

# Invariant measure of perturbed graph-directed IFS with degeneration

Haruyoshi Tanaka

Department of Mathematics and Statistics, Wakayama Medical University

## 1 Introduction

We consider perturbed graph iterated function systems in which some perturbed functions converge to constant functions. In our system, the unperturbed system has several Gibbs measures  $\mu_1, \mu_2, \dots, \mu_m$  associated with the dimensions of the limit sets while the perturbed system has a unique Gibbs measure  $\mu(\epsilon, \cdot)$  for each  $\epsilon > 0$ . We also investigate the case when a limit point of  $\mu(\epsilon, \cdot)$  in the sense of the weak topology has the convex combination  $\sum_{k=1}^m p(k)\mu_k$  for some probability vector  $(p(k))_{k=1}^m$ . Such a system relates to a metastable system or a system with holes (e.g. [3, 4]).

Our interests in this situation is how the coefficient  $(p(k))$  is specified when  $\mu(\epsilon, \cdot)$  converges to a measure  $\mu = \sum_{k=1}^m p(k)\mu_k$  weakly. We proved in our previous investigation [10] that if  $m = 2$  or  $3$ , then the coefficient  $(p(k))$  is expressible by the limit of a sequence composed of the Peron eigenvalues of the sub Ruelle operators of certain suitable perturbed potentials (see Theorem 3.3 and Theorem 3.4). However, there is a difficulty in extending this result to the case  $m \geq 4$  [10]. In our recent result [12] (2017), we give another characterization of the coefficient  $(p(k))$  using the notion of extended Ruelle operators in all cases  $m \geq 2$ . In this paper, we summarize our previous results and a recent result concerning perturbed graph IFS with degeneration.

In the next section 2, we give the definition of graph iterated function systems and a formulation of perturbation of this system. We mention in Section 3 our previous results. The main theorem is described in Section 4. In the final section 5, we shall present two concrete examples.

## 2 Graph iterated function systems

### 2.1 Definition

Let  $D \geq 1$  be an integer. We consider a set  $(G, (J_v), (O_v), (T_e))$  satisfying the following conditions (1)-(4):

- (1)  $G = (V, E, i, t)$  is a finite directed multigraph which consists of a vertices set  $V$ , a directed edges set  $E$  and two functions  $i, t : E \rightarrow V$ . For each  $e \in E$ ,  $i(e)$  is called the initial vertex of  $e$  and  $t(e)$  called the terminal vertex of  $e$ .
- (2) For each  $v \in V$ , a subset  $J_v$  of  $D$ -dimensional Euclidean space  $\mathbb{R}^D$  is compact and connected such that the interior  $\text{int } J_v$  of  $J_v$  is not empty, and  $\text{int } J_{v'}$  and  $\text{int } J_v$  are disjoint for  $v' \neq v$ .
- (3) For each  $v \in V$ ,  $O_v$  is an open and connected subset of  $\mathbb{R}^D$  such that  $J_v \subset O_v$ .
- (4) For each  $e \in E$ , a function  $T_e$  from  $O_{t(e)}$  into  $O_{i(e)}$  is a conformal  $C^{1+\beta}$ -diffeomorphism with  $\beta \in (0, 1]$  and satisfies  $0 < \|T'_e(x)\| < 1$  for  $x \in J_{t(e)}$  and  $T_e(\text{int } J_{t(e)}) \subset \text{int } J_{i(e)}$  for  $e \in E$ . Moreover, an open set condition (OSC) is satisfied, namely  $T_e \text{int } J_{t(e)} \cap T_{e'} \text{int } J_{t(e')} = \emptyset$  with  $e' \neq e$  and  $i(e') = i(e)$ . Here  $\|T'_e(x)\|$  denotes the operator norm of  $T'_e(x)$  on  $\mathbb{R}^D$ .

We call such a set  $(G, (J_v), (O_v), (T_e))$  a graph iterated function systems (GIFS for short). Such a system is studied by many authors [2, 5, 6, 7, 9].

