

Notes on discrete subgroups of  $PU(1, 2; \mathbf{C})$   
 with Heisenberg translations II

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In a previous paper [8] we have seen that under some conditions Parker's theorem yields the discreteness condition of Basmajian and Miner for groups with a Heisenberg translation. We show that we can obtain the same result as in [8] without the assumption on  $r$ .

1. First we recall some definitions and notation. Let  $\mathbf{C}$  be the field of complex numbers. Let  $V = V^{1,2}(\mathbf{C})$  denote the vector space  $\mathbf{C}^3$ , together with the unitary structure defined by the Hermitian form

$$\tilde{\Phi}(z^*, w^*) = -(\overline{z_0^*}w_1^* + \overline{z_1^*}w_0^*) + \overline{z_2^*}w_2^*$$

for  $z^* = (z_0^*, z_1^*, z_2^*), w^* = (w_0^*, w_1^*, w_2^*)$  in  $V$ . An automorphism  $g$  of  $V$ , that is a linear bijection such that  $\tilde{\Phi}(g(z^*), g(w^*)) = \tilde{\Phi}(z^*, w^*)$  for  $z^*, w^*$  in  $V$ , will be called a unitary transformation. We denote the group of all unitary transformations by  $U(1, 2; \mathbf{C})$ . Let  $V_0 = \{w^* \in V \mid \tilde{\Phi}(w^*, w^*) = 0\}$  and  $V_- = \{w^* \in V \mid \tilde{\Phi}(w^*, w^*) < 0\}$ . It is clear that  $V_0$  and  $V_-$  are invariant under  $U(1, 2; \mathbf{C})$ . We denote  $U(1, 2; \mathbf{C})/(\text{center})$  by  $PU(1, 2; \mathbf{C})$ . Set  $V^* = V_- \cup V_0 - \{0\}$ . Let  $\pi : V^* \rightarrow \pi(V^*)$  be the projection map defined by  $\pi(w_0^*, w_1^*, w_2^*) = (w_1, w_2)$ , where  $w_1 = w_1^*/w_0^*$  and  $w_2 = w_2^*/w_0^*$ . We write  $\infty$  for  $\pi(0, 1, 0)$ . We may identify  $\pi(V_-)$  with the Siegel domain

$$H^2 = \{w = (w_1, w_2) \in \mathbf{C}^2 \mid \operatorname{Re}(w_1) > \frac{1}{2}|w_2|^2\}.$$

We can regard an element of  $PU(1, 2; \mathbf{C})$  as a transformation acting on  $H^2$  and its boundary  $\partial H^2$  (see [6]). Denote  $H^2 \cup \partial H^2$  by  $\overline{H^2}$ . We define a new coordinate system in  $\overline{H^2} - \{\infty\}$ . Our convention slightly differs from Basmajian-Miner [1] and Parker [8]. The  $H$ -coordinates of a point  $(w_1, w_2) \in \overline{H^2} - \{\infty\}$  are defined by  $(k, t, w_2)_H \in (\mathbf{R}^+ \cup \{0\}) \times \mathbf{R} \times \mathbf{C}$  such that  $k = \operatorname{Re}(w_1) - \frac{1}{2}|w_2|^2$  and  $t = \operatorname{Im}(w_1)$ . For simplicity, we write  $(t_1, w')_H$  for  $(0, t_1, w')_H$ . The Cygan metric  $\rho(p, q)$  for  $p = (k_1, t_1, w')_H$  and  $q = (k_2, t_2, W')_H$  is given by

$$\rho(p, q) = \left\{ \frac{1}{2}|W' - w'|^2 + |k_2 - k_1| \right\} + i\{t_1 - t_2 + \operatorname{Im}(\overline{w'}W')\}^{\frac{1}{2}}.$$

We note that the Cygan metric  $\rho$  is a generalization of the Heisenberg metric  $\delta$  in  $\partial H^2$  (see [7]).

Let  $f = (a_{ij})_{1 \leq i, j \leq 3}$  be an element of  $PU(1, 2; \mathbf{C})$  with  $f(\infty) \neq \infty$ . We define the *isometric sphere*  $I_f$  of  $f$  by

$$I_f = \{w = (w_1, w_2) \in \overline{H}^2 \mid |\tilde{\Phi}(W, Q)| = |\tilde{\Phi}(W, f^{-1}(Q))|\},$$

where  $Q = (0, 1, 0)$ ,  $W = (1, w_1, w_2)$  in  $V^*$  (see [4]). It follows that the isometric sphere  $I_f$  is the sphere in the Cygan metric with center  $f^{-1}(\infty)$  and radius  $R_f = \sqrt{1/|a_{12}|}$ , that is,

$$I_f = \left\{ z = (k, t, w')_H \in (\mathbf{R}^+ \cup \{0\}) \times \mathbf{R} \times \mathbf{C} \mid \rho(z, f^{-1}(\infty)) = \sqrt{\frac{1}{|a_{12}|}} \right\}.$$

