

# SHELAH-STRONG TYPE AND ALGEBRAIC CLOSURE OVER A HYPERIMAGINARY

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ABSTRACT. We characterize Shelah-strong type over a hyperimaginary with the algebraic closure of a hyperimaginary. Also, we present and take a careful look at an example that witnesses  $\text{acl}^{\text{eq}}(\mathbf{e})$  is not interdefinable with  $\text{acl}(\mathbf{e})$  where  $\mathbf{e}$  is a hyperimaginary.

Fix a first order language  $\mathcal{L}$ , complete theory  $T$  and monster model  $\mathcal{M}$ . **Throughout, fix a hyperimaginary  $\mathbf{e} = a_E$  where  $a$  is a (possibly infinite) real tuple and  $E$  is an  $\emptyset$ -type-definable equivalence relation on  $\mathcal{M}^{|a|}$ .**

Most of the facts and remarks whose proofs are omitted can be found in the author's dissertation [6].

## Fact 1.

- (1) A real tuple  $b$  is simply  $b/(\bigwedge_{i<\alpha} x_i = y_i)$  where  $b = (b_i)_{i<\alpha}$ , hence can be seen as (that is, interdefinable with) a hyperimaginary; an imaginary tuple  $(b_i/F_i)_{i<\alpha}$  is  $(b_i)_{i<\alpha}/(\bigwedge_{i<\alpha} F_i(x_i, y_i))$  where all  $x_i, y_i$ 's are disjoint, hence is a hyperimaginary as well. **In this regard, considering over a set of real elements or a set of imaginaries can be safely replaced by considering over a single hyperimaginary.**
- (2) In the same manner as above, a sequence of hyperimaginaries can be regarded as a single hyperimaginary: A tuple of hyperimaginaries  $(b_i/F_i)_{i<\alpha}$  is interdefinable with  $(b_i)_{i<\alpha}/(\bigwedge_{i<\alpha} F_i(x_i, y_i))$  where all  $x_i, y_i$ 's are disjoint.

## Definition 2.

- (1) For any hyperimaginary  $\mathbf{e}'$ , we denote  $\mathbf{e}' \in \text{dcl}(\mathbf{e})$  and say  $\mathbf{e}'$  is *definable over  $\mathbf{e}$*  if  $f(\mathbf{e}') = \mathbf{e}'$  for all  $f \in \text{Aut}_{\mathbf{e}}(\mathcal{M})$ .
- (2) For any hyperimaginary  $\mathbf{e}'$ , we denote  $\mathbf{e}' \in \text{bdd}(\mathbf{e})$  and say  $\mathbf{e}'$  is *bounded over  $\mathbf{e}$*  if  $\{f(\mathbf{e}') : f \in \text{Aut}_{\mathbf{e}}(\mathcal{M})\}$  is bounded.

**Remark 3.** In Definition 2,  $\mathbf{e}' \in \text{dcl}(\mathbf{e})$  and  $\mathbf{e}' \in \text{bdd}(\mathbf{e})$  are independent of the choice of a monster model  $\mathcal{M}$ .

*Proof.* It is easy, but anyway we prove it. Let  $\mathcal{M} \prec \mathcal{M}'$  be monster models of  $T$ . Suppose that there are only  $\kappa$ -many automorphic images of  $\mathbf{e}'$  in  $\mathcal{M}$ , whereas there are at least  $\kappa^+$  images in  $\mathcal{M}'$ . Say  $\mathbf{e}' = b_F$  where  $b$  is a real tuple and  $F$  is an  $\emptyset$ -type-definable equivalence relation. Let  $(b_i/F)_{i<\kappa^+}$  be an enumeration of automorphic images of  $b_F$  in  $\mathcal{M}'$ . Since there is  $(b'_i)_{i<\kappa^+} \equiv_a (b_i)_{i<\kappa^+}$  where each  $b'_i \in \mathcal{M}$ , there are at least  $\kappa^+$ -many conjugates of  $b_F$  in  $\mathcal{M}$  (recall  $\mathbf{e} = a/E$ ), a contradiction.  $\square$

## Fact 4.

- (1) A hyperimaginary  $b_F$  is called *countable* if  $|b|$  is countable. It's not so difficult to prove that any hyperimaginary is interdefinable with a sequence of countable hyperimaginaries (see, for example [5, Lemma 4.1.3]).

