

Abstract: The moduli space of polarized K3 surfaces is of general type except for finitely many genus. As an example of exception I explain the unirationality for genus 13 using the Coble quartic in  $\mathbb{P}^7$ , which is the moduli space  $N_+$  of 2-bundles on a plane quartic curve. The generic K3 is described explicitly in the moduli space  $N_-$ , the Hecke partner of  $N_+$ .

## §1 Background

$$\mathcal{F}_g := \left\{ \begin{array}{l} \text{(quasi-)stable polarized K3 surfaces} \\ (S, h) \text{ with } (h^2) = 2g-2 \end{array} \right\} / \text{isom.}$$

$$\cong \mathcal{D}^{19} / \Gamma_{2g-2} \quad (\text{type IV domain}) / \text{disc. grp.}$$

Gritsenko-Hulek-Sankaran (2007)

$\mathcal{F}_g$  is of general type for  $g \geq 63$  (and for other 7 values)

Mini-history of opposite direction, unirationality:

- ① Corollary of unirationality of moduli of prime Fano 3-folds  $X$  since general  $S \in \mathcal{F}_g$  belongs to  $|K_X| = \mathbb{P}^{\delta+1}$   
 $g = 2, 3, \dots, 10$  &  $12$ .
- ②  $g = 11$ : Follows from uniruledness by Mori-M.(1981) and unirationality of  $\mathcal{M}_{11}$  by Chang-Ran (1984)

③  $g = 18, 20, 13, 16$  (M. 1992 ~ 2016)

④  $g = 14$  Nuer (2017)  
 $g = 22$  Lai (2017) uniruled  
Farkas-Verra (2022)

$\mathcal{F}_g \cong \mathcal{H}_g \hookrightarrow \mathcal{D}^{2g} / \Gamma$  moduli of cubic 4-folds  
Heegner divisor

Description of generic cubic 4-fold  $\in \mathcal{H}_g$

$\Rightarrow$  uniruled. of  $\mathcal{H}_g$  and hence  $\mathcal{F}_g$ .

Today : New description of general  $\mathcal{S} \in$

$\mathcal{F}_{13}$  using Coble 6-fold and it's Hecke partner

Advantage: This idea may work also in unknown case  $g=19$ .

§2 Coble quartics  $\mathcal{C}_4 \subset \mathbb{P}^3$

Plane quartic  $C$  ( $\Leftrightarrow$  non hyper-elliptic  $C \in \mathcal{M}_3$ )

$C \xrightarrow{K_C} C_4 \subset \mathbb{P}^2$

$\mathcal{U} = \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix}$

$w_1 = \frac{dx_1 dx_2}{f_4(x_1)} \text{ etc.}$



Jac  $C = \mathbb{C}^3 / (\text{periods})$  as Part I. 3

$\cup$   
 (ii) theta divisor  $\cong \text{Sym}^2 C$

$$\begin{array}{ccc} \mathbb{P}^{[2\Theta]} & ; \text{Jac } C \longrightarrow & \mathbb{P}^7 \\ & \searrow & \nearrow \\ & \text{Km } C & \\ & = \text{Jac } C / \pm 1 & \end{array}$$

$\text{Km } C$  is  $\cap$  of 8 cubics.

$\exists!$  quartic hypersurface  $\mathcal{C}_4 \subset \mathbb{P}^7$  s.t.

$\text{Sing } \mathcal{C}_4 = \text{Km } C$ , called Coble ass. w.  $C_4 \subset \mathbb{P}^7$ .

