# On Categorical Models of Gol Lecture 1

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- ▶ We shall talk about the first categorical model of Gol.
- ▶ We will consider Gol 1 (Girard 1989) for MELL.
- I shall follow the paper: Haghverdi & Scott, A Categorical Model for Gol, ICALP 2004 and TCS 2006.
- We emphasize the notion of categorical *trace*.

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A critique of reductionism

G. Frege (1848-1925): In Function und Begriff, 1891.

- Sinn/Bedeutung sense/denotation
- The sense constitutes the particular way in which its denotation (reference) is given to one who grasps the thought.
- ► 2 + 3 = 5
- sense/denotation dynamic/static

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# Example

$$\frac{A \vdash A \quad A \vdash A}{A \vdash A} \qquad \succ \quad A \vdash A$$

- $\blacktriangleright \ id_A \circ id_A = id_A$
- More generally,  $\Pi$ ,  $\Pi'$  proofs of  $\Gamma \vdash A$ ,  $\Pi \succ \Pi'$ .
- Then

$$\llbracket \Pi \rrbracket = \llbracket \Pi' \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket.$$

- ► A *static* view!
- Gol offers a dynamic semantics.
- Syntax carries irrelevant information.

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### Where is this dynamics to be found?

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Gentzen's cut elimination theorem

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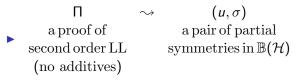
- Where is this dynamics to be found?
- Gentzen's cut elimination theorem
- ► Theorem (Cut Elimination (Hauptsatz))

(Gentzen, 1934) If  $\Pi$  is a proof of a sequent  $\Gamma \vdash A$ , then there is a proof  $\Pi'$  of the same sequent which does not use the cut rule.

$$\frac{\Gamma \vdash A \quad A, \Delta \vdash B}{\Gamma, \Delta \vdash B} \ (\textit{cut rule})$$

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# Girard's Implementation (System $\mathcal{F}$ )



• Dynamics = elimination of cuts ( $\sigma$ ) using

$$EX(u,\sigma) = (1-\sigma^2) \sum_{n\geq 0} u(\sigma u)^n (1-\sigma^2)$$

► Theorem (Girard, 1987)

(i) If  $(u, \sigma)$  is the interpretation of a proof  $\Pi$  of a sequent  $\vdash [\Delta], \Gamma$  then  $\sigma u$  is nilpotent.

(ii) if  $\Gamma$  does not use the symbols "?" or " $\exists$ ", then the interpretation is sound.

• strong normalisation  $\leftrightarrow$  nilpotency

## Back to our example

$$\frac{\vdash A, A^{\perp} \vdash A, A^{\perp}}{\vdash [A^{\perp}, A], A, A^{\perp}} \qquad \succ \qquad \vdash A, A^{\perp}$$

• Dynamics:  $EX(u, \sigma) = (1 - \sigma^2)(u + u\sigma u)(1 - \sigma^2) =$ 

- ▶ Gol 2 (1988): Deadlock-free algorithms, Recursion
- Gol 3 (1995): Additives
- Gol 4 (2003): The feedback equation
- Gol 5 (2008): The hyperfinite factor
- Danos (1990): Untyped Lambda Calculus
- Danos, Regnier, Malacaria, Mackie : Path-based Semantics
- Logical complexity related work, optimal lambda reduction, etc

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- Abramsky & Jagadeesan (1994): Categorical interpretation using Domain Theory, Feedback in dataflow networks
- Abramsky (1997): Gol Situation, Abramsky's Program
- Haghverdi (PhD, 2000): UDC based (particle style) Gol Situation and more, including path-based semantics
- Abramsky, Haghverdi and Scott (2002): Gol Situation to CA
- Haghverdi, Scott (2004,2006): Categorical models
- Haghverdi, Scott (2005,2009): Typed Gol
- ▶ Hines (1997): Self-similarity, inverse semigroups

## Definition (Kuros, Higgs, Manes, Arbib, Benson)

 $(M, \Sigma)$ , where *M* is a nonempty set and  $\Sigma$  is a partial operation on countable families in *M*.  $\{x_i\}_{i \in I}$  is *summable* if  $\Sigma_{i \in I} x_i$  is defined subject to:

► Partition-Associativity: {x<sub>i</sub>}<sub>i∈I</sub> and {I<sub>j</sub>}<sub>j∈J</sub> a countable partition of I

$$\Sigma_{i\in I}x_i = \Sigma_{j\in J}(\Sigma_{i\in I_j}x_i).$$

• Unary sum:  $\sum_{i \in \{j\}} x_i = x_j$ .

