

# The Intrinsic Hodge Theory of $p$ -adic Hyperbolic Curves

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- §1. Uniformization Theory as a Hodge  
Theory at Arithmetic Primes
- §2. The Physical Aspect:  
Embedding by Automorphic Forms
- §3. The Modular Aspect:  
Canonical Frobenius Actions

# §1. Unif. Theory as a Hodge Theory at Arithmetic Primes

## (A.) Uniformization as a Catalogue of Rational Points

Problem: For a variety  $Z/\mathbf{C}$ , list/  
*catalogue* explicitly the set of rational  
rational points  $Z(\mathbf{C})$ , e.g.,

Complex Planar Varieties:

$$\begin{aligned} Z(\mathbf{C}) &\stackrel{\text{def}}{=} V(f(X, Y)) \\ &= \{(x, y) \in \mathbf{C}^2 \mid f(x, y) = 0\} \end{aligned}$$

1. Linear:  $X = 0 \implies$   
 $(0, ?) : \mathbf{C} \xrightarrow{\sim} Z(\mathbf{C})$

2. Quadratic:  $X \cdot Y = 1 \implies$

$$\exp : \mathbf{C} \rightarrow Z(\mathbf{C}) = \mathbf{C}^\times$$

3. Cubic: Elliptic Curve  $E \implies$

$$\exp_E : \mathbf{C} \rightarrow E(\mathbf{C}) = \mathbf{C}/\Lambda$$

4. Higher Degree:

*Hyperbolic Curve*  $Z$ : smooth, proper  
connected genus  $g$  algebraic curve  
–  $r$  points, s.t.  $2g - 2 + r > 0$

$\Downarrow$  (Köbe)

Upper half-plane  $\mathcal{H} \rightarrow Z(\mathbf{C}) = \mathcal{H}/\Gamma$

## (B.) “Intrinsic” Hodge Theories

Unif. = linear/geometric catalogue  
of rational points

⇓ an equivalence:

$$\left( \begin{array}{c} \text{alg.} \\ \text{geom.} \\ \text{(e.g.,} \\ \text{rat.} \\ \text{pts.)} \end{array} \right) \iff \left( \begin{array}{c} \underline{\mathbf{C}}: \text{top. +} \\ \text{diff. geom.} \\ \underline{p\text{-adics}}: \\ \text{pro-}p \text{ étale} \\ \text{top. + Gal.} \\ \text{action} \end{array} \right)$$

A “Hodge Theory”: but not of cohomoms.  
(i.e., de Rham.  $\iff$  sing./et. cohom.)

Uniformization = case where:

“alg. geom.” = “variety itself,” e.g.,

○ Its Rat. Points  $\rightsquigarrow$  Physical Aspect  
(uniformization of variety itself)

○ Its Moduli  $\rightsquigarrow$  Modular Aspect  
(uniformization of moduli space)

... “Intrinsic Hodge Theory” (IHT)

Note: For  $\mathbf{G}_m = V(XY - 1)$ , elliptic curve  $E$ ,

IHT = HT of cohom.

since these are 1-motives!

## (C.) Completion at Arith. Primes

To realize (I)HT, typically must complete at “arithmetic primes”:

inf. primes ( $\mathbf{C}$ ),  $p$ -adic primes ( $\mathbf{Q}_p$ ),  
degenerate object (power series/ $\mathbf{Z}$ )

Guiding Principle:  $\forall$  arithmetic prime,  
 $\exists$  canonical unif. theory at that prime.

(but, in general, theories at different  
primes are not compatible!!)

Examples: (Phys./Mod.)

- (1.) Abelian Varieties
- (2.) Hyperbolic Curves

(1.) Abelian Varieties

<u>C</u>	<u>p-adic</u>	<u>Deg. Obj.</u>
exp. map. of AV/ Siegel upper half-pl.	Tate's thm./ Serre- Tate theory	Schottky unifs. of Tate/ Mumford

(2.) Hyperbolic Curves

<u>C</u>	<u>p-adic</u>	<u>Deg. Obj.</u>
Fuchsian unif./ Teich.- Bers Unif. Theory	§2 (anab. conj.)/ §3 (p-adic Teich. th.)	formal algebr. Schottky unif. of Mumford

## §2. The Physical Aspect: Embedding by Aut. Forms

### (A.) The Complex Case

$$\begin{aligned} \underline{\text{alg. curve}} X &\iff SO(2) \backslash PSL_2(\mathbf{R}) / \Gamma \\ &\quad \text{(physical/analytic obj.)} \\ &\iff \pi_1(\mathcal{X}) + \text{action on } \mathcal{H} \\ &\iff \underline{\text{top.}} + \underline{\text{arith. str.}} \text{ (geom.)} \end{aligned}$$

Autom. forms define first “ $\iff$ ”: i.e.,  
to recover alg. str., constr. aut. forms  
analytically, then embed in proj. sp.