- (2) From now on, definable closure of  $\mathbf{e}$ ,  $\text{dcl}(\mathbf{e})$  will be seen as an actual (small) set, the set of all countable hyperimaginaries which are definable over  $\mathbf{e}$ : In this way,  $\mathbf{e}' \in \text{dcl}(\mathbf{e})$  now means that there is a sequence of countable hyperimaginaries that is interdefinable with  $\mathbf{e}'$  and fixed by any  $f \in \text{Aut}_{\mathbf{e}}(\mathcal{M})$ . Also note that  $f \in \text{Aut}_{\text{dcl}(\mathbf{e})}(\mathcal{M})$  if and only if  $f$  fixes all hyperimaginaries that are definable over  $\mathbf{e}$ . As pointed out in Fact 1(2),  $\text{dcl}(\mathbf{e})$  also can be seen as a single hyperimaginary.
- (3) Likewise, the bounded closure of  $\mathbf{e}$ ,  $\text{bdd}(\mathbf{e})$  is the set of all countable hyperimaginaries which are bounded over  $\mathbf{e}$ . In the same way as above,  $\mathbf{e}' \in \text{bdd}(\mathbf{e})$  means that there is a sequence of countable hyperimaginaries that is interdefinable with  $\mathbf{e}'$ , and the number of  $\mathbf{e}$ -automorphic images of it is bounded. Again,  $f \in \text{Aut}_{\text{bdd}(\mathbf{e})}(\mathcal{M})$  is equivalent to saying that  $f$  fixes all hyperimaginaries that are bounded over  $\mathbf{e}$ .

**Remark & Definition 5.**

- (1) For a hyperimaginary  $\mathbf{e}'$ , denote  $\mathbf{e}' \in \text{acl}(\mathbf{e})$  and say  $\mathbf{e}'$  is *algebraic over  $\mathbf{e}$*  if  $\{f(\mathbf{e}') : f \in \text{Aut}_{\mathbf{e}}(\mathcal{M})\}$  is finite. As in Remark 3, this definition is independent of the choice of a monster model.
- (2) As in Fact 4, the *algebraic closure* of  $\mathbf{e}$ ,  $\text{acl}(\mathbf{e})$  can be regarded as a bounded set of countable hyperimaginaries, which is interdefinable with a single hyperimaginary  $b_F \in \text{bdd}(\mathbf{e})$  (but possibly  $b_F \notin \text{acl}(\mathbf{e})$ ).
- (3) Note that given  $d_i/L_i \in \text{acl}(\mathbf{e})$  ( $i \leq n$ ), as pointed out in Fact 1,  $(d_0/L_0, \dots, d_n/L_n)$  is interdefinable with a single  $d_L \in \text{acl}(\mathbf{e})$ . Hence by compactness, for any hyperimaginaries  $b_F$  and  $c_F$ ,

$$b_F \equiv_{\text{acl}(\mathbf{e})} c_F \text{ if and only if } b_F \equiv_{d_L} c_F \text{ for any } d_L \in \text{acl}(\mathbf{e}).$$

**Definition 6.**

- (1)  $\text{Aut}_{\mathbf{e}}(\mathcal{M}) = \{f \in \text{Aut}(\mathcal{M}) : f(\mathbf{e}) = \mathbf{e}\}$  ( $f$  may permute the elements of  $\mathbf{e}$ ).
- (2)  $\text{Autf}_{\mathbf{e}}(\mathcal{M})$  is a subgroup of  $\text{Aut}_{\mathbf{e}}(\mathcal{M})$  generated by

$$\{f \in \text{Aut}_{\mathbf{e}}(\mathcal{M}) : f \in \text{Aut}_M(\mathcal{M}) \text{ for some } M \models T \text{ such that } \mathbf{e} \in \text{dcl}(M)\}.$$

It can be easily seen that  $\text{Autf}_{\mathbf{e}}(\mathcal{M})$  is a normal subgroup of  $\text{Aut}_{\mathbf{e}}(\mathcal{M})$ .

