

# Algebraic QFT & Local Gauge Invariance

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## 1 What is aimed at here

It has been common to discuss local gauge invariance in close relation with indefinite inner product of a state vector space which violates the basic hypotheses for probabilistic interpretation in QFT. In sharp contrast, such basic structures as the validity of *Maxwell equation* can be determined algebraically and/or categorically in algebraic QFT without reference to the concept of state vector spaces (with or without indefinite inner products) as seen below.

### 1.1 Quadrality scheme related with “5W1H”

To this end, we start our discussion here with our theoretical framework in the context of Micro-Macro duality in quadrality scheme as follows. For the purpose of ensuring

1) *bi-directionality in inductive & deductive* arguments, we need a framework to accommodate induction processes theoretically

⇒ a possible candidate for such a universal theoretical framework can be

found in *quadrality scheme*: 

↗	<i>Spec</i>	
<i>States</i>	↔   ( <i>Rep</i> )   ↔	<i>Alg</i>
	<i>Dyn</i>	↘

, consisting

of the following 4(+1) basic ingredients adapted to “5W1H”:

*Spec* [When & Where= classifying space to specify events],

*States* [“Who”= “subject” to specify context],

*Alg*(ebra of variables) [What= objects to be described],

(*Rep* (of Alg)) [How= modus of phenomena = “modules”],

*Dyn*(amics) [Why= causes of process].

## 1.2 Emergence & quantum fields in quadrality scheme

2) Bi-directional relations become effective between phenomenological *visible*

Macro = 

<i>Spec</i>
↗
<i>States</i>

 ⇔ 

<i>Alg</i>
↗
<i>Dyn</i>

 = invisible *Micro* in theory via *quantum fields* as a local net functor  $Spec \rightarrow Alg$  to extend logically constant

*Alg* into *Alg*-valued variables on *Spec*:

Macro:	<i>Spec</i>	
↗		↘ : <i>quantum fields</i>
<i>States</i>	$\Leftrightarrow Rep \Leftrightarrow$	<i>Alg</i>
		↗
	<i>Dyn</i>	: <i>Micro</i>

## 1.3 Quadrality scheme combined with various dualities (1)

3) Combined with *horizontal Fourier-type dualities*,  $States \Leftrightarrow Rep \Leftrightarrow Alg$ , due to operator algebra theory, two non-trivial ingredients in the scheme,

*physical emergence of Spec from States*

& *quantum fields on emergent Spec*,

entail the following *network of connections* over this quadrality scheme:

	<i>Spec</i>	
emergence ↗ ↘	$V \uparrow \downarrow I$	↖ ↗ quantum fields
<i>States</i> ( $\sim L^1$ )	$\Leftrightarrow Rep (\sim L^2) \Leftrightarrow$	<i>Alg</i> ( $\sim L^\infty$ )
dual fields ↘ ↖	$\uparrow \downarrow$ Galois	↖ ↗ co-emergence
	<i>Dyn</i>	

## 1.4 Quadrality scheme combined with various dualities (2)

The actual meaning of *Spec* = [When & Where] to specify *event localizations* is ensured by its origin of the emergence processes, physically as *phase separations*, and mathematically by *forcing method* of identifying the *extended semantic space* (of multi-valued logic). Owing to duality  $(Alg)^* = States$ ,  $(States)^* = Alg$ , the *emergence* arrow,  $States \rightarrow Spec$ , implies the dual arrow of *co-emergence* to create objects in *Alg* from the dynamical flow *Dyn*, together with four other *upward* arrows:

	<i>Spec</i>	
emergence ↗	↑	↖
<i>States</i>	$\Leftrightarrow Rep \Leftrightarrow$	<i>Alg</i>
↖	↑	↗ co-emergence
	<i>Dyn</i>	

## 1.5 Quadrality scheme combined with various dualities (3)

In the opposite direction,

	<i>Spec</i>	
↙	↓	↘ quantum fields
<i>States</i>	$\Leftrightarrow Rep \Leftrightarrow$	<i>Alg</i>
dual fields ↘	↓ Galois	↙
	<i>Dyn</i>	

local net,  $Spec \rightarrow Alg$ , of *quantum fields* triggers induction processes, inducing five *downward* arrows, among which Galois functor identifies group in *Dyn* from the representation contents *Rep*.

