

REGARDING 2-CHAINS WITH 1-SHELL BOUNDARIES IN ROSY THEORIES

SUNYOUNG KIM  
 (JOINT WORK WITH BYUNGHAN KIM AND JUNGUK LEE)  
 YONSEI UNIVERSITY, KOREA

1. INTRODUCTION

In [6], Hrushovski developed connections between amalgamation properties and definable groupoids for a stable theory: if a stable theory  $T$  fails the 3-uniqueness property, then there exists a definable groupoid. J. Goodrick and A. Kolesnikov constructed such groupoid in [5]. Furthermore J. Goodrick, B. Kim, and A. Kolesnikov developed homology groups  $H_n$  associated to a family of amalgamation functors and computed the group  $H_2$  for strong types in stable theories. In particular, they showed that if  $T$  has  $n$ -CA based on  $A = \text{acl}(A)$  for  $n \geq 3$ , then  $H_{n-2} = 0$  for  $p \in S(A)$ , thus  $H_1(p) = 0$  holds for any simple  $T$ .

In this article, we work with amenable families of functors and corresponding homology groups from [3],[4] to show  $H_1(p) = 0$  holds for a rosy  $T$ , where  $p$  is a Lascar type and classify all the possible 2-chains with a 1-shell boundary in a nontrivial amenable collection of functors.

This article is only intended to present a summary of the results from [7],[8] and we do not include all the details of the proofs.

BASIC DEFINITIONS

In this section, we recall the basic definitions and facts which are established in [3],[4]. Throughout,  $s$  denotes some finite set of natural numbers. A subset  $X \subseteq \mathcal{P}(s)$  is called *downward closed* if whenever  $u \subseteq v \in X$ , then  $u \in X$ . Then as an ordered (by inclusion) set,  $X$  is a category. Before defining an amenable family of functors, we introduce some notations. We fix a category  $\mathcal{C}$ . Given a functor  $f : X \rightarrow \mathcal{C}$  and  $u \subseteq v \in X$ ,  $f_v^u := f(\iota_{u,v}) \in \text{Mor}_{\mathcal{C}}(f(u), f(v))$  where  $\iota_{u,v}$  is the single inclusion map in  $\text{Mor}(u, v)$ .

**Definition 1.1.** (1) Let  $X$  be a downward closed subset of  $\mathcal{P}(s)$  and let  $t \in X$ . The symbol  $X|_t$  denotes the set

$$\{u \in \mathcal{P}(s \setminus t) \mid t \cup u \in X\} \subseteq X.$$

(2) For  $s, t$ , and  $X$  as above, let  $f : X \rightarrow \mathcal{C}$  be a functor. Then the *localization* of  $f$  at  $t$  is the functor  $f|_t : X|_t \rightarrow \mathcal{C}$  such that

$$f|_t(v) = f(t \cup v),$$

and  $(f|_t)_v^u = f_v^u$ , for any  $u \subseteq v \in X|_t$ .

(3) Let  $X \subseteq \mathcal{P}(s)$  and  $Y \subseteq \mathcal{P}(t)$  be downward closed subsets, where  $s$  and  $t$  are finite sets of natural numbers. Let  $f : X \rightarrow \mathcal{C}$  and  $g : Y \rightarrow \mathcal{C}$  be functors. We say  $g$  is a *permutation* of  $f$  if there is a bijection (not necessarily order-preserving)  $\sigma : s \rightarrow t$  such that  $Y = \{\sigma(u) : u \in X\}$  and for  $v \subseteq w \in Y$ ,  $g(w) = f(\sigma^{-1}(w))$  and  $(g)_w^v = f_{\sigma^{-1}(w)}^{\sigma^{-1}(v)}$ . In this case we write  $g = f \circ \sigma^{-1}$ .

We say that  $f$  and  $g$  are *isomorphic* if there are an order-preserving bijection  $\tau : s \rightarrow t$  such that  $Y = \{\tau(u) : u \in X\}$  and a family of morphisms  $\{h_u : f(u) \rightarrow g(\tau(u)) \mid u \in X\}$  from  $\text{Mor}(\mathcal{C})$  such that for any  $u \subseteq v \in X$ ,

$$h_v \circ f_v^u = g_{\tau(v)}^{\tau(u)} \circ h_u.$$

For example  $f$  and  $f \circ \sigma^{-1}$  are isomorphic when  $\sigma$  is order-preserving.

**Remark 1.2.** It easily follows that for a downward closed  $X \subseteq \mathcal{P}(s)$  and  $t \in X$ , we have

$$X|_t = X \cap \mathcal{P}(s \setminus t) \text{ iff } X = \bigcup \{ \mathcal{P}(u) \mid t \subseteq u \in X \};$$

and in that case  $X|_t = \bigcup \{ \mathcal{P}(u \setminus t) \mid t \subseteq u \in X \}$ .

