

# RECENT PROGRESSES ON GENUS ONE EXTENSIONS OF MIXED TATE MOTIVES OVER $\mathbf{Z}$

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ABSTRACT. In this survey article, we give an overview of recent progress of construction of genus one extension of the category of mixed Tate motives over  $\mathbf{Z}$  by Brown [6] and Hain-Matsumoto [18].

## 1. Introduction

The construction of (sub)categories of mixed motives satisfying the following conditions is one of important open problems in Arithmetic geometry: (i) This is a  $\mathbf{Q}$ -linear Tannakian category and a universal cohomology theory of varieties over  $\mathbf{Q}$ . (ii) The extension classes of simple objects can be computed by algebraic cycles. (iii) Its Tannakian fundamental group can compute explicitly.

The category of mixed Tate motives over a number field is one of the few subcategories of mixed motives that satisfy all of the above conditions. As an application of the existence of such a category, Goncharov and Terasoma proved independently that Zagier's conjectural dimension of the space of multiple zeta values gives an upper bound ([8], [29]). It is natural, therefore, to ask about possible extensions of this category.

**Problem 1.1.** Let  $\text{MTM}(\mathbf{Z})$  be the category of mixed Tate motives over  $\mathbf{Z}$ . Find a nice extension of  $\text{MTM}(\mathbf{Z})$ .

The aim of this article is to give an overview of a recent attempts to construct of a nice category of mixed motives containing  $\text{MTM}(\mathbf{Z})$  by Francis Brown ([6]), Richard Hain and Makoto Matsumoto ([18]).

**Notation.** For a field  $k$ ,  $\text{Vec}_k^{\text{fin}}$  denotes the category of finite dimensional  $k$ -vector spaces. For an abstract group  $\Gamma$  (resp. a pro-algebraic group  $\mathcal{G}$  over  $k$ ),  $\text{Rep}_k(\Gamma)$  (resp.  $\text{Rep}_k(\mathcal{G})$ ) denotes the category of representation of  $\pi$  (resp. algebraic representations of  $\mathcal{G}$ ) on finite dimensional  $k$ -vector spaces.

## 2. Relative pro-unipotent completion

Our basic tool to construct subcategories of mixed motives is the relative pro-unipotent completion of a topological fundamental group. We recall this notion briefly.

Let  $k$  be a field of characteristic zero and let  $S$  be a reductive algebraic group over  $k$ . Let  $\pi$  be an abstract group and let

$$\rho_0: \pi \rightarrow S(k)$$

be a group homomorphism whose image is Zariski dense. A *relative unipotent lift* of  $\rho_0$  is a tuple  $(G, \text{pr}, \rho_G)$  where:

- $G$  is an algebraic group over  $k$ .

- $\text{pr}: G \rightarrow S$  is a surjective homomorphism whose kernel is unipotent.
- $\rho_G: \pi \rightarrow G(k)$  is a group homomorphism such that the composition  $\text{pr} \circ \rho_G$  is equal to  $\rho_0$  and that the image of  $\rho_G$  is Zariski dense.

DEFINITION 2.1. The *relative pro-unipotent completion* of  $\pi$  with respect to  $\rho_0$  is a pro-algebraic group over  $k$  defined to be

$$\varprojlim_{\rho_G: \pi \rightarrow G(k)} G.$$

Here,  $\rho_G$  runs over relative unipotent lifts of  $\rho_0$ . This pro-algebraic group is denoted by  $\pi(\rho_0)$  in this article. When  $S = \text{Spec}(k)$  and  $\rho_0$  is the trivial representation, the relative pro-unipotent completion with respect to  $\rho_0$  is called the *pro-unipotent completion of  $\pi$*  and this group is denoted by  $\pi^{\text{un}}/k$  or  $\pi^{\text{un}}$  simply.

EXAMPLE 2.2. Let  $\pi$  be a finitely generated group. Then, the ring  $\mathcal{O}(\pi^{\text{un}}/k)$  of regular functions on  $\pi^{\text{un}}/k$  has the following explicit description ([12, Proposition 3.222]):

$$\mathcal{O}(\pi^{\text{un}}/k) \cong \varinjlim_{n \geq 0} \text{Hom}_{\mathbf{Z}}(\mathbf{Z}[\pi]/I^{n+1}, k).$$

Here,  $\mathbf{Z}[\pi]$  is the group ring of  $\pi$  and  $I$  denotes its augmentation ideal. The existence of the natural isomorphism above follows from Proposition 2.4 below. This isomorphism is not only an isomorphism of  $k$ -vector spaces but also of commutative Hopf  $k$ -algebras. Here, the multiplication (resp. coproduct) of the right-hand side is the induced map by the diagonal map  $\pi \rightarrow \pi \times \pi$  (resp. the multiplication  $\pi \times \pi \rightarrow \pi$ ). In particular, if  $\pi$  is a free group of rank  $r$ , then  $\mathcal{O}(\pi^{\text{un}})$  is naturally isomorphic to a non-commutative polynomial ring in  $r$ -variables with the shuffle product and the concatenation coproduct.

By definition, we have the canonical representation

$$\rho_{\text{univ}}: \pi \rightarrow \pi(\rho_0)(k),$$

which has a universal property for relative (pro-)unipotent lifts of  $\rho_0$ . Then, we have the induced natural functor between  $k$ -linear Tannakian categories

$$(2.1) \quad \text{Rep}_k(\pi(\rho_0)) \rightarrow \text{Rep}_k(\pi)$$

by  $\rho^{\text{univ}}$ , which is fully-faithful by the Zariski density of the image of  $\rho_{\text{univ}}$ . An object  $V$  of  $\text{Rep}_k(\pi)$  is said to be *relatively unipotent with respect to  $\rho_0$*  if its Jordan-Hölder component extends to an algebraic representation of  $S$  via  $\rho_0$ .

EXAMPLE 2.3. When  $\rho_0$  is the trivial character, a relatively unipotent representation of  $\pi$  is nothing but a unipotent representation of  $\pi$  in the usual sense.

PROPOSITION 2.4. *The essential image of (2.1) coincides with the full-subcategory of  $\text{Rep}_k(\pi)$  consisting of relatively unipotent representations with respect to  $\rho_0$ . In other words,  $\pi(\rho_0)$  is canonically isomorphic to the Tannakian fundamental group of the category of relatively unipotent representations over  $k$  of  $\pi$  with respect to  $\rho_0$ .*

*Proof.* It is sufficient to show the essential surjectivity of the functor (2.1). Let  $(V, \rho)$  be a relatively unipotent representation of  $\pi$  on a finite dimensional  $k$ -vector space  $V$ . Let  $G$  be the Zariski closure of  $\rho(\pi)$  in  $\underline{\text{Aut}}(V) \cong \text{GL}_{N,k}$ . As usual, we equip  $G$  with the reduced scheme structure. Then, it is easily checked that  $G$  forms a closed subgroup of  $\underline{\text{Aut}}(V)$ . Moreover, there is a unique isomorphism  $G/G^{\text{un}} \xrightarrow{\sim} S$  compatible with representations of

$\pi$ , where  $G^{\text{un}}$  is the unipotent radical of  $G$ . Therefore, by the definition of  $\pi(\rho_0)$ , there is a natural homomorphism  $\text{pr}: \pi(\rho_0) \rightarrow G$  and  $\rho \circ \text{pr}$  coincides with  $\rho_{\text{univ}}$ . This implies the essential surjectivity of (2.1).  $\square$

By definition, there exists a short exact sequence of pro-algebraic groups

$$1 \rightarrow \pi(\rho_0)^{\text{un}} \rightarrow \pi(\rho_0) \rightarrow S \rightarrow 1,$$

where  $\pi(\rho_0)^{\text{un}}$  is the pro-unipotent radical of  $\pi(\rho_0)$ . For a pro-algebraic group  $\mathcal{G}$  over  $k$  and a finite dimensional algebraic representation  $V$ ,  $H^i(\mathcal{G}, V)$  is defined by

$$H^i(\mathcal{G}, V) = \text{Ext}_{\text{Rep}_k(\mathcal{G})}^i(k, V),$$

where  $k$  is the trivial representation of  $\mathcal{G}$ , and  $H^i(\mathcal{G})$  is defined to be  $H^i(\mathcal{G}, k)$ . To compute topological generators and relations of the Lie algebra of  $\pi(\rho_0)^{\text{un}}$ , the following proposition is useful:

**PROPOSITION 2.5** ([17, Lemma 5.1]). *Let  $G = S \times U$  be a pro-algebraic group over  $k$  with reductive  $S$  and pro-unipotent  $U$ . Then, for any  $i$ , we have a natural isomorphism*

$$H^i(U) \cong \bigoplus_{\lambda \in \Lambda} \text{Ext}_{\text{Rep}_k(G)}^i(k, V_\lambda) \otimes_k V_\lambda^\vee$$

of  $S$ -modules. Here,  $\Lambda$  is the set of isomorphism classes of irreducible representations of  $S$  and  $V_\lambda$  is a corresponding irreducible representation to  $\lambda$ .

**EXAMPLE 2.6.** Let  $\pi$  be a free group of rank  $r$  and let  $\pi^{\text{un}}$  denote the pro-unipotent completion of  $\pi$  over  $k$ . Since  $\pi^{\text{un}}$  is pro-unipotent, this group can be reconstructed by its Lie algebra. Hence, to determine the isomorphism class of  $\pi^{\text{un}}$ , it suffices to compute the topological generators and primitive relations of  $\text{Lie}(\pi^{\text{un}})$ . Recall that  $\text{Lie}(\pi^{\text{un}})$  is topologically generated by a topological basis of  $H_1(\text{Lie}(\pi^{\text{un}}))$  and the set of primitive relations is given by  $H_2(\text{Lie}(\pi^{\text{un}}))$  (cf. [18, Section 18]). According to Proposition 2.5 and [18, Proposition 10.1], we have

$$H_{\text{cts}}^i(\text{Lie}(\pi^{\text{un}})) \cong H^i(\pi^{\text{un}}) \cong \begin{cases} k & i = 0, \\ \text{Hom}_{\text{Grp}}(\pi, k) & i = 1, \\ 0 & i = 2. \end{cases}$$

Here,  $H_{\text{cts}}^i(\text{Lie}(\pi^{\text{un}}))$  is the continuous cohomology group of the topological Lie algebra  $\text{Lie}(\pi^{\text{un}})$  ([17, Subsection 5.1]). Since  $\text{Hom}_{\text{Grp}}(\pi, k)$  is a  $k$ -vector space of rank  $r$ , we conclude that  $\text{Lie}(\pi^{\text{un}})$  is isomorphic to the topological Lie algebra

$$\varprojlim_n \text{Lie}_k(x_1, \dots, x_r) / \Gamma^n \text{Lie}_k(x_1, \dots, x_r).$$

Here,  $\text{Lie}_k(x_1, \dots, x_r)$  is the free Lie algebra over  $k$  of rank  $r$  and  $\Gamma^n \text{Lie}_k(x_1, \dots, x_r)$  is the central descending series defined by

$$\begin{aligned} \Gamma^1 \text{Lie}_k(x_1, \dots, x_r) &= \text{Lie}_k(x_1, \dots, x_r), \\ \Gamma^{i+1} \text{Lie}_k(x_1, \dots, x_r) &= [\text{Lie}_k(x_1, \dots, x_r), \Gamma^i \text{Lie}_k(x_1, \dots, x_r)]. \end{aligned}$$

### 3. Mixed Tate motives over $\mathbf{Z}$

In this section, we recall basic facts about the category  $\mathbf{MTM}(\mathbf{Z})$  of mixed Tate motives over  $\mathbf{Z}$ . Then, we recall Brown's fundamental theorem which is the basis for the idea of extending  $\mathbf{MTM}(\mathbf{Z})$  to genus one world.

