1 Introduction

In a previous work [5], Yasugi and Hayashi formulated a system of *constructive arithmetic with transfinite recursion and bar induction*. This system was called **TRDB**, which is a streamlined version of the system used by Yasugi in [4] to prove the accessibility of an order system.

TRDB is, however, a mathematically interesting system on its own right. For this reason, it has been studied from various aspects (see [4], [5], [6], [1] and [2]). The present article is a sequel to these preceding works. In this paper, we deal with the *modified realizability* (abbreviated to *MR*) interpretation of a constructive arithmetic corresponding to the interpretation of **TRDB** in **TRM** obtained in [5].

A system \mathbf{S} is said to be complete with respect to an interpretation R if \mathbf{S} interprets itself with respect to R . As for the first order constructive arithmetic, its extensions which are complete with regards to some interpretations are already known (see, for example, Theorem 3.4.8. of [3]). We questioned if there be an extension of **TRDB** which is complete with respect to *MR*-interpretation, and have reached a conclusion.

It is also mentioned that **TRM** interprets \mathbf{S} , that is, an algorithm inherent in a proof of \mathbf{S} is realized as a functional in **TRM**. This implies that \mathbf{S} is of the same algorithmic strength as **TRDB**.

In this paper, we omit proofs of all theorems. The details will be published elsewhere.

2 Preliminaries

In order to facilitate the reader to understand this article, we first give a concise presentation of the system **TRDB**, as well as of the notion called *type-form*. The definitions are quoted mostly from the article [5] written by Yasugi and Hayashi.

Our theory depends on a pre-supplied, primitive recursive well-ordered structure $\mathcal{I} \equiv (I, <_I)$ on natural numbers. For the sake of simplicity, we assume the order type of I is less than ε_0 .

¹Faculty of Integrated Human Studies, Kyoto University, Kyoto, Japan. E-mail: takaki@i.h.kyoto-u.ac.jp

²Faculty of Science, Kyoto Sangyo University, Kyoto, Japan. E-mail: yasugi@cc.kyoto-su.ac.jp

Definition 2.1 (Basic language \mathcal{BL})

- (1) The language \mathcal{BL} consists of the following.
- (1. 1) Propositional connectives \wedge (*and*), \supset (*imply*).
 - (1. 2) n -ary variables $x_0^n, x_1^n, x_2^n, \dots, x_p^n, \dots$. For $n = 0$, x_p^n is a variable which ranges over natural numbers. It will be called a number variable.
 - (1. 3) Constant symbols for all the number-theoretic functions which are primitive recursive in function parameters.
 - (1. 4) Predicate constant symbol $=$.
- (2) \mathcal{BL} -terms are defined by (2.1) \sim (2.4) below.
- (2. 1) A constant or a variable of \mathcal{BL} is a term of its arity.
 - (2. 2) If f is an n -ary term, and if t_1, \dots, t_n are number terms, then $f(t_1 \dots t_n)$ is a number term.
 - (2. 3) Every atomic formula of \mathcal{BL} -language is of the form $s = t$, where s and t are number terms. The \mathcal{BL} -formulas are defined from atomic formulas by applications of the propositional connectives.

Let c be a new, unary function constant symbol. We can extend \mathcal{BL} to $\mathcal{BL}(c)$ adding c to it.

Using $\mathcal{BL}(c)$ -language, one can define the system **TRDB**, as in Takaki [1].

TRDB may be considered to be **HA** (Heyting arithmetic) with definition by *transfinite recursion* and *bar induction*.

Definition 2.2 (System TRDB)**Symbols and Terms**

- (1) All symbols and terms of $\mathcal{BL}(c)$ -language serve as those of **TRDB**.
- (2) Special predicate constants H and Σ_H .
- (3) Logical symbols \wedge , \supset , \forall and \exists .

Formulas

- (1) $s = t$ is an atomic formula of **TRDB**, where s and t are number terms.
- (2) $H(s, t)$ and $\Sigma_H(s, s', t)$ are atomic formulas of **TRDB**, where s, s' and t are number terms.
- (3) If A and B are formulas, then $A \wedge B$, $A \supset B$ and $\forall x A$ are formulas, where x is a variable.
- (4) If A is a formula, then $\exists x A$ is a formula, where x is a number variable and x does not occur in any subformula of A which is of the form either $H(i, t)$ or $\Sigma_H(j, i, t)$. This restriction on the application of \exists is called the admissibility.

Axioms and inference rules

- (1) **TRDB** contains inference rules of constructive logic formulated in natural deductions as usual.
- (2) **TRDB** contains axioms and inference rules on constants of **PRA²** (primitive recursive arithmetic with function variables).
- (3) **TRDB** contains *monotone bar induction* as explained below. Let $R[a]$ be a formula with a number variable a free, and suppose $R[a]$ contains neither any

quantifier, H , Σ_H , nor any variable except a . Such a formula will be called *elementary*.

Let $R[a]$ be an elementary formula. Then $R[a]$ is said to be *monotone* if $R[a]$ satisfies $\forall f \exists n R[f[n]]$ and $\forall f \forall m < n (R[f[m]] \Rightarrow R[f[n]])$. Now, our *bar induction* can be expressed as follows:

$$\frac{\begin{array}{c} \vdots \\ \forall z (R[z] \supset A[z]) \end{array} \quad \begin{array}{c} \vdots \\ \forall z (\forall x A[z * x] \supset A[z]) \end{array}}{A[t]} BI,$$

where $A[a]$ is an arbitrary formula, $R[a]$ is an arbitrary monotone formula and t is an arbitrary number term.