## 2 Emergence of Spec as sector-classifying space

In this way, we have learned the crucial roles of emergence process in creating *Spec* via *Bottom-Up*. This process can be formulated as follows in terms of the concepts of *sectors* in the case of QFT:

1) *Sectors* = *pure phases* parametrized by *order parameter* [= macroscopic central observables  $\mathfrak{Z}_\pi(\mathcal{X}) = \pi(\mathcal{X})'' \cap \pi(\mathcal{X})'$  commuting with all physical variables  $\pi(\mathcal{X})''$  in a generic representation  $\pi$  of algebra  $\mathcal{X}$  of physical variables]: mathematically, a *sector* (= *pure phase*)  $\stackrel{\text{def}}{=} a$  *quasi-equivalence class of factor states* (& representations  $\pi_\gamma$ ) of (C\*-)algebra  $\mathcal{X}$  of physical variables, as a *minimal unit* of representations characterized by *trivial centre*  $\pi_\gamma(\mathcal{X})'' \cap \pi_\gamma(\mathcal{X})' =: \mathfrak{Z}_{\pi_\gamma}(\mathcal{X}) = \mathbb{C}1$ .

\*) *Important remark*: in the usual quantum mechanics with *finite degrees of freedom*, sectors are replaced by *irreducible representations* & *pure states* with  $Spec = \{one\ point\}$ ! They become meaningless, however, in the general contexts involving quantum fields with *infinite degrees of freedom* which play crucial roles in connecting *invisible Micro* and *visible Macro*.

### 2.1 Micro-Macro Duality of Intra- vs. Inter-sectorial levels

2) The roles of *sectors as Micro-Macro boundary*: seen in *Micro-Macro duality* [1, 2] as a mathematical version of "*Quantum-Classical correspondence*" between microscopic *intra-sectorial* & macroscopic *inter-sectorial* levels described by geometry on central spectrum  $Sp(\mathfrak{Z}) := Spec(\mathfrak{Z}_\pi(\mathcal{X}))$ :

←	Visible <b>Macro</b>	of	<b>Spec =</b>	<b>classifying space</b>	→	<b>Inter- sectorial</b>
...	$\gamma_N$	...	<b>sectors</b>	$\gamma$ $\gamma_2$	$\gamma_1$	<b>Sp(3)</b>
	⋮		⋮	⋮	⋮	<b>Intra- sectorial</b>
...	$\pi_{\gamma_N}$	...	$\pi_\gamma$	$\pi_{\gamma_2}$	$\pi_{\gamma_1}$	 invisible
	⋮		⋮	⋮	⋮	<b>Micro</b>

## 2.2 Inter-sectorial relations & Symmetry Breaking

3) Mutual relations among different sectors:

*disjoint* w.r.t. *unbroken* symmetry

Different sectors are *connected* by the actions of *broken* symmetries

: as explained later, this contrast is shared even by D(H)R theory of *unbroken* symmetry!

4) **Emergence process** [Macro  $\Leftarrow$  Micro] of Spec = sector-classifying space via **forcing** along (generic) filters

This is controlled mathematically by **Tomita theorem** to decompose a Hilbert bimodule  $\pi(\mathcal{X})'' \tilde{\mathcal{X}}_{L^\infty(E_\mathcal{X})} := \pi(\mathcal{X})'' \otimes L^\infty(E_\mathcal{X})$  with left  $\pi(\mathcal{X})''$  & right  $L^\infty(E_\mathcal{X}, \mu)$  actions, via **central measure**  $\mu$  supported by **Spec** =  $\text{supp}(\mu) = \text{Sp}(3) \subset F_\mathcal{X}$ : factor states ( $\subset E_\mathcal{X}$ : state space of  $\mathcal{X}$ ).

$\Rightarrow$  Applications to statistical inference based on large deviation principle [3] and to derivation of Born rule [4].

## 2.3 Simplex vs. complex/ short vs. long exact sequences

5) In homological algebra, distinctions between individual modules and **complexes** of modules and between **short** and **long exact sequences** are well known to be important.