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- ► Σ<sub>i∈∅</sub>x<sub>i</sub> exists and is denoted by 0. It is a countable additive identity.
- Sum is commutative and associative whenever defined.
- ►  $\sum_{i \in I} x_{\varphi(i)}$  is defined for any permutation  $\varphi$  of *I*, whenever  $\sum_{i \in I} x_i$  exits.
- There are **no** additive inverses: x + y = 0 implies x = y = 0.

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### • M = PInj(X, Y), the set of partial injective functions.

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- {f<sub>i</sub>} is summbale if f<sub>i</sub> and f<sub>j</sub> have disjoint domains and codomains for all i ≠ j.

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$$\blacktriangleright (\Sigma_I f_i)(x) = \begin{cases} f_j(x) & \text{if } x \in Dom(f_j) \text{ for some } j \in I \\ undefined & \text{otherwise.} \end{cases}$$

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- M = Pfn(X, Y), the set of partial functions.
- ▶  $\{f_i\}$  is summable if  $f_i$  and  $f_j$  have disjoint domains for all  $i \neq j$ .
- $(\Sigma_I f_i)(x)$  as above.

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#### • M = Rel(X, Y), the set of binary relations from X to Y,

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- All families are summable,
- $\triangleright \ \Sigma_i R_i = \bigcup_i R_i.$
- $M = \text{countably complete poset}, \Sigma = sup.$

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- $M = \omega$ -complete poset,
- $\{x_i\}$  is summable if it is a countable chain,

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- $\triangleright \ \Sigma_{i\in I} x_i = sup_{i\in I} x_i,$
- Suppose x, y, z are in this family, with x ≤ z, y ≤ z and x, y incomparable, then
- x + (y + z) is defined but (x + y) + z is not defined.

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## Definition

A unique decomposition category  $\mathbb C$  is a symmetric monoidal category where:

- Every homset is a  $\Sigma$ -Monoid
- Composition distributes over sum (careful!)

satisfying the axiom:

(A) For all  $j \in I$ 

- quasi injection:  $\iota_j : X_j \longrightarrow \otimes_I X_i$ ,
- quasi projection:  $\rho_j : \otimes_I X_i \longrightarrow X_j$ ,

such that

• 
$$\rho_k \iota_j = 1_{X_j}$$
 if  $j = k$  and  $0_{X_j X_k}$  otherwise.

$$\blacktriangleright \sum_{i\in I} \iota_i \rho_i = \mathbf{1}_{\otimes_I X_i}.$$

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### Proposition (Matricial Representation)

For  $f : \otimes_J X_j \longrightarrow \otimes_I Y_i$ , there exists a unique family  $\{f_{ij}\}_{i \in I, j \in J} : X_j \longrightarrow Y_i$  with  $f = \sum_{i \in I, j \in J} \iota_i f_{ij} \rho_j$ , namely,  $f_{ij} = \rho_i f \iota_j$ .

In particular, for |I| = m, |J| = n

$$f = \left[ \begin{array}{ccc} f_{11} & \dots & f_{1n} \\ \vdots & \vdots & \vdots \\ f_{m1} & \dots & f_{mn} \end{array} \right]$$

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Plnj, the category of sets and partial injective functions.

• 
$$X \otimes Y = X \uplus Y$$
, Not a coproduct.

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▶ 
$$\rho_j : \bigotimes_{i \in I} X_i \longrightarrow X_j$$
,  
 $\rho_j(x, i)$  is undefined for  $i \neq j$  and  $\rho_j(x, j) = x$ ,

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• 
$$\iota_j : X_j \longrightarrow \bigotimes_{i \in I} X_i$$
 by  $\iota_j(x) = (x, j)$ .

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Rel: The category of sets and binary relations.

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$$\rho_j : \bigotimes_{i \in I} X_i \longrightarrow X_j, \rho_j = \{((x, j), x) \mid x \in X_j\}$$

$$\iota_j : X_j \longrightarrow \bigotimes_{i \in I} X_i, \iota_j = \{(x, (x, j)) \mid x \in X_j\} = \rho_j^{op}.$$

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• Given a set X,

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- ℓ<sub>2</sub>(X): the set of all complex valued functions a on X for which the (unordered) sum ∑<sub>x∈X</sub> |a(x)|<sup>2</sup> is finite.