A subgraph  $H$  of  $G$  is said to be strongly connected if for any two vertices  $v_1, v_2$  of  $H$  there is a path on  $H$  from  $v_1$  to  $v_2$ . A subgraph  $H = (V_H, E_H)$  of  $G$  is called a strongly connected component of  $G$  if this is strongly connected and for any strongly connected subgraph  $H' = (V_{H'}, E_{H'})$  of  $G$  with  $E_H \subset E_{H'}$ ,  $H'$  is equal to  $H$ . Denoted by  $SC(G)$  the set of all strongly connected components of  $G$ .

Assume that  $G$  is strongly connected. There exists a unique family  $\{K_v \subset J_v : v \in V\}$  of nonempty compact subsets such that the set equation

$$K_v = \bigcup_{e \in E: t(e)=v} T_e(K_{i(e)})$$

holds for each  $v \in V$ . Put  $K(G) = \bigcup_{v \in V} K_v$ . We call this set the limit set of the GIFS  $(G, (J_v), (O_v), (T_e))$ . Denoted by  $E^\infty = \{\omega = (\omega_n)_{n=0}^\infty \in \prod_{n=0}^\infty E : t(\omega_n) = i(\omega_{n+1}) \text{ for all } n \geq 0\}$  a code space. The shift transformation  $\sigma : E^\infty \rightarrow E^\infty$  is given by  $(\sigma\omega)_n = \omega_{n+1}$  for any  $n \geq 0$  and  $\omega = (\omega_n)_{n=0}^\infty \in E^\infty$ . Let  $\pi : E^\infty \rightarrow \mathbb{R}^D$  be a coding map for the GIFS  $(G, (J_v), (O_v), (T_e))$  defined by  $\{\pi(\omega)\} = \bigcap_{k=0}^\infty T_{\omega_0} \cdots T_{\omega_k} J_{t(\omega_k)}$

for  $\omega \in E^\infty$ . We put the function

$$\varphi(\omega) = \log \|T'_{\omega_0}(\pi\sigma\omega)\|.$$

A  $\sigma$ -invariant Borel probability measure  $\mu_G$  on  $E^\infty$  is said to be a Gibbs measure of the GIFS  $(G, (J_v), (O_v), (T_e))$  if this is the Gibbs measure of the potential  $(\dim_H K(G))\varphi$  (see [1] for definition).

## 2.2 Formulation of our perturbed GIFS

Now we formulate our perturbed GIFS. We introduce the following conditions (G.1)-(G.4):

(G.1) The graph  $G = (V, E, i, t)$  is strongly connected.

(G.2) The set  $(G, (J_v), (O_v), (T_e(\epsilon, \cdot)))$  is a GIFS for all  $\epsilon > 0$ .

(G.3) There exists a decomposition  $E = E_0 \cup E_1$  of  $E$  such that

$$\begin{aligned} T_e(\epsilon, x) &\rightarrow \begin{cases} T_e(x) & e \in E_0 \\ a_e & e \in E_1 \end{cases} \text{ uniformly in } x \in J_{t(e)}, \\ \|\frac{\partial}{\partial x} T_e(\epsilon, x)\| &\rightarrow \begin{cases} \|T'_e(x)\| & e \in E_0 \\ 0 & e \in E_1 \end{cases} \text{ uniformly in } x \in J_{t(e)}, \end{aligned}$$

where  $a_e$  is an element in  $J_{t(e)}$  for  $e \in E_1$ . Moreover, let  $G_0 = (V_0, E_0)$  with  $V_0 = i(E_0) \cup t(E_0)$ . Then the set  $(G_0, (J_v)_{v \in V_0}, (O_v)_{v \in V_0}, (T_e)_{e \in E_0})$  is a GIFS. Moreover, there exists a strongly connected subgraph  $H = (V_H, E_H)$  of  $G_0$  such that the limit set of the GIFS  $(H, (J_v)_{v \in V_H}, (O_v)_{v \in V_H}, (T_e)_{e \in E_H})$  has positive Hausdorff dimension.

(G.4) There exist constants  $c_1 > 0$  and  $\beta \in (0, 1]$  such that for any  $e \in E$ ,  $x, y \in O_{t(e)}$  and  $\epsilon > 0$ ,  $\|\frac{\partial}{\partial x} T_e(\epsilon, x)\| - \|\frac{\partial}{\partial x} T_e(\epsilon, y)\| \leq c_1 \|\frac{\partial}{\partial x} T_e(\epsilon, x)\| |x - y|^\beta$ .