For a ( $\infty$ -dimensional) von Neumann algebra  $\mathcal{X}$ , algebra  $\mathcal{X}$  and its commutant  $\mathcal{X}'$  are symmetric in **standard form** via Tomita-Takesaki modular conjugation  $J: \mathcal{X} \ni x \longleftrightarrow JxJ \in \mathcal{X}'$

$\Rightarrow$  via the degrees of freedom of the commutant  $\mathcal{X}'$ , a **complex**  $(X_n)_{n \in \mathbb{N}}$  of  $\mathcal{X}$ -modules can easily be reduced to an  $\mathcal{X}$ -module  $\bigoplus_n X_n$ :

$$X := \bigoplus_n X_n \iff \{X_n = Xp_n \text{ with } p_n \in \text{Proj}(\mathcal{X}')\}_{n \in \mathbb{N}}.$$

Thus, **complexes of modules become redundant** in the category  $\text{Mod}_\mathcal{X}$  of  $\mathcal{X}$ -modules with  $\infty$ -dim'al v.N. alg.  $\mathcal{X}$ , where the essence of **long exact sequences**  $X_1 \rightarrow Y_1 \rightarrow Z_1 \rightarrow X_2 \rightarrow Y_2 \rightarrow Z_2 \rightarrow \dots \rightarrow X_n \rightarrow Y_n \rightarrow Z_n \rightarrow \dots$

is reduced to the *triangulated category*  $X \rightarrow Y \rightarrow Z \rightarrow T(X)$  of  $\mathcal{X}$ -modules.

## 2.4 Ward-Takahashi identities & exact sequences in QFT

Thus the distinctions between individual modules and *complexes* of modules and between short and long exact sequences are less important for the sake of classifying representations of the algebra  $\mathcal{X}$  of physical variables. Such distinctions are, however, *still meaningful in relation with group-theoretical or geometric aspects* arising from the actions of dynamics and/or symmetries on the physical systems in the following sense:

**Short exact sequence:** corresponds to *Ward-Takahashi identities* for correlation functions to describe *unbroken symmetry*

**Long exact sequence:** corresponds to Ward-Takahashi identities describing *spontaneously broken symmetries* with *Goldstone bosons* to function as *connecting morphisms*

In this context, the contrast between short vs. exact sequences is related with unbroken vs. broken symmetries and also with the absence or presence of *connecting morphisms*. This last item is directly related with the fate of Goldstone bosons (at least, for spontaneous breakdown of symmetry).

## 2.5 Relation between emergence & eventualization

Mutual relation between emergence & eventualization (the latter emphasized by Dr. Saigo): while the former refers to universal transitions (real or virtual) from *States* to *Spec* as the level of *classifying spaces* within discussed contexts, the latter concept, eventualization, means the actual physical processes, typically taking place in experimental situations, which verify the relevance and actuality of the points belonging to *Spec* as the realized form of *events*. This context is described by the expression, “events  $\in$  *Spec*”, mainly materialized in the quantum-mechanical measurement processes. In contrast to this quantum-mechanical context, “localization of fields” describes transitions from quantum fields to classical fields.

## 2.6 Symmetry Breaking & Classifying Space

### 6) *Symmetry Breaking & Emergence of Classifying Space*

Sector-classifying space emerges typically from spontaneous breakdown of symmetry of a dynamical system  $\mathcal{X} \curvearrowright G$  with action of a group  $G$  (“spontaneous” = no changes in dynamics of the system).

**Criterion for Symmetry Breaking** ([1] SB criterion, for short): judged by non-triviality of *central* dynamical system  $\mathfrak{Z}_\pi(\mathcal{X}) \curvearrowright G$  arising from the original one  $\mathcal{X} \curvearrowright G$

I.e., symmetry  $G$  is **broken in sectors**  $\in Sp(3)$  **with non-trivial responses to central  $G$ -action.**

The  $G$ -transitivity assumption with **unbroken** subgroup  $H$  in broken  $G$  leads to such a specific form of sector-classifying space as  $G/H$ .

$\implies$  **Classical geometric** structure on  $G/H$  arises physically from **emergence** process via **condensation** of a family of **degenerate vacua**, each of which is mutually distinguished by condensed values  $\in Sp(3) = G/H$ .

## 2.7 Sector Bundle & Logical Extension from const to variable

In this way,  $\infty$ -number of low-energy quanta are condensed into geometry of classical Macro objects  $\in G/H$ .

In combination with sector structure  $\hat{H}$  of unbroken symmetry  $H$  (à la DHR-DR theory), total sector structure due to this symmetry breaking is described by a **sector bundle**  $G \times \hat{H}$  with fiber  $\hat{H}$  over base space  $G/H$  consisting of “**degenerate vacua**” [1, 5].

When this geometric structure is established, all the physical quantities are **parametrized by condensed values of order parameters**  $\in G/H$ .