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- ℓ<sub>2</sub>(X): the set of all complex valued functions a on X for which the (unordered) sum ∑<sub>x∈X</sub> |a(x)|<sup>2</sup> is finite.
- $\ell_2(X)$  is a Hilbert space

► 
$$||a|| = (\sum_{x \in X} |a(x)|^2)^{1/2}$$

► < a, b >= 
$$\sum_{x \in X} a(x)\overline{b(x)}$$
 for  $a, b \in \ell_2(X)$ 

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▶ Barr's  $\ell_2$  functor: contravariant faithful functor

$$\ell_2: \operatorname{PInj}^{\operatorname{op}} \longrightarrow \operatorname{Hilb}$$

where Hilb is the category of Hilbert spaces and linear contractions (norm  $\leq$  1).

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Barr's l<sub>2</sub> functor: contravariant faithful functor

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For a set X, ℓ<sub>2</sub>(X) is defined as above
 Given f : X → Y in Plnj, ℓ<sub>2</sub>(f) : ℓ<sub>2</sub>(Y) → ℓ<sub>2</sub>(X) is defined by
 (b(f(x))) if x ∈ Dom(f)

$$\ell_2(f)(b)(x) = egin{cases} b(f(x)) & ext{if } x \in \textit{Dom}(f), \ 0 & ext{otherwise}. \end{cases}$$

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 $\blacktriangleright \ \ell_2(X \times Y) \cong \ell_2(X) \otimes \ell_2(Y)$ 

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$$\ell_2(X \times Y) \cong \ell_2(X) \otimes \ell_2(Y)$$
  
 
$$\ell_2(X \uplus Y) \cong \ell_2(X) \oplus \ell_2(Y)$$

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• Objects:  $\ell_2(X)$  for a set X

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- Objects:  $\ell_2(X)$  for a set X
- Arrows: u : ℓ<sub>2</sub>(X) → ℓ<sub>2</sub>(Y) is of the form ℓ<sub>2</sub>(f) for some partial injective function f : Y → X

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- Objects:  $\ell_2(X)$  for a set X
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- For ℓ<sub>2</sub>(X) and ℓ<sub>2</sub>(Y) in Hilb<sub>2</sub>, the Hilbert space tensor product ℓ<sub>2</sub>(X) ⊗ ℓ<sub>2</sub>(Y) yields a tensor product in Hilb<sub>2</sub>.

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- Similarly for  $\ell_2(X)$  and  $\ell_2(Y)$  in Hilb<sub>2</sub>, the direct sum  $\ell_2(X) \oplus \ell_2(Y)$  yields a tensor product (*not* a coproduct) in Hilb<sub>2</sub>.

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The structure on PInj makes  $Hilb_2$  into a UDC.

▶  $\{\ell_2(f_i)\}_i \in \text{Hilb}_2(\ell_2(X), \ell_2(Y)), \{f_i\} \in \text{PInj}(Y, X), \{\ell_2(f_i)\} \text{ is summable if } \{f_i\} \text{ is summable in PInj}$ 

$$\blacktriangleright \sum_{i} \ell_2(f_i) \stackrel{\text{def}}{=} \ell_2(\sum_{i} f_i).$$

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## Definition

A traced symmetric monoidal category is a symmetric monoidal category  $(\mathbb{C}, \otimes, I, s)$  with a family of functions  $Tr_{X,Y}^U : \mathbb{C}(X \otimes U, Y \otimes U) \longrightarrow \mathbb{C}(X, Y)$  called a *trace*, subject to the following axioms:

- ▶ Natural in X,  $Tr_{X,Y}^U(f)g = Tr_{X',Y}^U(f(g \otimes 1_U))$  where  $f : X \otimes U \longrightarrow Y \otimes U$ ,  $g : X' \longrightarrow X$ ,
- ▶ Natural in Y,  $gTr_{X,Y}^U(f) = Tr_{X,Y'}^U((g \otimes 1_U)f)$  where  $f: X \otimes U \longrightarrow Y \otimes U$ ,  $g: Y \longrightarrow Y'$ ,