By virtue of the condition (G.1), the perturbed GIFS  $(G, (J_v), (O_v), (T_e(\epsilon, \cdot)))$  has a unique limit set  $K_\epsilon(G)$  and a unique Gibbs measure  $\mu(\epsilon, \cdot)$  for each  $\epsilon > 0$ . On other hand, the non-perturbed GIFS  $(G_0, (J_v)_{v \in V_0}, (O_v)_{v \in V_0}, (T_e)_{e \in E_0})$  has several limit sets  $K(H)$  ( $H \in SC(G_0)$ ) and several Gibbs measures  $\mu_H$  ( $H \in SC(G_0)$ ).

For each  $\epsilon > 0$ ,  $\pi(\epsilon, \cdot)$  means the coding map of the GIFS  $(G, (J_v), (O_v), (T_e(\epsilon, \cdot)))$  and  $\varphi(\epsilon, \omega)$  the function  $\log \|\frac{\partial}{\partial x} T_{\omega_0}(\epsilon, \pi(\epsilon, \sigma\omega))\|$ . We put

$$SC_0 = \{H \in SC(G_0) : \dim_H K(H) = \max_{H' \in SC(G)} \dim_H K(H')\}.$$

For simplicity, we write  $SC_0 = \{H(1), H(2), \dots, H(m)\}$ . In these cases, we are interested in convergence of the Hausdorff dimension  $\dim_H K_\epsilon(G)$  of  $K_\epsilon(G)$ , convergence of the Gibbs measure  $\mu(\epsilon, \cdot)$  of the potential  $(\dim_H K_\epsilon(G))\varphi(\epsilon, \cdot)$  and convergence of the measure-theoretic entropy  $h(\mu(\epsilon, \cdot))$  of this measure.

### 3 Previous results

We use the notation defined in Section 2. We begin with the following results.

**Theorem 3.1 ([10])** *Assume that the conditions (G.1)-(G.4) are satisfied. Then*

- (1)  $\dim_H K_\epsilon(G)$  converges to  $\max_k \dim_H K(H(k))$ ;
- (2) any limit point of the Gibbs measure  $\mu(\epsilon, \cdot)$  in the sense of weakly topology has the form  $\sum_{k=1}^m p(k)\mu_{H(k)}$  for some probability vector  $(p(k))_k$ ;
- (3) if  $\mu(\epsilon, \cdot)$  converges to a measure  $\sum_{k=1}^m p(k)\mu_{H(k)}$  weakly, then  $h(\mu(\epsilon, \cdot))$  converges to  $\sum_{k=1}^m p(k)h(\mu_{H(k)})$ .

Theorem 3.1(2) says that the measure  $\mu(\epsilon, \Sigma_0)$  of the set  $\Sigma_0 = \{\omega \in E^\infty : \omega_0 \in E \setminus \bigcup_{k=1}^m E_{H(k)}\}$  vanishes as  $\epsilon \rightarrow 0$ , where  $E_{H(k)}$  denotes the edge set of  $H(k)$ . Note also that if  $\#SC_0 = 1$  then  $\mu(\epsilon, \cdot)$  converges weakly. However, in the case when  $\#SC_0 \geq 2$ ,  $\mu(\epsilon, \cdot)$  may do not converge in general. In the following subsections, we will focus on convergence of  $\mu(\epsilon, \cdot)$  under the case  $\#SC_0 \geq 2$ .

#### 3.1 Perturbed piecewise expanding Markov maps with holes

In this section, we consider perturbed piecewise expanding Markov maps with holes which are treated as a special perturbed GIFS. We will give a sufficient condition for convergence of the measure  $\mu(\epsilon, \cdot)$  of perturbed GIFS with  $D = 1$ .