(4) **TRDB** contains *definition by transfinite recursion* $TRD(G, \mathcal{I})$. We fix a formula $G[a, b]$, where a and b are free number variables and G satisfies the following conditions.

- (i) No free variable occurs of G except a or b .
- (ii) Predicate constant H does not occur in G , and every Σ_H in G occurs in the form $\Sigma_H(j, a, s)$, where j and s are some terms.

The axiom $TRD(G, \mathcal{I})$ stands as follows:

$$\forall x \forall y (H(x, y) \Leftrightarrow G(x, y)).$$

Type-forms are types with parametric variables. They are briefly described below. See Section 2 and Section 4 of [5] for detail.

Definition 2.3 (Type-form)

Type-forms are defined below, based on the language \mathcal{BL} .

- (1) Symbols N and 1 are (atomic) type-forms.
- (2) If α and β are type-forms, then so are $\alpha \rightarrow \beta$ (*function space*), $\{x\}\alpha$ (*parametric abstraction*), $\pi(\alpha; t)$ (*projection*) and $cond[A; \alpha, \beta]$ (*case definition*), where x is a variable of \mathcal{BL} , t is a \mathcal{BL} -term and A is a \mathcal{BL} -formula.
- (3) $\mathcal{R}[i, t]$ (*transfinite recursion*) is a type-form, where \mathcal{R} is a special letter denoting recursion operator, and i and t are number \mathcal{BL} -terms. ($\mathcal{R}[i, t]$ is characterized by a fixed type-form η . See (1. 4) of Definition 2.4 below.)
- (4) $\rho[j <_I i; \mathcal{R}[j, s]]$ (*restriction*) is a type-form, where i, j and s are number \mathcal{BL} -terms.

Definition 2.4 (Conversion of type-form)

- (1) Conversion rules of type-forms, say α to β , denoted by $\alpha \Rightarrow \beta$, are the following.
 - (1. 1) $\pi(\{x\}\alpha; t) \Rightarrow \alpha[t/x]$, where $\alpha[t/x]$ represents the substitution of t for x in α .

(1. 2) $cond[A; \alpha_1, \alpha_2] \Rightarrow \beta$, where β is α_1 if A is a true sentence in the standard interpretation of the symbols, and β is α_2 if A is a false sentence.

(1. 3) $\rho[j <_I i; \mathcal{R}[j, s]] \Rightarrow \beta$, where β is $\mathcal{R}[j, s]$ if $j <_I i$ is a true sentence, and it is 1 if $j <_I i$ is a false sentence.

(1. 4) Let Ξ be a designated symbol which is temporarily regarded as an atomic type. Let η be a type-form with Ξ but without \mathcal{R} , whose free variables are k and w , where k and w are of arity 0 and Ξ contains only k as its free variable. $\eta[i/k, t/w]$ will denote simultaneous substitution of i for k and t for w , and $\mathcal{R}(i)$ will abbreviate $\{j\}\{x\}\rho[j <_I i; \mathcal{R}[j, x]]$.

$$\mathcal{R}[i, t] \Rightarrow \eta[i/k, t/w][\mathcal{R}(i)/\Xi],$$

where $X[Y/\Xi]$ represents the substitution of Y for Ξ in X .

(2) α is said to be 1-reduced to β if β is obtained from α by one conversion applied to a subtype of α (called a reduction of α to β), with the strategy that the conversions (1. 3) enjoys a following property: one does not go inside $\rho[j <_I i; \mathcal{R}[j, t]]$. We call this ρ -strategy.

(3) A type-form is said to be normal if no conversion rule applies to it.

Remark 2.5 The conversion rule of $\mathcal{R}[i, t]$ is determined by a type-form η in Definition 2.4 (1. 4). We call such a type-form η a *central* type-form.

Theorem 2.6 (Strong normalizability of type-forms: Yasugi and Hayashi, Theorem 1 in [5])

Every type-form is strongly normalizable to a unique normal form (under the ρ -strategy), that is, any process of reductions ends up with a normal type-form. Furthermore, there is a unique such normal type-form for every type-form.

In what follows, we let $\alpha \sim \beta$ mean that α and β have the same normal form. We abbreviate $app(\alpha; t)$ to αt , $\{l\}cond[l = 0; \alpha, cond[l = 1; \beta, 1]]$ to $\alpha \times \beta$, and $\pi(\gamma; i)$ to $\pi_{i+1}\gamma$ for $i = 0$ or 1 . $\alpha \times \beta$ represents the product types.

We define a mapping $\llbracket \]$ of admissible formulas (of TRDB) into type-forms as well as specify the central type-form. This mapping is introduced by Yasugi and Hayashi in Definition 8.1 of [5].

Definition 2.7 (Interpretation $\llbracket \]$)

To each (admissible) formula A (cf. Definition 2.2), we associate a type-form $\llbracket A \rrbracket$, as follows.