$\implies$  “**Logical extension**” of **constants** (= global objects) into **sector-dependent function objects** (: origin of **local gauge** structures)

## 2.8 Symmetric Space Structure of $G/H$

This homogeneous space  $G/H$  is a **symmetric space** with Cartan involution (as shown here) [IO, in preparation].

Lie-bracket relations  $[\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}$ ,  $[\mathfrak{h}, \mathfrak{m}] \subset \mathfrak{m}$  hold for Lie structures  $\mathfrak{g}, \mathfrak{h}, \mathfrak{m}$  of  $G, H, M := G/H$ .

If  $[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}$  is verified,  $M$  becomes a symmetric space (at least, locally) equipped with Cartan involution  $\mathcal{I}$  with eigenvalues  $\mathcal{I}|_{\mathfrak{h}} = +1$  &  $\mathcal{I}|_{\mathfrak{m}} = -1$ :

Proof of  $[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}$ )  $[\mathfrak{m}, \mathfrak{m}] =$  **holonomy** associated with an infinitesimal loop in **inter-sectorial space**  $M = Sp(3)$  along **broken direction**

$\implies [\mathfrak{m}, \mathfrak{m}] =$  effect of **broken  $G$**  transformation along an infinitesimal loop  $\gamma$  on  $M$  starting from and returning to the same  $\gamma \in M$ .  $\implies$   $\mathfrak{m}$ -component in  $[\mathfrak{m}, \mathfrak{m}]$  is absent by the above SB criterion. Thus,  $M = G/H = Sp(3)$  is a symmetric space (at least, locally).

## 2.9 Example 1: Lorentz boosts

Typical example of this sort can be found for Lorentz group  $\mathcal{L}_+^1 =: G$ , rotation group  $SO(3) =: H$ ,  $G/H = M \cong \mathbb{R}^3$ : symmetric space of Lorentz frames connected by Lorentz boosts.

For  $\mathfrak{h} := \{M_{ij}; i, j = 1, 2, 3, i < j\}$ ,  $\mathfrak{m} := \{M_{0i}; i = 1, 2, 3\}$ , the relations  $[\mathfrak{h}, \mathfrak{h}] = \mathfrak{h}$ ,  $[\mathfrak{h}, \mathfrak{m}] = \mathfrak{m}$ ,  $[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}$  follow from the basic Lie algebra structure:  $[iM_{\mu\nu}, iM_{\rho\sigma}] = -(\eta_{\nu\rho}iM_{\mu\sigma} - \eta_{\nu\sigma}iM_{\mu\rho} - \eta_{\mu\rho}iM_{\nu\sigma} + \eta_{\mu\sigma}iM_{\nu\rho})$ .

In contrast to the usual interpretation of unbroken  $\mathfrak{h}$  &  $\mathfrak{m}$ , *unbroken* Lorentz boosts  $\mathfrak{m}$  is *speciality of the vacuum situation*, which is due to such results as Borchers-Arveson theorem (: Poincaré generators can be physical observables only in vacuum representation) & as the spontaneous breakdown of Lorentz boosts at  $T \neq 0K$  [6].

Thus Lorentz frames  $M \cong \mathbb{R}^3$  with [boost, boost] = rotation, give a typical example of symmetric space structure emerging from symmetry breaking.

## 2.10 Example 2: 2nd Law of Thermodynamics

Along this line, *chiral symmetry* with current algebra structure  $[V, V] = V$ ,  $[V, A] = A$ ,  $[A, A] = V$  and *conformal symmetry* also provide typical examples.

Physically more interesting example can be found in *thermodynamics*:

1st law of thermodynamics  $\implies \Delta'Q \leftrightarrow \Delta E = \Delta'Q + \Delta'W \rightarrow \Delta'W$ : exact sequence corresponding to  $\mathfrak{h} \leftrightarrow \mathfrak{g} \rightarrow \mathfrak{m} = \mathfrak{g}/\mathfrak{h}$ .