Assume that the conditions (G.1)-(G.4) with  $D = 1$  are satisfied. We also consider the following conditions.

$$(G.5) \quad \bigcup_{v \in V} J_v = [0, 1].$$

$$(G.6) \quad \text{For any } v \in V \text{ and } \epsilon > 0, \bigcup_{e \in E: i(e)=v} T_e(\epsilon, J_{t(e)}) = J_v.$$

$$(G.7) \quad \text{For any } v \in V, \text{ there exists a subgraph } H \in SC_0 \text{ of } G \text{ such that } \bigcup_{e \in E_H: i(e)=v} T_e(J_{t(e)}) = J_v.$$

For  $\epsilon \geq 0$ , we define a map  $f_\epsilon : [0, 1] \rightarrow [0, 1]$  by  $f_\epsilon(x) = T_e(\epsilon, \cdot)^{-1}(x)$ , where  $e$  is decided uniquely if  $x \in \bigcup_e \text{int}(T_e(\epsilon, J_{t(e)}))$ , and otherwise we arbitrary choose  $e$  so

that  $x \in \partial T_\epsilon(\epsilon, J_{t(\epsilon)})$ . In this setting, the map  $f_\epsilon$  is a topologically transitive piecewise expanding map with a fixed finite Markov partition for  $\epsilon > 0$ , and the map  $f_0$  consists of a finite many of topologically transitive piecewise expanding maps. The set of critical points of  $f_\epsilon$  is written by  $C_\epsilon = \bigcup_{e \in E} \partial T_\epsilon(\epsilon, J_{t(\epsilon)})$ . It is known that the sets  $\bigcup_{n=0}^{\infty} f_\epsilon^{-n} C_\epsilon$  and  $\pi(\epsilon, \cdot)^{-1}(\bigcup_{n=0}^{\infty} f_\epsilon^{-n} C_\epsilon)$  are at most countable sets. Then the absolutely continuous invariant probability measure (ACIM) of  $f_\epsilon$  coincides with the measure  $\mu(\epsilon, \cdot) \circ \pi(\epsilon, \cdot)^{-1}$ . In these setting, the volumes of the ‘‘holes’’  $T_\epsilon(\epsilon, J_{t(\epsilon)})$ ,  $e \in E_1$ , vanishes as  $\epsilon \rightarrow 0$ .

We also introduce the following conditions for holes:

(G.8) For any  $e \in E_1$ , there exists a  $C^1$  map  $T_{e,1}$  on  $J_{t(e)}$  such that  $\|T'_{e,1}(\epsilon, \cdot)\| = \epsilon \|T'_{e,1}\| + o(\epsilon)$  in  $C(J_{t(e)}, \mathbb{R})$ .

(G.9) Let  $Q_0 = (Q_0(kk'))$  be a matrix indexed by  $\{1, 2, \dots, m\}^2$  with

$$Q_0(kk') = \begin{cases} 1, & \text{if } T'_{e,1} \not\equiv 0 \text{ for some } e \in E_1 \text{ with } i(e) \in V_{H(k)}, t(e) \in V_{H(k')} \\ 0, & \text{otherwise} \end{cases}$$

Then  $Q_0$  is non-zero and irreducible.

**Theorem 3.2 ([10])** *Assume that the conditions (G.1)-(G.9) are satisfied and  $\#SC_0 \geq 2$ . Then the Gibbs measure  $\mu(\epsilon, \cdot)$  converges to the measure  $\sum_{k=1}^m p(k) \mu_{H(k)}$  and the vector  $p = (p(k))$  is characterized as the invariant measure of the continuous time Markov chain generated by an infinitesimal generator  $Q$ , i.e.  $pQ = 0$ . In particular,  $Q$  is calculated by the convergence speed of the holes.*

Note that (G.8) and (G.9) are conditions which contribute to convergence of  $\mu(\epsilon, \cdot)$ . Therefore if these conditions are not satisfy, then there is an example so that  $\mu(\epsilon, \cdot)$  does not converge. As related results, there is a study of convergence of ACIMs of perturbed piecewise expanding maps with holes [3, 4].