- (3) The *Lascar group over of  $T$   $\mathbf{e}$*  is the quotient group

$$\text{Gal}_L(T, \mathbf{e}) = \text{Aut}_{\mathbf{e}}(\mathcal{M}) / \text{Autf}_{\mathbf{e}}(\mathcal{M}).$$

**Remark 7.**

- (1) Up to isomorphism,  $\text{Gal}_L(T, \mathbf{e})$  is independent of the choice of a monster model  $\mathcal{M}$ .
- (2) There are well-defined maps  $\mu$  and  $\nu$  such that:

$$\begin{aligned} \text{Aut}_{\mathbf{e}}(\mathcal{M}) &\xrightarrow{\mu} S_M(M) \xrightarrow{\nu} \text{Gal}_L(T, \mathbf{e}) \\ f &\mapsto \text{tp}(f(M)/M) \mapsto \bar{f} = \pi(f) \end{aligned}$$

where  $M$  is a small model of  $T$  such that  $\mathbf{e} \in \text{dcl}(M)$ , and  $\pi : \text{Aut}_{\mathbf{e}}(\mathcal{M}) \rightarrow \text{Gal}_L(T, \mathbf{e})$  is the canonical projection.

The topology of  $\text{Gal}_L(T, \mathbf{e})$  is given by the topology induced by the quotient map  $\nu$ , and it is independent of the choice of  $M$ .

**Fact 8.**

- (1)  $\text{Gal}_L(T, \mathbf{e})$  is a topological group.

- (2) Let  $H \leq \text{Aut}_e(\mathcal{M})$  and let  $H' = \pi(H) \leq \text{Gal}_L(T, \mathbf{e})$ . Then  $H'$  is closed in  $\text{Gal}_L(T, \mathbf{e})$  and  $H = \pi^{-1}(H')$ , if and only if  $H = \text{Aut}_{e'\mathbf{e}}(\mathcal{M})$  for some hyperimaginary  $e' \in \text{bdd}(\mathbf{e})$ .
- (3) Let  $H' \leq \text{Gal}_L(T, \mathbf{e})$  be closed and  $F$  be an  $\emptyset$ -type-definable equivalence relation. Then for  $H = \pi^{-1}(H')$ ,  $x_F \equiv_e^H y_F$  is equivalent to  $x_F \equiv_{e'\mathbf{e}} y_F$  for some hyperimaginary  $e' \in \text{bdd}(\mathbf{e})$ , and hence  $x_F \equiv_e^H y_F$  is an  $e'\mathbf{e}$ -invariant type-definable bounded equivalence relation. Especially, if  $H' \trianglelefteq \text{Gal}_L(T, \mathbf{e})$ , then  $x_F \equiv_e^H y_F$  is  $e$ -invariant.

**Definition 9.**

- (1)  $\text{Gal}_L^0(T, \mathbf{e})$  denotes the connected component of the identity in  $\text{Gal}_L(T, \mathbf{e})$ .
- (2)  $\text{Aut}_s(\mathcal{M}, \mathbf{e}) := \pi^{-1}(\text{Gal}_L^0(T, \mathbf{e}))$ .
- (3) Two hyperimaginaries  $b_F$  and  $c_F$  are said to have the same *Shelah-strong type* if there is  $f \in \text{Aut}_s(\mathcal{M}, \mathbf{e})$  such that  $f(b_F) = c_F$ , denoted by  $b_F \equiv_e^s c_F$ .

**Remark 10.** Note that  $\text{Gal}_L^0(T, \mathbf{e})$  is a normal closed subgroup of  $\text{Gal}_L(T, \mathbf{e})$  ([4]) and  $\equiv_e^s$  is the orbit equivalence relation  $\equiv_e^{\text{Aut}_s(\mathcal{M}, \mathbf{e})}$ , thus  $\equiv_e^s$  is type-definable over  $\mathbf{e}$  by Fact 8(3). We denote

$$\text{Gal}_s(T, \mathbf{e}) := \text{Gal}_L(T, \mathbf{e}) / \text{Gal}_L^0(T, \mathbf{e}) \cong \text{Aut}_e(\mathcal{M}) / \text{Aut}_s(\mathcal{M}, \mathbf{e}).$$

Thus  $\text{Gal}_s(T, \mathbf{e})$  is a profinite (i.e. compact and totally disconnected) topological group.  $\text{Gal}_L^0(T, \mathbf{e})$  is the intersection of all closed (normal) subgroups of finite indices in  $\text{Gal}_L(T, \mathbf{e})$ , since such an intersection is the identity for a profinite group ([4]).

