

## ON THE EXISTENCE OF CONTINUOUS SELECTIONS AVOIDING EXTREME POINTS

島根大学 総合理工学部 山内貴光 (Takamitsu Yamauchi)  
Interdisciplinary Faculty of Science and Engineering,  
Shimane University

Throughout this note, all spaces are assumed to be  $T_1$  and  $\lambda$  stands for an infinite cardinal number. For undefined terminology, we refer to [3]. The purpose of this note is to introduce some results of [15] and [16].

Let  $X$  be a space and  $(Y, \|\cdot\|)$  a Banach space. By  $2^Y$ , we denote the set of all non-empty subsets of  $Y$ . For a mapping  $\varphi : X \rightarrow 2^Y$ , a mapping  $f : X \rightarrow Y$  is called a *selection* if  $f(x) \in \varphi(x)$  for each  $x \in X$ .

For  $K \in \mathcal{F}_c(Y)$ , a point  $y \in K$  is called an *extreme point* if every open line segment containing  $y$  is not contained in  $K$ . For  $K \in \mathcal{F}_c(Y)$ , the *weak convex interior*  $wci(K)$  of  $K$  ([5]) is the set of all non-extreme points of  $K$ , that is,

$$wci(K) = \{y \in K \mid y = \delta y_1 + (1-\delta)y_2 \text{ for some } y_1, y_2 \in K \setminus \{y\} \text{ and } 0 < \delta < 1\}.$$

Our concern of this note is to obtain theorems on continuous selections avoiding extreme points, which is motivated by Problem 3 below posed by V. Gutev, H. Ohta and K. Yamazaki [5].

### 1. A PROBLEM OF GUTEV, OHTA AND YAMAZAKI

A Hausdorff space  $X$  is called *countably paracompact* if every countable open cover of  $X$  is refined by a locally finite open cover of  $X$ . Let  $\mathbf{R}$  be the space of real numbers with the usual topology. The following insertion theorem due to C. H. Dowker [2] and M. Katětov [7] is fundamental in our study.

**Theorem 1** (Dowker [2, Theorem 4], Katětov [7, Theorem 2]). *A  $T_1$ -space  $X$  is normal and countably paracompact if and only if for every upper semicontinuous function  $g : X \rightarrow \mathbf{R}$  and every lower semicontinuous function  $h : X \rightarrow \mathbf{R}$  with  $g(x) < h(x)$  for each  $x \in X$ , there exists a continuous function  $f : X \rightarrow \mathbf{R}$  such that  $g(x) < f(x) < h(x)$  for each  $x \in X$ .*

The cardinality of a set  $S$  is denoted by  $\text{Card } S$ . A  $T_1$ -space  $X$  is called  $\lambda$ -*collectionwise normal* if for every discrete collection  $\{F_\alpha \mid \alpha \in A\}$  of closed subsets of  $X$  with  $\text{Card } A \leq \lambda$ , there exists a disjoint collection  $\{G_\alpha \mid \alpha \in A\}$  of open subsets of  $X$  such that  $F_\alpha \subset G_\alpha$  for each  $\alpha \in A$ . The space  $c_0(\lambda)$  is the Banach space consisting of functions  $s : D(\lambda) \rightarrow \mathbf{R}$ , where  $D(\lambda)$  is a set with  $\text{Card } D(\lambda) = \lambda$ , such that for each  $\varepsilon > 0$  the set  $\{\alpha \in D(\lambda) \mid |s(\alpha)| \geq \varepsilon\}$  is finite, where the linear operations are defined pointwise and  $\|s\| = \sup\{|s(\alpha)| \mid \alpha \in D(\lambda)\}$  for each  $s \in c_0(\lambda)$ . In order to connect insertion theorems with

selection theorems, V. Gutev, H. Ohta and K. Yamazaki [5] introduced lower and upper semicontinuity of a mapping to the Banach space  $c_0(\lambda)$  and, with the aid of these concepts, they proved sandwich-like characterizations of paracompact-like properties. Moreover, they introduced generalized  $c_0(\lambda)$ -spaces for Banach spaces and established the following theorem. A mapping  $\varphi : X \rightarrow 2^Y$  is called *lower semicontinuous* (*l.s.c.* for short) if for every open subset  $V$  of  $Y$ , the set  $\varphi^{-1}[V] = \{x \in X \mid \varphi(x) \cap V \neq \emptyset\}$  is open in  $X$ . By  $\mathcal{C}_c(Y)$  we denote the set of all non-empty compact convex subsets of  $Y$  and let  $\mathcal{C}'_c(Y) = \mathcal{C}_c(Y) \cup \{Y\}$ .