### 3.2 In the case $\#SC_0 = 2$ or 3

As main results in [10], we gave a general convergence of  $\mu(\epsilon, \cdot)$  in the case when  $\#SC_0 = 2$  or 3. For details, let  $C(E^\infty)$  be the set of all complex-valued continuous functions on  $E^\infty$ . We put

$$\begin{aligned} E(k) &= E_{H(k)} \cup \left( E \setminus \bigcup_{H \in SC_0} E_H \right), \\ \eta_\epsilon(k) &= \exp(P((\dim_H K_\epsilon(G)) \varphi(\epsilon, \cdot)|_{E(k)^\infty})) \text{ for } k = 1, 2, \\ p_\epsilon^2(k) &= \frac{1 - \eta_\epsilon(k')}{1 - \eta_\epsilon(1) + 1 - \eta_\epsilon(2)} \text{ for } \{k, k'\} = \{1, 2\}, \end{aligned}$$

where  $P(\varphi)$  denotes the topological pressure of a potential  $\varphi$  (see [1] for definition). Note that the number  $\eta_\epsilon(k)$  coincides with the Perron eigenvalue of the sub Ruelle operator  $\mathcal{L}_{\epsilon, E(k)}$  acting on  $C(E^\infty)$  which is defined by

$$\mathcal{L}_{\epsilon, E(k)}f(\tau) = \begin{cases} \sum_{e \in E(k) : t(e) = i(\tau_0)} \exp((\dim_H K_\epsilon(G))\varphi(\epsilon, e \cdot \tau))f(e \cdot \tau), & \tau_0 \in E(k) \\ 0, & \tau_0 \notin E(k) \end{cases}$$

for  $f \in C(E^\infty)$  and  $\tau \in E^\infty$ . This operator satisfies Ruelle-Perron-Frobenius type Theorem [8]. Remark also that  $\eta_\epsilon(k)$  is less than 1 from  $E(k)$  and  $E_{H(k')}$  ( $k' \neq k$ ) are disjoint and  $G$  is strongly connected. We first have the following in the case  $\#SC_0 \geq 2$ .

**Theorem 3.3 ([10])** *Assume the conditions (G.1)-(G.4) are satisfied and  $SC_0$  consists of two elements  $\{H(1), H(2)\}$ . Then  $p_\epsilon^2(k)$  converges to a number  $p(k)$  for all  $k = 1, 2$  if and only if  $\mu(\epsilon, \cdot)$  converges to the measure  $p(1)\mu_{H(1)} + p(2)\mu_{H(2)}$  weakly.*

Next we consider the case  $\#SC_0 = 3$ . We let

$$E(k, k') = E_{H(k)} \cup E_{H(k')} \cup \left( E \setminus \bigcup_{H \in SC_0} E_H \right),$$

$$\eta_\epsilon(k, k') = \exp(P((\dim_H K_\epsilon(G))\varphi(\epsilon, \cdot)|_{E(k, k')^\infty})) \quad \text{for } k, k' \text{ with } k \neq k'$$

and define

$$q_\epsilon^3(k) = (1 - \eta_\epsilon(k', k''))(1 + \eta_\epsilon(k', k'') - \eta_\epsilon(k') - \eta_\epsilon(k''))$$

$$p_\epsilon^3(k) = q_\epsilon^3(k) / \sum_{l=1}^3 q_\epsilon^3(l) \quad \text{for } k$$

with  $\{k, k', k''\} = \{1, 2, 3\}$ . Note that  $\eta_\epsilon(k, k')$  becomes the Perron eigenvalue of the operator  $\mathcal{L}_{\epsilon, E(k, k')}$ . We next obtain the following assertion.

**Theorem 3.4 ([10])** *Assume the conditions (G.1)-(G.4) are satisfied and  $SC_0$  consists of three elements  $\{H(1), H(2), H(3)\}$ . Then  $p_\epsilon^3(k)$  converges to a number  $p(k)$  for all  $k = 1, 2, 3$  if and only if  $\mu(\epsilon, \cdot)$  converges to a measure  $p(1)\mu_{H(1)} + p(2)\mu_{H(2)} + p(3)\mu_{H(3)}$  weakly.*

### 3.3 In the case $\#SC_0 \geq 4$

It is a natural question whether similar arguments are satisfied for the case  $\#SC_0 \geq 4$ . There is a following conjecture for  $\#SC_0 = 4$ . For  $k, k', k''$  mutually disjoint, we put

$$E(k, k', k'') = E_{H(k)} \cup E_{H(k')} \cup E_{H(k'')} \cup \left( E \setminus \bigcup_{H \in SC_0} E_H \right)$$

$$\eta_\epsilon(k, k', k'') = \exp(P((\dim_H K_\epsilon(G))\varphi(\epsilon, \cdot)|_{E(k, k', k'')})).$$