It is not the aim to state precise construction of this category. However, for the reader's convenience, we give a rough recipe of the construction of  $\mathbf{MTM}(\mathbf{Z})$  with references.

- (Step1) Construct the category  $\mathbf{DMM}_{\text{gm}}(\mathbf{Q})$  of Voevodsky's derived category of mixed motives over  $\mathbf{Q}$  (cf. [30], [24], [2]).
- (Step2) Define the full triangulated subcategory  $\mathbf{DMTM}(\mathbf{Q})$  of  $\mathbf{DMM}_{\text{gm}}(\mathbf{Q})$  to be the smallest triangulated subcategory stable under extensions and containing  $\mathbf{Q}(n)$ .
- (Step3) Show that there exists a natural truncated structure on  $\mathbf{DMTM}(\mathbf{Q})$  by using Borel's computation ([4, Proposition 12.2]) of higher K-group of  $\mathbf{Q}$  (see [22]).
- (Step4) Define  $\mathbf{MTM}(\mathbf{Q})$  to be the heart in the sense of Beilinson-Bernstein-Deligne ([3, Définition 1.3.1]) of  $\mathbf{DMTM}(\mathbf{Q})$  with respect to the natural truncated structure.
- (Step5) Define  $\mathbf{MTM}(\mathbf{Z})$  to be the full-subcategory of  $\mathbf{MTM}(\mathbf{Q})$  consisting of objects which are "unramified everywhere" (see [8, 1.7]).

Note that, by construction,  $\mathbf{MTM}(\mathbf{Z})$  and  $\mathbf{MTM}(\mathbf{Q})$  are  $\mathbf{Q}$ -linear abelian categories with a natural  $\otimes$ -structure. Moreover, it is known that they are Tannakian. For a smooth variety  $X$  over  $\mathbf{Q}$  with a stratification  $X \supset X_1 \supset \cdots \supset X_0 = \emptyset$  such that  $X_i \setminus X_{i+1} = \coprod \mathbf{A}^i$ , an object  $h^n(X)(r)$  of  $\mathbf{MTM}(\mathbf{Q})$  is defined for any  $n, r \in \mathbf{Z}$ . We call such an  $X$  a variety of mixed Tate type in this article.

**3.1. Properties  $\mathbf{MTM}(\mathbf{Z})$ .** We recall basic properties of  $\mathbf{MTM}(\mathbf{Z})$  and  $\mathbf{MTM}(\mathbf{Q})$ . Let  $\mathcal{R}_{\mathbf{Q}}^H$  be the category of the Hodge components of system of realizations over  $\mathbf{Q}$  ([7, 1.4], [8, 2.13]). An object of  $\mathcal{R}_{\mathbf{Q}}^H$  consists of tuple  $H = (H_{\mathbf{B}}, H_{\text{dR}}, \text{comp}_{\text{dR}, \mathbf{B}})$  where:

- $H_{\mathbf{B}}$  is an object of  $\text{Vec}_{\mathbf{Q}}^{\text{fin}}$  with an increasing filtration  $W_{\bullet} H_{\mathbf{B}}$  and an  $\mathbf{Q}$ -linear endomorphism  $F_{\infty}$  such that  $F_{\infty}^2 = \text{id}$ .
- $H_{\text{dR}}$  is an object of  $\text{Vec}_{\mathbf{Q}}^{\text{fin}}$  with an increasing filtration  $W_{\bullet} H_{\text{dR}}$  and a decreasing filtration  $F^{\bullet} H_{\text{dR}}$ .
- $\text{comp}_{\text{dR}, \mathbf{B}}$  is an isomorphism of underlying  $\mathbf{C}$ -vector spaces

$$\text{comp}_{\text{dR}, \mathbf{B}}: H_{\mathbf{B}} \otimes_{\mathbf{Q}} \mathbf{C} \xrightarrow{\sim} H_{\text{dR}} \otimes_{\mathbf{Q}} \mathbf{C},$$

which preserves the filtrations  $W_{\bullet}$  on the both-hand sides.

They satisfies the following conditions:

- A bi-filtered module  $(H_{\mathbf{B}}, W_{\bullet} H_{\mathbf{B}}, \text{comp}_{\text{dR}, \mathbf{B}}^{-1}(F^{\bullet} H_{\text{dR}} \otimes_{\mathbf{Q}} \mathbf{C}))$  is a  $\mathbf{Q}$ -mixed Hodge structure.
- Under the comparison isomorphism, we have  $c_{\text{dR}} = c_{\mathbf{B}} F_{\infty}$ , where  $c_{\ast}$  is the complex conjugation with respect to the  $\mathbf{R}$ -structure  $H_{\ast} \otimes_{\mathbf{Q}} \mathbf{R}$ .

**EXAMPLE 3.1.** Let  $X$  be a smooth variety over  $\mathbf{Q}$ . Then,

$$H_{\mathbf{B}} := H^n(X(\mathbf{C}), \mathbf{Q}(i)), \quad H_{\text{dR}} := H_{\text{dR}}^n(X/\mathbf{Q})(i)$$

forms a part of an object of  $\mathcal{R}_{\mathbf{Q}}^H$  ([28, Theorem 4.2], [19, Proposition 3.1.16]). The symbol  $H^n(X)(i)$  denotes the corresponding object of  $\mathcal{R}_{\mathbf{Q}}^H$ .

Let  $\omega_{\ast}: \mathcal{R}_{\mathbf{Q}}^H \rightarrow \text{Vec}_{\mathbf{Q}}^{\text{fin}}$  be the functor defined by  $\omega_{\ast}(H) = H_{\ast}$ . Similar to the usual mixed Hodge structure,  $\mathcal{R}_{\mathbf{Q}}^H$  is a  $\mathbf{Q}$ -linear Tannakian category and  $\omega_{\ast}$  is a fiber functor.

Let  $C$  be a smooth algebraic curve over  $\mathbf{Q}$ . The, the symbol  $\mathcal{R}_C^H$  denotes the category of the Hodge components of system of realizations over  $C$ . For the precise definition, see [7, 1.21]. Roughly speaking, an object of  $\mathcal{R}_C^H$  consists of a tuple  $\mathcal{F} = (\mathcal{F}_B, \mathcal{F}_{\text{dR}}, \text{comp}_{\text{dR},B})$  where:

- $\mathcal{F}_B$  is a  $\mathbf{Q}$ -local system over  $C(\mathbf{C})$  with an increasing filtration  $W_\bullet \mathcal{F}_B$ , which is functorial in the algebraic closure  $\mathbf{C}$  of  $\mathbf{R}$ .
- $\mathcal{F}_{\text{dR}} = (\mathcal{F}_{\text{dR}}, \nabla)$  is a flat connection over  $C$  regular at infinity with two filtrations  $F^\bullet \mathcal{F}_{\text{dR}}$  and  $W_\bullet \mathcal{F}_{\text{dR}}$ .
- $\text{comp}_{\text{dR},B}$  is an isomorphism

$$\mathcal{F}_B \otimes_{\mathbf{Q}} \mathbf{C} \xrightarrow{\sim} (\mathcal{F}_{\text{dR},\mathbf{C}})^{\nabla=0}$$

of  $\mathbf{C}$ -local systems such that  $(\mathcal{F}_B, W_\bullet, \text{comp}_{\text{dR},B}^* F^\bullet)$  forms an admissible variation of mixed Hodge structures ([28, Definition 14.49]) and that functorial in  $\mathbf{C}$ .

The basic properties of  $\text{MTM}(\mathbf{Z})$  is as follows:

**THEOREM 3.2.** *There exists a functor*

$$R_{\mathcal{H}}: \text{MTM}(\mathbf{Q}) \rightarrow \mathcal{R}_{\mathbf{Q}}^H,$$

which is called the Hodge realization functor satisfying the following conditions:

- (1) *This functor is faithful exact  $\otimes$ -functor ([8, 2.9, 2.11]). Let  $\omega_*: \text{MTM}(\mathbf{Q}) \rightarrow \text{Vec}_{\mathbf{Q}}^{\text{fin}}$  denote the composition of  $R_{\mathcal{H}}$  with  $\omega_*$  by abuse of notation<sup>1</sup>.*
- (2) *For a variety  $X$  over  $\mathbf{Q}$  of mixed Tate type, we have a natural isomorphism*

$$R_{\mathcal{H}}(h^n(X)(i)) \cong H^n(X)(i).$$

- (3) *(Structure of Tannakian  $\pi_1$ ) We have a natural isomorphism of pro-algebraic groups*

$$\pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{dR}}) = \mathbf{G}_m \times U_{\text{MTM}}^{\text{dR}}$$

over  $\mathbf{Q}$ , where  $U_{\text{MTM}}^{\text{dR}}$  is the pro-unipotent radical of  $\pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{dR}})$ . Let  $\text{Lie}(U_{\text{MTM}}^{\text{dR}})_l$  be the subspace of  $\text{Lie}(U_{\text{MTM}}^{\text{dR}})$  on which  $\mathbf{G}_m$  acts via the  $l$ th power of the standard character. Then, we have a natural isomorphism

$$\text{GrLie}(U_{\text{MTM}}^{\text{dR}}) := \bigoplus_{l \in \mathbf{Z}} \text{Lie}(U_{\text{MTM}}^{\text{dR}})_l \cong \text{Lie}(\sigma_3, \sigma_5, \sigma_7, \sigma_9, \dots)$$

([8, 2.4]). Here, the right-hand side is the free graded Lie algebra over  $\mathbf{Q}$  generated by homogeneous elements  $\sigma_{2k+1}$  with  $\deg(\sigma_{2k+1}) = 2k + 1$ .