With respect to Cartan involution with + assigned to heat production  $\Delta'Q$  and - to macroscopic work  $\Delta'W$ , the holonomy  $[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}$  corresponding to a loop in the space  $M$  of thermodynamic variables becomes just

### *Kelvin's version of 2nd law of thermodynamics*

namely, holonomy  $[\mathfrak{m}, \mathfrak{m}]$  in the cyclic process with  $\Delta E = \Delta'Q + \Delta'W = 0$ , describes heat production  $\Delta'Q \geq 0$ :  $-\Delta'W = -[\mathfrak{m}, \mathfrak{m}] = \Delta'Q > 0$  (from system to outside)

## 2.11 Sector Bundle & Holonomy

In use of sector bundle  $\hat{H} \hookrightarrow G \times_{\hat{H}} \hat{H} \rightarrow G/H$ , physical origin of space-time concept can be seen in its *physical emergence process* [7].

For simplicity, we assume here that a group  $G$  of broken internal symmetry be extended by a group  $\mathcal{R}$  of space-time symmetry (typically translations) into a larger group  $\Gamma = \mathcal{R} \times G$  defined by a semi-direct product of  $\mathcal{R}$  &  $G$  with  $\Gamma/G = \mathcal{R}$ .

In this case, the sector bundles have a double fibration structure:

$$\begin{array}{ccc} \hat{H} & \hookrightarrow & G \times_{\hat{H}} \hat{H} & \hookrightarrow & \Gamma \times_G (G \times_{\hat{H}} \hat{H}) & = & \Gamma \times_{\hat{H}} \hat{H} \\ & & \downarrow & & & & \downarrow \\ & & G/H & & & & \Gamma/G = \mathcal{R} \end{array}$$

## 2.12 Holonomy along Goldstone condensates

⇒ Three different axes on different levels in Spec= sector-classifying space:

- sectors  $\widehat{H}$  of *unbroken* symmetry  $H$ ,
- deg. vacua  $G/H = M$  due to *broken internal* symmetry [1, 5],
- $\Gamma/G = \mathcal{R}$  as emergent *space-time* [7] in broken external symmetry.

These axes arise in a series of structure-group contractions  $H \leftarrow G \leftarrow \Gamma$  of principal bdl's  $P_H \hookrightarrow P_G \hookrightarrow P_\Gamma$  over  $\mathcal{R}$ , specified by *solderings* as bdl sections,  $\mathcal{R} \xrightarrow{\rho} P_G/H = P_H \times_H (G/H)$ ,  $\mathcal{R} \xrightarrow{\tau} P_\Gamma/G = P_G \times_G (\Gamma/G)$

$= P_G \times_G \mathcal{R}$ , corresponding physically to **Goldstone modes**:

$$\begin{array}{ccccc}
 P_H & \hookrightarrow & P_G & \hookrightarrow & P_\Gamma \\
 H \downarrow & \circlearrowleft & \downarrow H & \circlearrowleft & \downarrow H \\
 \mathcal{R} & \xrightarrow{\rho} & P_G/H & \xrightarrow{\sigma} & P_\Gamma/H \\
 & \searrow \circlearrowleft & \downarrow G/H & \circlearrowleft & \downarrow G/H \\
 & & \mathcal{R} & \xrightarrow{\tau} & P_\Gamma/G \\
 & & & \searrow \circlearrowleft & \downarrow \mathcal{R} \\
 & & & & \mathcal{R}
 \end{array}$$

## 2.13 Helgason duality with Hecke algebra

From algebraic viewpoint (which is dual to the **Helgason duality**  $K \setminus G \leftrightarrow$

$$\begin{array}{ccc}
 & \nearrow K \setminus G/H & \nwarrow \\
 G/H: & K \setminus G & \leftrightarrow & G/H & \text{with Radon transforms \& Hecke algebra} \\
 & \nwarrow G & \nearrow & &
 \end{array}$$

$K \setminus G/H$ ), the essence of the relevant structures can be viewed as the “**stereo-graphic**” **extension** of such *planar* diagrams as controlling “augmented algebras” [1] of crossed products to describe symmetry breaking:

$$\begin{array}{ccc}
 \begin{array}{ccc}
 G/H \swarrow \mathcal{X}^H = \tilde{\mathcal{X}}^G \searrow H \\
 \mathcal{X}^H \quad \downarrow \quad \mathcal{X} \\
 \downarrow H \searrow \tilde{\mathcal{X}} \swarrow G/H \downarrow \\
 \downarrow \swarrow \downarrow \searrow \downarrow \\
 \widehat{H \setminus G} \hookrightarrow \widehat{G} \rightarrow \widehat{H}
 \end{array} & \rightleftharpoons & \begin{array}{ccc}
 \mathcal{R} \swarrow \mathcal{O}_\rho = \mathcal{O}_d^H \searrow H \\
 \mathcal{A}(\mathcal{R}) \quad \downarrow \quad \mathcal{O}_d \\
 \downarrow H \searrow \mathcal{X}(\mathcal{R}) \swarrow \mathcal{R} \downarrow \\
 \downarrow \swarrow \downarrow \searrow \downarrow \\
 \widehat{\mathcal{R}} \hookrightarrow \widehat{\Gamma} \rightarrow \widehat{H}
 \end{array}
 \end{array}
 \quad \begin{array}{l}
 \text{[same sort} \\
 \text{of lines are} \\
 \text{in the same} \\
 \text{exact seq]}
 \end{array}$$