**Definition 1.3.** Let  $\mathcal{A}$  be a non-empty collection of functors  $f : X \rightarrow \mathcal{C}$  for various non-empty downward-closed subsets  $X \subseteq \mathcal{P}(s)$  for all finite sets  $s$  of natural numbers. We say that  $\mathcal{A}$  is *amenable* if it satisfies all of the following properties:

- (1) (Closed under isomorphisms and permutations) If  $f : X \rightarrow \mathcal{C}$  is in  $\mathcal{A}$ , then every functor  $g : Y \rightarrow \mathcal{C}$  which is either a permutation of  $f$  or is isomorphic to  $f$  is also in  $\mathcal{A}$ .
- (2) (Closed under restrictions and unions) Given a functor  $f : X \rightarrow \mathcal{C}$ ,  $f \in \mathcal{A}$  if and only if for every  $u \in X$ , we have that  $f \upharpoonright \mathcal{P}(u) \in \mathcal{A}$ .
- (3) (Closed under localizations) Suppose that  $f : X \rightarrow \mathcal{C}$  is in  $\mathcal{A}$ . Then for any  $t \in X$ ,  $f|_t : X|_t \rightarrow \mathcal{C}$  is also in  $\mathcal{A}$ .
- (4) (Extensions of localizations are localizations of extensions.) Let  $f : X \rightarrow \mathcal{C}$  be in  $\mathcal{A}$ , and let  $t \in X \subseteq \mathcal{P}(s)$  be such that  $X|_t = X \cap \mathcal{P}(s \setminus t)$  (see Remark 1.2). Suppose that the localization  $f|_t : X|_t \rightarrow \mathcal{C}$  has an extension  $g : Z \rightarrow \mathcal{C}$  in  $\mathcal{A}$  for some  $(X|_t \subseteq) Z \subseteq \mathcal{P}(s \setminus t)$ . Then there is a functor  $g_0 : Z_0 \rightarrow \mathcal{C}$  in  $\mathcal{A}$  such that  $Z_0 = \{u \cup v : u \in Z, v \subseteq t\}$ ,  $f \subseteq g_0$ , and  $g_0|_t = g$ .

**Definition 1.4.** Let  $B \in \text{Ob}(\mathcal{C})$  and suppose  $f(\emptyset) = B$ . We say that  $f$  is *over*  $B$  and we let  $\mathcal{A}_B$  denote the set of all functors  $f \in \mathcal{A}$  that are over  $B$ .

Let  $\mathcal{A}$  be a non-empty amenable collection of functors mapping into the category  $\mathcal{C}$ .

**Definition 1.5.** Let  $n \geq 0$  be a natural number. A (*regular*)  $n$ -*simplex* in  $\mathcal{C}$  is a functor  $f : \mathcal{P}(s) \rightarrow \mathcal{C}$  for some set  $s \subseteq \omega$  with  $|s| = n + 1$ . The set  $s$  is called the *support* of  $f$ , or  $\text{supp}(f)$ .

Let  $S_n(\mathcal{A}; B)$  denote the collection of all regular  $n$ -simplices in  $\mathcal{A}_B$ . Then put  $S(\mathcal{A}; B) := \bigcup_n S_n(\mathcal{A}; B)$  and  $S(\mathcal{A}) := \bigcup_{B \in \text{Ob}(\mathcal{C})} S(\mathcal{A}; B)$ .

Let  $C_n(\mathcal{A}; B)$  denote the free abelian group generated by  $S_n(\mathcal{A}; B)$ ; its elements are called  $n$ -*chains* in  $\mathcal{A}_B$ , or  $n$ -*chains* over  $B$ . Similarly, we define  $C(\mathcal{A}; B) := \bigcup_n C_n(\mathcal{A}; B)$  and  $C(\mathcal{A}) := \bigcup_{B \in \text{Ob}(\mathcal{C})} C(\mathcal{A}; B)$ .

If  $c$  is an  $n$ -chain in the form  $\sum_{1 \leq i \leq k} n_i f_i$ , where the  $f_i$ 's are distinct  $n$ -simplices and the  $n_i$ 's are nonzero integers, then we define the *length* of  $c$  as  $|c| = |n_1| + \cdots + |n_k|$  and the *support* of  $c$  as the union of the supports of  $f_i$ 's.

Of course  $c$  can be sometimes written as  $(c + g) - g$ , but  $|c|$  and the support of  $c$  are always uniquely computed in its standard form.

We use  $a, b, c, \dots, f, g, h, \dots, \alpha, \beta, \dots$  to denote simplices and chains. Now we will define the boundary operators and the homology groups.

**Definition 1.6.** If  $n \geq 1$  and  $0 \leq i \leq n$ , then the  $i$ -th *boundary operator*  $\partial_n^i : C_n(\mathcal{A}; B) \rightarrow C_{n-1}(\mathcal{A}; B)$  is defined so that if  $f$  is a regular  $n$ -simplex with domain  $\mathcal{P}(s)$  with  $s = \{s_0 < \cdots < s_n\}$ , then

$$\partial_n^i(f) = f \upharpoonright \mathcal{P}(s \setminus \{s_i\})$$

and extended linearly to a group map on all of  $C_n(\mathcal{A}; B)$ .

If  $n \geq 1$  and  $0 \leq i \leq n$ , then the boundary map  $\partial_n : C_n(\mathcal{A}; B) \rightarrow C_{n-1}(\mathcal{A}; B)$  is defined by the rule

$$\partial_n(c) = \sum_{0 \leq i \leq n} (-1)^i \partial_n^i(c).$$

We write  $\partial^i$  and  $\partial$  for  $\partial_n^i$  and  $\partial_n$ , respectively, if  $n$  is clear from context.

**Definition 1.7.** The kernel of  $\partial_n$  is denoted  $Z_n(\mathcal{A}; B)$ , and its elements are called ( $n$ -)*cycles*. The image of  $\partial_{n+1}$  in  $C_n(\mathcal{A}; B)$  is denoted  $B_n(\mathcal{A}; B)$ . The elements of  $B_n(\mathcal{A}; B)$  are called ( $n$ -)*boundaries*.

Since  $\partial_n \circ \partial_{n+1} = 0$ ,  $B_n(\mathcal{A}; B) \subseteq Z_n(\mathcal{A}; B)$  and we can define simplicial homology groups relative to  $\mathcal{A}_B$ .

