

# Discrete cubical homotopy groups and real $K(\pi, 1)$ spaces

Hélène Barcelo

Simons Laufer Mathematical Sciences Institute, Berkeley  
(formerly Mathematical Sciences Research Institute, MSRI)

Women in Mathematics – RIMS, Kyoto University  
Sept. 7-9, 2022

## In Brief

- ▶ Discrete cubical homotopy theory is a homotopy theory in the category of simple graphs
- ▶ Key invariants associated to  $\Gamma$  (finite simple graph) are groups  $A_n(\Gamma, v)$  which are discrete analogues of  $\Pi_n(X, x)$ .
- ▶ Key concept:  $\Gamma \rightarrow X_\Gamma$  top. space constructed as a cubical complex conjectured (2006) to be:

$$A_n(\Gamma, v) \stackrel{?}{\cong} \Pi_n(X_\Gamma, x)$$

- ▶ 2006: Proved for all  $n$  by Babson, B., de Longueville, Laubenbacher **conditional** on the existence a cubical approximation theorem
- ▶ 2022: Proved by Carranza and Kapulkin using categorification, circumventing need of an approximation theorem

# Origins and Developments

- ▶ **Built** on Atkin works (1972-1976): on modeling of social and technological networks using simplicial complexes
- ▶ **Formalized**: Kramer, Laubenbacher (1998,  $n = 1$ ); B., K., L., Weaver (2001, all  $n$ ):  $A_n^q(\Delta, \sigma_0)$ , a bi-graded family of groups
- ▶ **Cubicalized**: Babson, B., de Longueville, Laubenbacher (2006):  $A_n^G(\Gamma)$
- ▶ **Generalized** to metric spaces: B., Capraro, White (2014); Delabie, Khukhro (2020)
- ▶ **Homologized**: B. Capraro, White (2014)
- ▶ **Further Developed**: Babson, B., Greene, Jarrah, Lutz, McConville, Welker (2015-)
- ▶ **Categorified**: Carranza, Kapulkin (2022, preprint)

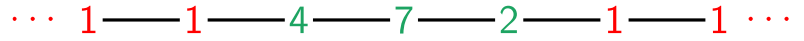
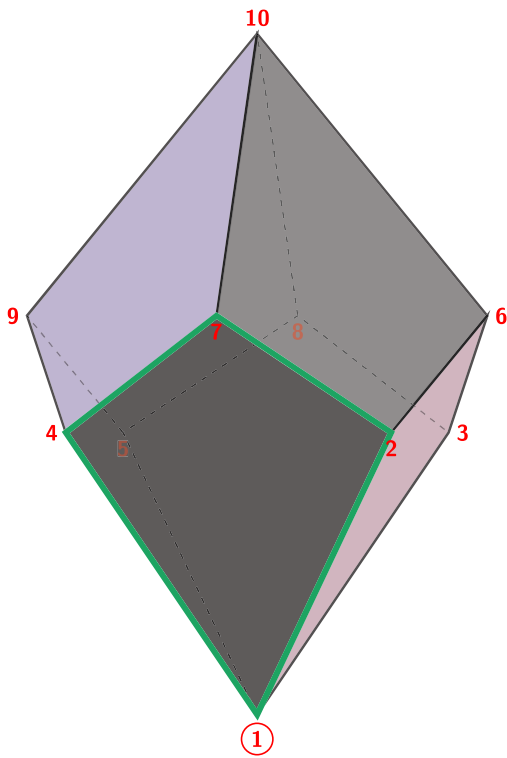
## Discrete (Cubical) Homotopy Theory for Graphs

(Babson, B., Kramer, de Longueville, Laubenbacher, Severs, Weaver, White)