- (1) If A is free of \exists and H , then $\llbracket A \rrbracket = 1$.
- (2) Suppose A is free of H but contains \exists .
 - (2. 1) $\llbracket \exists xB \rrbracket = N$ if $\llbracket B \rrbracket = 1$; $\llbracket \exists xB \rrbracket = N \times \llbracket B \rrbracket$ otherwise.
 - (2. 2) $\llbracket \forall xB \rrbracket = 1$ if $\llbracket B \rrbracket = 1$; $\llbracket \forall xB \rrbracket = \{x\}\llbracket B \rrbracket$ otherwise.
 - (2. 3) $\llbracket B \supset C \rrbracket = \llbracket C \rrbracket$ if $\llbracket B \rrbracket = 1$ or $\llbracket C \rrbracket = 1$; $\llbracket B \supset C \rrbracket = \llbracket B \rrbracket \rightarrow \llbracket C \rrbracket$ otherwise.
 - (2. 4) $\llbracket B \wedge C \rrbracket = \llbracket C \rrbracket$ if $\llbracket B \rrbracket = 1$; $\llbracket B \wedge C \rrbracket = \llbracket B \rrbracket$ if $\llbracket C \rrbracket = 1$; $\llbracket B \wedge C \rrbracket = \llbracket B \rrbracket \times \llbracket C \rrbracket$ otherwise.

- (3) Let A be any admissible formula. We define $\llbracket A \rrbracket$ as follows.
- (3. 1) $\llbracket H(i, a) \rrbracket = \mathcal{R}[i, a]$. $\llbracket \Sigma_H(j, i, b) \rrbracket = \rho[j <_I i; \mathcal{R}[j, b]]$.
- (3. 2) For \forall, \supset and \wedge , follow (2) above.
- (4) When reductions of type-forms are concerned, we need to specify the central type-form η in Definition 2.4 (1. 4). Let G be the formula used in $TRD(G, \mathcal{I})$, and let G' be a formula-like expression obtained from G by replacing H and Σ_H with new symbols Ξ and Σ_Ξ respectively. Define $\llbracket \Xi(i, a) \rrbracket = \Xi(i, a)$ and $\llbracket \Sigma_\Xi(j, i, a) \rrbracket = \rho[j <_I i; \Xi(j, b)]$. Now apply (2) above to G' , and put $\eta = \llbracket G' \rrbracket$.

3 An extended system \mathbf{S}

In this section, we introduce a system which is an extension of \mathbf{TRDB} . This system, called \mathbf{S} , was first considered in [2] so that \mathbf{S} be complete with respect to an extended modified realizability interpretation.

Preceding the definition of \mathbf{S} , we define a notation of certain type-forms.

Definition 3.1 (Number-theoretic type-form $N(n)$)

For a natural number n , we define an expression $N(n)$ of a type-form, which is defined by: (i) $N(0) = N$; (ii) $N(n+1) = N \rightarrow N(n)$.

The system \mathbf{S} is defined by the following Definitions 3.2 and 3.5.

Definition 3.2 (Language of $\mathbf{S}(G, \mathcal{I})$)

Given a system $\mathbf{TRDB}(G, \mathcal{I})$, we define a language of an extended system $\mathbf{S}(G, \mathcal{I})$ (often abbreviated to \mathbf{S}) as follows.

(1) **Symbols**

(1. 1) All symbols of $\mathcal{BL}(c)$ -language are those of \mathbf{S} .

(1. 2) For each type-form α , we prepare variables (called variable-forms) $X_1^\alpha, X_2^\alpha, \dots$.

(1. 3) $*$, *app*, *cond*, $()$ and $[\]$ are operational symbols, which are used in order to construct terms of \mathbf{S} .

(1. 4) \mathcal{H} and $\Sigma_{\mathcal{H}}$ are special predicate constants of \mathbf{S} .

(2) **Terms**

We induce terms of \mathbf{S} in the following (2. 1)~(2. 8). We fix a central type-form η as in Definition 2.7 (4). (See also Definition 2.4 and Remark 2.5.)

By $\phi : \alpha$, we express the fact that ϕ is a term of a type-form α .

(2. 1) $*$: $\mathbf{1}$.

(2. 2) For any variable-form $X_n^\alpha, X_n^\alpha : \alpha$.

Note. We distinguish “ $\mathcal{BL}(c)$ -variables” and “variable-forms.” In \mathbf{S} , Variable-forms rule on usual variables, and $\mathcal{BL}(c)$ -variables rule on parameters in \mathbf{S} -terms.

(2. 3) For every natural number n , $id_n : \{x^n\}N(n)$, where x^n is an n -ary $\mathcal{BL}(c)$ -variable. We use this term in order to construct \mathbf{S} -terms corresponding to $\mathcal{BL}(c)$ -terms. See also Remark 3.3 (1) below.

- (2. 4) $\phi : \beta$, whenever $\phi : \alpha$ and β is a type-form with $\alpha \sim \beta$ (α and β have the same normal form).
- (2. 5) $app(\phi; t) : \alpha[t/x]$, whenever $\phi : \{x\}\alpha$ and t is a $\mathcal{B}\mathcal{L}(c)$ -term whose arity is the same as that of the $\mathcal{B}\mathcal{L}(c)$ -variable x .
- (2. 6) $app(\phi; \psi) : \beta$, whenever $\phi : \alpha \rightarrow \beta$ and $\psi : \alpha$.
- (2. 7) $cond[A; \phi, \psi] : cond[A; \alpha, \beta]$, if $\phi : \alpha$, $\psi : \beta$ and if A is a $\mathcal{B}\mathcal{L}(c)$ -formula.
- (2. 8) $\lambda x.\phi : \{x\}\alpha$ if $\phi : \alpha$.

We call ϕ a *number* term if $\phi : N$.