**Definition 1.8.** The  $n$ th (simplicial) homology group of  $\mathcal{A}$  over  $B$  is

$$H_n(\mathcal{A}; B) := Z_n(\mathcal{A}; B)/B_n(\mathcal{A}; B).$$

**Remark/Definition 1.9.** Let  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  be a bijection. Then  $\sigma$  induces an automorphism  $\sigma^* : C_n(\mathcal{A}, B) \rightarrow C_n(\mathcal{A}, B)$  as follows: Let  $c = \sum_i n_i f_i \in C_n(\mathcal{A}, B)$ , where each  $n$ -simplex  $f_i$  with  $s_i := \text{supp}(f_i) = \{s_{i,0} < \dots < s_{i,n}\}$ . Let  $\sigma_i := \sigma \upharpoonright s_i$  and let  $t_i := \sigma_i(s_i) = \{t_0 < \dots < t_n\}$ . We define

$$\sigma^*(c) := \sum_i n_i (-1)^{|\sigma_i|} f_i \circ \sigma_i^{-1}$$

(see Definition 1.1(3)) with  $|\sigma_i| := |\sigma'_i|$  (so = 0 or 1), where  $\sigma'_i \in \text{Sym}(n+1)$  such that for  $j \leq n$ ,  $\sigma_i(s_{i,j}) = t_{\sigma'_i(j)}$ .

Now  $\sigma^*$  commutes with  $\partial$ , i.e.,

$$\partial(\sigma^*(c)) = \sigma^*(\partial(c)).$$

This can be inductively shown after verifying when  $\sigma$  is a transposition.

Next we define the amalgamation properties. Notice that for  $n = \{0, \dots, n-1\}$ , we use  $\mathcal{P}^-(n)$  is  $\mathcal{P}(n) \setminus \{n\}$ .

**Definition 1.10.** Let  $\mathcal{A}$  be a non-empty amenable family of functors into a category  $\mathcal{C}$  and let  $n \geq 1$ .

- (1)  $\mathcal{A}$  has  $n$ -amalgamation if for any functor  $f : \mathcal{P}^-(n) \rightarrow \mathcal{C}$ ,  $f \in \mathcal{A}$ , there is an  $(n-1)$ -simplex  $g \supseteq f$  such that  $g \in \mathcal{A}$ .
- (2)  $\mathcal{A}$  has  $n$ -complete amalgamation or  $n$ -CA if  $\mathcal{A}$  has  $k$ -amalgamation for every  $k$  with  $1 \leq k \leq n$ .
- (3)  $\mathcal{A}$  has strong 2-amalgamation if whenever  $f : \mathcal{P}(s) \rightarrow \mathcal{C}$ ,  $g : \mathcal{P}(t) \rightarrow \mathcal{C}$  are simplices in  $\mathcal{A}$  and  $f \upharpoonright \mathcal{P}(s \cap t) = g \upharpoonright \mathcal{P}(s \cap t)$ , then  $f \cup g$  can be extended to a simplex  $h : \mathcal{P}(s \cup t) \rightarrow \mathcal{C}$  in  $\mathcal{A}$ .

**Definition 1.11.** An amenable family of functors  $\mathcal{A}$  is called *non-trivial* if it is non-empty and satisfies the strong 2-amalgamation property.

It easily follows that any non-trivial amenable family of functors contains an  $n$ -simplex for each  $n \geq 1$ . In the rest of the paper, we shall only work with a non-trivial amenable family  $\mathcal{A}$  of functors into  $\mathcal{C}$ .

**Definition 1.12.** If  $n \geq 1$ , an  $n$ -shell is an  $n$ -chain  $c$  of the form

$$\pm \sum_{0 \leq i \leq n+1} (-1)^i f_i,$$

where  $f_0, \dots, f_{n+1}$  are  $n$ -simplices such that whenever  $0 \leq i < j \leq n+1$ , we have  $\partial^i f_j = \partial^{j-1} f_i$ .

**Remark/Definition 1.13.** The boundary of an  $(n+1)$ -simplex is an  $n$ -shell, and the boundary of any  $n$ -shell is 0. Note that  $\mathcal{A}$  has  $(n+2)$ -amalgamation iff any  $n$ -shell is a boundary of an  $(n+1)$ -simplex. For an  $(n+1)$ -chain  $c$  having an  $n$ -shell boundary,  $|c|$  is always an odd integer.

Now we introduce a weaker notion than 3-amalgamation:  $\mathcal{A}$  has *weak 3-amalgamation* over  $B$  if any 1-shell over  $B$  is the boundary of a 2-chain over  $B$  of length  $\leq 3$ .

The details of the following fact and corollaries can be found in [3],[4].

**Fact 1.14.** If  $\mathcal{A}$  has  $(n+1)$ -CA for some  $n \geq 1$ , then

$$H_n(\mathcal{A}; B) = \{[c] : c \text{ is an } n\text{-shell over } B \text{ with support } n+2\}.$$

Since  $\mathcal{A}$  already has 2-amalgamation, we have that  $H_1(\mathcal{A}; B)$  is trivial iff any 1-shell over  $B$  is the boundary of some 2-chain over  $B$ .

**Corollary 1.15.** Assume that  $T$  has  $n$ -CA over  $A = \text{acl}(A)$  for some  $n \geq 3$ . Then  $H_{n-2}(p) = 0$  for  $p \in S(A)$ .