**Theorem 2** (Gutev, Ohta and Yamazaki [5, Theorem 4.5]). *A  $T_1$ -space  $X$  is countably paracompact and  $\lambda$ -collectionwise normal if and only if for every generalized  $c_0(\lambda)$ -space  $Y$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

Note that the “only if” part of Theorem 2 implies that of Theorem 1. By  $w(Y)$  we denote the weight of a space  $Y$ . Since generalized  $c_0(\lambda)$ -space is a special Banach space with  $w(Y) \leq \lambda$ , Gutev, Ohta and Yamazaki [5] posed the following problem.

**Problem 3** (Gutev, Ohta and Yamazaki [5, Problem 4.7]). *Can the phrase “every generalized  $c_0(\lambda)$ -space  $Y$ ” in Theorem 2 be replaced with “every Banach space  $Y$  with  $w(Y) \leq \lambda$ ”?*

It is proved in [15] that the answer of Problem 3 is affirmative.

**Theorem 4** ([15]). *A  $T_1$ -space  $X$  is countably paracompact and  $\lambda$ -collectionwise normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

In particular, we have the following.

**Corollary 5.** *A  $T_1$ -space  $X$  is countably paracompact and normal if and only if for every separable Banach space  $Y$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

**Corollary 6.** *A  $T_1$ -space  $X$  is countably paracompact and collectionwise normal if and only if for every Banach space  $Y$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

A Hausdorff space  $X$  is called  $\lambda$ -paracompact if every open cover  $\mathcal{U}$  of  $X$  with  $\text{Card } \mathcal{U} \leq \lambda$  is refined by a locally finite open cover of  $X$ . The set of all non-empty closed convex subsets of a Banach space  $Y$  is denoted by  $\mathcal{F}_c(Y)$ . The following theorem is a  $\lambda$ -paracompact analogue of Theorems 2 and 4.

**Theorem 7** ([15]). *A  $T_1$ -space  $X$  is normal and  $\lambda$ -paracompact if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{F}_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

Thus we have the following variation of [11, Theorem 3.2''].

**Corollary 8.** *A  $T_1$ -space  $X$  is paracompact if and only if for every Banach space  $Y$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{F}_c(Y)$  such that  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

## 2. THE ROLE OF COUNTABLE PARACOMPACTNESS FOR CONTINUOUS SELECTIONS AVOIDING EXTREME POINTS

The following selection theorem is due to E. Michael [11] and S. Nedev [12].

**Theorem 9** (E. Michael [11, Theorem 3.2'], S. Nedev [12, Theorem 4.2]). *A  $T_1$ -space  $X$  is  $\lambda$ -collectionwise normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  admits a continuous selection.*

Although the existence itself of a continuous selection is guaranteed by Theorem 9, the assumption in Theorem 4 that  $X$  is countably paracompact can not be dropped. Suggested by this fact, we are next concerned with the role of countable paracompactness to obtain a continuous selections avoiding extreme points. Our study has two directions; one is to obtain an l.s.c. set-valued selection avoiding extreme points under a separation axiom of  $X$  weaker than  $\lambda$ -collectionwise normality, another is to drop countable paracompactness instead of imposing a condition to set-valued mappings.

**2.1. L.s.c. set-valued selections avoiding extreme points.** For a mapping  $\varphi : X \rightarrow 2^Y$ , a mapping  $\theta : X \rightarrow 2^Y$  is called a *set-valued selection* if  $\theta(x) \subset \varphi(x)$  for each  $x \in X$ . A topological space  $X$  is called *countably metacompact* if every countable open cover  $\mathcal{U}$  of  $X$  is refined by a point-finite open cover of  $X$ . We have the following characterization of countably metacompact spaces without any separation axiom.

**Theorem 10** ([16]). *A topological space  $X$  is countably metacompact if and only if for every normed space  $Y$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits an l.s.c. set-valued selection  $\phi : X \rightarrow \mathcal{C}_c(Y)$  such that  $\phi(x) \subset \text{wci}(\varphi(x))$  for each  $x \in X$ .*

If the mappings  $\varphi, \phi : X \rightarrow \mathcal{C}_c(Y)$  can be replaced with mappings  $\varphi, \phi : X \rightarrow \mathcal{C}'_c(Y)$ , then Theorem 4 follows from Theorem 9 and the replaced statement. But the author does not know whether Theorem 10 remains valid even if the mappings  $\varphi, \phi : X \rightarrow \mathcal{C}_c(Y)$  are replaced with  $\varphi, \phi : X \rightarrow \mathcal{C}'_c(Y)$ .