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▶ **Dinatural** in *U*,  $Tr_{X,Y}^U((1_Y \otimes g)f) = Tr_{X,Y}^{U'}(f(1_X \otimes g))$  where  $f: X \otimes U \longrightarrow Y \otimes U'$ ,  $g: U' \longrightarrow U$ ,

## ► Vanishing (I,II), $Tr_{X,Y}^{I}(f) = f$ and $Tr_{X,Y}^{U \otimes V}(g) = Tr_{X,Y}^{U}(Tr_{X \otimes U,Y \otimes U}^{V}(g))$ for $f : X \otimes I \longrightarrow Y \otimes I$ and $g : X \otimes U \otimes V \longrightarrow Y \otimes U \otimes V$ ,

## ▶ Superposing, $Tr_{X,Y}^U(f) \otimes g = Tr_{X \otimes W,Y \otimes Z}^U((1_Y \otimes s_{U,Z})(f \otimes g)(1_X \otimes s_{W,U}))$ for $f: X \otimes U \longrightarrow Y \otimes U$ and $g: W \longrightarrow Z$ ,

• Yanking, 
$$Tr_{U,U}^U(s_{U,U}) = 1_U$$
.

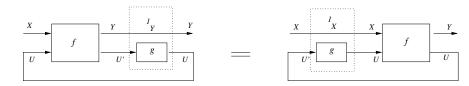
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# Graphical Representation

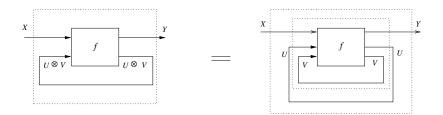


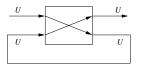
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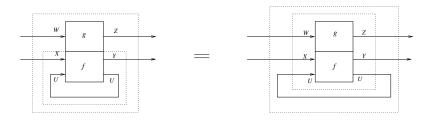






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#### Esfandiar Haghverdi On Categorical Models of GolLecture 1



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 Consider the category FDVect<sub>k</sub> of finite dimensional vector spaces and linear transformations

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- Consider the category FDVect<sub>k</sub> of finite dimensional vector spaces and linear transformations
- Given  $f: V \otimes U \longrightarrow W \otimes U$ ,  $\{v_i\}, \{u_j\}, \{w_k\}$  bases for V, U, W respectively.

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• 
$$f(v_i \otimes u_j) = \sum_{k,m} a_{ij}^{km} w_k \otimes u_m$$
,

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$$f(v_i \otimes u_j) = \sum_{k,m} a_{ij}^{km} w_k \otimes u_m$$

• 
$$Tr_{V,W}^U(f)(v_i) = \sum_{j,k} a_{ij}^{kj} w_k$$

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This is just summing dim(U) many diagonal blocks, each of size dim(W) × dim(V)

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$$Tr_{V,W}^U(f)(v_i) = \sum_{j,k} a_{ij}^{kj} w_k$$

- ► This is just summing dim(U) many diagonal blocks, each of size dim(W) × dim(V)
- See what happens when dim(V) = dim(W) = 1, that is when V ≅ W ≅ k

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### • Consider the category Rel but with $X \otimes Y = X \times Y$

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- Consider the category Rel but with  $X \otimes Y = X \times Y$
- This is not a product, nor a coproduct.

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- Consider the category Rel but with  $X \otimes Y = X \times Y$
- This is not a product, nor a coproduct.
- Given  $R: X \otimes U \longrightarrow Y \otimes U$ ,  $Tr_{X,Y}^U(R): X \longrightarrow Y$  is defined by

 $(x, y) \in Tr(R)$  iff  $\exists u.(x, u, y, u) \in R$ .

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▶ Functional analysis and operator theory: Kadison & Ringrose

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- Models of MLL: Haghverdi

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#### Proposition (Standard Trace Formula)

Let  $\mathbb{C}$  be a unique decomposition category such that for every X, Y, U and  $f : X \otimes U \longrightarrow Y \otimes U$ , the sum  $f_{11} + \sum_{n=0}^{\infty} f_{12} f_{21}^n f_{21}$  exists, where  $f_{ij}$  are the components of f. Then,  $\mathbb{C}$  is traced and

$$Tr_{X,Y}^{U}(f) = f_{11} + \sum_{n=0}^{\infty} f_{12}f_{22}^{n}f_{21}.$$

Note that a UDC can be traced with a trace different from the standard one.