**Main Theorem (M.-Kametsu)**  $\exists \mathcal{C}_4$ -program

Input:  $C = C_4 \subset \mathbb{P}^2$ ,  $p_1, p_2 \in C$ ,

$f_1 \in \mathbb{P}^7 \leftarrow \mathcal{C}_4 \hookrightarrow \mathbb{P}^7 \ni f_2$

Output:  $S = S_C, p_1, p_2, f_1, f_2 \in \mathcal{F}_{13}$  general member

**Corollary**  $\mathcal{F}_{13}$  is unirational

(# of parameters) =  $6 + 2 + 14 = 22 > 14 = \dim \mathcal{F}_{13}$

§3 Grassmannian method v.s. VBAC method

4

E vector bundle on a K3 surface S

rigid (or spherical)  $\stackrel{\text{def.}}{\Leftrightarrow} H^i(\mathcal{H}^1 E) = 0 \quad \forall i$   
 semi-rigid  $\quad \quad \quad h^i(\mathcal{H}^1 E) = \begin{cases} 2 & i=1 \\ 0 & \text{otherwise} \end{cases}$

By R-R, rigid  $\Leftrightarrow (v(E)^2) = -2$   
 semi  $\quad \quad \quad = 0$

when E is stable, where  $v(E) = (r(E), c_1(E), c_2(E) + r(E)^2) \in \mathbb{Z} \oplus \text{Pic } S \oplus \mathbb{Z}$ , and  
 $(v^2) := (c_2) - 2r \cdot s$  for  $v = (r, c_1, s)$ .

Put  $M_S(v) := \{ \text{stable } E\text{'s w. } v(E) = v \} / \text{isom.}$   
 which is  $(\text{smooth}) (v^2) + 2 - \dim$ .

$$(v^2) = -2 \Rightarrow \# M_S(v) \leq 1$$

$(v^2) = 0 \Rightarrow \overline{M_S(v)}$  is again a K3 surface.

G-method. Embed S into Grassmannian by vector bundle E, usually (semi-) rigid, morphism  $\Phi_{|E|} : S \rightarrow G(\mathcal{H}^0(E), r(E))$  and describe the image.

Example 1. (M. 2006)  $g=13$ ,  $E \in \mathcal{M}_g(3, h, 4)$  5

$$\mathbb{P}^1|E| : S \hookrightarrow G(7, 3)$$

12-dim!

semi-rigid  
 $h^0(E) = 3+4=7$

$$0 \rightarrow \mathcal{F}^\vee \rightarrow \mathcal{O}_G^{\oplus 7} \rightarrow \mathcal{E} \rightarrow 0$$

univ. exact seq.

$S$  is a complete intersection w. r. t.

$\lambda \mathcal{E} \oplus \lambda \mathcal{E} \oplus \lambda \mathcal{F}$ . ( $\Rightarrow$  unirationality of  $\mathcal{F}_{13}$ ,  
 1st proof)

Advantage: This method describes the universal family  $\mathcal{S}_g$  over  $\mathcal{F}_g$  as orbit space, and hence shows its unirationality.

### VBAC method

1 Choose a suitable  $v = (r, h, s)$  with  $(v^2) = 0$  ( $\Leftrightarrow rs = g-1$ ) and consider moduli K3  $T := \mathcal{M}(v)$ , which has nat'l polarization

$$\hat{h} \quad \text{with} \quad (\hat{h}^2) = (h^2) / a^2 \quad \text{with} \quad a = \text{GCD}(r, s).$$

2 Take a curve  $C \in |\hat{h}|$  on  $T$ . A universal family  $\mathcal{E}$  exists on  $S \times C$  by Tsen's theorem (even when  $a > 1$ ). Remark  $\nexists$  univ. family on  $S \times T$  when  $a > 1$ .

3

Consider the opposite moduli (or classification) map

$$S \dashrightarrow \mathcal{U}_C(r), \quad s \mapsto E|_{s \times C}$$

moduli of stable  
bundles on  $C$

and describe the image of  $S$  in  $\mathcal{U}_C(r)$ .