A topological space  $X$  is *almost  $\lambda$ -expandable* ([9], [14]) if for every locally finite collection  $\{F_\alpha \mid \alpha \in A\}$  of closed subsets of  $X$  with  $\text{Card } A \leq \lambda$ , there exists a point-finite collection  $\{U_\alpha \mid \alpha \in A\}$  of open subsets of  $X$  such that  $F_\alpha \subset U_\alpha$  for each  $\alpha \in A$ . Note that every countably paracompact  $\lambda$ -collectionwise normal space is almost  $\lambda$ -expandable ([8]), and every almost  $\lambda$ -expandable space is countably metacompact ([9, Theorem 2.6]). For compact-valued l.s.c. set-valued selections of mappings  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$ , we have the following.

**Theorem 11** ([16]). *A normal space  $X$  is almost  $\lambda$ -expandable if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits an l.s.c. set-valued selection  $\phi : X \rightarrow \mathcal{C}_c(Y)$  such that  $\phi(x) \subset \text{wci}(\varphi(x))$  for each  $x \in X$ .*

A  $T_1$ -space  $X$  is  *$\lambda$ -PF-normal* if every point-finite open cover is normal. A  $T_1$ -space  $X$  is *PF-normal* if  $X$  is  $\lambda$ -PF-normal for each infinite cardinal  $\lambda$ . PF-normal spaces are first investigated by E. Michael [10], and the name “PF-normal” is due to J. C. Smith [13]. Note that every  $\lambda$ -collectionwise normal space is  $\lambda$ -PF-normal and  $\omega$ -PF-normality coincides with the normality, where  $\omega$  is the first infinite cardinal number. T. Kandô [6] and S. Nedev [12] proved the following selection theorem for  $\lambda$ -PF-normal spaces (PF-normal spaces are called pointwise-paracompact and normal in [6], while  $\lambda$ -PF-normal spaces are called  $\lambda$ -pointwise- $\aleph_0$ -paracompact and normal in [12]).

**Theorem 12** (T. Kandô [6, Theorem IV], S. Nedev [12, Theorem 4.1]). *A  $T_1$ -space  $X$  is  $\lambda$ -PF-normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}_c(Y)$  admits a continuous selection.*

A space is countably paracompact and  $\lambda$ -collectionwise normal if and only if it is almost  $\lambda$ -expandable and  $\lambda$ -PF-normal. Thus Theorem 4 follows from Theorems 11 and Theorem 12. Also, by Theorems 10 and 12, we have the following.

**Theorem 13** ([16]). *A  $T_1$ -space  $X$  is countably paracompact and  $\lambda$ -PF-normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}_c(Y)$  with  $\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$ .*

A topological space  $X$  is called  *$\lambda$ -metacompact* if every open cover  $\mathcal{U}$  of  $X$  with  $\text{Card } \mathcal{U} \leq \lambda$  is refined by a point-finite open cover of  $X$ . M. M. Čoban [1, Theorem 6.1] characterized  $\lambda$ -metacompactness in terms of l.s.c. set-valued selections. For  $\lambda$ -metacompact analogue of Theorem 11, we have the following.

**Theorem 14** ([16]). *A regular space  $X$  is  $\lambda$ -metacompact if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{F}_c(Y)$  with*

$\text{Card } \varphi(x) > 1$  for each  $x \in X$  admits an l.s.c. set-valued selection  $\phi : X \rightarrow \mathcal{C}_c(Y)$  such that  $\phi(x) \subset \text{wci}(\varphi(x))$  for each  $x \in X$ .

**2.2. Dropping countable paracompactness.** Next, we drop countable paracompactness of Theorem 4 instead of imposing a condition to set-valued mappings. In fact, the additional condition for set-valued mappings is that the values of them has uniformly large diameters. For a subset  $A$  of a metric space  $(Y, d)$ , let  $\text{diam } A = \sup\{d(y_1, y_2) \mid y_1, y_2 \in A\}$ .

**Theorem 15** ([16]). *A  $T_1$ -space  $X$  is  $\lambda$ -collectionwise normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}'_c(Y)$  with  $\inf\{\text{diam } \varphi(x) \mid x \in X\} > 0$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

We also have the following characterization of  $\lambda$ -PF-normal spaces.