**Proposition 11.**

- (1)  $\text{Aut}_s(\mathcal{M}, \mathbf{e}) = \text{Aut}_{\text{acl}(\mathbf{e})}(\mathcal{M})$ .
- (2) Let  $b_F, c_F$  be hyperimaginaries. The following are equivalent.
- $b_F \equiv_e^s c_F$ .
  - $b_F \equiv_{\text{acl}(\mathbf{e})} c_F$ .

*Proof.* (1). We claim first that

$$\text{Gal}_L^0(T, \mathbf{e}) = \bigcap \{ \pi(\text{Aut}_{d_L \mathbf{e}}(\mathcal{M})) : d_L \in \text{acl}(\mathbf{e}) \}.$$

Let  $d_L \in \text{acl}(\mathbf{e})$  where  $d_L$  is a hyperimaginary. Say  $d_L^0 (= d_L), \dots, d_L^n$  are all the conjugates of  $d_L$  over  $\mathbf{e}$ . Then any  $f \in \text{Aut}_e(\mathcal{M})$  permutes the set  $\{d_L^0, \dots, d_L^n\}$ . Hence it follows that  $\text{Aut}_{d_L \mathbf{e}}(\mathcal{M})$  has a finite index in  $\text{Aut}_e(\mathcal{M})$ . Thus (due to Fact 8(2))  $\pi(\text{Aut}_{d_L \mathbf{e}}(\mathcal{M}))$  is a closed subgroup of finite index in  $\text{Gal}_L(T, \mathbf{e})$ . Then as in Remark 10, we have  $\text{Gal}_L^0(T, \mathbf{e}) \leq \pi(\text{Aut}_{d_L \mathbf{e}}(\mathcal{M}))$ .

Conversely, given a normal closed subgroup  $H' \leq \text{Gal}_L(T, \mathbf{e})$  of finite index and  $H := \pi^{-1}(H')$ , Fact 8(2) says  $H' = \pi(\text{Aut}_{b_F \mathbf{e}}(\mathcal{M}))$  for some  $b_F \in \text{bdd}(\mathbf{e})$ . But since  $H'$  is of finite index, the same holds for  $H = \text{Aut}_{b_F \mathbf{e}}(\mathcal{M})$  in  $\text{Aut}_e(\mathcal{M})$ , and we must have  $b_F \in \text{acl}(\mathbf{e})$ . Thus the claim follows from Remark 10.

Therefore

$$\begin{aligned} \text{Aut}_s(\mathcal{M}, \mathbf{e}) &= \pi^{-1}(\text{Gal}_L^0(T, \mathbf{e})) = \pi^{-1}\left(\bigcap \{ \pi(\text{Aut}_{d_L \mathbf{e}}(\mathcal{M})) : d_L \in \text{acl}(\mathbf{e}) \}\right) \\ &= \bigcap \{ \text{Aut}_{d_L \mathbf{e}}(\mathcal{M}) : d_L \in \text{acl}(\mathbf{e}) \} = \text{Aut}_{\text{acl}(\mathbf{e})}(\mathcal{M}), \end{aligned}$$

where the last equality follows by Remark & Definition 5(3).

- (2) follows from (1). □

Recall that  $\text{acl}^{\text{eq}}(\mathbf{e}) := \{\mathbf{e}\} \cup (\text{acl}(\mathbf{e}) \cap \mathcal{M}^{\text{eq}})$  is the *eq-algebraic closure* of  $\mathbf{e}$ , where as usual  $\mathcal{M}^{\text{eq}}$  is the set of all imaginary elements (equivalence classes of  $\emptyset$ -definable equivalence relations) of  $\mathcal{M}$ . Good summary of basic facts concerning imaginary elements can be found in [1, Chapter 1]. The following remark is proved using the proof of [9, Theorem 21].