- (4) *The Hodge realization functor  $R_{\mathcal{H}}$  is fully-faithful and its essential image is closed under subobjects ([8, Proposition 2.14]).*

**3.2. Brown's structure theorem.** For a pair  $(g, n)$  of non-negative integers, let  $\mathcal{M}_{g,n}$  be the moduli stack of  $n$ -marked genus  $g$  curves over  $\mathbf{Z}$  ([9], [21]).

**EXAMPLE 3.3.** The stack  $\mathcal{M}_{0,4}$  is a smooth scheme over  $\mathbf{Z}$ . Explicitly, we have a natural identification

$$\mathcal{M}_{0,4} = \mathbf{P}^1 \setminus \{0, 1, \infty\}.$$

More generally, when  $g = 0, n \geq 3$ ,  $\mathcal{M}_{0,n}$  is isomorphic to  $(\mathbf{P}^1 \setminus \{0, 1, \infty\})^{n-3} \setminus \cup_{i < j} \Delta_{ij}$ , where  $\Delta_{ij}$  is the locus defined by  $x_i = x_j$ .

<sup>1</sup>Of course, they are fiber functors of  $\text{MTM}(\mathbf{Q})$  and  $\text{MTM}(\mathbf{Z})$ .

Let  $\Pi_{0,4}^{\mathbf{B}} := \pi_1(\mathcal{M}_{0,4}(\mathbf{C}); \vec{0\mathbb{1}})^{\text{un}}$ . As  $\mathcal{M}_{0,4} = \mathbf{P}^1 \setminus \{0, 1, \infty\}$ , its topological fundamental group  $\pi_1(\mathcal{M}_{0,4}(\mathbf{C}); \vec{0\mathbb{1}})$  is a free group of rank two so that  $\mathcal{O}(\Pi_{0,4}^{\mathbf{B}}) = \mathbf{Q}\langle x, y \rangle$ . Let  $\mathcal{C}_{\text{dR}}(\mathcal{M}_{0,4})$  denote the category of unipotent flat connections over  $\mathcal{M}_{0,4}/\mathbf{Q}$ , which is a  $\mathbf{Q}$ -linear neutral Tannakian category (cf. [7, 10.26]). Let  $\Pi_{0,4}^{\text{dR}}$  be the Tannakian fundamental group of  $\mathcal{C}_{\text{dR}}(\mathcal{M}_{0,4})$  with the base point  $\vec{0\mathbb{1}}$  (cf. [7, 15.28-15.36], [10, Subsection 1.1]). It is known that there is a natural isomorphism

$$\text{Hom}_{\mathbf{Q}}(\mathcal{O}(\Pi_{0,4}^{\text{dR}}), \mathbf{Q}) \cong \mathbf{Q}\langle\langle e_0, e_1 \rangle\rangle,$$

where  $\mathbf{Q}\langle\langle e_0, e_1 \rangle\rangle$  is the ring of non-commutative formal power series with variables  $e_0, e_1$ . We sometimes identify  $e_i$  with the one form  $\frac{dt}{t-i}$  on  $\mathcal{M}_{0,4}/\mathbf{Q}$ . Then, we have a map

$$(3.1) \quad \pi_1(\mathcal{M}_{0,4}(\mathbf{C}), \vec{0\mathbb{1}}) \rightarrow \mathbf{C}\langle\langle e_0, e_1 \rangle\rangle; \quad \gamma \mapsto \sum_{b: \text{ words in } e_0, e_1} \left( \int_{\gamma} \omega_b \right) b,$$

where  $\omega_b$  is the corresponding sequence of  $\frac{dt}{t-i}$ ,  $i = 0, 1$  to  $b$  and  $\int_{\gamma} \omega_b$  is the regularized iterated integrals (cf. [7, 15.53], [23, Section 8]).

**THEOREM 3.4.** (1) ([7, 12.16, 15.50-15.53]) *The map (3.1) induces an isomorphism*

$$\text{comp}_{\text{dR}, \mathbf{B}}: \mathcal{O}(\Pi_{0,4}^{\mathbf{B}}) \otimes_{\mathbf{Q}} \mathbf{C} \xrightarrow{\sim} \mathcal{O}(\Pi_{0,4}^{\text{dR}}) \otimes_{\mathbf{Q}} \mathbf{C}$$

*of commutative Hopf algebras.*

(2) *The triple  $\mathcal{O}(\Pi_{0,4}^{\mathcal{H}}) := (\mathcal{O}(\Pi_{0,4}^{\mathbf{B}}), \mathcal{O}(\Pi_{0,4}^{\text{dR}}), \text{comp}_{\text{dR}, \mathbf{B}})$  forms a part of a Hopf algebra object of  $\text{Ind}(\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}})^2$ .*

(3) ([8, Théorème 4.4]) *There exists a Hopf algebra object  $\mathcal{O}(\Pi_{0,4}^{\text{mot}})$  of  $\text{Ind}(\text{MTM}(\mathbf{Z}))$  with a natural isomorphism*

$$R_{\mathcal{H}}(\mathcal{O}(\Pi_{0,4}^{\text{mot}})) \cong \mathcal{O}(\Pi_{0,4}^{\mathcal{H}})$$

*of Hopf algebra objects of  $\text{Ind}(\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}})$ .*

**REMARK 3.5.** For a  $k$ -linear neutral Tannakian category  $\mathcal{T}$ , the category  $\text{Aff.Sch}_{\mathcal{T}}$  of affine schemes in  $\mathcal{T}$  in the sense of Deligne ([7, §5]) is defined as follows: Let  $\text{Alg}_{\mathcal{T}}$  denote the category of algebra objects of  $\text{Ind}(\mathcal{T})$ . Then,  $\text{Aff.Sch}_{\mathcal{T}}$  is defined to be the *opposite category* of  $\text{Alg}_{\mathcal{T}}$ . By definition, any fiber functor  $\omega: \mathcal{T} \rightarrow \text{Vec}_k^{\text{fin}}$  induces an equivalence of categories

$$\text{Aff.Sch}_{\mathcal{T}} \xrightarrow{\sim} \{\text{Affine schemes } /k \text{ equipped with algebraic actions of } \pi_1(\mathcal{T}, \omega)\}.$$

Let  $\Pi_{0,4}^{\text{mot}}$  denote the object of  $\text{Aff.Sch}_{\text{MTM}(\mathbf{Z})}$  corresponding to  $\mathcal{O}(\Pi_{0,4}^{\text{mot}})$ . This affine scheme in  $\text{MTM}(\mathbf{Z})$  is called the *motivic fundamental group* of  $\mathcal{M}_{0,4}$  (with the base point  $\vec{0\mathbb{1}}$ ).

**DEFINITION 3.6.** Let  $V$  be an object of  $\text{Ind}(\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}})$ . The full subcategory of  $\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}}$  generated by  $V$  is the full subcategory of  $\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}}$  whose objects is isomorphic to a sub-quotient of  $\bigoplus_{n \geq 0} V^{\otimes n}$  or its dual.

Famous theorem of Brown states that the motivic fundamental group of  $\mathcal{M}_{0,4}$  generates  $\text{MTM}(\mathbf{Z})$ , namely:

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<sup>2</sup> $\text{Ind}(\mathcal{A})$  means the ind-category of  $\mathcal{A}$ .

**THEOREM 3.7** ([5]). *Let  $\mathbf{MTM}(\mathbf{Z})'$  denote the Tannakian full-subcategory of  $\mathcal{R}_{\mathbf{Q}}^H$  generated by  $\mathcal{O}(\Pi_{0,4}^H)$ . Then,  $R_{\mathcal{H}}$  induces an equivalence*

$$\mathbf{MTM}(\mathbf{Z}) \xrightarrow{\sim} \mathbf{MTM}(\mathbf{Z})'.$$

*Sketch of the proof.* Let  $\mathcal{Z}^m$  be the space of motivic MZVs ([5, Subsection 2.2]). Then, we have a non-canonical injection

$$\mathcal{Z}^m \hookrightarrow \mathcal{O}(U_{\mathbf{MTM}}^{\mathrm{dR}}) \otimes_{\mathbf{Q}} \mathbf{Q}[x]$$

of graded algebras (this gives an upper bound of the space of MZVs proved by Goncharov and Terasoma). Brown proved the linearly independence of  $\{\zeta^m(k_1, \dots, k_d) \mid k_i = 2, 3\}$  over  $\mathbf{Q}$ . Then, by the dimension counting, we conclude that the injection above is an isomorphism. This implies that the action of  $\pi_1(\mathbf{MTM}(\mathbf{Z}), \omega_{\mathrm{dR}})$  on  $\Pi_{0,4}^{\mathrm{dR}} = \omega_{\mathrm{dR}}(\Pi_{0,4}^{\mathrm{mot}})$  is *faithful*. Then, conclusion of the theorem follows by a formal argument.  $\square$

By Brown's theorem, we are led to the second definition of  $\mathbf{MTM}(\mathbf{Z})$ :

**DEFINITION 3.8** (Quick “definition” of  $\mathbf{MTM}(\mathbf{Z})$ ). The category of  $\mathbf{MTM}(\mathbf{Z})$  is defined to be the full-subcategory of  $\mathcal{R}_{\mathbf{Q}}^H$  generated by  $\mathcal{O}(\Pi_{0,4}^H)$ .

**REMARK 3.9.** Of course, this quick “definition” is not so useful. For example, it is very difficult to determine the structure of its Tannakian fundamental group without the original definition of  $\mathbf{MTM}(\mathbf{Z})$  and Brown's theorem (this is needed to use Borel's computation). However, this “definition” has the advantage that similar definitions can be easily made. This is discussed in the next section.