#### Proposition (Standard Trace Formula)

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- Note that a UDC can be traced with a trace different from the standard one.
- In all my work, all traced UDCs are the ones with the standard trace.

Let  $\mathbb{C}$  be a traced UDC. Then given any  $f : X \otimes U \longrightarrow Y \otimes U$ ,  $Tr_{X,Y}^U(f)$  exists.

• Let 
$$f: X \otimes U \longrightarrow Y \otimes U$$
 be given by  $\begin{bmatrix} g & 0 \\ h & 0 \end{bmatrix}$ . Then  
 $Tr_{X,Y}^{U}(f) = Tr_{X,Y}^{U}\left(\begin{bmatrix} g & 0 \\ h & 0 \end{bmatrix}\right) = g + \sum_{n} 00^{n}h = g + 0h = g + 0 = g.$   
• Let  $f: X \otimes U \longrightarrow Y \otimes U$  be given by  $\begin{bmatrix} g & 0 \\ 0 & h \end{bmatrix}$ . Then  
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#### Definition

#### A Gol Situation is a triple $(\mathbb{C}, T, U)$ where:

 $\blacktriangleright$   $\mathbb C$  is a TSMC, Not necessarily a traced UDC!

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#### Definition

A Gol Situation is a triple  $(\mathbb{C}, T, U)$  where:

- $\mathbb{C}$  is a TSMC, Not necessarily a traced UDC!
- *T* : C → C is a traced symmetric monoidal functor with the following retractions:
  - 1.  $TT \lhd T(e, e')$  (Comultiplication)
  - 2.  $Id \lhd T(d, d')$  (Dereliction)
  - 3.  $T \otimes T \lhd T$  (c, c') (Contraction)
  - 4.  $\mathcal{K}_I \lhd T(w, w')$  (Weakening).

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#### Definition

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  - 3.  $T \otimes T \lhd T$  (c, c') (Contraction)
  - 4.  $\mathcal{K}_I \lhd T(w, w')$  (Weakening).
- ► *U* a reflexive object of C:
  - 1.  $U \otimes U \triangleleft U(j,k)$
  - 2.  $I \lhd U$
  - 3.  $TU \lhd U(u, v)$

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- ▶ In PInj we let  $\otimes = \uplus$ ,
- The tensor unit is the empty set  $\emptyset$ .

► 
$$T = \mathbb{N} \times -$$
, with  $T = (T, \psi, \psi_I)$ :  
 $\psi_{X,Y} : \mathbb{N} \times X \boxplus \mathbb{N} \times Y \longrightarrow \mathbb{N} \times (X \boxplus Y)$  given by  
 $(1, (n, x)) \mapsto (n, (1, x))$  and  $(2, (n, y)) \mapsto (n, (2, y))$ .  
 $\psi$  has an inverse defined by:  $(n, (1, x)) \mapsto (1, (n, x))$  and  
 $(n, (2, y)) \mapsto (2, (n, y))$ .  
 $\psi_I : \emptyset \longrightarrow \mathbb{N} \times \emptyset$  given by  $1_{\emptyset}$ .

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- ► *T* is additive, and thus it is also traced: Given  $f : X \uplus U \longrightarrow Y \uplus U$ :  $1_{\mathbb{N}} \times Tr_{X,Y}^{U}(f) = Tr_{\mathbb{N} \times X,\mathbb{N} \times Y}^{\mathbb{N} \times U}(\psi^{-1}(1_{\mathbb{N}} \times f)\psi).$
- $\blacktriangleright$   $\mathbb{N}$  is a reflexive object.

1. 
$$\mathbb{N} \uplus \mathbb{N} \lhd \mathbb{N}(j, k)$$
 is given as follows:  
 $j : \mathbb{N} \uplus \mathbb{N} \longrightarrow \mathbb{N}, j(1, n) = 2n, j(2, n) = 2n + 1$  and  
 $k : \mathbb{N} \longrightarrow \mathbb{N} \uplus \mathbb{N}, k(n) = (1, n/2)$  for *n* even, and  $(2, (n-1)/2)$  for *n* odd.