**Example 2** ( $g=7$ )  $v = (2, h, 3)$ ,  $a=1$ ,  
 $(T = M_r(w), \hat{h}) \in \mathcal{F}_7$ .  $C \in |\hat{h}| \Rightarrow S$  is

$(-K)$ -member of  $V := SU_C(2, K; 5)$

$V$  is a Fano 3-fold  
of genus 7

$$= \left\{ \begin{array}{l} \text{stable 2-bundle } E \\ \text{w. } \det E = K_C, h^0(E) \\ \geq 5 \end{array} \right\} / \text{isom.}$$

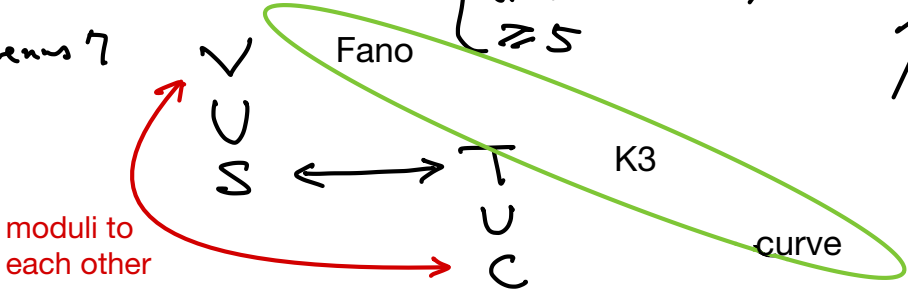
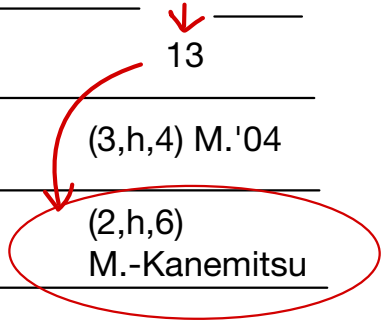


Table of 3 methods

genus	6	7	8	9	10	11	12	13
G-method	(2,h,3)	(5,2h,5)	(2,h,4)	(3,h,3)	(2,h,5)	---	(3,h,4), (2,h,6)	(3,h,4) M.'04
VBAC method	-----	(2,h,3)	---	(2,h,4)	(3,h,3)	(2,h,5) M.'96	-----	(2,h,6) M.-Kanemitsu
cubic 4-fold $g=n^2+n+2$			n=2					

Today



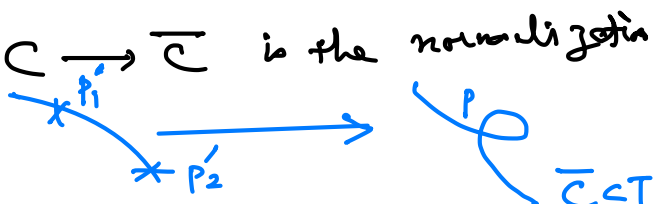
14	15	16	17	18	19	20	21	22 . . .
Nuer'17	(2,h,8)& ?	(3,h,5) M. '16	---	(3,h,6)& (2,h,9) M.'92	---	(4,h,5) M.'92	(3,h,7)& (4,h,5) ?	Lai'17 Farkas- Verra'22
n=3	?	?	(2,h,8)	---	(3,h,6)	---	?	n=4

○ indicates the existence of prime Fano 3-folds.

$(S, h), (h^2) = 24, h$ : primitive ( $2 \nmid h$  in  $\text{Pic } S$ ),  $v = (2, h, c), (v^2) = 0, a = (2, 6) = 2$   
 $T := M_S(v)$  K3 of degree  $(\hat{h})^2 = 24/4 = 6$   
 $T = (2)_n(3) \subset \mathbb{P}^4$  if  $S$  is general.

Failure: Unlike (ideal) case of  $g=7$  (Example 2), smooth  $C \in |\hat{h}|$  of genus 4 does not work, not give a good description.

Key (of success): Take a 1-nodal  $\bar{C} \in |\hat{h}|$  of  $p_c = 4$  &  $g = 3$ , and consider the pull-back  $E$  of the universal bundle  $\bar{E}$  on  $S \times \bar{C}$ , where  $C \rightarrow \bar{C}$  is the normalization and  $g(C) = 3$ .