(3) Formulas

- (3. 1) $\phi = \psi$ is an atomic formula of \mathbf{S} , where ϕ and ψ are number terms.
- (3. 2) $\mathcal{H}(i, t, \phi)$ is a formula of \mathbf{S} , if i and t are number $\mathcal{B}\mathcal{L}(c)$ -terms and if ϕ is an \mathbf{S} -term with the type-form α satisfying $\alpha \sim \mathcal{R}[i, t]$.
- (3. 3) $\Sigma_{\mathcal{H}}(j, i, t, \phi)$ is a formula of \mathbf{S} , where i, j and t are number $\mathcal{B}\mathcal{L}(c)$ -terms, and ϕ is an \mathbf{S} -term with the type-form α satisfying $\alpha \sim \rho[j <_I i; \mathcal{R}[i, t]]$.
- (3. 4) $A \wedge B$ and $A \supset B$ are formulas if A and B are formulas of \mathbf{S} .
- (3. 5) Let A be a formula of \mathbf{S} and x is a $\mathcal{B}\mathcal{L}(c)$ -variable. Then, $\forall x A$ is a formula of \mathbf{S} .
- (3. 6) Let A be a formula of \mathbf{S} , and let X^α be a variable-form. Then, $\forall X^\alpha A$ and $\exists X^\alpha A$ are formulas of \mathbf{S} if X^α in A satisfies the following condition $\Gamma(X^\alpha, A)$:

$\Gamma(X^\alpha, A)$: If there is a free occurrence of X^α in A , then all $\mathcal{B}\mathcal{L}(c)$ -variables occurring in α freely are free in A . (That is, if x is a free $\mathcal{B}\mathcal{L}(c)$ -variable occurring in α , then such an occurrence of X^α is not in the scope of any $\forall x$ in A .)

Note that $\exists x$ is *not* admitted in \mathbf{S} -formulas. This is because, we do not need to bound $\mathcal{B}\mathcal{L}$ -variables by \exists -quantifier due to the admissibility of \mathbf{S} - (TRDB-) formulas (cf. Definition 2.2 and Remark 4.2 of [2]).

Remark 3.3 For an n -ary $\mathcal{B}\mathcal{L}(c)$ -term t , the intended meaning of the \mathbf{S} -term $app(id_n; t)$ is t . Note that $app(id_n; t)$ has the type-form $N(n)$ defined in Definition 3.1. In what follows, we abbreviate $app(id_n; t)$ to t .

Definition 3.4 (Morphism *)

We define a morphism $*$ which sends a formula of TRDB to a certain formula of \mathbf{S} in the following (1) and (2).

(1) Let A be a formula of TRDB, and let t be a $\mathcal{B}\mathcal{L}(c)$ -term in A . We define an \mathbf{S} -term $S(t; A)$ by induction on the construction of t as follows.

(1. 1) Let t be an n -ary $\mathcal{B}\mathcal{L}(c)$ -variable x_i^n .

(i) If x_i^n is bound by an \exists -quantifier in A , then $S(x_i^n; A) = X_i^N$. Note that, in this case, the arity n of x_i^n is 0 by the admissibility of TRDB-formula.

(ii) Otherwise, $S(x_i^n; A) = app(id_n; x_i^n)$.

(1. 2) If t is an n -ary function constant f , then $S(f; A) = app(id_n; f)$.

- (1. 3) If t is a number $\mathcal{BL}(c)$ -term $f(t_1, \dots, t_n)$ defined in Definition 2.1 (2. 2), then $S(f(t_1, \dots, t_n); A) = \text{app}(\text{app}(\dots \text{app}(S(f; A); S(t_1; A)); \dots); S(t_n; A))$.
- (2) Let A be a formula of **TRDB**, and let B be a subformula of A . We define an **S**-formula $S(B; A)$ by induction on the construction of B , as follows.
- (2. 1) If B is an atomic formula which is not an H -formula, then $S(B; A)$ is the formula obtained from B by replacing all terms t_1, \dots, t_n of B by the terms $S(t_1; A), \dots, S(t_n; A)$.
- (2. 2) $S(H(i, a); A) = \exists X^{\mathcal{R}[i, a]} \mathcal{H}(i, a, X^{\mathcal{R}[i, a]})$.
- (2. 3) $S(\Sigma_H(j, i, a); A) = \exists X^{\rho[j < i; \mathcal{R}[j, a]]} \Sigma_{\mathcal{H}}(j, i, a, X^{\rho[j < i; \mathcal{R}[j, a]])$.
- (2. 4) $S(B \wedge C; A) = S(B; A) \wedge S(C; A)$.
- (2. 5) $S(B \supset C; A) = S(B; A) \supset S(C; A)$.
- (2. 6) $S(\forall x B[x]; A) = \forall x S(B[x]; A)$.
- (2. 7) $S(\exists x B[x]; A) = \exists X^N S(B[x]; A)$, where $X^N = S(x; A)$.
- Now, for every **TRDB**-formula A , we define A^* by: $A^* = S(A; A)$.

In what follows, we abbreviate an **S**-term $\lambda x. \text{cond}[x = 0; \phi, \text{cond}[x = 1; \psi, *]]$ (*pairing*) to $\langle \phi, \psi \rangle$, and $\text{app}(\phi, i)$ (*projection*) to $\pi_{i+1}(\phi)$ for $i = 0, 1$.