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- ► *T* is additive, and thus it is also traced: Given  $f : X \uplus U \longrightarrow Y \uplus U$ :  $1_{\mathbb{N}} \times Tr_{X,Y}^{U}(f) = Tr_{\mathbb{N} \times X,\mathbb{N} \times Y}^{\mathbb{N} \times U}(\psi^{-1}(1_{\mathbb{N}} \times f)\psi).$
- ▶ N is a reflexive object.
  - 1.  $\mathbb{N} \uplus \mathbb{N} \lhd \mathbb{N}(j, k)$  is given as follows:  $j : \mathbb{N} \uplus \mathbb{N} \longrightarrow \mathbb{N}, j(1, n) = 2n, j(2, n) = 2n + 1$  and  $k : \mathbb{N} \longrightarrow \mathbb{N} \uplus \mathbb{N}, k(n) = (1, n/2)$  for *n* even, and (2, (n-1)/2) for *n* odd.
  - Ø ⊲ N using the empty partial function as the retract morphisms.

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- ► *T* is additive, and thus it is also traced: Given  $f : X \uplus U \longrightarrow Y \uplus U$ :  $1_{\mathbb{N}} \times Tr_{X,Y}^{U}(f) = Tr_{\mathbb{N} \times X,\mathbb{N} \times Y}^{\mathbb{N} \times U}(\psi^{-1}(1_{\mathbb{N}} \times f)\psi).$
- N is a reflexive object.
  - 1.  $\mathbb{N} \oplus \mathbb{N} \triangleleft \mathbb{N}(j, k)$  is given as follows:  $j : \mathbb{N} \oplus \mathbb{N} \longrightarrow \mathbb{N}, j(1, n) = 2n, j(2, n) = 2n + 1$  and  $k : \mathbb{N} \longrightarrow \mathbb{N} \oplus \mathbb{N}, k(n) = (1, n/2)$  for *n* even, and (2, (n-1)/2) for *n* odd.
  - Ø ⊲ N using the empty partial function as the retract morphisms.
  - 3.  $\mathbb{N} \times \mathbb{N} \triangleleft \mathbb{N}(u, v)$  is defined as:  $u(m, n) = \langle m, n \rangle = \frac{(m+n+1)(m+n)}{2} + n$  (Cantor surjective pairing) and v as its inverse,  $v(n) = (n_1, n_2)$  with  $\langle n_1, n_2 \rangle = n$ .

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$$\blacktriangleright \mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X \text{ and } \mathbb{N} \times X \xrightarrow{e'_X} \mathbb{N} \times (\mathbb{N} \times X)$$

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$$\mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X \text{ is defined by,} \\ e_X(n_1, (n_2, x)) = (\langle n_1, n_2 \rangle, x).$$

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$$\blacktriangleright \ \mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X \text{ and } \mathbb{N} \times X \xrightarrow{e'_X} \mathbb{N} \times (\mathbb{N} \times X)$$

▶ 
$$\mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X$$
 is defined by,  
 $e_X(n_1, (n_2, x)) = (\langle n_1, n_2 \rangle, x).$ 

• 
$$X \xrightarrow{d_X} \mathbb{N} \times X$$
 and  $\mathbb{N} \times X \xrightarrow{d'_X} X$   
 $d_X(x) = (n_0, x)$  for a fixed  $n_0 \in \mathbb{N}$ .

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$$\blacktriangleright \ \mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X \text{ and } \mathbb{N} \times X \xrightarrow{e_X'} \mathbb{N} \times (\mathbb{N} \times X)$$

$$\mathbb{N} \times (\mathbb{N} \times X) \xrightarrow{e_X} \mathbb{N} \times X \text{ is defined by,} \\ e_X(n_1, (n_2, x)) = (\langle n_1, n_2 \rangle, x).$$

$$X \xrightarrow{d_X} \mathbb{N} \times X \text{ and } \mathbb{N} \times X \xrightarrow{d'_X} X \\ d_X(x) = (n_0, x) \text{ for a fixed } n_0 \in \mathbb{N}.$$

$$d'_X(n,x) = \begin{cases} x, & \text{if } n = n_0; \\ \text{undefined, else.} \end{cases}$$

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$$(\mathbb{N} \times X) \uplus (\mathbb{N} \times X) \xrightarrow{c_X} \mathbb{N} \times X \text{ and}$$
$$\mathbb{N} \times X \xrightarrow{c'_X} (\mathbb{N} \times X) \uplus (\mathbb{N} \times X).$$
$$c_X = \begin{cases} (1, (n, x)) \mapsto (2n, x) \\ (2, (n, x)) \mapsto (2n + 1, x) \end{cases}$$
$$c'_X(n, x) = \begin{cases} (1, (n/2, x)), & \text{if } n \text{ is even}; \\ (2, ((n - 1)/2, x)), & \text{if } n \text{ is odd.} \end{cases}$$