Set

$$q_\epsilon^4(k) = \left(1 - \eta_\epsilon(k', k'', k''')\right) \left( (1 - \eta_\epsilon(k'', k'''))(1 - \eta_\epsilon(k''') + \eta_\epsilon(k'', k''') - \eta_\epsilon(k''')) \right. \\ \left. + (\eta_\epsilon(k', k'', k''') - \eta_\epsilon(k', k'''))(1 - \eta_\epsilon(k''')) + (1 - \eta_\epsilon(k', k'''))(\eta_\epsilon(k', k''') - \eta_\epsilon(k')) \right. \\ \left. + (\eta_\epsilon(k', k'', k''') - \eta_\epsilon(k', k''))(\eta_\epsilon(k', k'', k''') - \eta_\epsilon(k') + \eta_\epsilon(k', k'') - \eta_\epsilon(k'')) \right)$$

for  $\{k, k', k'', k'''\} = \{1, 2, 3, 4\}$ , and  $p_\epsilon^4(k) = q_\epsilon^4(k) / \sum_{l=1}^4 q_\epsilon^4(l)$ .

**Conjecture 3.5 ([10])** *Assume the conditions (G.1)-(G.4) and  $\#SC_0 = 4$ . Then  $\mu(\epsilon, \cdot)$  converges to  $\sum_{k=1}^4 p(k)\mu_{H(k)}$  weakly if and only if  $p_\epsilon^4(k)$  converges to a number  $p(k)$  for all  $k = 1, 2, 3, 4$ .*

There is also such a similar conjecture for the case  $\#SC_0 \geq 5$ .

## 4 Main result

In Theorem 3.3 and Theorem 3.4, we gave a necessary and sufficient condition for convergence of  $\mu(\epsilon, \cdot)$  composed of Perron eigenvalues of sub Ruelle operators in the case when  $\#SC_0 = 2, 3$ . However, it is difficult to prove similar assertion when  $\#SC_0 \geq 4$  (see [10]).

In this section, we will give another approach by using the notion of extended Ruelle operators in all cases including  $\#SC_0 \geq 4$ .

For details, we introduce some notation below. Let  $M(E^\infty)$  be the set of all Borel complex measure on  $E^\infty$ . For  $0 < \theta < 1$ , denoted by  $d_\theta$  the metric on  $E^\infty$  with  $d_\theta(\omega, \nu) = \theta^{\min\{n \geq 0 : \omega_n \neq \nu_n\}}$ , and by  $F_\theta(E^\infty)$  the set of all Lipschitz continuous functions belonging in  $C(E^\infty)$ . For  $k, k'$  mutually disjoint and  $\epsilon > 0$ , we define an operator  $\mathcal{L}_\epsilon(k, k')$  acting on  $C(E^\infty)$  which is given by  $\mathcal{L}_\epsilon(k, k')f(\tau) =$

$$\begin{cases} \sum_{n=0}^{\infty} \sum_{\substack{w \in E_{H(k)} \times F(k, k')^n : \\ w \cdot \tau \text{ path on } G}} \exp \left( \sum_{l=0}^n (\dim_H K_\epsilon(G))\varphi(\epsilon, \sigma^l(w \cdot \tau)) \right) f(w \cdot \tau), & \tau_0 \in E_{H(k)} \\ 0, & \tau_0 \notin E_{H(k)} \end{cases}$$

for  $f \in C(E^\infty)$  and  $\tau \in E^\infty$ , where  $F(k, k') = E \setminus (E_{H(k)} \cup E_{H(k')})$ . Note that this operator is a positive bounded linear operator acting on  $C(E^\infty)$ . This has similar properties of Ruelle operators as follows. There exists a unique triplet  $(\lambda_\epsilon^{k,k'}, h_\epsilon^{k,k'}, \nu_\epsilon^{k,k'}) \in \mathbb{R} \times C(E^\infty) \times M(E^\infty)$  such that  $\lambda_\epsilon^{k,k'}$  is a simple maximal eigenvalue of  $\mathcal{L}_\epsilon(k, k')$ ,  $h_\epsilon^{k,k'}$  is the corresponding nonnegative eigenfunction, and  $\nu_\epsilon^{k,k'}$  is the corresponding positive eigenvector of the dual  $\mathcal{L}_\epsilon(k, k')^*$  with  $\nu_\epsilon^{k,k'}(h_\epsilon^{k,k'}) = \nu_\epsilon^{k,k'}(E^\infty) = 1$ . Note also that  $\mathcal{L}_\epsilon(k, k')$  is well-defined as a bounded linear operator acting on  $F_\theta(E^\infty)$  and this operator is quasi-compact. These assertions are proved by using standard thermodynamic formalism techniques ([11]). For  $k = 1, 2, \dots, m$ , we put