Definition 3.5 (Axioms and inference rules of **S**)

- (1) Axioms and inference rule of **S** as constructive logic are defined similarly to those of 2.2.
- (2) Axioms and inference rules with respect to elementary arithmetic are obtained from those of **TRDB** by $*$ -mapping.
- (3) Bar induction of **S** is the same as that of **TRDB**.
- (4) $TRD^*(G, \mathcal{I})$:

$$\forall x \forall y (\exists X^{\mathcal{R}[x, y]} \mathcal{H}(x, y, X^{\mathcal{R}[x, y]}) \Leftrightarrow G^*[x, y]).$$

Here, $G[a, b]$ is the formula defined in $TRD(G, \mathcal{I})$ of **TRDB** (cf. Definition 2.2), and $G^*[a, b]$ is the formula obtained from $G[a, b]$ by the morphism $*$.

- (5) Implication axiom:

$$(A \supset \exists Y^\beta B[Y^\beta]) \Rightarrow \exists Y^\beta (A \supset B[Y^\beta]),$$

where A is \exists -free and B is any formula.

- (6) Axiom of choice:

$$\forall X \exists Y^\beta A[X, Y^\beta] \Rightarrow \exists Z \forall X A[X, Z^\gamma X],$$

where A is an \exists -free formula, X is either a $\mathcal{BL}(c)$ -variable x (in which case γ is $\{x\}\beta$) or a variable-form X^α (in which case γ is $\alpha \rightarrow \beta$).

- (7) Product axiom:

$$\exists X^\alpha \exists Y^\beta A[X^\alpha, Y^\beta] \Rightarrow \exists Z^{\alpha \times \beta} A[\pi_1(Z^{\alpha \times \beta})/X^\alpha, \pi_2(Z^{\alpha \times \beta})/Y^\beta],$$

where A is \exists -free.

Remark 3.6 (1) Note that we restrict the axioms for equality of term-forms with type-form N . For example, \mathbf{S} contains the axiom of the form $t = t$, but does not contain $X^N = X^N$.

(2) In what follows, the bold-script upper case alphabet \mathbf{X} expresses a variable-form X^α with the type-form α or a $\mathcal{B}\mathcal{L}(c)$ -variable x . Similarly with \mathbf{Y} and \mathbf{Z} .

Proposition 3.7 (Embedding of TRDB into S)

$\text{TRDB} \vdash A$ implies $\mathbf{S} \vdash A^*$ for any formula A . (See Definition 3.4 for $*$.)

Next we define an interpretation MR on formulas of \mathbf{S} .

Definition 3.8 (Modified realizability interpretation MR)

We define the modified realizability interpretation MR , which translates \mathbf{S} -formulas to certain \mathbf{S} -formulas. Given a formula A , we define $MR(A)$ by induction on the construction of A . $MR(A)$ will be of the form $\exists W^\delta \mathcal{A}[W^\delta]$, where $\mathcal{A}[W^\delta]$ is an \exists -free formula. (In the case (1. 1) below, $\exists X$ is vacuous.)

(1) Suppose that A does not contain \mathcal{H} .

(1. 1) If A does not contain \exists -quantifier, then $MR(A) = A$.

In (1. 2)~(1. 5) below, A is assumed to contain an \exists -quantifier. We assume the induction hypotheses $MR(B) = \exists Y^\beta \mathcal{B}[Y^\beta]$ and $MR(C) = \exists Z^\gamma \mathcal{C}[Z^\gamma]$, where \mathcal{B} and \mathcal{C} do not contain \exists -quantifiers.

(1. 2) If $A = \exists X^\alpha B$, then $MR(\exists X^\alpha B) = \exists W^{\alpha \times \beta} \mathcal{B}[\pi_1 W^{\alpha \times \beta} / X^\alpha, \pi_2 W^{\alpha \times \beta} / Y^\beta]$. If $MR(B)$ is an \exists -free formula \mathcal{B} , then $MR(A) = \exists X^\alpha \mathcal{B}$.

(1. 3) If $A = B \wedge C$, then $MR(B \wedge C) = \exists W^{\beta \times \gamma} (\mathcal{B}[\pi_1 W^{\beta \times \gamma} / Y^\beta] \wedge \mathcal{C}[\pi_2 W^{\beta \times \gamma} / Z^\gamma])$. In the case where $MR(B)$ is \exists -free, $MR(A) = \exists Z^\gamma (\mathcal{B} \wedge \mathcal{C}[Z^\gamma])$. If $MR(C)$ is \exists -free, then $MR(A) = \exists Y^\beta (\mathcal{B}[Y^\beta] \wedge \mathcal{C})$.

(1. 4) If $A = B \supset C$, then $MR(B \supset C) = \exists W^{\beta \rightarrow \gamma} \forall Y^\beta (\mathcal{B}[Y^\beta] \supset \mathcal{C}[W^{\beta \rightarrow \gamma} Y^\beta / Z^\gamma])$. If $MR(B)$ is \exists -free, then $MR(A) = \exists Z^\gamma (\mathcal{B} \supset \mathcal{C}[Z^\gamma])$. If $MR(C)$ is \exists -free, then $MR(A) = \forall Y^\beta (\mathcal{B}[Y^\beta] \supset \mathcal{C})$.

(1. 5) If $A = \forall \mathbf{X} B$, then $MR(\forall \mathbf{X} B) = \exists W^\delta \forall \mathbf{X} \mathcal{B}[\mathbf{X}, W^\delta \mathbf{X} / Y^\beta]$. Here δ is determined as follows: δ is $\{x\}\beta$ if \mathbf{X} is a $\mathcal{B}\mathcal{L}(c)$ -variable x ; δ is $\alpha \rightarrow \beta$ if $\mathbf{X} = X^\alpha$. If $MR(B)$ is \exists -free, then $MR(A) = \forall \mathbf{X} B$.