**Corollary 1.16.** If  $T$  is simple, then  $H_1(p) = 0$  for any complete type  $p$  in  $T$ .

From now on, we work with a large saturated model  $\mathcal{M} = \mathcal{M}^{\text{eq}}$  whose theory  $T$  is rosy. Recall that  $T$  is *rosy* if there is a ternary independence relation  $\perp$  on the small sets of  $\mathcal{M}$  satisfying the basic independence properties [1],[2]. We take  $\perp$  here as thorn-independence.

Now fix an algebraically closed set  $B = \text{acl}(B)$ , and let  $\mathcal{C}_B$  denote the category of all small subsets of  $\mathcal{M}$  containing  $B$  and morphisms are elementary maps over  $B$  (i.e., fixing  $B$  pointwise). For a functor  $f : X \rightarrow \mathcal{C}_B$  and  $u \subseteq v \in X$ , we write  $f_v^u(u) := f_v^u(f(u)) \subseteq f(v)$ . We now fix  $p(x) \in S(B)$  where the tuple  $x$  may possibly have an infinite arity.

**Definition 1.17.** A *closed independent functor in  $p$*  is a functor  $f : X \rightarrow \mathcal{C}_B$  such that:

- (1)  $X$  is a downward-closed subset of  $\mathcal{P}(s)$  for some finite  $s \subseteq \omega$ ;  $f(\emptyset) \supseteq B$ ; and for  $i \in s$ ,  $f(\{i\})$  is of the form  $\text{acl}(Cb)$ , where  $b \models p$  is independent with  $C = f_{\{i\}}^{\emptyset}(\emptyset)$  over  $B$ .
- (2) For all non-empty  $u \in X$ , we have

$$f(u) = \text{acl}(B \cup \bigcup_{i \in u} f_u^{\{i\}}(\{i\}));$$

and  $\{f_u^{\{i\}}(\{i\}) \mid i \in u\}$  is independent over  $f_u^{\emptyset}(\emptyset)$ .

Let  $\mathcal{A}(p)$  denote all closed independent functors in  $p$ .

**Fact 1.18.**  $\mathcal{A}(p)$  is a non-trivial amenable family of functors.

## 2. MAIN RESULT : $H_1(p) = 0$ IN ROSY THEORIES

We have  $H_1(p) = 0$  for any Lascar strong type which follows from the fact that Lascar distances are finite in rosy theories. Meanwhile the same holds for a simple  $T$  due to 3-amalgamation and Fact 1.14. For given  $f : X \rightarrow \mathcal{C}_B$  in  $\mathcal{A}(p)_B$  (so  $f(\emptyset) = B$ ), and  $u = \{i_0 < \dots < i_k\} \in X$ , we write  $f(u) = [a_0, \dots, a_k]$ , where  $a_j \models p$ ,  $f(u) = \text{acl}(B, a_0 \dots a_k)$ , and  $\text{acl}(a_j B) = f_u^{\{i_j\}}(\{i_j\})$ . Thus  $\{a_0, \dots, a_k\}$  is independent over  $B$ .

**Theorem 2.1.** If  $B = M$  is a model, then  $\mathcal{A}(p)$  has weak 3-amalgamation over  $M$ . Therefore  $H_1(p) = 0$ .

**Definition 2.2.** Let a set  $B$  and tuples  $a, b$  be such that  $a \equiv_B b$ . By the *Lascar distance* over  $B$  of  $a$  and  $b$ , denoted by  $d_B(a, b)$ , we mean the smallest natural number  $n$  such that there are tuples  $a = a_0, \dots, a_n = b$ , where for each  $a_i a_{i+1}$  ( $i < n$ ) begins some  $B$ -indiscernible sequence.

**Theorem 2.3.** Suppose that the strong type  $p$  is the Lascar (strong) type. Then  $H_1(p) = 0$ .

*Proof.* For notational simplicity we may assume  $B$  to be  $\emptyset$ . Given a 1-shell  $f = a_{12} - a_{02} + a_{01}$  where each  $a_{ij} : \mathcal{P}(\{i, j\}) \rightarrow \mathcal{C}_B$  is a 1-simplex in  $S_1(\mathcal{A}(p))$ , we want to find a 2-chain  $g$  such that  $\partial g = f$ . Again there is no harm to assume that  $a_{01}(\{1\}) = [b] = a_{12}(\{1\})$  and  $a_{02}(\{2\}) = [c] = a_{12}(\{2\})$ , and  $a_{01}(\{0\}) := [d]$ ,  $a_{02}(\{0\}) := [d']$ . By the extension axiom, we can assume that  $\{b, c, d, d'\}$  is independent. Let  $d, d' \models p$  such that  $d(d, d') = n$ . So we have  $d = d_0, \dots, d_n = d'$ , where  $d_i d_{i+1}$  ( $i < n$ ) begins an indiscernible sequence. Assume that  $bc \perp_{dd'} d_i d_{n-1}$  so  $bc \perp d_0 \dots d_n$ .

*Claim.* There are  $e_i \models p$  ( $i < n$ ) such that  $d_i d_{i+1} \perp e_i$  and  $e_i d_i \equiv e_i d_{i+1}$ .