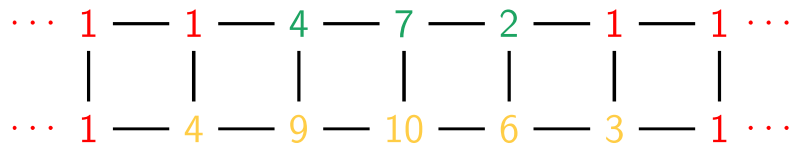
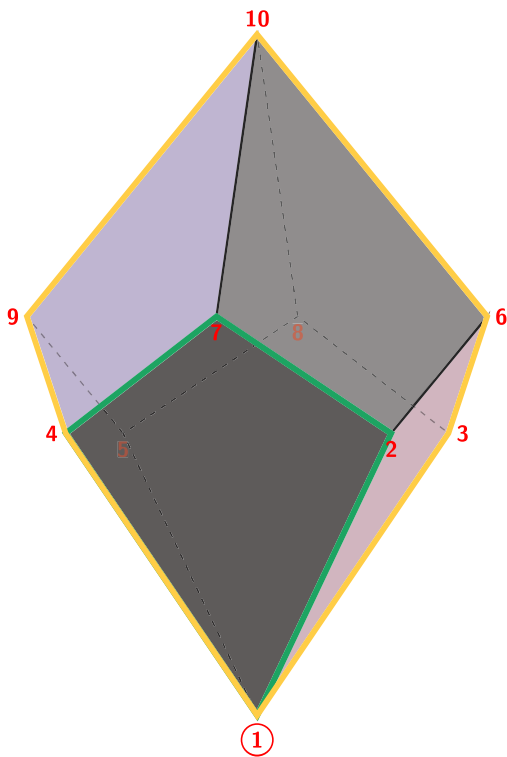
### Definitions

1.  $\Gamma$  - graph ( $\Delta$  simplicial complex;  $X$  metric space)  
 $v_0$  - distinguished vertex ( $\sigma_0; x_0$ )  
 $\mathbb{Z}^n$  - infinite lattice (usual metric)
2.  $\mathcal{A}_n(\Gamma, v_0)$  - set of graph homs  $f: \mathbb{Z}^n \rightarrow V(\Gamma)$ , with finite support:  
if  $d(\vec{a}, \vec{b}) = 1$  in  $\mathbb{Z}^n$  then  $d(f(\vec{a}), f(\vec{b})) = 0$  or  $1$ , with  
 $f(\vec{i}) = v_0$  almost everywhere
3.  $f, g$  are *discrete homotopic* if there exist  $h \in \mathcal{A}_{n+1}(\Gamma, v_0)$  and  $k, \ell \in \mathbb{N}$  such that for all  $\vec{i} \in \mathbb{Z}^n$ ,  
$$h(\vec{i}, k) = f(\vec{i})$$
$$h(\vec{i}, \ell) = g(\vec{i})$$
4.  $A_n(\Gamma, v_0)$  - set of equivalence classes of maps in  $\mathcal{A}_n(\Gamma, v_0)$   
Note: translation preserves discrete homotopy

# A Discrete Homotopy of Graph Homomorphisms – Step 1



# A Discrete Homotopy of Graph Homomorphisms – Step 2





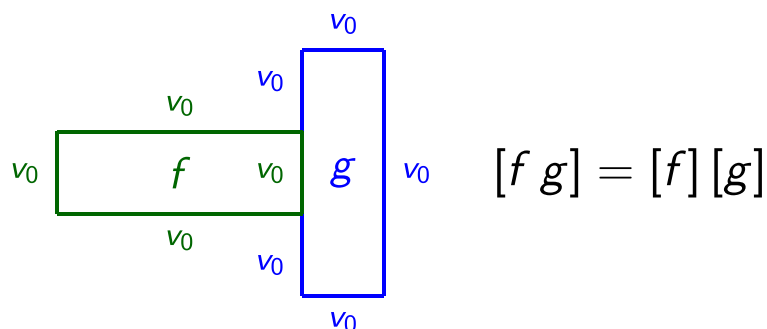
# Discrete Homotopy Theory for Graphs

## Group Structure

- ▶ Multiplication: for  $f, g \in \mathcal{A}_n(\Gamma, v_0)$  of radius  $M, N$ ,

$$f g (\vec{i}) = \begin{cases} f(\vec{i}) & i_1 \leq M \\ g(i_1 - (M + N), i_2, \dots, i_n) & i_1 > M \end{cases}$$

- ▶  $n = 1$  concatenation of loops based at  $v_0$
- ▶  $n = 2$



# Discrete Homotopy Theory for Graphs

## Group Structure

- ▶ Identity:  $e(\vec{i}) = v_0 \quad \forall \vec{i} \in \mathbb{Z}^n$
- ▶ Inverses:  $f^{-1}(\vec{i}) = f(-i_1, \dots, i_n) \quad \forall \vec{i} \in \mathbb{Z}^n$