Note that push-out diagram in DR reconstruction of field algebra  $\mathcal{X}(\mathcal{R})$  shows up here (right) in spite of its unbroken symmetry.

## 3 Symmetric space structure & Maxwell-type equations

Symmetric space structures of  $G/H = M$  &  $\Gamma/G = \mathcal{R}$  due to symmetry breaking  $\Leftrightarrow$  equation of type  $[\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}$ , which connects holonomy  $[\mathfrak{m}, \mathfrak{m}]$  (in terms of curvature) with generators  $\mathfrak{h}$  of unbroken subgroup.



Note that this feature is shared in common by Maxwell & Einstein equations of electromagnetism and of gravity, respectively:

$$\text{LHS: (curvature } F_{\mu\nu} \text{ or } R_{\mu\nu}) = (\text{source current } J_\mu \text{ or } T_{\mu\nu}) : \text{RHS.}$$

According to 2nd Noether theorem (developed in the theory of invariants), Maxwell equation is an identity following from the invariance of action integral under space-time dependent transformations.

In contrast, *no such classical quantities as action integrals nor Lagrangian densities* are available in our algebraic & categorical formulation of quantum fields.

### 3.1 Galois Functor in Doplicher-Roberts reconstruction of symmetry

The expected roles of action integral: to determine representation contents of a theory  $\implies$  can be substituted by categorical data concerning Galois group due to Doplicher & Roberts (DR), in terms of DR category  $\mathcal{T}$  of modules of local excitations:

$\text{Obj}(\mathcal{T})$ : local endomorphisms  $\rho \in \text{End}(\mathcal{A})$  of observable alg.  $\mathcal{A}$ , selected by DHR localization criterion  $\pi_0 \circ \rho \upharpoonright_{\mathcal{A}(\mathcal{O}')} \cong \pi_0 \upharpoonright_{\mathcal{A}(\mathcal{O}'')}$ ,

$\text{Mor}(\mathcal{T})$ :  $T \in \mathcal{T}(\rho \leftarrow \sigma) \subset \mathcal{A}$  intertwining  $\rho, \sigma \in \mathcal{T}$ :  $\rho(A)T = T\sigma(A)$ .

The group  $H$  of unbroken internal symmetry arises as the group  $H = \text{End}_{\otimes}(V)$  of unitary tensorial (=monoidal) natural transformations  $u : V \leftarrow V$  with the representation functor  $V : \mathcal{T} \hookrightarrow \text{Hilb}$  to embed  $\mathcal{T}$  into category  $\text{Hilb}$  of Hilbert spaces with morphisms as bounded linear maps.

### 3.2 Galois Functor in Category & its Local gauge invariance

In view of commutativity diagrams: 
$$\begin{array}{ccc} V(\rho) & \xleftarrow{v_\rho} & W(\rho) \\ V(T) \uparrow & \circlearrowleft & \uparrow W(T) \\ V(\sigma) & \xleftarrow{v_\sigma} & W(\sigma) \end{array}, \text{ i.e., } v_\rho W(T) =$$

$V(T)v_\sigma$  with  $T \in \mathcal{T}(\rho \leftarrow \sigma)$ , in the definition of natural transformation  $v : V \leftarrow W$ , we try here to reinterpret it as a categorical definition of a *local gauge transformation*  $W \xrightarrow{\tau_v} \tau_v(W) = V$  of a functor  $W$  into  $V$  on the basis of definition:

$$\tau_v(W)(T) := v_\rho W(T) v_\sigma^{-1} \quad \text{for } T \in \mathcal{T}(\rho \leftarrow \sigma).$$

Similar formula can be found for gauge links in lattice gauge theory.