*Proof of Claim.* Let  $I = \langle d_i d_{i+1} \dots \rangle$  be an indiscernible sequence having a sufficiently large length. Due to the extension axiom, we can choose  $e'_i \equiv d_i$  with  $e'_i \perp I$ . Since there are only boundedly many

types over  $e'_i$ , one can find  $d_j, d_{j'} (j < j')$  with  $e'_i d_j \equiv e'_i d_{j'}$ . Due to the indiscernibility of  $I$ , there is a map  $f$  that maps  $d_i d_{i+1}$  to  $d_j d_{j'}$ . Then  $e_i := f(e'_i)$  satisfies  $e_i d_i \equiv e_i d_{i+1}$  as desired.  $\dashv$

Again by extension we suppose  $bc \perp_{d_i d_{i+1}} e_i$ , so that each  $\{b, d_i, e_i\}, \{b, d_{i+1}, e_i\}$  is independent. Also each  $\{b, c, e_{n-1}\}, \{c, d_n, e_{n-1}\}$  is independent (\*).

There is  $g_0 := g_0^+ - g_0^-$ , where  $g_0^+, g_0^-$  are 2-simplices with support  $u := \{0, 1, 3\}$  such that  $g_0^+(u) = [d_0, b, e_0]$  and  $g_0^-(u) = [d_1, b, e_0]$ ;  $\partial^0 g_0^+ = \partial^0 g_0^-$ ;  $\partial^1 g_0^+ = \partial^1 g_0^-$  (this follows from the above Claim); and  $g_0^+$  extends  $a_{01}$  (i.e.,  $\partial^2 g_0^+ = a_{01}$ ). Hence  $\partial g_0 = a_{01} - \partial^2 g_0^-$ .

Similarly, we can find  $g_i := g_i^+ - g_i^- (0 < i < n-1)$ , where each  $g_i^+, g_i^-$  is a 2-simplex with support  $u$  such that  $g_i^+(u) = [d_i, b, e_i]$  and  $g_i^-(u) = [d_{i+1}, b, e_i]$ ;  $\partial^0 g_i^+ = \partial^0 g_i^-$ ;  $\partial^1 g_i^+ = \partial^1 g_i^-$ ; and  $\partial^2 g_i^+ = \partial^2 g_i^-$ . Therefore we have

$$\partial(g_0 + \cdots + g_{n-2}) = a_{01} - \partial^2 g_{n-2}^-.$$

Put  $g_{n-1} := g_{n-1}^+ - a_{023} + a_{123}$ , where  $a_{j23}$  is a 2-simplex with support  $\{j, 2, 3\}$  extending  $a_{j2}$  such that  $a_{023}(\{0, 2, 3\}) = [d_n, c, e_{n-1}]$ ,  $a_{123}(\{1, 2, 3\}) = [b, c, e_{n-1}]$ . Also  $g_{n-1}^+$  is a 2-simplex with  $g_{n-1}^+(\{0, 1, 3\}) = [d_{n-1}, b, e_{n-1}]$  extending  $\partial^2 g_{n-2}^-$ . Moreover again by (\*), we have  $\partial^1 g_{n-1}^+ = \partial^1 a_{023}$ . Thus it follows

$$\partial g_{n-1} = \partial^2 g_{n-1}^+ - a_{02} + a_{12} = \partial^2 g_{n-2}^- - a_{02} + a_{12}.$$

Therefore  $g := g_0 + \cdots + g_{n-1}$  satisfies  $\partial g = f$  as desired.  $\square$

### 3. CLASSIFICATION

In this section, we classify 2-chains having 1-shell boundaries using two operations, the crossing operation and the renaming support operation.

**Remark/Definition 3.1.** Suppose that an  $n$ -chain  $c = \sum_i n_i f_i$  is given where each  $f_i$  is an  $n$ -simplex. Assume that  $j \in \text{supp}(c) \setminus \text{supp}(\partial(c))$ . In this case we say  $c$  has a *vanishing support* (in its boundary). Given  $k \notin s := \text{supp}(c)$ , we let  $\sigma$  be a map sending  $j$  to  $k$  while fixing numbers in  $s \setminus \{j\}$ . Now as in 1.9,  $\partial(\sigma^*(c)) = \sigma^*(\partial(c)) = \partial(c)$ .

**Definition 3.2.** (1) *The crossing operation (or CR-operation):* Let  $\alpha$  and  $\beta$  be 2-simplices with  $\text{supp}(\alpha) = \{i_0, i_1, i_2\}$ ,  $\text{supp}(\beta) = \{i_1, i_2, i_3\}$  ( $i_0 \neq i_3$ ) such that  $\alpha \upharpoonright \mathcal{P}(\{i_1, i_2\}) = \beta \upharpoonright \mathcal{P}(\{i_1, i_2\}) := \gamma$ . Suppose that  $\partial(\alpha + \epsilon\beta)$  ( $\epsilon = 1$  or  $-1$ ) has no term  $\gamma$  (i.e.,  $\gamma$  is cancelled out). Now by strong 2-amalgamation there is a 3-simplex  $\delta$  with  $\text{supp}(\delta) = \{i_0, i_1, i_2, i_3\}$  such that  $\delta \upharpoonright \mathcal{P}(\{i_0, i_1, i_2\}) = \alpha$  and  $\delta \upharpoonright \mathcal{P}(\{i_1, i_2, i_3\}) = \beta$ . We take  $\alpha' := \delta \upharpoonright \mathcal{P}(\{i_0, i_2, i_3\})$  and  $\beta' := \delta \upharpoonright \mathcal{P}(\{i_1, i_2, i_3\})$ . Then it follows  $\partial(\alpha + \epsilon\beta) = \partial(\alpha' + \epsilon\beta')$ . Replacing  $\alpha + \epsilon\beta$  by  $\alpha' + \epsilon\beta'$  is called the *crossing operation*. Hence from a 2-chain  $c$ , if we obtain  $c'$  by the CR-operation (applied to two terms in  $c$ ) then  $\partial(c) = \partial(c')$  and  $|c'| \leq |c|$ .