## Example ( $n = 2$ )

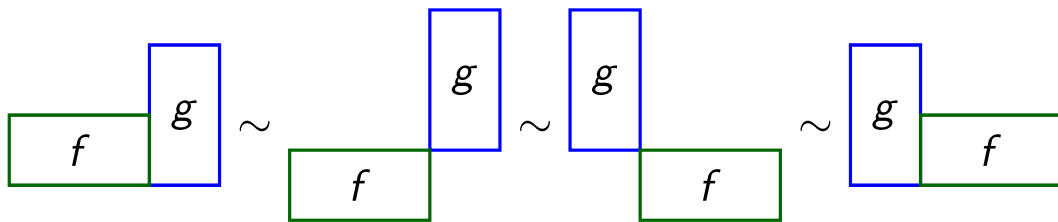
$$f : \begin{array}{c|ccccc} 2 & K & N & A & H & T \\ 1 & U & O & Y & & \\ 0 & N & E & M & O & W \\ -1 & I & N & & & \\ -2 & ! & H & T & A & M \\ \hline & -2 & -1 & 0 & 1 & 2 \end{array}$$

$$f^{-1} : \begin{array}{c|ccccc} 2 & T & H & A & N & K \\ 1 & Y & O & U & & \\ 0 & W & O & M & E & N \\ -1 & I & N & & & \\ -2 & M & A & T & H & ! \\ \hline & -2 & -1 & 0 & 1 & 2 \end{array}$$

# Discrete Homotopy Theory for Graphs

## Theorem

$A_n(\Gamma, v_0)$  is an abelian group  $\forall n \geq 2$



# Discrete Homotopy Theory for Graphs

## Examples

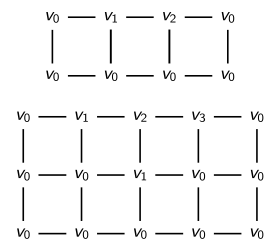
$$A_1 \left( \begin{array}{c} v_0 \text{---} v_1 \\ \bullet \text{---} \bullet \end{array}, v_0 \right) = 1$$

$$A_1 \left( \begin{array}{c} v_2 \\ \triangle \\ v_0 \text{---} v_1 \end{array}, v_0 \right) = 1$$

$$A_1 \left( \begin{array}{c} v_3 \text{---} v_2 \\ \square \\ v_0 \text{---} v_1 \end{array}, v_0 \right) = 1$$

$$A_1 \left( \begin{array}{c} v_2 \\ \text{pentagon} \\ v_3 \text{---} v_1 \\ v_4 \text{---} v_0 \end{array}, v_0 \right) \cong \mathbb{Z}$$

$$A_1 \left( \begin{array}{c} \text{tetrahedron} \\ \text{---} \\ v_0 \end{array}, v_0 \right) \cong 1$$



$$A_1(\Gamma, v_0) \cong \pi_1(\Gamma, v_0) / N(3, 4 \text{ cycles}) \cong \pi_1(X_\Gamma, v_0)$$

( $X_\Gamma$  a 2-dim cell complex: attach 2-cells to  $\triangle$  and  $\square$  of  $\Gamma$ )

# Discrete Homotopy Theory: from simplices to graphs

►  $A_n^q(\Delta, \sigma_0) \cong A_n(\Gamma_\Delta^q, \sigma_0)$

$q$  connected chains of simplices,  $\sigma_0 - \sigma_1 - \sigma_2 - \cdots - \sigma_m$   
where  $\dim(\sigma_i \cap \sigma_{i+1}) \geq q$

$\Gamma_\Delta^q$  vertices = all maximal simplices of  $\Delta$  of  $\dim \geq q$

$$(\sigma, \sigma') \in E(\Gamma_\Delta^q) \iff \dim(\sigma \cap \sigma') \geq q$$

## Is it a Good Analogy to Classical Homotopy?

1. If  $\Gamma$  is connected,  $A_n(\Gamma, v_0)$  independent of  $v_0$
2. Siefert-van Kampen: if  
 $\Gamma = \Gamma_1 \cup \Gamma_2$ ;  $\Gamma_i$  connected;  $v_0 \in \Gamma_1 \cap \Gamma_2$ ;  $\Gamma_1 \cap \Gamma_2$  connected  
 $\Delta, \square$  lie in one of the  $\Gamma_i$

then

$$A_1(\Gamma, v_0) \cong A_1(\Gamma_1, v_0) * A_1(\Gamma_2, v_0) / N([\ell] * [\ell]^{-1})$$

for  $\ell$  a loop in  $\Gamma_1 \cap \Gamma_2$

3. Relative discrete homotopy theory and long exact sequences
4. Associated discrete [homology](#) theory.

# Discrete Homology Theory for Graphs

(B., Capraro, White)