#### 4. Mixed modular motives over $\mathbf{Z}$

Let's begin our exploration of the extension of  $\mathbf{MTM}(\mathbf{Z})$  into the world of genus one. An idea to construct a natural extension of  $\mathbf{MTM}(\mathbf{Z})$  is

**replace  $\mathcal{M}_{0,4}$  by  $\mathcal{M}_{1,1}$ ,**

where  $\mathcal{M}_{1,1}$  = the moduli of elliptic curves. Let  $\overline{\mathcal{M}}_{1,1}$  be the smooth compactification of  $\mathcal{M}_{1,1}$  and let

$$\mathrm{Spec}(\mathbf{Z}[[q]]) \rightarrow \overline{\mathcal{M}}_{1,1}$$

be the classifying morphism defined by the Tate generalized elliptic curve ([20, (8.4)]). Then, this morphism defines a point  $\infty$  of  $\overline{\mathcal{M}}_{1,1}$  and a non-zero tangent vector  $v = \frac{d}{dq}$  at  $\infty$ . By abuse of notation, we use the same  $v$  for the base points defined by  $v$  ([7, §15]).

**4.1. Definition.** Recall that the pro-unipotent group  $\Pi_{0,4}^{\mathrm{B}}$  is defined to be the pro-unipotent completion of  $\pi_1(\mathcal{M}_{0,4}(\mathbf{C}), \overrightarrow{01})$ . The group  $\Pi_{1,1}^{\mathrm{B}}$  is constructed by a similar way. Note that we have

$$\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v) \cong \mathrm{SL}_2(\mathbf{Z})$$

(cf. [14, Subsection 3.5]). Let  $\mathrm{std}: \mathrm{SL}_2(\mathbf{Z}) \rightarrow \mathrm{SL}_2(\mathbf{Q})$  be the standard representation. We regard this as a representation of  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v)$  by the natural isomorphism above. The pro-algebraic group  $\Pi_{1,1}^{\mathrm{B}}$  is defined to be the relative pro-unipotent completion of  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v)$  with respect to the standard representation. By definition, we have

$$\Pi_{1,1}^{\mathrm{B}} = \varprojlim_{(G,\rho)} G,$$

where  $\rho: \pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v) \rightarrow G(\mathbf{Q})$  runs over relative unipotent lifts of the standard representation of  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v)$ .

REMARK 4.1. It seems that to take the relative pro-unipotent completion with respect to  $\text{std}$  is very natural. What happens if we take a pro-unipotent completion? It is well-known that  $\text{SL}_2(\mathbf{Z})$  is generated by two elements

$$S := \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \quad T := \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

(cf. [27, Subsection 1.5]) and it is easily checked the relations

$$S^2 = (ST)^3 = -E_2$$

hold. Hence,  $\text{SL}_2(\mathbf{Z})^{\text{ab}}$  is an abelian group of order 12 so that  $\text{SL}_2(\mathbf{Z})$  has no non-trivial unipotent representation on a finite dimensional  $\mathbf{Q}$ -vector space. Hence,  $\text{SL}_2(\mathbf{Z})^{\text{un}}$  is the trivial group and there is nothing to interest. This triviality is also deduced by the fact that there is no non-zero modular form of full-level of weight two.

Before to define a de Rham analogue of  $\Pi_{0,4}^{\text{dR}}$ , we give a geometric interpretation of  $\Pi_{1,1}^{\text{B}}$ . Recall that  $\text{Rep}_{\mathbf{Q}}(\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v))$  is equivalent to the category of  $\mathbf{Q}$ -local systems over the orbifold  $\mathcal{M}_{1,1}(\mathbf{C})$  (cf. [14, Subsection 3.3]). On the other hand, by Proposition 2.4,  $\text{Rep}_{\mathbf{Q}}(\Pi_{1,1}^{\text{B}})$  is equivalent to the category of relatively unipotent representations with respect to  $\text{std}$ . Since any irreducible algebraic representation of  $\text{SL}_{2,\mathbf{Q}}$  is isomorphic to  $\text{Sym}^n(\text{std})$  for some  $n$  (cf. [16, Section 10]),  $\text{Rep}_{\mathbf{Q}}(\Pi_{1,1}^{\text{B}})$  is naturally equivalent to the full subcategory of  $\mathbf{Q}$ -local systems over  $\mathcal{M}_{1,1}(\mathbf{C})$  whose Jordan-Hölder component is isomorphic to  $\text{Sym}^n(\mathcal{V}_{\text{B}})$  for some  $n$ , where  $\mathcal{V}_{\text{B}}$  is the  $\mathbf{Q}$ -local system over  $\mathcal{M}_{1,1}(\mathbf{C})$  corresponding to the standard representation. A model of  $\mathcal{V}_{\text{B}}$  can be taken as follows. Let  $\pi: \mathcal{E} \rightarrow \mathcal{M}_{1,1}$  be the universal elliptic curve over  $\mathcal{M}_{1,1}$  and let  $R^1\pi_*(\mathbf{Q})$  be the first higher direct image of the constant sheaf  $\mathbf{Q}$  on  $\mathcal{E}(\mathbf{C})$ , which is a family of the first cohomology groups of elliptic curves with coefficients in  $\mathbf{Q}$ . Then, the fiber of  $R^1\pi_*(\mathbf{Q})$  at  $v$  is canonically isomorphic to the standard representation of  $\text{SL}_2(\mathbf{Z})$  ([16, Section 9]). Therefore,  $\mathcal{V}_{\text{B}}$  can be taken as

$$\mathcal{V}_{\text{B}} = R^1\pi_*(\mathbf{Q}).$$

Let us define a de Rham analogue. Define the coherent sheaf  $\mathcal{V}_{\text{dR}}$  on  $\mathcal{M}_{1,1}$  by

$$\mathcal{V}_{\text{dR}} := R^1\pi_*\Omega_{\mathcal{E}/\mathcal{M}_{1,1}}^{\bullet},$$

where  $\Omega_{\mathcal{E}/\mathcal{M}_{1,1}}^i$  is the sheaf of  $i$ th differential forms on  $\mathcal{E}$  relative to  $\mathcal{M}_{1,1}$ . Note that  $\mathcal{V}_{\text{dR}}$  is a family of the first algebraic de Rham cohomology groups of elliptic curves. This coherent sheaf is equipped with the Gauss-Manin connection<sup>3</sup> which is flat. Let  $\mathcal{C}_{\text{dR}}(\mathcal{M}_{1,1})$  be the category of flat connections with regular singularities at infinity whose Jordan-Hölder component is isomorphic to the flat connection  $\text{Sym}^i(\mathcal{V}_{\text{dR}})$ . Then, we can easily check that this category is a  $\mathbf{Q}$ -linear Tannakian category and  $v$  defines a fiber functor of this Tannakian category. Then,  $\Pi_{1,1}^{\text{dR}}$  is defined by

$$\Pi_{1,1}^{\text{dR}} = \pi_1(\mathcal{C}_{\text{dR}}(\mathcal{M}_{1,1}), v).$$

Similar to the  $\mathcal{M}_{0,4}$ -case, the Riemann-Hilbert correspondence induces a natural comparison isomorphism

$$\text{comp}_{\text{dR},\text{B}}: \mathcal{O}(\Pi_{1,1}^{\text{B}}) \otimes_{\mathbf{Q}} \mathbf{C} \xrightarrow{\sim} \mathcal{O}(\Pi_{1,1}^{\text{dR}}) \otimes_{\mathbf{Q}} \mathbf{C}$$

<sup>3</sup>This flat connection is canonically isomorphic to the dual of  $\mathcal{H}$  defined in [16, Section 9].



of Hopf  $\mathbf{C}$ -algebras.

THEOREM 4.2 ([16], [6, Subsection 13.2]). *The triple  $(\mathcal{O}(\Pi_{1,1}^{\mathbf{B}}), \mathcal{O}_{1,1}^{\mathrm{dR}}, \mathrm{comp}_{\mathrm{dR},\mathbf{B}})$  forms a part of a Hopf algebra object  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$  of  $\mathrm{Ind}(\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}})$ .*

Let  $\Pi_{1,1}^{\mathcal{H}}$  denote the corresponding group object of  $\mathrm{Aff.Sch}_{\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}}}$ . Then, we can define a genus one analogue of  $\mathrm{MTM}(\mathbf{Z})$  by mimicking the quick “definition” of  $\mathrm{MTM}(\mathbf{Z})$ :

DEFINITION 4.3 (cf. [6]). The category  $\mathrm{MMM}(\mathbf{Z})$  is defined to be the Tannakian full-subcategory of  $\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}}$  generated by  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$ .

REMARK 4.4. (1) This category is the same as  $\mathcal{H}_{\mathcal{M}_{1,1}}$  in [6].

(2) It seems that  $\Pi_{1,1}^{\mathcal{H}}$  can be constructed geometrically and that this is a realization of a certain ind-mixed motive at least in the sense of Nori (cf. [19]). This problem is still open.

We see two typical examples of objects in  $\mathrm{MMM}(\mathbf{Z})$ .

EXAMPLE 4.5. Let  $V = (\mathcal{V}_{\mathbf{B},v}, \mathcal{V}_{\mathrm{dR},v}, \mathrm{comp}_{\mathrm{dR},\mathbf{B}})$  be the fiber of the variation of MHS  $(\mathcal{V}_{\mathbf{B}}, \mathcal{V}_{\mathrm{dR}}, \mathrm{comp}_{\mathrm{dR},\mathbf{B}})$  at  $v$ . Then, by [26, Theorem 6.16], this admits a limit mixed Hodge structure which is isomorphic to  $\mathbf{Q} \oplus \mathbf{Q}(-1)$  (cf. [23, Example 7.8]). Let  $\Pi_{1,1}^{\mathcal{H},\mathrm{un}}$  be the closed subgroup of  $\Pi_{1,1}^{\mathcal{H}}$  whose underlying group is the pro-unipotent radical of  $\Pi_{1,1}^{\mathbf{B}}$ . We will compute the structure of this pro-unipotent radical in Proposition 4.8 below. Then, we have a natural isomorphism

$$H^1(\Pi_{1,1}^{\mathcal{H},\mathrm{un}}) = \bigoplus_{k \geq 2} H^1(\mathrm{SL}_2(\mathbf{Z}), \mathrm{Sym}^{k-2}(V)) \otimes_{\mathbf{Q}} \mathrm{Sym}^{k-2}(V)^{\vee}$$

in  $\mathcal{R}_{\mathbf{Q}}^{\mathcal{H}}$ , where the Hodge structure on  $H^1(\mathrm{SL}_2(\mathbf{Z}), \mathrm{Sym}^{k-2}(V))$  is defined by the Eichler-Shimura isomorphism ([27, Chapter 8], [31, Section 12, Section 14]). Thus, for a Hecke eigen modular form  $f$  of full-level, the associated MHS  $H_f$  is an object of  $\mathrm{MMM}(\mathbf{Z}) \otimes \overline{\mathbf{Q}}$ .