$$p_\epsilon(k) = \left( 1 + \sum_{k': k' \neq k} \frac{1 - \lambda_\epsilon^{k,k'}}{1 - \lambda_\epsilon^{k',k}} \right)^{-1}.$$

Now we are in a position to state our main result.

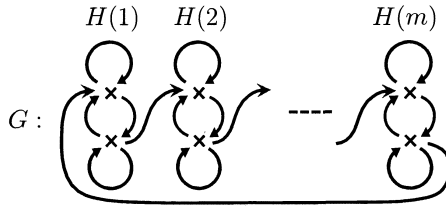
**Theorem 4.1 ([12])** *Assume that the conditions (G.1)-(G.4) are satisfied and  $\sharp SC_0 \geq 2$ . Then the Gibbs measure  $\mu(\epsilon, \cdot)$  converges to a measure  $\mu$  weakly if and only if  $p_\epsilon(k)$  converges to a number  $p(k)$  for all  $k = 1, 2, \dots, m$ . In these cases,  $\mu$  has the form  $\mu = \sum_{k=1}^m p(k) \mu_{H(k)}$ .*

## 5 Concrete examples

### 5.1 A convergent case

Assume the following conditions (i)-(iii):

- (i) For each  $k = 1, 2, \dots, m$ , a graph  $H(k) = (\{v_k^1, v_k^2\}, \{e_k^1, e_k^2, e_k^3, e_k^4\}, i, t)$  satisfies  $i(e_k^1) = t(e_k^1) = v_k^1$ ,  $i(e_k^2) = v_k^1$ ,  $t(e_k^2) = v_k^2$ ,  $i(e_k^3) = v_k^2$ ,  $t(e_k^3) = v_k^1$ , and  $i(e_k^4) = t(e_k^4) = v_k^2$ .
- (ii) The graph  $G = (V, E, i, t)$  has the vertex set  $V = \bigcup_{k=1}^m V_{H(k)}$  and the edge set  $E = E_0 \cup E_1$  with  $E_0 = \bigcup_{k=1}^m E_{H(k)}$  and  $E_1 = \{e_{12}, e_{23}, \dots, e_{m-1m}, e_{m1}\}$  with  $i(e_{kk'}) = v_{k'}^2$  and  $t(e_{kk'}) = v_k^1$  (see the following figure).





- (iii) GIFSs  $(G, (J_v), (O_v), (T_e(\epsilon, \cdot)))$  satisfy the two conditions (G.3) and (G.4), namely,  $\|\frac{\partial}{\partial x} T_e(\epsilon, \cdot)\| := \sup_{x \in J_{t(\epsilon)}} \|\frac{\partial}{\partial x} T_e(\epsilon, x)\| \rightarrow 0$  as  $\epsilon \rightarrow 0$  for any  $e \in E_1$ . Moreover,  $T_e(\epsilon, \cdot) \equiv T_e$  and  $\|\frac{\partial}{\partial x} T_e(\epsilon, \cdot)\| \equiv 1/10$  for any  $e \in E_0$ .

In these cases, we notice  $SC(G) = SC_0 = \{H(1), H(2), \dots, H(m)\}$ . We also obtain that the operator  $\mathcal{L}_\epsilon(k, k')$  becomes the sub Ruelle operator  $\mathcal{L}_{\epsilon, E_{H(k)}}$  for each  $k, k'$  with  $k \neq k'$ . Note that this operator does not depend on  $k'$ . We see that the Perron eigenvalue  $\lambda_\epsilon^{k, k'}$  of this operator is equal to  $2(1/10)^{\dim_H K(\epsilon)}$  for any  $k \neq k'$ . Therefore  $p_\epsilon(k) = 1/m$  for any  $k$ . By virtue of Theorem 4.1, the Gibbs measure  $\mu(\epsilon, \cdot)$  of  $(\dim_H K_\epsilon(G))\varphi(\epsilon, \cdot)$  converges to  $\sum_{k=1}^m \mu_{H(k)}/m$  weakly.