1. Discrete  $n$ -dim cube  $Q_n = \{(a_1, \dots, a_n) \mid a_i = 0 \text{ or } 1\}$
2. Singular  $n$ -cube  $\sigma: Q_n \rightarrow \Gamma$  graph homomorphism
3.  $\mathcal{L}_n(\Gamma) :=$  free abelian group generated by all singular  $n$ -cubes  $\sigma$ 
  - ▶  $i^{\text{th}}$  front and back faces of  $\sigma$  are singular  $(n-1)$ -cubes
  - ▶ Degenerate singular  $n$ -cube: if  $\exists i$  s.t.  $i$ -front= $i$ -back
  - ▶  $D_n(\Gamma) :=$  free abelian group generated by all degenerate singular  $n$ -cubes
4.  $C_n(\Gamma) := \mathcal{L}_n(\Gamma)/D_n(\Gamma)$ ;  $n$ -chains
5. Boundary operators  $\partial_n$  for each  $n \geq 1$

$$\partial_n(\sigma) = \sum_{i=1}^n (-1)^i (A_i^n(\sigma) - B_i^n(\sigma))$$

6. The *discrete homology groups* of  $\Gamma$ :

$$DH_n(\Gamma) = \text{Ker}(\partial_n) / \text{Im}(\partial_{n+1})$$

# Discrete Homology Theory for Graphs

## Examples

$$\begin{aligned}
 DH_n(-) &= 0 \quad \forall n \geq 1 & DH_n(\triangle) &= 0 \quad \forall n \geq 1 \\
 DH_n(\square) &= 0 \quad \forall n \geq 1 & DH_1(\text{pentagon}) &= \mathbb{Z} \quad \forall n \geq 2, \text{ is trivial} \\
 DH_1(\text{cube}) &= 0 & DH_2(\text{cube}) &= \mathbb{Z} \\
 DH_3(\text{cube}) &= 0
 \end{aligned}$$

## Definition

If  $\Gamma' \subseteq \Gamma$ , then  $\partial_n(C_n(\Gamma')) \subseteq C_{n-1}(\Gamma')$  and there are maps

$$\partial_n: C_n(\Gamma, \Gamma') = C_n(\Gamma) / C_n(\Gamma') \rightarrow C_{n-1}(\Gamma, \Gamma')$$

The *relative homology groups* of  $(\Gamma, \Gamma')$ :

$$DH_n(\Gamma, \Gamma') = \text{Ker}(\partial_n) / \text{Im}(\partial_{n+1})$$



## How to Judge if Homology Theory is Good?

1. Hurewicz Theorem:  $DH_1(\Gamma) \cong A_1^{\text{ab}}(\Gamma)$
2. Discrete version of Mayer-Vietoris sequence
3. Eilenberg-Steenrod axioms:

- ▶ Homotopy: If

$$f, g: (\Gamma, \Gamma_1) \rightarrow (\Gamma', \Gamma'_1)$$

are discrete homotopic maps then their induced maps on homology are the same

- ▶ Excision:

$$DH_*(\Gamma_2, \Gamma_1 \cap \Gamma_2) \cong DH_*(\Gamma, \Gamma_1)$$

if  $\Gamma = \Gamma_1 \cup \Gamma_2$  is a discrete cover

- ▶ Dimension:

$$DH_n(\bullet, \emptyset) = \{0\} \quad \forall n \geq 1$$

- ▶ Long exact sequence:

$$\cdots \rightarrow DH_n(\Gamma') \hookrightarrow DH_n(\Gamma) \hookrightarrow DH_n(\Gamma, \Gamma') \xrightarrow{\partial_*} DH_{n-1}(\Gamma') \cdots$$

## How to Judge if Homology Theory is Good?

### C. Which groups can we obtain?

- ▶ For a fine enough rectangulation  $R$  of a compact, metrizable, smooth, path-connected manifold  $M$ , let  $\Gamma_R$  be the natural graph associated to  $R$ . Then

$$\pi_1(M) \cong A_1(\Gamma_R)$$

↓ (+ suspension)