EXAMPLE 4.6 ([18, Example 6.8]). For an elliptic curve  $E$  with the origin  $O$ ,  $E^{\times}$  denotes  $E \setminus \{O\}$ . Let  $\pi_1^{\mathrm{un}}(E^{\times})$  be the pro-unipotent fundamental group of  $E^{\times}$ . Then, the family of Lie algebras

$$\{ \mathrm{Lie}(\pi_1^{\mathrm{un}}(\mathcal{E}_x^{\times})) \mid x \in \mathcal{M}_{1,1} \}$$

forms a pro-local system over  $\mathcal{M}_{1,1}$ . Its fiber at  $v$  is an object of  $\mathrm{MMM}$ .

Since  $\mathrm{Lie}(\pi_1^{\mathrm{un}}(\mathcal{E}_v^{\times}, w))$  contains  $\mathrm{Lie}(\Pi_{0,4}^{\mathbf{B}})$  as a sub pro-mixed Hodge structures (cf. [15, Section 18], [18, Section 28]), the category  $\mathrm{MMM}(\mathbf{Z})$  is certainly an extension of  $\mathrm{MTM}(\mathbf{Z})$ . Namely:

PROPOSITION 4.7 ([6, Theorem 14.5]). *The category  $\mathrm{MMM}(\mathbf{Z})$  contains  $\mathrm{MTM}(\mathbf{Z})$  as a Tannakian full subcategory.*

4.2. **Group structure of  $\Pi_{1,1}^{\mathbf{B}}$ .** Let us return to the determination of the group structure of  $\Pi_{1,1}^{\mathbf{B}}$ . By definition, we have

$$(4.1) \quad 1 \rightarrow \Pi_{1,1}^{\mathbf{B},\mathrm{un}} \rightarrow \Pi_{1,1}^{\mathbf{B}} \rightarrow \mathrm{SL}_{2,\mathbf{Q}} \rightarrow 1,$$

where  $\Pi_{1,1}^{\mathbf{B},\mathrm{un}}$  is the pro-unipotent radical of  $\Pi_{1,1}^{\mathbf{B}}$ . According to Proposition 2.5, we have an isomorphism

$$H^i(\Pi_{1,1}^{\mathbf{B},\mathrm{un}}) = \bigoplus_{k \geq 2} H^i(\Pi_{1,1}^{\mathbf{B}}, \mathrm{Sym}^{k-2}(V)) \otimes_{\mathbf{Q}} \mathrm{Sym}^{k-2}(V)^{\vee}$$

of  $\mathrm{SL}_2, \mathbf{Q}$ -modules. According to [18, Proposition 10.1], the natural homomorphism

$$H^i(\Pi_{1,1}^{\mathbf{B}}, \mathrm{Sym}^{k-2}(V)) \rightarrow H^i(\mathrm{SL}_2(\mathbf{Z}), \mathrm{Sym}^{k-2}(V))$$

induced by  $\rho_{\mathrm{univ}}$  is isomorphism if  $i \leq 1$  and injective if  $i = 2$ . Since  $\mathrm{SL}_2(\mathbf{Z})$  contains a free group of finite rank as a finite index subgroup, the cohomology groups above vanish when  $i \geq 2$ . Thus, we have the following proposition:

**PROPOSITION 4.8.** *The pro-Lie algebra  $\mathrm{Lie}(\Pi_{1,1}^{\mathbf{B}, \mathrm{univ}})$  is topologically generated by a basis of*

$$(4.2) \quad \bigoplus_{k \geq 2} H^1(\mathrm{SL}_2(\mathbf{Z}), \mathrm{Sym}^{k-2}(V))^\vee \otimes_{\mathbf{Q}} \mathrm{Sym}^{k-2}(V)$$

freely.

We have an isomorphism of  $\mathbf{C}$ -vector spaces

$$M_k(\mathrm{SL}_2(\mathbf{Z})) \oplus \overline{S_k(\mathrm{SL}_2(\mathbf{Z}))} \xrightarrow{\sim} H^1(\mathrm{SL}_2(\mathbf{Z}), \mathrm{Sym}^{k-2}(V)) \otimes_{\mathbf{Q}} \mathbf{C},$$

where  $M_k(\mathrm{SL}_2(\mathbf{Z}))$ ,  $S_k(\mathrm{SL}_2(\mathbf{Z}))$  denote the space of full-level modular forms and cuspforms of weight  $k$ , respectively. Therefore,  $\mathrm{Lie}(\Pi_{1,1}^{\mathbf{B}, \mathrm{univ}})/\overline{\mathbf{Q}}$  is topologically generated freely by elements

$$e_f X^i Y^j, e'_f X^i Y^j, e_g X^i Y^j,$$

where  $f$  (resp.  $g$ ) is a full-level normalized Hecke eigen cuspform (resp. Eisenstein series) of weight  $k$  and  $i + j = k - 2$ .

**4.3. Zeta and modular generators of  $\mathrm{Lie}(U_{\mathrm{MMM}}^{\mathrm{dR}})$ .** Let  $\pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}})$  be the Tannakian fundamental group of  $\mathrm{MMM}(\mathbf{Z})$  and let  $U_{\mathrm{MMM}}^{\mathrm{dR}}$  be its pro-unipotent radical. According to Proposition 2.5, to determine the generators of this pro-unipotent group, we need to compute  $\mathrm{Ext}_{\mathrm{MMM}(\mathbf{Z})}^1(\mathbf{Q}, H)$  for all simple object  $H$ . This is generally very hard task, however, Brown proved that this extension group is non-zero when  $H = \mathbf{Q}(2n+1)$ ,  $H_f(d)$  with  $n \geq 1$ ,  $d \geq \mathrm{wt}(f)$ . As a consequence, he had found a part of generators of  $\mathrm{Lie}(U_{\mathrm{MMM}}^{\mathrm{dR}})$ . Moreover, he proved that there is no non-trivial relation between those generators:

**THEOREM 4.9** ([6, Theorem 21.2]). *Let  $\mathcal{B}$  denote the set of normalized Hecke eigen cuspforms of full-levels. Then, there exists a system of elements*

$$\{\sigma_{2n+1}, \sigma'_f(d), \sigma''_f(d) \in \mathrm{Lie}(U_{\mathrm{MMM}}^{\mathrm{dR}}) \mid n \in \mathbf{Z}_{\geq 1}, f \in \mathcal{B}, d \geq \mathrm{wt}(f)\},$$

which generates a free Lie subalgebra of  $\mathrm{Lie}(U_{\mathrm{MMM}}^{\mathrm{dR}})$ .

See [6, Subsection 17.1] for a conjecture about topological generators and relations of  $\mathrm{Lie}(U_{\mathrm{MMM}}^{\mathrm{dR}})$  based on an analogue of the Beilinson conjecture.

**4.4. An analogous category  $\mathrm{MMM}(\mathcal{M}_{1,1})$ .** By the Tannakian duality, the fiber functor  $\omega_{\mathrm{dR}}$  of  $\mathrm{MMM}(\mathbf{Z})$  induces an equivalence  $\omega_{\mathrm{dR}}: \mathrm{MMM}(\mathbf{Z}) \cong \mathrm{Rep}_{\mathbf{Q}}(\pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}}))$ . On the other hand, we have a canonical action  $\Pi_{1,1}^{\mathrm{dR}} \curvearrowright \pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}})$  by the definition of  $\mathrm{MMM}(\mathbf{Z})$ . It is natural to consider the representation of  $\Pi_{1,1}^{\mathrm{dR}}$ , not only  $\pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}})$ .

**DEFINITION 4.10.** The category  $\mathrm{MMM}(\mathcal{M}_{1,1})$  is defined to be the category of algebraic representations of  $\pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}}) \times \Pi_{1,1}^{\mathrm{dR}}$  on finite dimensional  $\mathbf{Q}$ -vector spaces:

$$\mathrm{MMM}(\mathcal{M}_{1,1}) := \mathrm{Rep}_{\mathbf{Q}}(\pi_1(\mathrm{MMM}(\mathbf{Z}), \omega_{\mathrm{dR}}) \times \Pi_{1,1}^{\mathrm{dR}}).$$

This category is conjecturally equivalent to a full subcategory of motivic sheaves over  $\mathcal{M}_{1,1}$ . Here, we mean the category of motivic sheaves is the essential image of the realization functor from the category of motivic local systems over  $\mathcal{M}_{1,1}$  in the sense of Arapura ([1]) in the category of system of realizations ([7, 1.21]). A motivic sheaf  $\mathcal{F}$  is in  $\text{MMM}(\mathcal{M}_{1,1})$ , then  $\text{Gr}_{\bullet}^W \mathcal{F} \cong \bigoplus_i \text{Sym}^i(\mathcal{V}) \otimes M_i$  ( $M_i \in \text{MMM}(\mathbf{Z})$ ).

We have a sequence of Tannakian categories:

$$\text{MTM}(\mathbf{Z}) \subset \text{MMM}(\mathbf{Z}) \subset \text{MMM}(\mathcal{M}_{1,1})$$

**Problem 4.11.** They are natural extensions of  $\text{MTM}(\mathbf{Z})$ , but still huge (e.g. generators of  $\pi_1$  is still unknown). Is there an “easier” intermediate category?

One of a solution is to take a “mixed Tate quotient”. This will be done in the next section.

## 5. Mixed elliptic motives

Mixed elliptic motives was defined by Hain and Matsumoto in [18]. In this section, we give a brief review of their results. First, we give a group theoretic definition of the category of mixed elliptic motives over  $\mathcal{M}_{1,1}$ . Then, we see Hain-Matsumoto’s original definition. One of main results of [18] is partial determination of the structure of the Tannakian fundamental group of this category. We state their results and give a sketch of the proof.

**REMARK 5.1.** In [18, Definition 6.1], Hain and Matsumoto defined three categories of universal mixed elliptic motives over  $\mathcal{M}_{1,1}$ ,  $\mathcal{M}_{1,\overline{1}}$ , and over  $\mathcal{M}_{1,2}$ . We only consider the category of the universal mixed elliptic motives over  $\mathcal{M}_{1,1}$  for simplicity.