(2) *The renaming support operation (or RS-operation):* This is basically what is described in 3.1 with  $n = 2$ . So let  $c = \sum_i n_i f_i$  ( $f_i$  2-simplices) be a 2-chain having a vanishing support, say  $j \in \text{supp}(c) \setminus \text{supp}(\partial(c))$ . Let  $k \notin \text{supp}(c)$ . Then as in Remark/Definition 3.1, we can change the support  $j$  to  $k$  and replace  $c$  by some  $c' := \sigma^*(c)$  so that  $c$  and  $c'$  have the same boundary. This replacement of  $c$  by  $c'$  is called the RS-operation. In general, if  $d'$  is the result of  $d$  by applying the RS-operation to a subsummand of  $d$ , then  $\partial(d) = \partial(d')$  and  $|d'| \leq |d|$ .

**Remark/Definition 3.3.** (1) In general, the CR-operation is not symmetric. For example suppose that  $c = f_0 - f_1 + f_2$  is given where  $f_i$  is a 2-simplex with  $\text{supp}(f_i) = \{0, 1, 2, 3\} \setminus \{i\}$  such that  $f_i \upharpoonright \mathcal{P}(\{k, 3\}) = f_j \upharpoonright \mathcal{P}(\{k, 3\})$  ( $\{i, j, k\} = \{0, 1, 2\}$ ). Now assume that by the CR-operation,  $f_0 - f_1$  is replaced by  $f_4 - f_2$  where  $\text{supp}(f_4) = \{0, 1, 2\}$  and  $\partial f_4 = \partial c$  so that  $c$  is replaced by  $(f_4 - f_2) + f_2 = f_4$ . But  $c$  is not obtained from  $f_4$  using the CR-operation (unless  $f_4$  is redundantly written as  $f_4 - f_2 + f_2$ ).

- (2) Now we say a 2-chain  $c$  is *proper* if for any  $c'$  obtained from  $c$  by finitely many applications of the CR or RS-operation (to subsummands), we have  $|c| = |c'|$ . Among proper 2-chains, now the CR and RS-operations are symmetric. Moreover clearly any 2-chain is reduced to a proper 2-chain by finitely many applications of the two operations.

We call proper 2-chains  $c$  and  $c'$  are *equivalent* (written  $c \sim c'$ ) if  $c'$  is obtained from  $c$  by finitely many applications of the CR or RS-operation to some subsummands. Hence if proper  $c$  and  $c'$  are equivalent then  $\partial(c) = \partial(c')$  and  $|c| = |c'|$ .

Now we are ready to define the notions of two different types of 2-chain having a 1-shell boundary.

**Definition 3.4.** Let  $\alpha$  be a 2-chain having a 1-shell boundary.

- (1) We call  $\alpha$  *renameable type* (or *RN-type*) if a subsummand of  $\alpha$  has a vanishing support. If  $\alpha$  is not an RN-type 2-chain (so  $|\text{supp}(\alpha)| = 3$ ) we call  $\alpha$  *non-renameable (NR-)type*.
- (2)  $\alpha$  is said to be *minimal* if it is proper, and for any proper  $\alpha'$  equivalent to  $\alpha$  there does not exist a subsummand  $\beta$  of  $\alpha'$  such that  $\partial(\beta) = 0$ .

For the notational simplicity, given a simplex  $f_i$  with  $u = \{j_0, \dots, j_k\} \subseteq \text{supp}(f_i)$ , we write  $f_i^{j_0, \dots, j_k}$  to denote  $f_i \upharpoonright \mathcal{P}(u)$ . Also given a chain  $c = \sum_{i \in I} n_i f_i$  (in its unique form), we write  $c^{j_0, \dots, j_k}$  to denote  $\sum_{i \in J} n_i f_i$ , where  $J := \{i \in I \mid \text{supp}(f_i) = \{j_0, \dots, j_k\}\}$ .

For the rest of this section, we fix a 1-shell boundary  $f_{12} - f_{02} + f_{01}$  with  $\text{supp}(f_{jk}) = \{j < k\}$ .

**Definition 3.5.** Let  $\alpha$  be a 2-chain having the boundary  $f_{12} - f_{02} + f_{01}$ . A subchain  $\beta = \sum_{i=0}^m \epsilon_i b_i$  ( $b_i$  2-simplex) of  $\alpha$  is called a *chain-walk in  $\alpha$  from  $f_{01}$  to  $-f_{02}$*  if

- (1) there are non-zero numbers  $k_0, \dots, k_{m+1}$  (not necessarily distinct) such that  $k_0 = 1, k_{m+1} = 2$ , and for  $i \leq m$ ,  $\text{supp}(b_i) = \{k_i, k_{i+1}, 0\}$ ;
- (2) each  $\epsilon_i \in \{1, -1\}$ ;  $(\partial \epsilon_0 b_0)^{0,1} = f_{01}$ ,  $(\partial \epsilon_m b_m)^{0,2} = -f_{02}$ ; and
- (3) for  $0 \leq i < m$ ,

$$(\partial \epsilon_i b_i)^{0, k_{i+1}} + (\partial \epsilon_{i+1} b_{i+1})^{0, k_{i+1}} = 0.$$

Notice that such a representation is sensitive to its order, and a chain-walk can have distinct representations. Unless said otherwise a chain-walk is written in a form of a representation. A subchain of the chain-walk  $\beta$  of a form  $\beta' := \sum_{i=j}^{m'} \epsilon_i b_i$  for some  $0 \leq j < m' \leq m$  is called a *section* of  $\beta$ . A chain-walk  $\beta$  in  $\alpha$  is called *maximal* (in  $\alpha$ ) if it has the maximal possible length. We say  $\alpha$  is *centered at 0* if a (so every) maximal chain-walk in  $\alpha$  from  $f_{01}$  to  $-f_{02}$  is, as a chain, equal to  $\alpha$ .