- ▶ For each finitely generated abelian group  $G$  and  $\bar{n} \in \mathbb{N}$ , there is a finite connected simple graph  $\Gamma$  such that

$$DH_n(\Gamma) = \begin{cases} G & \text{if } n = \bar{n} \\ 0 & \text{if } n \leq \bar{n} \end{cases}$$

- ▶ There is a graph  $S^n$  such that

$$DH_k(S^n) = \begin{cases} \mathbb{Z} & \text{if } k = n \\ 0 & \text{if } k \neq n \end{cases}$$

## Applications ( $n = 1$ )

- ▶ Maurer (1971): Characterize matroid basis graphs: (connected), interval and positioning conditions and  $A_1(\Gamma) \stackrel{?}{\cong} 1 \iff \Gamma$  is a matroid basis graph  
No (M. 1973), unless  $\Gamma$  contains at least one vertex with finitely many neighbours (2015 Chapolin et al.)
- ▶ Lovász (1977): Homology theory for spanning trees of a graph – arborescence complex
- ▶ Malle (1983): Net homotopy of graphs; String groups are  $A_1(\Gamma)$  and  $A_1(\Gamma) \cong 1 \iff$  each cycle has a pseudoplanar net.
- ▶ Laubenbacher et al. (2004): Time Series Analysis of data from agent-base computer simulations. Trivial  $A_1$  correlates with high fitness of agents.

## Applications ( $n = 1$ )

- ▶ B. Seavers, White (2011):

$$A_1^{n-k+1}(\mathbb{R}\text{-Coxeter comp } W) \cong \pi_1(M(k\text{-parabolic arr. } W))$$

generalizing Brieskorn's results for  $\mathbb{C}$ -hyperbolic arrangements.

- ▶ A. Khukhro, T. Delabie (2020)

$$A_1^r(\text{Cay}(G/N, \bar{S}), e) \cong N.$$

Uses  $r$ -Lipschitz maps, Cayley graph of a finitely generated group  $G = \langle S \rangle$ ,  $N$  a normal subgroup of  $G$ . The discrete fundamental group of a Cayley graph detects the normal subgroup used to build it.

# Unexpected Application of Discrete Homotopy Theory

## Complex $K(\pi, 1)$ Spaces

$\mathcal{A}_{n,2}^{\mathbb{C}}$  braid arrangement:  
 $\{\vec{z} \in \mathbb{C}^n \mid z_i = z_j\}, i < j$

$M(\mathcal{A}_{n,2}^{\mathbb{C}})$  is  $K(\pi, 1)$   
 (Fadell-Neuwirth 1962)

$\pi_1(M(\mathcal{A}_{n,2}^{\mathbb{C}})) \cong$  pure braid gp.  
 (Fox-Fadell 1963)

$M(\mathcal{A}_{n,2}^{\mathbb{C}}(W))$  is  $K(\pi, 1)$   
 (Deligne 1972)

## Real $K(\pi, 1)$ Spaces

$\mathcal{A}_{n,3}^{\mathbb{R}}$  3-equal subspace arr:  
 $\{\vec{x} \in \mathbb{R}^n \mid x_i = x_j = x_k\}, i < j < k$

$M(\mathcal{A}_{n,3}^{\mathbb{R}})$  is  $K(\pi, 1)$   
 (Khovanov 1996)

$\pi_1(M(\mathcal{A}_{n,3}^{\mathbb{R}})) \cong$  pure triplet gp.  
 (Khovanov 1996)

$M(\mathcal{A}_{n,3}^{\mathbb{R}}(W))$  are  $K(\pi, 1)$   
 Davis-Januszkiewicz-Scott  
 2008)

# Unexpected Application of Discrete Homotopy Theory

## Complex $K(\pi, 1)$ Spaces

$\mathcal{A}_{n,2}^{\mathbb{C}}$  braid arrangement:  
 $\{\vec{z} \in \mathbb{C}^n \mid z_i = z_j\}, i < j$

$\pi_1(M(\mathcal{A}_{n,2}^{\mathbb{C}}(W)))$   
 $\cong$  pure Artin group  
 $\cong \text{Ker}(\phi)$   
 (Brieskorn 1971)