**5.1. Group theoretical definition.** Let  $\Pi_{1,1}^{\text{Eis}}$  denote the **maximal mixed Tate quotient** of  $\Pi_{1,1}^{\text{dR}}$ . That is,  $\Pi_{1,1}^{\text{Eis}}$  is a quotient pro-algebraic group of  $\Pi_{1,1}^{\text{dR}}$  satisfying the following properties:

- The kernel of the canonical projection  $\text{pr}: \Pi_{1,1}^{\text{dR}} \rightarrow \Pi_{1,1}^{\text{Eis}}$  is stable under the action of  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}})$  so that the group  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}})$  acts on  $\Pi_{1,1}^{\text{Eis}}$  naturally.
- The action of  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}})$  on  $\Pi_{1,1}^{\text{Eis}}$  factors through the natural surjective homomorphism  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}}) \rightarrow \pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{dR}})$ .
- For any morphism  $f: \Pi_{1,1}^{\text{dR}} \rightarrow G$  satisfying the properties above, there exists a unique homomorphism  $g: \Pi_{1,1}^{\text{Eis}} \rightarrow G$  satisfying  $f = g \circ \text{pr}$ .

**DEFINITION 5.2** ([18]). The category  $\text{MEM} = \text{MEM}_{1,1}$  of **universal mixed elliptic motives** is defined by

$$\text{MEM} = \text{Rep}_{\mathbf{Q}}(\pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{dR}}) \ltimes \Pi_{1,1}^{\text{Eis}}).$$

The following diagram is a relation of Tannakian fundamental groups that appear in this article:

$$\begin{array}{ccc}
 & \pi_1(\text{MMM}(\mathbf{Z}), \omega_{\text{dR}}) & \\
 \swarrow & & \searrow \\
 \pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{dR}}) & & \pi_1(\text{MMM}(\mathcal{M}_{1,1}), \omega_{\text{dR}}) \\
 \swarrow & & \searrow \\
 & \pi_1(\text{MEM}, \omega_{\text{dR}}) &
 \end{array}$$

Here,  $\pi_1(\text{MEM}, \omega_{\text{dR}})$  denotes the Tannakian fundamental group of MEM with the base point defined by the forgetful functor. By Tannakian duality, we have the following fully-faithful functors of Tannakian categories:

$$\begin{array}{ccc}
 & \text{MMM}(\mathbf{Z}) & \\
 \swarrow & & \searrow \\
 \text{MTM}(\mathbf{Z}) & & \text{MMM}(\mathcal{M}_{1,1}) \\
 \swarrow & & \searrow \\
 & \text{MEM} &
 \end{array}$$

Note that  $\Pi_{1,1}^{\text{Eis}}$  is isomorphic to the de Rham realization of an affine group scheme  $\Pi_{1,1}^{\text{Eis}, \text{mot}}$  in  $\text{MTM}(\mathbf{Z})$ . Let  $\Pi_{1,1}^{\text{Eis}, \text{B}}$  denote the Betti realization of  $\Pi_{1,1}^{\text{Eis}, \text{mot}}$ . Then, it is easily checked that the category MEM is equivalent to the category  $\text{Rep}_{\mathbf{Q}}(\pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{B}}) \times \Pi_{1,1}^{\text{Eis}, \text{B}})$ .

**5.2. Original (geometric) definition.** We see an original (geometric) definition of MEM due to Hain-Matsumoto here. Let  $\mathcal{R}_{\mathcal{M}_{1,1}}^{H+\ell}$  be the category of the Hodge and  $\ell$ -adic components of system of realizations over  $\mathcal{M}_{1,1}$  in the sense of [7, 1.21] (cf. [8, 2.15]), where  $\ell$  runs over all prime numbers. A *universal mixed elliptic motive in the original sense* ([18, Definition 6.1]) is a tuple  $(\mathcal{F}, H, f)$  where:

- (1)  $\mathcal{F}$  be an object of  $\mathcal{R}_{\mathcal{M}_{1,1}}^{H+\ell}$  such that

$$\text{Gr}_n^W \mathcal{F} \cong \bigoplus_i \text{Sym}^{n-2i}(\mathcal{V})(i)^{\oplus r_i}.$$

- (2)  $H$  is an object of  $\text{MTM}(\mathbf{Z})$  equipped with an increasing filtration  $W_{\bullet}H$ , which does not have to match the original filtration on  $H$  as an object of  $\text{MTM}(\mathbf{Z})$ .
- (3)  $f: \mathcal{F}_v \xrightarrow{\sim} R(H)$  is an isomorphism of objects of  $\mathcal{R}_{\text{Spec}(\mathbf{Z})}^{H+\ell}$  preserving  $W_{\bullet}$ . Here, the Hodge component of  $\mathcal{F}_v$  is equipped with the limit mixed Hodge structure.

Hence, each universal mixed elliptic motive in the original sense is an object of  $\mathcal{R}_{\mathcal{M}_{1,1}}^{H+\ell}$  which is a **successive extension of  $\text{Sym}^m(\mathcal{V})(r)$** .

**PROPOSITION 5.3.** *The category of universal mixed elliptic motives in the original sense is naturally equivalent to MEM.*

**LEMMA 5.4.** *Let  $\mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V})$  be the full-subcategory of  $\mathcal{R}_{\mathcal{M}_{1,1}}^H$  consisting of objects whose Jordan-Hölder component is isomorphic to  $\text{Sym}^n(\mathcal{V}) \otimes H$  for some non-negative integer*

$n$  and  $H \in \text{Obj}(\mathcal{R}_{\mathbf{Q}}^H)$ . Let  $v: \mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V}) \rightarrow \mathcal{R}_{\mathbf{Q}}^H$  be the functor defined by taking the fiber at  $v$ . Then, we have a natural isomorphism

$$\pi_1(\mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V}), \omega_{\text{dR}} \circ v) \cong \pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}}) \times \Pi_{1,1}^{\text{dR}}.$$

*Proof.* Note that the category  $\text{Rep}_{\mathbf{Q}}(\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}}) \times \Pi_{1,1}^{\text{dR}})$  is equivalent to the category of  $V$  of objects in  $\mathcal{R}_{\mathbf{Q}}^H$  equipped with the coaction of  $\mathcal{O}(\Pi_{1,1}^{\text{dR}})$ . Therefore, to prove the lemma, it suffices to show that the functor

$$(5.1) \quad \omega_{\text{B}} \circ v: \mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V}) \rightarrow \text{Rep}_{\mathbf{Q}}(\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{B}}) \times \Pi_{1,1}^{\text{B}})$$

induced by  $\omega_{\text{B}} \circ v$  is an equivalence of Tannakian categories. Let us construct a quasi-inverse of the functor above.

Let  $\text{HRep}(\Pi_{1,1}^{\text{B}})$  denote the category of Hodge representation of  $\Pi_{1,1}^{\text{B}}$  over  $\mathbf{Q}$  in the sense of [13, Section 4], namely, this is the category of representations of  $\pi_1(\text{MHS}_{\mathbf{Q}}, \omega_{\text{B}}) \times \Pi_{1,1}^{\text{B}}$ . Then, according to [13, Theorem 5.1, Subsection 5.5], the functor  $\omega_{\text{B}} \circ v$  induces an equivalence of Tannakian categories

$$(5.2) \quad \text{MHS}(\mathcal{M}_{1,1}, \mathcal{V}) \xrightarrow{\sim} \text{HRep}(\Pi_{1,1}^{\text{B}}),$$

where  $\text{MHS}(\mathcal{M}_{1,1}, \mathcal{V})$  is the category of admissible variations of MHSs over  $\mathcal{M}_{1,1}$  whose Jordan-Hölder component is isomorphic to  $\text{Sym}^n(\mathcal{V}) \otimes H$  with  $H \in \text{MHS}_{\mathbf{Q}}$ .

Then, a quasi-inverse of (5.1) is constructed as follows. Let  $H_{\text{B}}$  be a given representation of  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{B}}) \times \Pi_{1,1}^{\text{B}}$  and let  $H_{\text{dR}}$  be the corresponding representation of  $\pi_1(\mathcal{R}_{\mathbf{Q}}^H, \omega_{\text{dR}}) \times \Pi_{1,1}^{\text{dR}}$ . Define a pair  $\mathcal{F} = (\mathcal{F}_{\text{B}}, \mathcal{F}_{\text{dR}})$  to be the  $\mathbf{Q}$ -local system over  $\mathcal{M}_{1,1,\text{an}}$  and flat connection over  $\mathcal{M}_{1,1}$  by representations  $H_{\text{B}}$  of  $\Pi_{1,1}^{\text{B}}$  and  $H_{\text{dR}}$  of  $\Pi_{1,1}^{\text{dR}}$ , respectively. Then,  $(\mathcal{F}_{\text{B}}, \mathcal{F}_{\text{dR}}, \mathbf{C})$  forms an admissible variation of MHSs by the result of Hain above. Moreover, the lowest weight subbundle of  $\mathcal{F}_{\text{dR}, \mathbf{C}}$  is a direct factor of the vector bundle associated with  $H^0(\Pi_{1,1}^{\text{dR}, \text{un}}, H_{\text{dR}}) \otimes_{\mathbf{Q}} \mathbf{C}$  and this factorization is automatically defined over  $\mathbf{Q}$ . Hence, by the inductive argument on the length of the weight filtrations, we conclude that  $W_{\bullet} \mathcal{F}_{\text{dR}, \mathbf{C}}$  descends to the filtration on  $\mathcal{F}_{\text{dR}}$ . Then, the datum defined above forms an object of  $\mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V})$ . The construction is obviously functorial in  $H_{\text{B}}$  and we can easily check that this defines a quasi-inverse of (5.1).  $\square$

*Sketch of the proof of Proposition 5.3.* Let  $(\mathcal{F}, H, f)$  be a universal mixed elliptic motive over  $\mathcal{M}_{1,1}$  in the original sense. Then, by Lemma 5.4,  $R_{\text{dR}}(H)$  defines a mixed elliptic motive in our sense. Hence, this correspondence defines functor from the original category of MEMs to the category of our MEMs. The quasi-inverse is constructed as follows. Let  $H$  be our mixed elliptic motive. Then, by fixing equivalences in the proof of Lemma 5.4, we have an object  $\mathcal{F}_{\mathcal{H}}$  of  $\mathcal{R}_{\mathcal{M}_{1,1}}^H(\mathcal{V})$  corresponding to  $H$ . Then, for a prime number  $\ell$ , the smooth  $\mathbf{Q}_{\ell}$ -sheaf  $\mathcal{F}_{\ell}$  is defined to be the corresponding one to the representation

$$\pi_1^{\text{ét}}(\mathcal{M}_{1,1}/\mathbf{Q}, v) \cong \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \times \widehat{\text{SL}_2(\mathbf{Z})} \rightarrow \pi_1(\text{MTM}(\mathbf{Z}), \omega_{\text{B}})(\mathbf{Q}_{\ell}) \times \Pi_{1,1}^{\text{Eis}, \text{B}}(\mathbf{Q}_{\ell}).$$

The comparison between  $\mathcal{F}_{\ell}$  and  $\mathcal{F}_{\text{B}}$  is the induced isomorphism by  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v) \xrightarrow{\sim} \pi_1^{\text{ét}}(\mathcal{M}_{1,1}/\overline{\mathbf{Q}}, v)$ . We take  $f$  as the canonical isomorphism between  $\mathcal{F}_v$  and  $R(H)$ . According to [18, Remark 6.2],  $W_{\bullet} H$  is recovered by the action of  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v)$  on  $R_{\text{B}}(H)$  via  $\pi_1(\mathcal{M}_{1,1}(\mathbf{C}), v) \rightarrow \Pi_{1,1}^{\text{Eis}, \text{B}}(\mathbf{Q})$ . This defines filtrations  $W_{\bullet}$  on  $\mathcal{F}_{\ell}$ . We leave to the leader to show that this is a quasi-inverse of the natural functor defined by  $v$ .  $\square$

From now, we identify those two categories. Then, for each object  $H$  of  $\text{MEM}$  in our sense, two weight filtrations  $W_\bullet H$  and  $M_\bullet H$  are equipped. The first filtration is the fiber of global filtration  $W_\bullet \mathcal{F}$  and the second is the weigh filtration as an object of  $\mathcal{R}_{\mathbf{Q}}^H$ .