Point: *analytic* repr. of alg. diff. forms.

$\implies$  do this *p-adically* using *p*-adic HT

$$\begin{array}{ccc} \text{Upp. half-pl. } \mathcal{H} & \longrightarrow & \text{Proj. Sp.} \\ \downarrow & & \parallel \\ \text{Alg. Curve} & \hookrightarrow & \text{Proj. Sp.} \end{array}$$

The Case of  $SL_2(\mathbf{Z})$

## (B.) The Arith. Fund. Group

$K \stackrel{\text{def}}{=} \text{char. } 0 \text{ field, } \Gamma_K \stackrel{\text{def}}{=} \text{Gal}(\overline{K}/K)$

$X: \text{hyp. curve}/K, \quad \overline{X} \stackrel{\text{def}}{=} X \times_K \overline{K}.$

$\Rightarrow 1 \rightarrow \pi_1(\overline{X}) \rightarrow \pi_1(X) \rightarrow \Gamma_K \rightarrow 1$

$\pi_1(\overline{X})$  (geom.  $\pi_1$ ): indep. of moduli

(but in char.  $p$ , may determine

moduli! – A. Tamagawa)

Grothendieck's anabelian philosophy:

“Extension should determine moduli.”

## (C.) The Main Theorem

Theorem 1:  $K \subseteq$  fin. gen. extn./ $\mathbf{Q}_p$ ,  
 $X$ : hyperbolic curve/ $K$ ,  
 $S$  : smooth variety/ $K$ .

$$\Rightarrow X(S)^{\text{dom}} \xrightarrow{\sim} \text{Hom}_{\Gamma_K}^{\text{open}}(\pi_1(S), \pi_1(X))$$

i.e., alg. curve  $X \iff$   
phys./an. obj.  $\text{Hom}_{\Gamma_K}^{\text{open}}(-, \pi_1(X))$

Builds on work of: H. Nakamura, A. Tamagawa + G. Faltings, Bloch/Kato.

Proof: Consider  $p$ -adic analytic diff. forms on  $(\mathbf{Z}_p[T]_{(p)}^{\text{tame}})^{\wedge}$   
(maps to  $X$ ) – cf. mod. forms on  $\mathcal{H}$ .

Remark: Also pro- $p$ , function field versions (cf. F. Pop).

## (D.) Comparison with the Case of Abelian Varieties

Th.1 resembles Tate Conjecture, i.e.,

$$\text{Hom}(\text{abelian varieties}) \iff \text{Hom}(\text{Tate modules})$$

But T. C. false over *local fields*!

New point of view:

Theorem 1 =  $p$ -adic version of  
physical aspect of  
of Fuchsian unif.

### §3. The Modular Aspect: Canonical Frobenius Actions

#### (A.) The Complex Case

$\{\text{hyp. curve } X + \text{proj. str.}\} \rightsquigarrow \mathcal{S}_{g,r}$   
 $= \{\text{squ. diffs.}\}$ -('Schwarz') torsor/ $\mathcal{M}_{g,r}$

(*proj. str.*  $\subseteq \mathcal{O}_X$ , sects. differ loc.  
 by *lin. fract. trans.*)

Over  $\mathbf{C}$ :  $\exists$  "open ball of  
quasi-conformal gps."

$$\begin{array}{ccc}
 \text{'}\mathcal{S}_{g,r}^{\text{int}\infty}\text{' } \curvearrowright \text{Fr}_{\infty} & & \\
 \parallel & & \\
 \text{Rep}^{\text{QC}}(\pi_1(X), & \xrightarrow{\text{open}} & (\mathcal{S}_{g,r})_{\mathbf{C}} \\
 PGL_2(\mathbf{C})) & & \downarrow \\
 \cup & \xrightarrow{\sim} & (\mathcal{M}_{g,r})_{\mathbf{C}} \\
 \text{Fr}_{\infty}\text{-invars.} & & 
 \end{array}$$

$\rightsquigarrow$  can. real an.  $s : (\mathcal{M}_{g,r})_{\mathbf{C}} \rightarrow (\mathcal{S}_{g,r})_{\mathbf{C}}$   
(= section assoc. to Fuchs. unif.)

s.t.: (1)  $\bar{\partial} s =$  Weil-Petersson metric

(2)  $\int$  WP = Bers coords.