(2) A contains \mathcal{H} as its subformula, that is, A is an \mathcal{H} -formula.

(2. 1) We define the MR -interpretation of basic \mathcal{H} -formulas as follows:

$$MR(\mathcal{H}(i, t, X^\alpha)) = \mathcal{H}(i, t, X^\alpha).$$

$$MR(\Sigma(j, i, t, X^\alpha, \mathcal{H})) = \Sigma(j, i, t, X^\alpha, \mathcal{H}).$$

(2. 2) For the general cases of formulas which contain \mathcal{H} , the MR -interpretations can be defined from (2. 1) by applying (1. 1)~(1. 5).

In [2], we investigated the following theorem.

Theorem 3.9 (Completeness theorem for MR -interpretation.)

Let A be a formula in \mathbf{S} . Then

$$\mathbf{S} \vdash MR(A) \Leftrightarrow A.$$

4 Term-forms: review

In this section, we present the definition of *term-forms* (terms with parameter types) and reduction rules of them, which were introduced by Yasugi and Hayashi in [5] and [6]. We repeat the definitions in some detail for the reader's convenience.

Definition 4.1 (Term-forms: See also Definition 4.1 of [5].)

Term-forms are defined below. $\phi : \alpha$ will express that term-form ϕ is of type-form α . If $\alpha \sim \beta$ (cf Theorem 2.6), then we let $\phi : \alpha$ imply $\phi : \beta$.

- (1) $*$ is an atomic term-form whose type-form is 1 .
- (2) For a natural number n , id_n is an atomic term-form whose type-form $\{x^n\}\tau(n)$. Here x^n is an n -ary $\mathcal{B}\mathcal{L}(c)$ -variable.
- (3) For each natural number n and for each type-form β , X_n^β (the n th variable-form of type-form β) is an atomic term-form.
- (4) If $\phi : \gamma$, then $\lambda x.\phi : \{x\}\gamma$. If $\phi : \gamma$, then $\lambda X_n^\beta.\phi : \beta \rightarrow \gamma$, where the condition $\Gamma^*(\phi, \beta)$ below is assumed.

$\Gamma^*(\phi, \beta)$: For each X_n^δ , where $\delta \sim \beta$ and X_n^δ occurs freely in ϕ , no free $\mathcal{B}\mathcal{L}(c)$ -variable x in δ is bound by λx in ϕ .

- (5) If $\phi : \{x\}\gamma$, then $app(\phi; t) : \gamma[t/x]$. If $\phi : \beta \rightarrow \gamma$ and $\psi : \beta$, then $app(\phi; \psi) : \gamma$. We abbreviate these as ϕt and $\phi\psi$ respectively.
- (6) If $\phi : \beta$ and $\psi : \gamma$, then $cond[A; \phi, \psi] : cond[A; \beta, \gamma]$.
- (7) If $\phi : \mathcal{R}[j, s]$, then $\sigma[j <_I i; \phi] : \rho[j <_I i; \mathcal{R}[j, s]]$.
- (8) A functional constant μ of type $\{f\}N$, where f is a unary function $\mathcal{B}\mathcal{L}(c)$ -variable. (μ represents a modulus of finiteness functional of the order $<_I$. See the beginning of Preliminaries.)
- (9) Bar recursion. Let \mathbf{b} stand for a continuous (bar recursive) functional for a neighborhood function (see [5]). If $\phi : \{z\}\gamma$ and $\psi : \{z\}(\{s\}\gamma[z * s/z] \rightarrow \gamma)$, then $\mathcal{B}[\mathbf{b}; \phi, \psi; m, f] : \gamma[f[m/z]]$, where m and f are $\mathcal{B}\mathcal{L}$ -terms of arities respectively 0 and 1, and $f[m]$ represents the restriction of f to the domain m .

Notice that the terms of \mathbf{S} defined in Definition 3.2 form a subset of these term-forms. The language of \mathbf{S} is *not* extended to include these term-forms.

Definition 4.2 (Conversion of term-forms: See Definition 4.3 of [5].)

- (1) Conversion rules of term-forms are the following.
 - (1. 1) $(\lambda x.\phi)t \Rightarrow \phi[t/x]$.
 - (1. 2) $(\lambda X_n^\beta.\phi)\psi \Rightarrow \phi[\psi/X_n^\beta]$, where $\beta \sim \delta$ and X_n^δ is free in ϕ . (ψ is substituted for X_n^δ for any such X_n^δ .)
 - (1. 3) $cond[A; \phi, \psi] \Rightarrow \chi$, where χ is ϕ if A is a true sentence and χ is ψ if A is a false sentence.
 - (1. 4) $\sigma[j <_I i; \phi] \Rightarrow \chi$, where χ is ϕ if $j <_I i$ is a true sentence and χ is $*$ if $j <_I i$ is a false sentence.

(1. 5) The conversion rule for \mathcal{B} is as follows.

$$\mathcal{B}[\mathbf{b}; \phi, \psi, m, f] \Rightarrow \text{app}(\phi; f[m]),$$

if $\mathbf{b}(f) \leq m$ is a true sentence with \mathbf{b} added to $\mathcal{B}\mathcal{L}$, and

$$\mathcal{B}[\mathbf{b}; \phi, \psi, m, f] \Rightarrow \text{app}(\text{app}(\psi; f[m]); \lambda k. \mathcal{B}[\mathbf{b}; \phi, \psi, m+1, (f[m]\#k)])$$

if $\mathbf{b}(f) > m$ is a true sentence. Here $(f[m]\#k)$ is a unary term being an extension of the finite sequence $f[m]$ of the form $\langle f(0), f(1), \dots, f(m-1), k, 0, 0, \dots \rangle$.