Then, the commutativity,  $u_\rho V(T) = V(T)u_\sigma$  for  $u \in \text{End}_{\otimes}(V)$ , can be interpreted as *local gauge invariance*  $\tau_u(V) = V$  of the functor  $V$  under *local gauge transformation*  $V \rightarrow \tau_u(V)$  induced by a natural transformation  $u \in H = \text{End}_{\otimes}(V)$ .

### 3.3 Local gauge invariance & Maxwell equation

In the original Doplicher-Roberts theory, local endomorphisms

$\rho \in \mathcal{T} \subset \text{End}(\mathcal{A})$  have, unfortunately, been regarded *global* constant objects, owing to the emphasis on space-time transportability<sup>1</sup>, and hence, the left-right difference of  $u_\rho$  and  $u_\sigma$  in  $\tau_u(V)(T) := u_\rho V(T) u_\sigma^{-1}$  has not been properly recognized as important signal of local gauge structures.

From the general viewpoint of forcing method, however, the essential features of logical extension **from constants to variables** naturally lead to the interpretation of  $\tau_u(V)(T) = u_\rho V(T) u_\sigma^{-1} = V(T)$  as the characterization of local gauge invariance of  $V$  under local gauge transform  $u : \mathcal{T} \ni \rho \mapsto u_\rho$ .

This is in harmony also with the alternative formulation of principal bundles in terms of group-valued Čech cohomologies.

### 3.4 Symmetry breaking & Maxwell equation

In the above preliminary discussion, the recovered group  $H$  of unbroken symmetry is compact in DR theory. So, the space  $\hat{H}$  of sector parameters is discrete, which makes it difficult to incorporate differential equations.

To adapt the roles of DR category  $\mathcal{T} \subset \text{End}(\mathcal{A}) = \text{End}(\mathcal{X}^H)$  in determining the factor spectrum  $Sp(\mathfrak{Z}(\mathcal{X}^H)) = \hat{H}$  to our present purpose, we need to replace  $\mathcal{T}$  by  $\tilde{\mathcal{T}} = \text{End}(\tilde{\mathcal{X}}^H)$  with  $\tilde{\mathcal{X}} = \mathcal{X}^H \rtimes \hat{\mathcal{R}}$  and with  $\Gamma/G = \mathcal{R}$  (space-time) in the two-step construction of augmented algebras associated with the series of group extensions: unbroken  $H \hookrightarrow$  broken internal  $G \hookrightarrow$  broken external  $\Gamma$ .

By repeating the categorical formulation of  $\text{End}_{\otimes}(V : \mathcal{T} \hookrightarrow \text{Hilb})$  with  $\mathcal{T}$  and  $V$  replaced by  $\tilde{\mathcal{T}}$  and  $\tilde{V}$ , we can reproduce the essence of 2nd Noether theorem to connect the local gauge invariance and Maxwell equation.

### 3.5 Second Noether theorem

In this context, 2nd Noether theorem can be generalized into a form with three type arguments,  $x \in \mathcal{R}, \xi \in G/H, a \in \hat{H}$ , so as to incorporate low-energy theorem (with “soft pions”) due to symmetry breaking.

For simplicity, we repeat its standard form with infinitesimal local gauge transformation  $\delta_\Lambda \varphi^a(x) = G^a(x) \cdot \Lambda(x) + T^{a\mu}(x) \cdot \partial_\mu \Lambda(x)$  of fields  $\varphi^a(x)$  specified by an “infinitesimal parameter”  $\Lambda = \Lambda(x)$  of a natural transformation depending on sector parameter  $x \in \mathcal{R}$ .

Then Maxwell-type equation holds identically,

$$\partial_\nu K^{\nu\mu} + J^\mu = 0,$$

<sup>1</sup>This has led to the mathematical definition of “sectors” of  $\mathcal{A}$  by  $\text{End}(\mathcal{A})/\text{Inn}(\mathcal{A})$ .

when  $K^{\nu\mu}$  and  $J^\mu$  are “defined” in relation with the “infinitesimal transforms” of Galois functor  $V$ :

$$K^{\nu\mu} : = T^{a\mu} \frac{\partial}{\partial(\partial_\nu \varphi^a)} V,$$

$$J^\mu : = T^{a\mu} \left( \frac{\partial}{\partial \varphi^a} - \frac{\partial}{\partial(\partial_\nu \varphi^a)} \right) V + G^a \frac{\partial}{\partial(\partial_\mu \varphi^a)} V.$$

## References

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