**Theorem 16** ([16]). *A  $T_1$ -space  $X$  is  $\lambda$ -PF-normal if and only if for every Banach space  $Y$  with  $w(Y) \leq \lambda$ , every l.s.c. mapping  $\varphi : X \rightarrow \mathcal{C}_c(Y)$  with  $\inf\{\text{diam } \varphi(x) \mid x \in X\} > 0$  admits a continuous selection  $f : X \rightarrow Y$  such that  $f(x) \in \text{wci}(\varphi(x))$  for each  $x \in X$ .*

Let  $X$  be a topological space and  $(Y, d)$  a metric space. A mapping  $\varphi : X \rightarrow 2^Y$  is said to be *d-upper semicontinuous* (*d-u.s.c.* for short) if for each  $x \in X$  and  $\varepsilon > 0$ , there exists a neighborhood  $U$  of  $x$  such that  $\varphi(x') \subset B(\varphi(x), \varepsilon)$  for each  $x' \in U$ . A mapping  $\varphi : X \rightarrow 2^Y$  is called *d-proximal continuous* if  $\varphi$  is l.s.c. and *d-u.s.c.* If  $\varphi : X \rightarrow 2^Y$  is *d-proximal continuous* for some metric  $d$  compatible with the topology of  $Y$ , then  $\varphi$  is called *proximal continuous*. Note that all continuous mappings  $f : X \rightarrow (\mathcal{F}(Y), \tau_V)$  and  $f : X \rightarrow (\mathcal{F}(Y), \tau_{H(d)})$  are proximal continuous, where  $\tau_V$  is the Vietoris topology on  $\mathcal{F}(Y)$  and  $\tau_{H(d)}$  is the topology on  $\mathcal{F}(Y)$  induced by the Hausdorff distance with respect to some compatible metric  $d$  of  $Y$  (see [4, Section 2]). V. Gutev [4, Theorem 6.1] proved that for every topological space  $X$  and for every Banach space  $Y$ , every proximal continuous mapping  $\varphi : X \rightarrow \mathcal{F}_c(Y)$  admits a continuous selection. For continuous selections avoiding extreme points, we have the following.

**Theorem 17** ([16]). *Let  $X$  be a topological space,  $Y$  a Banach space and  $\varphi : X \rightarrow \mathcal{F}_c(Y)$  a proximal continuous mapping. Then there exists a continuous selection  $f : X \rightarrow Y$  of  $\varphi$  such that  $f(x) \in \text{wci}(\varphi(x))$  whenever  $\text{Card } \varphi(x) > 1$ .*

#### REFERENCES

- [1] M. M. Ćoban, *Many-valued mappings and Borel sets. II*, Trans. Moscow Math. Soc. **23** (1970), 286–310.
- [2] C. H. Dowker, *On countably paracompact spaces*, Canad. J. Math. **3** (1951), 219–224.
- [3] R. Engelking, *General Topology*, Heldermann Verlag, Berlin, 1989.

- [4] V. Gutev, *Weak factorization of continuous set-valued mappings*, Topology Appl. **102** (2000), 33–51.
- [5] V. Gutev, H. Ohta and K. Yamazaki, *Selections and sandwich-like properties via semi-continuous Banach-valued functions*, J. Math. Soc. Japan **55** (2003), 499–521.
- [6] T. Kandô, *Characterization of topological spaces by some continuous functions*, J. Math. Soc. Japan **6** (1954), 45–54.
- [7] M. Katětov, *On real-valued functions in topological spaces*, Fund. Math. **38** (1951), 85–91.
- [8] M. Katětov, *Extension of locally finite collections*, Colloq. Math. **6** (1958), 145–151 (in Russian).
- [9] L. L. Krajewski, *On expanding locally finite collections*, Canad. J. Math. **23** (1971), 58–68.
- [10] E. Michael, *Point-finite and locally finite coverings*, Canad. J. Math. **7** (1955), 275–279.
- [11] E. Michael, *Continuous selections I*, Ann. of Math. **63** (1956), 361–382.
- [12] S. Nedev, *Selection and factorization theorems for set-valued mappings*, Serdica **6** (1980), 291–317.
- [13] J. C. Smith, *Properties of expandable spaces*, General topology and its relations to modern analysis and algebra, III (Proc. Third Prague Topological Sympos., 1971), Academia, Prague, 1972, 405–410.
- [14] J. C. Smith and L. L. Krajewski, *Expandability and collectionwise normality*, Trans. Amer. Math. Soc. **160** (1971), 437–451.
- [15] T. Yamauchi, *Continuous selections avoiding extreme points*, Topology Appl. (to appear).
- [16] T. Yamauchi, *The role of countable paracompactness for continuous selections avoiding extreme points*, preprint.

Department of Mathematics, Shimane University, Matsue, 690-8504, Japan

*E-mail address:* t.yamauchi@riko.shimane-u.ac.jp