(1. 6) Let s and t be $\mathcal{B}\mathcal{L}(c)$ -terms. If t is the result of computation of s , then $t \Rightarrow s$.

(2) We can define “ ϕ 1-reduces to ψ ” and “ ϕ is reducible to ψ ” similarly to Definition 2.4, with the strategies that the conversions of σ and \mathcal{B} have priorities of reduction. A term-form is said to be *normal* if it can not be reduced any longer.

Definition 4.3 (Term system TRM)

TRM is the system consisting of type-forms and term-forms together with their reductions.

Theorem 4.4 (Strong normalizability of TRM; see Theorem 3 in [5])

Every term-form is strongly normalizable to a unique normal form (under the σ - and \mathcal{B} -strategies).

5 Semantics for S-formulas

In this section, we define a truth-value ET of \mathbf{S} -formulas under certain term-forms. As our main objective, we present the main theorem of this paper, which assures validity of \mathbf{S} with respect to the truth-value ET .

Definition 5.1 (Degree of S-formula)

(1) For a primitive recursive order structure $\mathcal{I} = (I, <_I)$, which we assumed in defining TRDB, we define $\mathcal{I}^* = (I^*, <^*)$ as in [5] and [1].

$$I^\sim = \{i^\sim; i \in I\}; I^* = I \cup I^\sim \cup \{\infty\}; i <^* i^\sim <^* j <^* \infty \text{ when } i <_I j.$$

Moreover, we put $\mathcal{I}_* = \omega^{\mathcal{I}^*}$, where we identify \mathcal{I}^* with its order type.

(2) Let A be either $\mathcal{H}(i, t, X^\alpha)$ or $\Sigma_{\mathcal{H}}(i, j, t, X^\alpha)$. We define the *rank* $r(A)$ of A as follows.

(i) $r(\mathcal{H}(i, t, X^\alpha)) = i^\sim$ if i is closed; $r(\mathcal{H}(i, t, X^\alpha)) = \infty$ otherwise.

(ii) $r(\Sigma_{\mathcal{H}}(i, j, t, X^\alpha)) = j$ if j is closed; $r(\Sigma_{\mathcal{H}}(i, j, t, X^\alpha)) = \infty$ otherwise.

(3) Let A be a formula. Then, we define the *degree* $d(A)$ of A as follows.

(i) If A is an atomic formula except an \mathcal{H} -formula, then $d(A) = 1$.

(ii) $d(B \wedge C) = d(B \vee C) = d(B \supset C) = \max(d(B), d(C)) + 1$.

(iii) $d(\forall XB[X]) = d(\exists XB[X]) = d(B[X]) + 1$, where X is a $\mathcal{B}\mathcal{L}(c)$ -variable or a variable-form.

(iv) $d(\mathcal{H}(i, t, X^\alpha)) = \omega^{r(\mathcal{H}(i, t, X^\alpha))}$; $d(\Sigma_{\mathcal{H}}(i, j, t, X^\alpha)) = \omega^{r(\Sigma_{\mathcal{H}}(i, j, t, X^\alpha))}$.

Definition 5.2 (Semantics)

Let $A[X_1^{\alpha_1}, \dots, X_n^{\alpha_n}, x_1, \dots, x_m]$ be an \exists -free formula of \mathbf{S} , where $X_1^{\alpha_1}, \dots, X_n^{\alpha_n}$ are all free variable-forms of A and x_1, \dots, x_m are all free $\mathcal{B}\mathcal{L}(c)$ -variables of A . We abbreviate $A[X_1^{\alpha_1}, \dots, X_n^{\alpha_n}, x_1, \dots, x_m]$ to $A[\vec{X}, \vec{x}]$.

Let s_1, \dots, s_m be closed $\mathcal{B}\mathcal{L}(c)$ -terms, where each s_k has the same arity as that of x_k , and let ϕ_1, \dots, ϕ_n be only- \vec{x} -open term-forms, where each ϕ_j has a type-form β_j with $\alpha_j[\vec{s}/\vec{x}] \sim \beta_j[\vec{s}/\vec{x}]$. We define the truth-value of $A[\vec{X}, \vec{x}]$ under environments of ϕ_1, \dots, ϕ_n for $X_1^{\alpha_1}, \dots, X_n^{\alpha_n}$ and s_1, \dots, s_m for x_1, \dots, x_m , which is denoted by $ET(A[\vec{X}, \vec{x}]; \vec{\phi}, \vec{s})$, by transfinite induction on the degree of $A[\vec{X}, \vec{x}][\vec{s}/\vec{x}]$.

(1) $A[\vec{X}, \vec{x}]$ does not contain \mathcal{H} .

(1. 1) $A[\vec{X}, \vec{x}]$ is an atomic formula, that is, $A[\vec{X}, \vec{x}]$ is of the form $\Phi[\vec{X}, \vec{x}] = \Psi[\vec{X}, \vec{x}]$. We define the truth-value by: $ET(A[\vec{X}, \vec{x}]; \vec{\phi}, \vec{s}) = T$ (*true*) if $\Phi(\vec{\phi}[\vec{s}/\vec{x}], \vec{s})$ and $\Psi(\vec{\phi}[\vec{s}/\vec{x}], \vec{s})$ have the same normal form; $ET(A[\vec{X}, \vec{x}]; \vec{\phi}, \vec{s}) = F$ (*false*) otherwise.