**Remark 12.** For any small set  $A$  of imaginaries,  $\text{acl}^{\text{eq}}(A)(= \text{acl}(A) \cap \mathcal{M}^{\text{eq}})$  is interdefinable with  $\text{acl}(A)$ .

*Proof.* Recall that  $\text{Gal}_{\mathbb{L}}^0(T, A)$  is the intersection of all closed (normal) subgroups of finite indices in  $\text{Gal}_{\mathbb{L}}(T, A)$  (Remark 10). Let  $H'$  be a closed subgroup of finite index in  $\text{Gal}_{\mathbb{L}}(T, A)$ . It suffices to show that  $H' = \pi(\text{Aut}_{\mathbf{b}A}(\mathcal{M}))$  for some  $\mathbf{b} \in \text{acl}^{\text{eq}}(A)$ ; by Fact 8(2), we have

$$\begin{aligned} \text{Gal}_{\mathbb{L}}^0(T, \mathbf{e}) &= \bigcap \{H' : H' \text{ is a closed subgroup of finite index in } \text{Gal}_{\mathbb{L}}(T, A)\} \\ &\subseteq \bigcap \{\pi(\text{Aut}_{d_L A}(\mathcal{M})) : d_L \in \text{acl}^{\text{eq}}(A)\}; \end{aligned}$$

thus if we show that  $H' = \pi(\text{Aut}_{\mathbf{b}A}(\mathcal{M}))$  for some  $\mathbf{b} \in \text{acl}^{\text{eq}}(A)$ , then  $\text{Gal}_{\mathbb{L}}^0(T, A) = \bigcap \{\pi(\text{Aut}_{d_L A}(\mathcal{M})) : d_L \in \text{acl}^{\text{eq}}(A)\}$ . Taking  $\pi^{-1}$ , we get  $\text{Aut}_{\text{acl}(A)}(\mathcal{M}) = \text{Aut}_{\text{acl}^{\text{eq}}(A)}(\mathcal{M})$  (by a similar manner as in the last lines of the proof of Proposition 11(1)).

Since  $H$  is closed in  $\text{Gal}_{\mathbb{L}}(T, A)$ , by Fact 8(3),  $H = \pi(\text{Aut}_{c_F A}(\mathcal{M}))$  for some hyperimaginary  $c_F \in \text{bdd}(A)$ . But  $H$  has finite index in  $\text{Gal}_{\mathbb{L}}(T, A)$ , hence (by Fact 8(2),)  $c_F \in \text{acl}(A)$ . Say  $\{c_F = c_0/F, \dots, c_{n-1}/F\}$  is the set of all  $A$ -conjugates of  $c_F$ .

We may assume that  $F$  is closed under conjunction and all formulas in  $F$  are symmetric and reflexive. Note that by compactness, there is  $\delta \in F$  such that for all  $i < j < n$ ,

$$c_i c_j \not\models \exists z_0 z_1 z_2 (\delta(x, z_0) \wedge \delta(z_0, z_1) \wedge \delta(z_1, z_2) \wedge \delta(z_2, y)).$$

Let  $\delta^4(x, y) \equiv \exists z_0 z_1 z_2 (\delta(x, z_0) \wedge \delta(z_0, z_1) \wedge \delta(z_1, z_2) \wedge \delta(z_2, y))$ , and define  $\delta^m(x, y)$  similarly for  $m < \omega$ . Note that in particular,  $\delta(c_i, \mathcal{M})$ 's are pairwise disjoint.

Let  $d$  be any realization of  $\text{tp}(c_0/A)$ . Then  $d \models \bigvee_{i < n} F(x, c_i)$ , thus  $d \models \bigvee_{i < n} \delta(x, c_i)$ , implying that there is  $\varphi(x) \in \text{tp}(c_0/A)$  such that  $\varphi(x) \models \bigvee_{i < n} \delta(x, c_i)$ , that is,  $\varphi(\mathcal{M})$  can be partitioned as  $\{\varphi(\mathcal{M}) \cap \delta(c_i, \mathcal{M}) : i < n\}$ . Note that we can say  $\varphi(x)$  is  $A$ -invariant; this is possible because  $A$  is a set of imaginaries, not a hyperimaginary.