Now a *chain-walk in  $\alpha$  from  $-f_{02}$  to  $f_{12}$* , and that  $\alpha$  is *centered at 2*, and so on are similarly defined.

**Lemma 3.6.** Let  $\alpha$  be a 2-chain with the 1-shell boundary  $f_{12} - f_{02} + f_{01}$ . Let  $\beta = \sum_{i=0}^m \epsilon_i b_i$  be a chain-walk in  $\alpha$ , say from  $-f_{02}$  to  $f_{12}$ . Assume there is a section  $\beta' = \sum_{i=j}^{m'} \epsilon_i b_i$  of  $\beta$  such that for  $\text{supp}(b_i) = \{2, k_i, k_{i+1}\}$ , either  $k_i \neq k_{m'+1}$  for all  $i \in \{j, \dots, m'\}$ ; or  $k_i \neq k_j$  for all  $i \in \{j+1, \dots, m'+1\}$ . Then by finitely many applications of the CR-operation to  $\beta'$ , we obtain a 2-simplex  $c$  with  $\text{supp}(c) = \{2, k_j, k_{m'+1}\}$  and  $\epsilon = 1$  or  $-1$  so that  $\beta'' := \sum_{i=0}^{j-1} \epsilon_i b_i + \epsilon c + \sum_{i>m'}^m \epsilon_i b_i$  is still a chain-walk from  $-f_{02}$  to  $f_{12}$ .

**Theorem 3.7.** Let  $\alpha$  be a minimal 2-chain with the boundary  $f_{12} - f_{02} + f_{01}$ .

- (1) Assume  $\alpha$  is of NR-type. Then  $|\alpha| = 1$  or  $|\alpha| \geq 5$ . If  $|\alpha| \geq 5$  then any chain-walk in  $\alpha$  from  $f_{01}$  to  $-f_{02}$  is of the form  $\sum_{i=0}^{2n} (-1)^i a_i$  which is as a chain equal to  $\alpha$  such that  $f_{12} = a_{2j}^{1,2}$  for some  $1 \leq j \leq n-1$ .

(2)  $\alpha$  is of RN-type iff  $\alpha$  is equivalent to a 2-chain

$$\alpha' = a_0 + \sum_{i=1}^{2n-1} \epsilon_i a_i + a_{2n}$$

( $n \geq 1$ ) which is a chain-walk from  $f_{01}$  to  $-f_{02}$  such that  $\text{supp}(a_{2n}) = \{0, 1, 2\}$  and  $\partial^0 a_{2n} = f_{12}$ ,  $\partial^1(a_{2n}) = -f_{02}$ .

*Proof.* (1) This is easy to check.

(2) Here we give a brief sketch of the left to right.

( $\Rightarrow$ ) Note that  $|\alpha| \geq 3$ .

*Claim 1.* There is  $\alpha_1 \sim \alpha$  centered at 2 such that  $|\text{supp}(\alpha_1)| > 3$ .

*Claim 2.* There is a 2-chain  $\alpha_2 \sim \alpha_1$  such that  $\alpha_2$  has a 1-simplex term  $c$  (with the coefficient 1) such that  $\text{supp}(c) = \{0, 1, 2\}$ , and  $f_{12} - f_{02} = \partial^0(c) - \partial^1(c)$ .

Then let us take a chain-walk  $\gamma$  from  $f_{01}$  to  $-f_{02}$  in  $\alpha_2$  terminating with  $c$ . By repeatedly applying the CR-operations to  $\gamma$  (while  $c$  unchanged), we obtain a desired  $\alpha' \sim \alpha_2$  centered at 0 forming a chain-walk from  $f_{01}$  to  $-f_{02}$ . Then we reorder the representation of the chain-walk  $\alpha'$  if necessary.  $\square$

The following theorem is proved using the notions of directed graph theory which are not covered in this note.

**Theorem 3.8.** Let  $\alpha$  be a minimal 2-chain having the 1-shell boundary  $f_{12} - f_{02} + f_{01}$ . Then  $\alpha$  is equivalent to a 2-chain which is a chain-walk from  $f_{01}$  to  $-f_{02}$  such that  $\text{supp}(\alpha') = \{0, 1, 2\}$ .

In the next section, we explore some of the consequences of this theorem.

#### 4. APPLICATION : MATRIX EXPRESSION

In this section, we introduce the notion of a matrix expression, which determines whether a given minimal 2-chain having a 1-shell boundary is of RN-type.

For the rest, we fix a minimal 2-chain  $\alpha$  of length  $2n + 1$  with the 1-shell boundary  $f_{12} - f_{02} + f_{01}$ , and  $\text{supp}(\alpha) = \{0, 1, 2\}$ . For  $\{0, 1, 2\} = \{i, j, k\}$ ,  $f'_i$  denotes  $f_{jk}$  ( $j < k$ ). Fix  $I = \{0, 1, 2\}$  and  $J = \{0, \dots, n\}$ . Also, we write  $\epsilon a \in \alpha$  to denote that a 2-simplex term  $\epsilon a$  is in  $\alpha$ .