3 (improved form) Consider the opposite moduli map

$$S \longrightarrow \mathcal{U}_C(2), \quad s \longmapsto E|_{s \times C}$$

Then  $S$  has a nice description in the moduli. (Since  $C$  itself is a kind of moduli,  $\mathcal{U}_C(2)$  is a "double moduli", or, a "modular hull".)



$\xi \in \text{Pic } C$

$\mathcal{S}U_C(2, \xi) := \{ E \mid \text{stable 2-bundle} \}$   
 w.  $\det \xi$   
 bdy =  $K_M(C)$  / isom.

$\deg \xi$  even  $\Rightarrow \mathcal{S}U_C(2, \xi) \cong \mathcal{C}_4$  Coble quartic  
 $E \otimes \sqrt{\xi} \leftarrow E \in \mathcal{S}U_C(2, 0)$

$\deg \xi$  odd  $\Rightarrow \mathcal{S}U_C(2, \xi)$  Fano 6-fold of index 2

$\exists$  univ. family  $\mathcal{U}$  on  $C \times \mathcal{S}U_C(2, \xi)$

(Normalization:  $\det \mathcal{U} \cong \xi \boxtimes \mathbb{C}^2$ , where  $\mathbb{C}^2$   
 is positive generator of  $\text{Pic } \mathcal{S}U_C(\ ) \cong \mathbb{Z}$ .)

$p \in C \quad \mathcal{U}_p := \mathcal{U} \mid_{p \times \mathcal{S}U_C(2, \xi)}$  (dim'l family of 2-bundles)

Fact:  $H^0(\mathcal{U}_p) \cong \mathbb{C}_P^2$   $\xrightarrow{\text{projectivisation}}$  ambient  $\mathbb{P}_P^2$  of  $\mathcal{C}_4$ ,

**Main Theorem**

$C = \mathcal{C}_4$ ,

$p_1, p_2 \in C, \Delta_1 \in H^0(\mathcal{U}_{p_1}),$

$\Delta_2 \in H^0(\mathcal{U}_{p_2})$  are general

$\Rightarrow \Sigma := (\Delta_1)_0 \cup (\Delta_2)_0$

is a general member of  $\mathcal{F}_{13}$  ( $\Rightarrow$  univ. of  $\mathcal{F}_{13}$ )

(Rmk: 4 points  $p_1', p_2', p_1$  and  $p_2$  are expected to lie on the same line in the ambient  $\mathbb{P}^2$  of  $C$ .)

Rank

$g = 19$

Interchange the value of rank and  $g(C)$ .

$$\begin{array}{ccc}
 & \downarrow & \\
 \left\{ \begin{array}{l} g(C) = 2 \\ \mathcal{SU}_C(3) \end{array} \right. & \begin{array}{c} g = 13 \\ \mathcal{SU}_C(2) \end{array} & \left\{ \begin{array}{l} g(C) = 3 \\ \mathcal{SU}_C(2) \end{array} \right.
 \end{array}$$

Replace  $\mathcal{C}_4 \subset \mathbb{P}^7 = |2 \Theta|^V$  by

Coble cubic  $\mathcal{C}_3 \subset \mathbb{P}^8 = |3 \Theta|^V$  and its

proj dual. ( $\mathcal{C}_4$  is self dual.) General  $S$

$\in \mathcal{F}_{19}$  is expected to be obtained from the

following data:  $C \in \mathcal{M}_2$ ,  $p_1, p_2 \in C$  and

pair of points  $f_1, f_2$  of the ambient of  $\mathcal{C}_3$ .

$$f_1 \in \mathbb{P}^8 \xleftarrow{p_1} \mathcal{C}_3 \xrightarrow{p_2} \mathbb{P}^8 \ni f_2$$