**Claim 1.** For any  $a', a'' \models \varphi(x)$ ,

$$a' a'' \models \delta^2(x, y) \text{ if and only if } a', a'' \in \varphi(\mathcal{M}) \cap \delta(c_i, \mathcal{M}) \text{ for some } i < n.$$

*Proof.* Assume  $\models \delta^2(a', a'')$ , hence there is some  $a^*$  such that  $\models \delta(a', a^*) \wedge \delta(a^*, a'')$ . Suppose  $a'$  and  $a''$  belong to different components for a contradiction. Then

$$\models \delta(c_i, a') \wedge \delta(a', a^*) \wedge \delta(a^*, a'') \wedge \delta(a'', c_j)$$

for some  $i \neq j < n$ , implying  $c_i c_j \models \delta^4(x, y)$ , a contradiction.

For the converse, suppose  $a', a'' \in \varphi(\mathcal{M}) \cap \delta(c_i, \mathcal{M})$  for some  $i < n$ . Then  $\models \delta(a', c_i) \wedge \delta(c_i, a'')$ .  $\square$

Now define

$$L(x, y) \equiv (\neg\varphi(x) \wedge \neg\varphi(y)) \vee (\varphi(x) \wedge \varphi(y) \wedge \delta^2(x, y)).$$

Since  $\varphi(x)$  is  $A$ -invariant,  $L$  is an  $A$ -definable equivalence relation with finitely many classes,  $\neg\varphi(\mathcal{M}), \varphi(\mathcal{M}) \cap \delta(c_0, \mathcal{M}), \dots, \varphi(\mathcal{M}) \cap \delta(c_{n-1}, \mathcal{M})$ . Note that some imaginary  $\mathbf{b}(\in \text{acl}(A))$  is interdefinable with  $c/L$  ([1, Lemma 1.10]).



**Claim 2.**  $c/F$  and  $\mathbf{b}$  (or equivalently,  $c/L$ ) are interdefinable over  $A$ .

*Proof.* Let  $f \in \text{Aut}_A(\mathcal{M})$ . Then

$$\begin{aligned} f(c/F) = c/F \text{ iff } F(f(c), c) \text{ holds iff } \models \delta^2(f(c), c) \\ \text{iff } L(f(c), c) \text{ holds iff } f(c/L) = c/L, \end{aligned}$$

where the second logical equivalence follows since: Otherwise,  $\models \delta^2(f(c), c)$  but  $F(c_i, f(c))$  and  $F(c, c_j)$  hold for some  $i \neq j < n$ . But then we have  $\models \delta^4(c_i, c_j)$ , a contradiction.  $\square$

By Claim 2,  $H = \pi(\text{Aut}_{cFA}(\mathcal{M})) = \pi(\text{Aut}_{\mathbf{b}A}(\mathcal{M}))$  where  $\mathbf{b} \in \text{acl}^{\text{eq}}(A)$ .  $\square$

However, contrary to [5, Corollary 5.1.15], in general  $\text{acl}(\mathbf{e})$  and  $\text{acl}^{\text{eq}}(\mathbf{e})$  *need not* be interdefinable; the error occurred there due to the incorrect proof of [5, 5.1.14(1)  $\Rightarrow$  (2)]. An example presented in [3] for another purpose supplies a counterexample. Consider the following 2-sorted model:

$M = ((M_1, S_1, \{g_{1/n}^1 : n \geq 1\}), (M_2, S_2, \{g_{1/n}^2 : n \geq 1\}), \delta)$  where

- (1)  $M_1$  and  $M_2$  are unit circles centered at origins of two disjoint (real) planes.
- (2)  $S_i$  is a ternary relation on  $M_i$ , defined by  $S_i(b, c, d)$  holds if and only if  $b, c$  and  $d$  are in clockwise-order.
- (3)  $g_{1/n}^i$  is a unary function on  $M_i$  such that  $g_{1/n}^i(b) = \text{rotation of } b \text{ by } 2\pi/n\text{-radians clockwise.}$
- (4)  $\delta : M_1 \rightarrow M_2$  is the double covering, i.e.  $\delta(\cos t, \sin t) = (\cos 2t, \sin 2t)$ .
- (5) Let  $\mathcal{M}$  be a monster model of  $\text{Th}(M)$  and  $\mathcal{M}_1, \mathcal{M}_2$  be the two sorts of  $\mathcal{M}$ .