(1. 2) The connectives \wedge, \supset and \vee are interpreted classically.

(2) $A[\vec{X}, \vec{x}]$ contains \mathcal{H} .

(2. 1) $A[\vec{X}, \vec{x}]$ is of the form $\mathcal{H}(i, t, X_1^{\alpha_1})$. Let $\mathcal{G}[i, t, X^\xi]$ be the formula obtained from $MR(G^*[i, t]) = \exists X^\xi \mathcal{G}[i, t, X^\xi]$. We define the truth-value by

$$ET(\mathcal{H}(i, t, X_1^{\alpha_1}); \phi_1, \vec{s}) = ET(\mathcal{G}[i, t, X^\xi]; \phi_1, \vec{s}).$$

(2. 2) $A[\vec{X}, \vec{x}]$ is of the form $\Sigma_{\mathcal{H}}(j, i, t, X_1^{\alpha_1})$.

(i) If $ET(j <_I i; \vec{s}) = T$, that is, $j[\vec{s}/\vec{x}] <_I i[\vec{s}/\vec{x}]$, then

$$ET(\Sigma_{\mathcal{H}}(j, i, t, X_1^{\alpha_1}); \phi_1, \vec{s}) = ET(\mathcal{H}(\vec{j}, \vec{t}, X_1^{\mathcal{R}[\vec{j}, \vec{t}]}); \phi_1[\vec{s}/\vec{x}]),$$

where $\vec{j} = j[\vec{s}/\vec{x}]$ and $\vec{t} = t[\vec{s}/\vec{x}]$.

(ii) If $ET(j <_I i; \vec{s}) = F$, then

$$ET(\Sigma_{\mathcal{H}}(j, i, t, X_1^{\alpha_1}); \phi_1, \vec{s}) = F.$$

Note that $x <_I y$ is an \mathcal{H} -free formula with the intended meaning of the order of \mathcal{I} , which is a primitive recursive predicate. (See Definition 3.5 (2).)

(2. 3) If A is an \mathcal{H} -formula which is not atomic, then we follow the cases in (1).

The following theorem can be proved in a manner similar to the proof of the Main Theorem in Section 4 of [4], adding the new cases of implication axiom, axiom of choice, and product axiom.

Theorem 5.3 (Validity of S-theorem)

Let $A (= A[\vec{Y}, \vec{y}])$ be a theorem of \mathbf{S} , where $\vec{Y} (= Y_1^{\beta_1}, \dots, Y_n^{\beta_n})$ are all free variable-forms of A and $\vec{y} (= y_1, \dots, y_m)$ are all $\mathcal{BL}(c)$ -variables of A . If $MR(A[\vec{Y}, \vec{y}]) = \exists X^\alpha \mathcal{A}[X^\alpha, \vec{Y}, \vec{y}]$, then there exists a term-form $\Phi (= \Phi[\vec{Y}, \vec{y}])$ satisfying the following:

- (i) Φ has no free variable (-form) except \vec{Y}, \vec{y} . (That is, Φ is only- \vec{y} -open.)
- (ii) For all closed $\mathcal{BL}(c)$ -terms $\vec{t} (= t_1, \dots, t_m)$, where the arity of t_j is the same as that of y_j , and for all only- \vec{y} -open term-forms $\vec{\psi} (= \psi_1, \dots, \psi_n)$, where ψ_i has a type-form β'_i with $\beta'_i[\vec{t}/\vec{y}] \sim \beta_i[\vec{t}/\vec{y}]$, it holds that

$$ET(\mathcal{A}[X^\alpha, \vec{Y}, \vec{y}]; \Phi[\vec{\psi}/\vec{Y}], \vec{\psi}, \vec{t}) = T.$$

As an immediate consequence of Theorem 5.3, we have the following result with respect to the existence of a function.

Corollary 5.4 For any Π_2^0 -sentence of arithmetic, say $\forall x \exists y A[x, y]$, if $\forall x \exists y A[x, y]$ is a theorem of \mathbf{S} , then there exists a closed term-form Φ such $ET(\forall x A[x, Yx]; \Phi) = T$. That is, all functions which are provably total in \mathbf{S} can be realized in \mathbf{TRM} .

Corollary 5.5 \mathbf{S} is consistent.

References

- [1] O. Takaki, Normalization of natural deductions of a constructive arithmetic for transfinite recursion and bar induction, *Notre Dame Journal of Formal Logic*, **38:3** (1997) 350-373.
- [2] O. Takaki and M. Yasugi, Modified realizability for TRDB, *To appear in Algebraic Engineering*, World Scientific Publishing Co..
- [3] A. S. Troelstra, Metamathematical investigation of intuitionistic arithmetic and analysis, *Springer-Verlag, Lecture Notes in Mathematics*, **344** (1973).
- [4] M. Yasugi, Hyper-principle and the functional structure of ordinal diagrams, *Comment. Math. Univ. St. Pauli*, **34** (1985) 227-263 (the opening part); **35** (1986) 1-37 (the concluding part).
- [5] M. Yasugi and S. Hayashi, A functional system with transfinitely defined types, *Springer-Verlag, Lecture Notes in Computer Science*, **792** (1994) 31-60.
- [6] M. Yasugi and S. Hayashi, Interpretations of transfinite recursion and parametric abstraction in types, *Words, Languages and Combinatorics II*, World Scientific Publishing Co., (1994) 452-464.