**5.3. Structure of  $\pi_1(\text{MEM}, \omega_{\text{dR}})$ .** Let  $U_{\text{MEM}}^{\text{dR}}$  be the pro-unipotent radical of  $\pi_1(\text{MEM}, \omega_{\text{dR}})$ . Then, by definition, we have a short exact sequence

$$(5.3) \quad 1 \rightarrow U_{\text{MEM}}^{\text{dR}} \rightarrow \pi_1(\text{MEM}, \omega_{\text{dR}}) \rightarrow \text{GL}_{2, \mathbf{Q}} \rightarrow 1$$

of pro-algebraic groups over  $\mathbf{Q}$ . Therefore, to compute topological generators of  $\text{Lie}(U_{\text{MEM}}^{\text{dR}})$ , it is sufficient to compute extension groups  $\text{Ext}_{\text{MEM}}^1(\mathbf{Q}, \text{Sym}^i(\mathcal{V})(r))$  for each non-negative integer  $i$  and an integer  $r$  (Proposition 2.5).

**THEOREM 5.5** ([18, Theorem 15.1]). *We have*

$$\text{Ext}_{\text{MEM}}^1(\mathbf{Q}, \text{Sym}^i(\mathcal{V})(r))^\vee = \begin{cases} \mathbf{Q}z_r, & i = 0, r \geq 3, \text{ odd}, \\ \mathbf{Q}e_i, & i \geq 1, \text{ even}, r = i + 1, \\ 0 & \text{otherwise.} \end{cases}$$

*In particular,  $\text{Lie}(U_{\text{MEM}}^{\text{dR}})$  has a topological generators*

$$z_{2r+1}, e_0^i e_{2k+2} \quad (r \geq 1, k \geq 1, 0 \leq i \leq 2k).$$

Next, let us consider the relations of  $U_{\text{MEM}}^{\text{dR}}$ . According to [18, Proposition B.1], there exists a natural splitting of  $W_\bullet H$  and  $M_\bullet H$  functorial in  $H \in \text{Obj}(\text{MEM})$ . This splitting gives a splitting of (5.3) and each  $W$ -graded piece of  $H$  is stable under the action of  $\text{GL}_{2, \mathbf{Q}}$ . Then,  $\text{Lie}(U_{\text{MEM}}^{\text{dR}})$  is equipped with pro bi-graded Lie algebra structure ([18, Subsection 19.2]). Let  $\text{GrLie}(U_{\text{MEM}}^{\text{dR}})$  be the associated bi-graded Lie algebra over  $\mathbf{Q}$ . Since  $\text{Lie}(U_{\text{MEM}}^{\text{dR}})$  is recovered by  $\text{GrLie}(U_{\text{MEM}}^{\text{dR}})$ , to determine the structure of  $\text{Lie}(U_{\text{MEM}}^{\text{dR}})$ , it suffices to determine the structure of  $\text{GrLie}(U_{\text{MEM}}^{\text{dR}})$ . Let  $\mathfrak{f}$  be the free Lie algebra generated by symbols  $z_{2r+1}, e_0^i e_{2k+2}$  ( $r \geq 1, k \geq 1, 0 \leq i \leq 2k$ ). There exists a natural action of  $\text{GL}_{2, \mathbf{Q}}$  on  $\mathfrak{f}$  by identifying this Lie algebra with the free Lie algebra

$$\text{Lie} \left( \bigoplus_{k \geq 2, r \in \mathbf{Z}} \text{Ext}_{\text{MEM}}^1(\mathbf{Q}, \text{Sym}^{k-2}(\mathcal{V})(r))^\vee \otimes_{\mathbf{Q}} \text{Sym}^{k-2}(V)(r) \right).$$

Here, we take  $e_{2k+2}$  is an invariant vector under the action of  $T \in \text{SL}_2(\mathbf{Z})$ . Then, by Theorem 5.5, we have a  $\text{GL}_{2, \mathbf{Q}}$ -equivariant surjective homomorphism

$$(5.4) \quad \mathfrak{f} \twoheadrightarrow \text{GrLie}(U_{\text{MEM}}^{\text{dR}}), \quad z_{2r+1} \mapsto z_{2r+1}, \quad e_0^i e_{2k+2} \mapsto e_0^i e_{2k+2}.$$

Note that  $\mathfrak{r}$  is contained in  $[\mathfrak{f}, \mathfrak{f}]$  by Theorem 5.5. We mean a *relation* of  $\text{GrLie}(U_{\text{MEM}}^{\text{dR}})$  an element of the kernel  $\mathfrak{r}$  of (5.4). Let  $\mathfrak{f}_g$  be the Lie subalgebra of  $\mathfrak{f}$  generated by  $\{e^i e_{2k+2}\}_{k \geq 1, 0 \leq i \leq 2k}$  so that the image of  $\mathfrak{f}_g$  under (5.4) is  $\text{GrLie}(\Pi_{1,1}^{\text{Eis}, \text{un}})$ . A *geometric relation* means an element of  $\mathfrak{r}_g := \mathfrak{r} \cap \mathfrak{f}_g$ . In this article, a highest weight vector of a  $\text{GL}_{2, \mathbf{Q}}$ -module  $V$  is an element of  $\{v \in V \mid Tv = v\}$  and  $V^{\text{hwt}}$  denotes the space of highest weight vectors. Since any irreducible algebraic representation of  $\text{GL}_{2, \mathbf{Q}}$  is generated by its highest weight vectors,  $\mathfrak{r}$  (resp.  $\mathfrak{r}_g$ ) is determined by  $\mathfrak{r}^{\text{hwt}}$  (resp.  $\mathfrak{r}_g^{\text{hwt}}$ ).

Let  $\Gamma^i \mathfrak{f}$  be the central descending series defined by  $\Gamma^{i+1} \mathfrak{f} = [\mathfrak{f}, \Gamma^i \mathfrak{f}]$ ,  $\mathfrak{f} = \Gamma^1 \mathfrak{f}$  and let us consider the natural mapping

$$(5.5) \quad \Gamma^2 \mathfrak{f} \twoheadrightarrow \text{Gr}_{\Gamma}^2 \mathfrak{f} := \Gamma^2 \mathfrak{f} / \Gamma^3 \mathfrak{f}.$$

A relation  $x \in \mathfrak{r}$  of  $\mathrm{GrLie}(U_{\mathrm{MEM}}^{\mathrm{dR}})$  is called **quadratic** if the image of  $x$  under (5.5) does not zero, namely, the leading term of  $x$  is quadratic. To determine the image of  $\mathfrak{r}$  under (5.5), it is sufficient to determine the image of  $\mathfrak{r}^{\mathrm{hwt}}$  in  $(\mathrm{Gr}_{\Gamma}^2 \mathfrak{f})^{\mathrm{hwt}}$  under (5.5). The set  $(\mathrm{Gr}_{\Gamma}^2 \mathfrak{f}_g)^{\mathrm{hwt}}$  of highest weights vectors are described as follows:

LEMMA 5.6 ([25, Proposition 4.1], [18, Proposition 24.2]). *For non-negative integers  $a, b, d$  satisfying  $d \geq 2$ ,  $2 \min\{a, b\} \geq d - 2$ , define an element  $\mathbf{w}_{a,b}^d \in \mathrm{Gr}_{\Gamma}^2 \mathfrak{f}_g$  by*

$$\mathbf{w}_{a,b}^d = \sum_{i+j=d-2, i,j \geq 0} (-1)^i \binom{d-2}{i} (2a-i)!(2b-j)! [\mathbf{e}_0^i \mathbf{e}_{2a+2}, \mathbf{e}_0^j \mathbf{e}_{2b+2}].$$

Then, the set

$$\{\mathbf{w}_{a,b}^d \mid a, b, d \in \mathbf{Z}, d \geq 2, 2 \min\{a, b\} \geq d - 2\}$$

is a basis of  $(\mathrm{Gr}_{\Gamma}^2 \mathfrak{f}_g)^{\mathrm{hwt}}$ .