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$$(\mathbb{N} \times X) \uplus (\mathbb{N} \times X) \xrightarrow{c_X} \mathbb{N} \times X \text{ and} \\ \mathbb{N} \times X \xrightarrow{c'_X} (\mathbb{N} \times X) \uplus (\mathbb{N} \times X). \\ c_X = \begin{cases} (1, (n, x)) \mapsto (2n, x) \\ (2, (n, x)) \mapsto (2n + 1, x) \end{cases} \\ c'_X(n, x) = \begin{cases} (1, (n/2, x)), & \text{if } n \text{ is even;} \\ (2, ((n - 1)/2, x)), & \text{if } n \text{ is odd.} \end{cases} \\ \triangleright \emptyset \xrightarrow{w_X} \mathbb{N} \times X \text{ and } \mathbb{N} \times X \xrightarrow{w'_X} \emptyset. \end{cases}$$

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$$(Plnj, \mathbb{N} \times -, \mathbb{N})$$

$$(\operatorname{IIII}_{2}, \ell \otimes -, \ell)$$

$$\blacktriangleright (\mathit{Rel}_{\oplus}, \mathbb{N} \times -, \mathbb{N})$$

• (*Pfn*, 
$$\mathbb{N} \times -, \mathbb{N}$$
)

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Recall that in categorical denotational semantics:

- $\blacktriangleright$  We are given a logical system  ${\cal L}$  to model, e.g. IL
- ▶ We are given a model category C with enough structure, e.g. a CCC,
- Formulas are interpreted as objects
- Proofs are intepreted as morphisms, indeed morphisms are equivalence classes of proofs
- Cut-elimination (proof transformation) is interpreted by provable equality.
- One proves a soundness theorem:

### Theorem

Given a sequent  $\Gamma \vdash A$  and proofs  $\Pi$  and  $\Pi'$  such that  $\Pi \succ \Pi'$ , then  $\llbracket \Pi \rrbracket = \llbracket \Pi' \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket$ .

In Gol interpretation:

- $\blacktriangleright$  We are given a logical system  ${\cal L}$  to model, e.g. MLL,
- ▶ We are given a Gol Situation ( $\mathbb{C}$ , T, U), e.g. (*Plnj*,  $\mathbb{N} \times -$ ,  $\mathbb{N}$ ),
- Formulas are interpreted as types (see below),
- Proofs are interpreted as morphisms in  $\mathbb{C}(U, U)$ ,
- Cut-elimination (proof transformation) is interpreted by the execution formula

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#### One proves a finiteness theorem

Theorem

Given a sequent  $\Gamma \vdash A$  with a proof  $\Pi$  and cut formulas represented by  $\sigma$ , then  $EX(\theta(\Pi), \sigma)$  exists.

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#### One proves a finiteness theorem

Theorem

Given a sequent  $\Gamma \vdash A$  with a proof  $\Pi$  and cut formulas represented by  $\sigma$ , then  $EX(\theta(\Pi), \sigma)$  exists.

And a soundness theorem

### Theorem

Given a sequent  $\Gamma \vdash A$  and proofs  $\Pi$  and  $\Pi'$  such that  $\Pi \succ \Pi'$ , then  $EX(\theta(\Pi), \sigma) = EX(\theta(\Pi'), \tau)$  where  $\sigma$  and  $\tau$  represent the cut formulas in  $\Pi$  and  $\Pi'$  respectively (see below).

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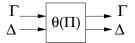
### Gol Interpretation: proofs

Hereafter we shall be working with traced UDCs.

- $\Pi$  a proof of  $\vdash [\Delta], \Gamma, |\Delta| = 2m$  and  $|\Gamma| = n$ .
- $\Delta$  keeps track of the cut formulas, e.g.,  $\Delta = A, A^{\perp}, B, B^{\perp}$ ,

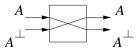
$$\theta(\Pi): U^{n+2m} \longrightarrow U^{n+2m}$$

$$\sigma: U^{2m} \longrightarrow U^{2m} = \mathbf{s}_{U,U}^{\otimes m}$$

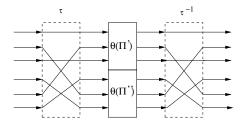


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axiom: 
$$\vdash A, A^{\perp}, m = 0, n = 2.$$
  