In [2, Theorems 5.8 and 5.9], it is shown that each  $\text{Th}(\mathcal{M}_i)$  has weak elimination of imaginaries (that is, for any imaginary element  $c$ , there is a finite real tuple  $b$  such that  $c \in \text{dcl}(b)$  and  $b \in \text{acl}(c)$ ), using the B. Poizat's notion of weak elimination of imaginaries ([7, Chapter 16.5]). The following fact is a folklore, whose explicit proof was observed in RIMS model theory workshop by I. Yoneda ([8]).

**Fact 13.** *A (complete) theory  $T$  has weak elimination of imaginaries if and only if every definable set has a smallest algebraically closed set over which it is definable.*

**Remark & Definition 14.**

- (1) For each element  $b$  of sort  $i = 1, 2$ ,  $g_r^i(b)$  means  $(g_{1/n}^i)^m(b)$  where  $r$  is a rational number  $m/n$ .
- (2) For each element  $b$  of sort 2,  $\delta^{-1}(b) = \{c_0, c_1\}$ , the  $\delta$ -preimage of  $b$ .
- (3) For a set of elements  $B = B_1 \cup B_2$  of  $\mathcal{M}$  where each element of  $B_i$  is of sort  $i$ ,

$$\begin{aligned} \text{cl}(B) = \{g_r^1(b) : r \in \mathbb{Q}, b \in B_1\} \cup \{\delta(g_r^1(b)) : r \in \mathbb{Q}, b \in B_1\} \\ \cup \{g_r^2(b) : r \in \mathbb{Q}, b \in B_2\} \cup \bigcup_{r \in \mathbb{Q}, b \in B_2} \delta^{-1}(g_r^2(b)). \end{aligned}$$

- (4) Note that in the above item, the substructure generated by  $B$  is formed by omitting the last union:  $\bigcup_{r \in \mathbb{Q}, b \in B_2} \delta^{-1}(g_r^2(b))$ .

**Lemma 15.** *Let  $B = \{b_0, \dots, b_{n-1}\}$  be a subset of  $\mathcal{M}$ . Then*

$$\text{acl}(B) = \text{cl}(B).$$

*Proof.* Say  $B = \{b_0, \dots, b_{m-1}, b_m, \dots, b_{n-1}\}$  where  $b_0, \dots, b_{m-1}$  are of sort 1 and the others are of 2. Choose any element  $b$  of sort 1. If

$$b \notin \{g_r^1(b_i) : r \in \mathbb{Q}, i < m\} \cup \bigcup_{r \in \mathbb{Q}, m \leq i < n} \delta^{-1}(g_r^2(b_i)),$$

then  $b \notin \text{acl}(B)$  since there are infinitely many elements which are infinitesimally close to  $b$  and there is an  $B$ -automorphism mapping  $b$  to each such element.

Likewise, for an element  $b$  of sort 2, if

$$b \notin \{g_r^2(\delta(b_i)) : r \in \mathbb{Q}, i < m\} \cup \{g_r^2(b_i) : r \in \mathbb{Q}, m \leq i < n\},$$

then  $b \notin \text{acl}(B)$ . Thus  $\text{acl}(B) \subseteq \text{cl}(B)$ .

For the converse, it is easy to observe that

$$\begin{aligned} & \{g_r^1(b_i) : r \in \mathbb{Q}, i < m\} \cup \{g_r^2(\delta(b_i)) : r \in \mathbb{Q}, i < m\} \\ & \cup \{g_r^2(\delta(b_i)) : r \in \mathbb{Q}, m \leq i < n\} \subseteq \text{dcl}(B) \text{ and} \end{aligned}$$

$$\bigcup_{r \in \mathbb{Q}, m \leq i < n} \delta^{-1}(g_r^2(b_i)) \subseteq \text{acl}(B)$$

since each  $b \in \bigcup_{r \in \mathbb{Q}, m \leq i < n} \delta^{-1}(g_r^2(b_i))$  has at most two  $B$ -automorphic images (has only one  $B$ -automorphic image if  $m \neq 0$ ).  $\square$