**Definition 4.1.** Let  $\sum_{j=0}^{2n} (-1)^j a_j$  be a representation of the given  $\alpha$  which is a chain-walk from  $f'_2$  to  $-f'_1$ . By a *matrix expression* of (the representation of)  $\alpha$ , we mean a function  $M : I \times J \rightarrow J$  such that

- (1) for each  $i \in I$ ,  $M \upharpoonright \{i\} \times J : (\{i\} \times J) \rightarrow J$  is a permutation of  $J$ ;
- (2) for each  $i \in I$ ,  $M(i, 0)$  is an index such that  $f'_i = \partial^i a_{2M(i,0)}$ ;
- (3) for each  $i \in I$ ,  $j \in J \setminus \{0\}$ ,  $M(i, j)$  is an index such that  $\partial^i a_{2j-1} = \partial^i a_{2M(i,j)}$ .

Interpret  $M(i, j)$  as an entry  $m_{ij}$  of a matrix in the  $(i + 1)$ th row and the  $(j + 1)$ th column, then  $M = (m_{ij})_{I,J}$  is a  $3 \times (n + 1)$  matrix.

Notice that matrix expressions are determined according to the *choices* of pairs of terms which cancel out each other.

**Theorem 4.2.** The following conditions are equivalent:

- (1)  $\alpha$  is of RN-type.
- (2) There is a matrix expression  $M$  for a representation  $\alpha = \sum_{j=0}^{2n} (-1)^j a_j$  such that for some  $0 \leq i_0 < i_1 \leq 2$ , and non-empty  $J_0 \subseteq \{1, \dots, n\}$ ,  $M(\{i_0\} \times J_0) = M(\{i_1\} \times J_0)$  as image set under the function  $M$ .

*Proof.* ( $\Rightarrow$ ) Let  $\alpha_1$  be a subchain of  $\alpha = \sum_{j=0}^{2n} (-1)^j a_j$  such that  $\partial^i \alpha_1 = 0$  for  $i \in I \setminus \{i_\star\}$  and  $|\alpha_1| = 2m$ , where  $i_\star \in \{0, 1, 2\}$  is a vanishing support. Let  $a_{2M(i,j)} \in \alpha_1$  for each  $i \in I \setminus \{i_\star\}$  and  $j \in J_0 := \{j \in J \mid -a_{2j-1} \in \alpha_1\}$ . Then here  $M$  satisfies Definition 4.1 and  $M(\{i_0\} \times J_0) = M(\{i_1\} \times J_0)$ , where  $\{i_0, i_1, i_\star\} = I$ , as desired.

( $\Leftarrow$ ) Suppose that  $M(\{i_0\} \times J_0) = M(\{i_1\} \times J_0)$ , say  $J_1$ , where  $J_0 \subseteq \{1, \dots, n\}$  and  $0 \leq i_0 < i_1 \leq 2$ . Let  $\alpha_1 := \sum_{j \in J_1} a_{2j} + \sum_{j \in J_0} -a_{2j-1}$ , a subsummand of  $\alpha$ . Then we have  $\partial^{i_0} \alpha_1 = \partial^{i_1} \alpha_1 = 0$ , so  $\alpha_1$  has a vanishing support  $i_\star$ , where  $\{i_0, i_1, i_\star\} = I$ .  $\square$

We end this note by stating some consequences of Theorem 4.2 which can be proved by using permutations induced from matrix expressions.

For a matrix expression  $M : I \times J \rightarrow J$ , there is a triple  $(\sigma_{01}, \sigma_{12}, \sigma_{02})$  of permutations of  $J$  such that  $\sigma_{ik}$  is a map sending the  $(i+1)$ th row to the  $(k+1)$ th row, i.e.,  $\sigma_{ik}(m_{ij}) = m_{kj}$  for  $j \in J$ , and  $0 \leq i < k \leq 2$ . Notice that  $\sigma_{02} = \sigma_{12} \circ \sigma_{01}$ .

**Theorem 4.3.** If  $n$  is odd, then  $\alpha$  is always of RN-type.

**Theorem 4.4.** Suppose that for  $\alpha$  as in Definition 4.1, one of the following holds:

- (1)  $\partial^\ell a_{2j_0-1} = \partial^\ell a_{2j_1-1}$  for some  $0 < j_0 < j_1 \leq n$  and  $0 \leq \ell \leq 2$ ;
- (2)  $\partial^\ell a_{2j_0} = \partial^\ell a_{2j_1}$  for some  $0 \leq j_0 < j_1 \leq n$  and  $0 \leq \ell \leq 2$ .

Then  $\alpha$  is of RN-type.

#### REFERENCES

1. H. Adler, *Explanations of independence*, Ph.D. thesis, University of Freiburg, 2005.
2. C. Ealy and A. Onshuus, *Characterizing rosy theories*, Journal of Symbolic Logic **72** (2007), 919–940.
3. J. Goodrick, B. Kim, and A. Kolesnikov, *Amalgamation functors and homology groups in model theory*, Preprint.
4. ———, *Homology groups of types in model theory and the computation of  $H_2(p)$* , Journal of Symbolic Logic **78** (2013), 1086–1114.
5. J. Goodrick and A. Kolesnikov, *Groupoids, covers, and  $\mathcal{B}$ -uniqueness in stable theories*, Journal of Symbolic Logic **75** (2010), no. 3, 905–929.
6. E. Hrushovski, *Groupoids, imaginaries and internal covers*, Preprint, 2009.
7. B. Kim, S. Kim, and J. Lee, *A classification of 2-chains having 1-shell boundaries in rosy theories*, Preprint.
8. S. Kim and J. Lee, *More on classification of 2-chains having 1-shell boundaries in rosy theories*, Preprint.