2. We shall give a modified version of the stable basin theorem of Basmajian and Miner in [1]. Let

$$B_r = \{z \in \partial H^2 \mid \delta(z, 0) < r\},$$

and let  $\overline{B}_s^c = \partial H^2 - \overline{B}_s$ . Given  $r$  and  $s$  with  $r < s$ , the pair of open sets  $(B_r, \overline{B}_s^c)$  is said to be *stable* with respect to a set  $S$  of elements in  $PU(1, 2; \mathbf{C})$  if for any element  $g \in S$ ,

$$g(0) \in B_r \quad g(\infty) \in \overline{B}_s^c.$$

A loxodromic element  $f$  has a unique complex dilation factor  $\lambda(f)$  such that  $|\lambda(f)| > 1$ . Let  $S(r, \varepsilon)$  denote the family of loxodromic elements  $f$  with fixed points in  $B_r$  and  $\overline{B}_{1/r}^c$ , and satisfying  $|\lambda(f) - 1| < \varepsilon$ . For positive real numbers  $r$  and  $r'$  with  $r < 1/\sqrt{3}$  and  $r' < 1$ , we define  $\varepsilon(r, r')$  by

$$\varepsilon(r, r') = \sup\{|\lambda(f) - 1|\}, \quad (2.1)$$

where  $|\lambda(f) - 1|$  satisfies the inequality

$$|\lambda(f) - 1| < \sqrt{2 + \left( \frac{1 - (3 + |\lambda(f) - 1|)r^2}{1 - 2r^2} \right)^2 \left( \frac{1 - 3r^2}{1 - r^2} \right)^2 \left( \frac{r'}{r} \right)^2} - \sqrt{2}. \quad (2.2)$$

A triple of non-negative numbers  $(r, r', \varepsilon)$  is said to be a *basin point* provided that  $r < 1/\sqrt{3}$ ,  $r' < 1$  and  $\varepsilon < \varepsilon(r, r')$ . In particular, if  $r' \leq r$ , we call  $(r, r', \varepsilon)$  a *stable basin point*. Call the set of all such points the *stable basin region*. For simplicity, we abbreviate  $(r, r', \varepsilon)$  to  $(r, \varepsilon)$

**Theorem 2.1** ([8; Theorem 3.1]). *Given positive real numbers  $r$  and  $r'$  with  $r < 1/\sqrt{3}$  and  $r' < 1$ , the pair of open sets  $(B_{r'}, \overline{B}_{1/r'}^c)$  is stable with respect to the family  $S(r, \varepsilon(r, r'))$ , where  $\varepsilon(r, r')$  is given by (2.1).*

3. We begin with introducing Parker's theorem on the discreteness of subgroups of  $PU(1, 2; \mathbf{C})$ .

Theorem 3.1 ([9; Theorem 2.1]). *Let  $g$  be a Heisenberg translation with the form*

$$g = \begin{pmatrix} 1 & 0 & 0 \\ s & 1 & \bar{a} \\ a & 0 & 1 \end{pmatrix},$$

where  $Re(s) = \frac{1}{2}|a|^2$ . Let  $f$  be any element of  $PU(1, 2; \mathbf{C})$  with isometric sphere of radius  $R_f$ . If

$$R_f^2 > \delta(gf^{-1}(\infty), f^{-1}(\infty))\delta(gf(\infty), f(\infty)) + 2|a|^2,$$

then the group  $\langle f, g \rangle$  generated by  $f$  and  $g$  is not discrete.

Remark 3.2. Suppose that  $g$  is a vertical Heisenberg translation. As  $a = 0$ , this theorem is equivalent to results in [5] and [6].

In Theorem 4.5 of [8] we have shown that if  $r < 0.484$ , then Theorem 3.1 leads to the discreteness condition of Basmajian and Miner for groups with a Heisenberg translation. By using a more precise estimate on the Heisenberg distance between fixed points of  $f$  in terms of  $R_f$  and  $\lambda(f)$ , we have the following same result without the assumption on  $r$ .

Theorem 3.3. *Fix a stable basin point  $(r, \varepsilon)$ . Let  $g$  be the same element as in Theorem 3.1. Let  $f$  be a loxodromic element with fixed point 0 and  $q$ , and satisfying  $|\lambda(f) - 1| < \varepsilon$ . If  $\delta(0, q) > \frac{\delta(0, g(0))}{r^2}(1 + r^2 + \sqrt{1 + r^2})$ , then the group  $\langle f, g \rangle$  generated by  $f$  and  $g$  is not discrete.*

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