$= \text{pr}_{\mathcal{M}_{g,r}} \circ (\text{Fr}_{\infty}|_{\text{Fiber}})$

“Bers unif. is a Frobenius action!”

## (B.) Teich. Theory in Char. $p$

Proj. str. s.t.  $p$ -curv. (= “[Frob.,  $\nabla$ ]”)  
sq. nilp. (cf. Shimura curves)  $\implies$

$$\begin{array}{ccc} (\mathcal{S}_{g,r})_{\mathbf{F}_p} & = & (\mathcal{S}_{g,r})_{\mathbf{F}_p} \\ \cup & & \downarrow \\ \mathcal{N}_{g,r} & \rightarrow & (\mathcal{M}_{g,r})_{\mathbf{F}_p} \end{array}$$

Theorem 2:  $\mathcal{N}_{g,r} \rightarrow (\mathcal{M}_{g,r})_{\mathbf{F}_p}$ : fin., flat,  
loc. compl. int., deg. =  $p^{3g-3+r}$ , i.e.,

$\mathcal{N}_{g,r}$  “almost” a section of Sch. torsor!

Remarks: (1)  $\mathcal{N}_{g,r} \rightsquigarrow$  ‘ $p$ -adic Teich. th.’

(2)  $\mathcal{N}_{g,r} \implies$  new prf. that  $\mathcal{M}_{g,r}$  conn.!  
(cf. Teich. th./ $\mathbf{C}$ ; ab. vars. (Oort)!)

## (C.) Canonical p-adic Liftings

$$\mathcal{N}_{g,r} \supseteq (\mathcal{N}_{g,r}^{\text{ord}})_{\mathbf{F}_p} \stackrel{\text{def}}{=} \text{ét. loc.}/(\mathcal{M}_{g,r})_{\mathbf{F}_p}$$

$$\begin{array}{ccc} \implies & (\mathcal{S}_{g,r})_{\mathbf{Z}_p} & = & (\mathcal{S}_{g,r})_{\mathbf{Z}_p} \\ & \cup & & \downarrow \\ \Phi_{\mathcal{N}} \curvearrowright & (\mathcal{N}_{g,r}^{\text{ord}})_{\mathbf{Z}_p} & \xrightarrow{p\text{-adic ét}} & (\mathcal{M}_{g,r})_{\mathbf{Z}_p} \\ & \cdot \parallel \cdot & & \\ & \text{Rep}^{\text{Crys}}(\pi_1(X_{\mathbf{Q}_p}), PGL_2(\mathbf{Z}_p)) & & \end{array}$$

Thm. 3:  $\{\Phi_{\mathcal{N}}, \cup\}$  are ! Frob.-inv. pair.

$\implies s_{\mathcal{N}} = \underline{\text{can. sect. of Sch. torsor!}}$

Remarks: (1)  $(1/p) \cdot d\Phi_{\mathcal{N}}$  is isom., i.e.,

$\Phi_{\mathcal{N}}$  is ordinary Frobenius lifting



(2) ‘ $\int$  ord. F.L.’  $\implies$   
canonical mult. parameters  
(cf. real analytic Kähler metrics)

(3)  $\Phi_{\mathcal{N}} \longleftrightarrow$  Weil-Petersson metric  
‘ $\int \Phi_{\mathcal{N}}$ ’  $\longleftrightarrow$  Bers coordinates

(4)  $\exists$  ord. F.L.  $\Phi_{\mathcal{A}} \implies$   
 Serre-Tate Theory for ord. AV's  
 (can. mult. pars.  $\longleftrightarrow$  "S.-T. pars.")  
 $\implies$  Th.3 = Serre-Tate  
 theory for hyp. curves!

(5)  $\Phi_{\mathcal{N}}, \Phi_{\mathcal{A}}$ , "ord's" not compatible!  
 $\longleftrightarrow \mathcal{M}_g \rightarrow \mathcal{A}_g$  not isometric/ $\mathbf{C}$   
 (for WP metric,  
 Siegel upper half-plane metric)