**Proposition 16.**  $\text{Th}(\mathcal{M})$  has weak elimination of imaginaries.

*Proof.* Let  $\varphi(x, y_0, \dots, y_{n-1}) \in \mathcal{L}$  and  $B = \{b_0, \dots, b_{n-1}\} = \{b_0, \dots, b_{m-1}\} \cup \{b_m, \dots, b_{n-1}\}$  where  $b_0, \dots, b_{m-1}$  are of sort 1 and the others are of 2. According to Fact 13, it suffices to show that there is a smallest algebraically closed set over which  $\varphi(\mathcal{M}, B) \equiv \varphi(\mathcal{M}, b_0, \dots, b_{n-1})$  is definable.

Since there is some  $c_i$  such that  $\delta(c_i) = b_i$  for each  $i \in \{m, \dots, n-1\}$ , we may assume that every element of  $B$  is of sort 1. Choose  $D = \{d_0, \dots, d_{k-1}\} \subseteq B$  such that  $\{g_r^1(d_i) : r \in \mathbb{Q}, i < k\} = \{g_r^1(b_i) : r \in \mathbb{Q}, i < n\}$  and  $d_i \notin \text{cl}(D) \setminus \{d_i\}$  for each  $i < k$ . Then  $\varphi(\mathcal{M}, B)$  is definable over  $D$  and there is some minimal subset  $D'$  of  $D$  such that  $\varphi(\mathcal{M}, B)$  is definable over  $\text{acl}(D')$  by Lemma 15.  $\square$

Now for  $i = 1, 2$ , we let  $E_i(x, y)$  if and only if  $x$  and  $y$  in  $\mathcal{M}_i$  are infinitesimally close, i.e.

$$E_i(x, y) := \bigwedge_{1 < n} (S_i(x, y, g_{1/n}^i(x)) \vee S_i(y, x, g_{1/n}^i(y))),$$

which is an  $\emptyset$ -type-definable equivalence relation. Let  $b \in \mathcal{M}_2$ ,  $c, c' \in \mathcal{M}_1$  where  $\delta(c) = \delta(c') = b$ . Note that  $c, c'$  are antipodal to each other and  $c/E_1, c'/E_1$  are conjugates over  $b/E_2$ , hence  $c/E_1, c'/E_1 \in \text{acl}(b/E_2)$ .

**Theorem 17.**  $\text{acl}(b/E_2)$  and  $\text{acl}^{\text{eq}}(b/E_2)$  are not interdefinable.

*Proof.* We prove following Claim and then conclude.

**Claim.**  $\text{acl}^{\text{eq}}(b/E_2)$  is interdefinable with  $b/E_2$ .

*Proof.* To lead a contradiction, suppose that there are distinct imaginaries  $d_1, d_2 \in \text{acl}^{\text{eq}}(b/E_2)$  such that  $d_1 \equiv_{b/E_2} d_2$ . Weak elimination of imaginaries of  $\text{Th}(\mathcal{M})$  (Proposition 16) implies that  $\text{acl}^{\text{eq}}(d_1, d_2)$  and  $D := \{d \in \mathcal{M} : d \in \text{acl}^{\text{eq}}(d_1, d_2)\}$  are interdefinable (\*). In particular,  $D \subseteq \text{acl}^{\text{eq}}(b/E_2) \cap \mathcal{M}$ . However, for any infinitesimally close  $d, d' \in \mathcal{M}_i$  ( $i = 1, 2$ ), there is  $f \in \text{Aut}_{b/E_2}(\mathcal{M})$  sending  $d$  to  $d'$ . Hence indeed  $D = \emptyset$ , which contradicts (\*) (because  $d_1 \equiv_{b/E_2} d_2$  and  $d_1 \neq d_2 \in \text{acl}^{\text{eq}}(d_1, d_2)$ ).  $\square$

Now  $c/E_1, c'/E_1 \in \text{acl}(b/E_2) \setminus \text{dcl}(b/E_2) = \text{acl}(b/E_2) \setminus \text{dcl}(\text{acl}^{\text{eq}}(b/E_2))$ .

□

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