## 5.2 A non convergent case

Assume the following (i),(ii),(iii)':

- (i) The same condition as (i) in Section 5.1.  
(ii) The same condition as (ii) in Section 5.1.  
(iii)' GIFSs  $(G, (J_v), (O_v), (T_e(\epsilon, \cdot)))$  satisfies the conditions (G.3), (G.4) and

$$\|\frac{\partial}{\partial x} T_e(\epsilon, \cdot)\| = \begin{cases} \epsilon, & e \in E_1 \\ 1/10, & e \in E_0 \setminus E_{H(1)} \\ 1/10 + \epsilon^{s(0) \exp(\sin(1/\epsilon))}, & e \in E_{H(1)}, \end{cases}$$

where  $s(0) = \dim_H K(H(1)) = \log 2 / \log 10$ .

In these cases,  $(1 - \lambda_\epsilon^{1, k}) / (1 - \lambda_\epsilon^{k, 1})$  has the form

$$\frac{1 - \lambda_\epsilon^{1, k}}{1 - \lambda_\epsilon^{k, 1}} = \frac{1 - 2(\epsilon^{s(0) \exp(\sin(1/\epsilon))} + 1/10)^{\dim_H K_\epsilon(G)}}{1 - 2(1/10)^{\dim_H K_\epsilon(G)}} =: a(\epsilon)$$

for all  $k = 2, 3, \dots, m$ , and this number  $a(\epsilon)$  does not converge as  $\epsilon \rightarrow 0$ . Therefore so is for  $p_\epsilon(1) = 1/(1 + (m-1)a(\epsilon))$ . From Theorem 4.1, the Gibbs measure  $\mu(\epsilon, \cdot)$  does not converge.

## References

- [1] Bowen, R.: Equilibrium states and the ergodic theory of Anosov diffeomorphisms. Lecture Notes in Mathematics, vol. 470. Springer, Berlin (1975)  
[2] Cole, J.: Relative multifractal analysis. Chaos Solitons Fractals **11**, 2233–2250 (2000)

- [3] Dolgopyat, D., Wright, P.: The diffusion coefficient for piecewise expanding maps of the interval with metastable states. *Stoch. Dyn.* **12**, 1150005 (2012)
- [4] González Tokman, C., Hunt, B. R., Wright, P.: Approximating invariant densities of metastable systems. *Ergod. Theory Dyn. Syst.* **31**, 1345–1361 (2011)
- [5] Mauldin, R. D., Urbański, M.: *Graph Directed Markov Systems : Geometry and dynamics of limit sets*. Cambridge (2003)
- [6] Mauldin, R. D., Williams, S. C.: Hausdorff dimension in graph directed constructions. *Trans. Amer. Math. Soc.* **309**, 811–829 (1988)
- [7] Patzschke, N.: Self-conformal multifractal measures. *Adv. in Appl. Math.* **19**, 486–513 (1997)
- [8] Tanaka, H.: Spectral properties of a class of generalized Ruelle operators. *Hiroshima Math. J.* **39**, 181–205 (2009)
- [9] Tanaka, H.: Asymptotic perturbation of graph iterated function systems. *Journal of Fractal Geometry*, **3**, 119–161 (2016)
- [10] Tanaka, H.: Perturbation analysis in thermodynamics using matrix representations of Ruelle transfer operators and its application to graph IFS. submitted
- [11] Tanaka, H.: Coupling theorem for Ruelle operators and its application to convergence of the sequence of Gibbs measures. preprint
- [12] Tanaka, H.: Convergence of the Gibbs measures of perturbed graph iterated functions systems with degeneration. preprint

Department of Mathematics and Statistics  
Wakayama Medical University  
580, Mikazura, Wakayama-city, Wakayama, 641-0011, Japan  
htanaka@wakayama-med.ac.jp

和歌山県立医科大学 医学部 教養・医学教育大講座 田中 晴喜