## Real $K(\pi, 1)$ Spaces

$\mathcal{A}_{n,3}^{\mathbb{R}}$  3-equal subspace arr:  
 $\{\vec{x} \in \mathbb{R}^n \mid x_i = x_j = x_k\}, i < j < k$

$\pi_1(M(\mathcal{A}_{n,3}^{\mathbb{R}}(W))) \cong \text{Ker}(\phi')$   
 where  $\mathcal{A}_{n,3}^{\mathbb{R}}(W)$  is a 3-parabolic  
 subsp. arr. of type  $W$   
 (B-Severs-White 2009)

## Theorem

$$A_1^{n-k+1}(\text{Coxeter complex } W) \cong \pi_1(M(\mathcal{A}_{n,k}^{\mathbb{R}}(W))) \quad 3 \leq k \leq n$$

Note:  $A_1^{n-k+1} \cong \pi_1 \cong 1$  for  $k > 3$

## Essence of the Proof

1. Presentation of a Coxeter group  $(W, S)$  subject to

- (i)  $s^2 = 1$  for  $s \in S$
- (ii)  $(st)^2 = 1$  for  $s, t$  such that  $m(s, t) = 2$
- (iii)  $(st)^3 = 1$  for  $s, t$  such that  $m(s, t) = 3$
- $\vdots$

2. Artin group: “ $W - (i)$ ” i.e.

$$(st)^2 = 1, \quad (st)^3 = 1, \quad \dots$$

( $W = S_n$  represent the braid group )

3. Pure Artin gp:  $\text{Ker}(\phi)$ , where  $\phi: “W - (i)” \rightarrow W$  by  $\phi(s_i) = s_i$

$$\pi_1(M(\mathcal{A}_{n,2}^{\mathbb{C}})) \cong \text{Ker}(\phi)$$

## Essence of the Proof

4. 3-parabolic arrangement of type  $W$ , subspaces invariant under the action of irreducible parabolic subgroups of rank 2 (closed under conjugation).

5. Real-Artin group “ $W' = (W - \{(iii), (iv), \dots\}, S)$ ,” i.e.: keep only:

- (i)  $s^2 = 1$  for  $s \in S$
- (ii)  $(st)^2 = 1$  for  $s, t$  such that  $m(s, t) = 2$  ( $W = S_n$  represent the triplet group (Khovanov))

6.  $\phi': W' \rightarrow W$  with  $\phi'(s) = s, \forall s \in S$

$$\pi_1(M(\mathcal{A}_{n,3}^{\mathbb{R}}(W))) \cong \text{Ker}(\phi') \cong A_1^{n-3+1}(\text{Coxeter complex } W)$$

# Essence of Proof

- ▶ The  $W$ -permutahedron is the Minkowski sum of unit line segments  $\perp$  to hyperplanes of  $W$
- ▶ Its 2-skeleton has:
  - vertices  $w \in W$
  - edges  $(w, ws)$ , where  $s$  is a simple reflection
  - 2-faces are bounded by cycles  $(w, ws, wst, \dots, w(st)^{m(s,t)})$

4-cycles  $(st)^2 = 1$  ( $s$  and  $t$  commute)

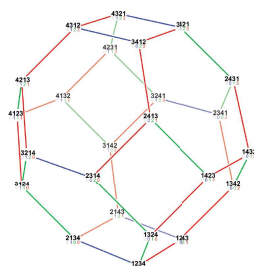
6-cycles  $(st)^3 = 1$

8-cycles  $(st)^4 = 1$

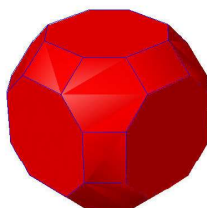
- ▶ The complement of the 3-parabolic subspace arrangement of type  $W$  is homotopy equivalent to the space obtained from the (dual)  $W$ -permutahedron by removing the faces bounded by 6-cycles, 8-cycles,...

## Unexpected Application of Discrete Homotopy Theory

- ▶ (Dual) Coxeter complex for  $S_n$  is the permutahedron



- ▶ (Dual) Coxeter complex for  $B_n$



## Conclusion

We have replaced a group ( $\pi_1$ ) defined in terms of the topology of a space with a group ( $A_1$ ) defined in terms of the combinatorial structure of the space.

*“The further a mathematical theory is developed, the more harmoniously and uniformly does its construction proceed, and unsuspected relations are disclosed between hitherto separated branches of the science.” — David Hilbert*

THANK YOU!