Before to state their result, we recall period polynomials defined by modular forms briefly. For an even positive integer  $k$  greater than two, let  $V_k$  be the space of homogeneous polynomials in  $x, y$  of degree  $k - 2$  over  $\mathbf{Q}$ . Then, the group  $\mathrm{GL}_2(\mathbf{Q})$  acts on  $V_k$  by

$$f(x, y)|_{\gamma} = f(ax + by, cx + dy), \quad \gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{GL}_2(\mathbf{Q}).$$

The subspace  $W_k$  of  $V_k$  is defined by

$$W_k = \{f \in V_k \mid f|_{1+S} = f|_{1+TS+(TS)^2} = 0\}$$

([6, Subsection 7.3]). It is easily checked that  $\varepsilon = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$  preserves the subspace  $W_k$ . Let

$W_k^{\pm}$  denote the  $\pm 1$ -eigen spaces of  $\varepsilon$  and  $f^{\pm}$  denotes the projection of  $f \in W_k$  to  $W_k^{\pm}$  by a natural projection. We call elements of  $W_k^+ \otimes_{\mathbf{Q}} \mathbf{C}$  (resp.  $W_k^- \otimes_{\mathbf{Q}} \mathbf{C}$ ) an even (resp. odd) period polynomials. Note that the space  $W_k$  is closely related to the cohomology group of  $\mathrm{SL}_2(\mathbf{Z})$ . Let  $Z_{\mathrm{cusp}}^1(\mathrm{SL}_2(\mathbf{Z}), V_k)$  be the set of inhomogeneous one cocycles of  $\mathrm{SL}_2(\mathbf{Z})$  ([6, (7.3)]) coefficients in  $V_k$  satisfying  $c(T) = 0$ . Then, we have

$$Z_{\mathrm{cusp}}^1(\mathrm{SL}_2(\mathbf{Z}), V_k) \xrightarrow{\sim} W_k; \quad c \mapsto c(S)$$

([6, (7.4)]). Elements of the image of coboundary one cocycles under the isomorphism above are called *coboundary period polynomials*. The period polynomial  $r_f \in W_k \otimes_{\mathbf{Q}} \mathbf{C}$  associated with a cuspform  $f$  of weight  $k$  is defined by the above correspondence. Explicitly, this is constructed as follows: For a modular form  $f$  of weight  $k$ , put  $\omega_f = (2\pi\sqrt{-1})^{k-1} f(\tau)(x - \tau y)^{k-2} d\tau$ , which defines an element of  $H_{\mathrm{dR}}^0(\mathcal{M}_{1,1}, \mathrm{Sym}^{k-2}(\mathcal{V}_{\mathrm{dR}}))$ . When  $f$  is a cuspform, the period polynomial  $r_f$  is defined by

$$r_f = \int_0^{\sqrt{-1}\infty} \omega_f,$$

where  $\int_a^b$  denotes the integration along the geodesic path from  $a$  to  $b$  on  $\mathfrak{H} \amalg \mathbf{P}^1(\mathbf{Q})$ .

One of the main results of Hain-Matsumoto's paper is as follows:

THEOREM 5.7 ([18, Theorem 25.1]). *The image of  $\mathfrak{r}_g^{\mathrm{hwt}}$  under (5.5) is given by*

$$\left\{ \sum_{a,b,d} \alpha_{a,b}^d \mathbf{w}_{a,b}^d \in (\mathrm{Gr}_{\Gamma}^2 \mathfrak{f}_g)^{\mathrm{hwt}} \mid \forall d, \sum_{a+b=k} \alpha_{a,b}^d x^{2a-d+2} y^{2b-d+2} = r_f^{\mathrm{sgn}((-1)^d)}, \exists f \in S_{2k-2d+6}(\mathrm{SL}_2(\mathbf{Z})) \right\}.$$

By specializing  $d = 2$ , we have the following very simple assertion:

COROLLARY 5.8. *Let  $\xi$  be an element of  $\mathfrak{r}_g^{\text{hwt}}$ . Then, a congruence*

$$\xi \equiv \sum_{a+b=k, a,b \geq 0} c_a [\mathbf{e}_{2a+2}, \mathbf{e}_{2b+2}] \pmod{[[\mathbf{f}, \mathbf{f}], \mathbf{f}]}$$

holds if and only if  $\sum_{a+b=k, a,b \geq 0} c_a x^{2a} y^{2b} = r_f^+$  for a full-level cuspform  $f$  of weight  $2k+2$ .

We have seen that cuspforms produces geometric quadratic relations. How about coboundary period polynomials? The answer is that they produce relations between  $z_{2k+1}$ s and  $e_0^i e_{2k+2}$ s:

THEOREM 5.9 ([18, Theorem 25.1]). *For all  $m \geq 2, k \geq 1$ , there exists an element  $\xi(m, k) \in \mathfrak{r}$  satisfying the following congruence relation:*

$$\begin{aligned} \xi(m, k) &\equiv [z_{2m-1}, e_{2k+2}] \\ &- \frac{(2m-2)!}{(2m+2k)!} \binom{2k+2}{2} \frac{B_{2m+2k}}{B_{2k+2}} \sum_{i+j=2m-2} (-1)^i \frac{(2k+i)!}{i!} [e_0^i e_{2m}, e_0^j e_{2m+2k}] \pmod{\Gamma^3 \mathfrak{f}}. \end{aligned}$$

Here,  $B_n$  is the  $n$ th Bernoulli number.

The summarizing table of the results above is as follows:

TABLE 1. Table of quadratic relations

	$z_{2r+1}$	$e_0^i e_{2k+2}$
$z_{2r+1}$	Non	“coboundary period polynomial”
$e_0^i e_{2k+2}$	“coboundary period polynomial”	cusps

Under the natural surjection  $\text{Lie}(U_{\text{MEM}}^{\text{dR}}) \twoheadrightarrow \text{Lie}(U_{\text{MTM}}^{\text{dR}})$ ,  $z_{2r+1}$  maps to the free generator  $\sigma_{2r+1}$ . Hence, there is no relation between  $z_{2r+1}$ s.

*Sketch of the proof of Theorem 5.7.* According to Pollack’s computation ([25, Theorem 3]), there is no non-trivial quadratic geometric relation coming from cuspforms. The converse inclusion relation follows from:

- Explicit computations of period computations arising from two Eisenstein series ([6, Theorem 9.2]).
- Relate Brown’s computation to cup products of  $\{e_0^i e_{2k+2}\}_{i,k}$  by using the Beilinson-Deligne cohomology theory for affine group schemes in  $\text{MHS}_{\mathbb{Q}}$  ([13, Section 8, Section 10]).

See [18, Proof of Theorem 25.1] for more details. □

Is there a relation that is not a quadratic relation? The conjecture is:

CONJECTURE 5.10 (cf. [18, Corollary 25.4]). *Every non-trivial primitive relation of  $\text{GrLie}(U_{\text{MEM}}^{\text{dR}})$  is a quadratic relation.*

This is true if an analogue of the Beilinson conjecture ([18, Conjecture 17.1 (i)]).



## 6. Problems

In this section, we collect problems, which is not solved satisfactory to the best of the author's knowledge.

**6.1. Elliptic analogue of Brown's theorem.** The representation  $\pi_1(\mathbf{MTM}(\mathbf{Z})) \rightarrow \text{Aut}(\Pi_{0,4})$  is the induced representation by the splitting of

$$1 \rightarrow \Pi_{0,4} \rightarrow \pi_1(\mathbf{MTM}(\mathcal{M}_{0,4})) \rightarrow \pi_1(\mathbf{MTM}(\mathcal{M}_{0,3})) \rightarrow 1$$

(note that  $\mathcal{M}_{0,3} = \text{Spec}(\mathbf{Z})$ ). Genus one analogue of the sequence is

$$1 \rightarrow \pi_1^{\text{un}}(\mathcal{E}_v^\times, w) \rightarrow \pi_1(\mathbf{MEM}(\mathcal{M}_{1,2})) \rightarrow \pi_1(\mathbf{MEM}(\mathcal{M}_{1,1})) \rightarrow 1,$$

and the induced representation is the **monodromy representation** ( $\mathbf{MEM}(\mathcal{M}_{1,1}) = \mathbf{MEM}$ ). Therefore, a naive analogous question is as follows:

**Problem 6.1** ([18, Question 26.2]). Is the monodromy representation

$$\rho: \pi_1(\mathbf{MEM}) \rightarrow \text{Aut}(\pi_1^{\text{un}}(\mathcal{E}_v^\times, w))$$

injective?

**6.2. Analogue of the Beilinson conjecture.** The Hodge realization functor defines the regulator

$$\text{reg}_{\mathcal{H}}^2: \text{Ext}_{\mathbf{MEM}}^2(\mathbf{Q}, \text{Sym}^{k-2}(\mathcal{V})(r)) \rightarrow H_{\mathcal{D}}^2(\mathcal{M}_{1,1}/\mathbf{R}, \text{Sym}^{k-2}(\mathcal{V})_{\mathbf{R}}(r)).$$

**CONJECTURE 6.2** (HM20, Conjecture 17.1 (i)). *The regulators  $\text{reg}_{\mathcal{H}}^2 \otimes \mathbf{R}$  are isomorphisms for all  $k, r$ .*

If the conjecture is true, then we can compute the second cohomology group of  $U_{\mathbf{MEM}}^{\text{dR}}$ . Since the set of relations can be determined by the second cohomology group of  $U_{\mathbf{MEM}}^{\text{dR}}$ , we can know the explicit structure of  $\pi_1(\mathbf{MEM})$  if the conjecture above is positive.

Note that, to show Brown's theorem, we need to know the explicit structure of  $\pi_1(\mathbf{MTM})$ . Thus, to attack the elliptic analogue of Brown's theorem according to his method, the first difficulty seems to be to determine the explicit structure of  $\pi_1(\mathbf{MEM})$ . Then, it is natural to ask the following question:

**Problem 6.3.** Can we prove the elliptic analogue of the Brown's theorem assuming the conjecture above?

**6.3. Higher level case.** Let  $\mathbf{MEM}_1(N)$  denote the universal mixed elliptic motives over the modular curve  $Y_1(N)$ .

**Problem 6.4.** Compute quadratic relations of generators of  $\text{Lie}(U_{\mathbf{MEM}_1(N)})$ .

One of difficult points is to compute cup products of Eisenstein symbols *explicitly* (The paper [11] is a work of this type).

**Problem 6.5.** What is the meaning of  $W_\bullet \text{Lie}(U_{\mathbf{MEM}_1(N)}) \cap \text{Lie}(U_{\mathbf{MTM}(\mathbf{Z}[1/N])})$ ? (This is closely related to the depth when  $N = 1$ . See [18, Part 4].)

**Problem 6.6.** Consider similar problems for the modular curve  $Y(N)/\mathbf{Z}[\mu_N, 1/N]$ .

6.4. **Problems on  $\Pi_{1,1}^{\mathcal{H}}$ .** One step extensions of objects of  $\text{MMM}(\mathbf{Z})^{\text{ss}}$  appearing in  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$  was studied by Brown in [6] partially.

**Problem 6.7.** Study the two step extensions in  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$ .

**Problem 6.8.** Replace the base point  $\frac{d}{dq}$  by a CM elliptic curve. What will happen?

After the replacement of the base point, then it seems that  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$  has a geometric description (cf. [8, Proposition 3.4]).

**Problem 6.9.** Find an **explicit** description of  $\mathcal{O}(\Pi_{1,1}^{\mathcal{H}})$  by relative cohomology groups of open Kuga-Sato varieties.

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