 $\theta(\Pi) = s_{U,U}.$ 

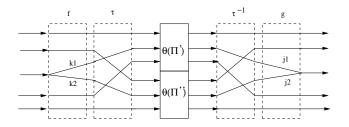


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*times*: Recall  $U \otimes U \triangleleft U(j, k)$  $\begin{array}{ccc} \Pi' & \Pi'' \\ \vdots & \vdots \\ \vdash [\Delta'], \Gamma', A & \vdash [\Delta''], \Gamma'', B \\ \hline \vdash [\Delta', \Delta''], \Gamma', \Gamma'', A \otimes B \end{array} (times)$ 



Esfandiar Haghverdi On Categorical Models of GolLecture 1

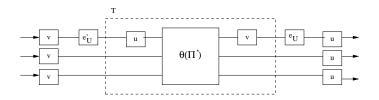
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of course: Recall  $TU \lhd U(u, v)$  and  $TT \lhd T(e, e')$ 

$$\begin{array}{c} \Pi' \\ \vdots \\ \vdash [\Delta], ?\Gamma', A \\ \vdash [\Delta], ?\Gamma', !A \end{array} (of course) \end{array}$$



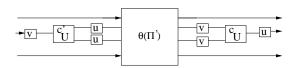
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*contraction*: Recall  $TU \triangleleft U(u, v)$  and  $T \otimes T \triangleleft T(c, c')$ .

$$\begin{array}{c} \Pi' \\ \vdots \\ \vdash [\Delta], \Gamma', ?A, ?A \\ \vdash [\Delta], \Gamma', ?A \end{array} (contraction) \end{array}$$



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Let  $\Pi$  be the following proof:

$$\frac{\vdash A, A^{\perp} \quad \vdash A, A^{\perp}}{\vdash [A^{\perp}, A], A, A^{\perp}} (cut)$$

Then the Gol semantics of this proof is given by

$$\theta(\Pi) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

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Now consider the following proof

$$\begin{array}{c|c} \vdash B, B^{\perp} & \vdash C, C^{\perp} \\ \hline B, C, B^{\perp} \otimes C^{\perp} \\ \hline B, B^{\perp} \otimes C^{\perp}, C \\ \hline B^{\perp} \otimes C^{\perp}, B, C \\ \hline B^{\perp} \otimes C^{\perp}, B, C \end{array}$$

Its denotation is given by

$$\left[\begin{array}{cc} 0 & j_1k_1+j_2k_2\\ j_1k_1+j_2k_2 & 0 \end{array}\right].$$

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$$f,g \in \mathbb{C}(U,U)$$

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• 
$$f,g \in \mathbb{C}(U,U)$$

• f is nilpotent if 
$$\exists k \ge 1$$
.  $f^k = 0$ .

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- ▶  $f,g \in \mathbb{C}(U,U)$
- f is nilpotent if  $\exists k \ge 1$ .  $f^k = 0$ .
- $f \perp g$  if gf is nilpotent.

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- ▶  $f,g \in \mathbb{C}(U,U)$
- f is nilpotent if  $\exists k \ge 1$ .  $f^k = 0$ .
- $f \perp g$  if gf is nilpotent.
- $0 \perp f$  for all  $f \in \mathbb{C}(U, U)$ .

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- ▶  $f,g \in \mathbb{C}(U,U)$
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- $f \perp g$  if gf is nilpotent.
- $0 \perp f$  for all  $f \in \mathbb{C}(U, U)$ .
- $X \subseteq \mathbb{C}(U, U)$ ,

$$X^{\perp} = \{f \in \mathbb{C}(U, U) | \forall g(g \in X \Rightarrow f \perp g)\}$$

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# Orthogonality & Types

- ▶  $f,g \in \mathbb{C}(U,U)$
- f is nilpotent if  $\exists k \ge 1$ .  $f^k = 0$ .
- $f \perp g$  if gf is nilpotent.
- $0 \perp f$  for all  $f \in \mathbb{C}(U, U)$ .
- $X \subseteq \mathbb{C}(U, U)$ ,

$$X^{\perp} = \{f \in \mathbb{C}(U, U) | \forall g(g \in X \Rightarrow f \perp g)\}$$

### Definition

- A type:  $X \subseteq \mathbb{C}(U, U)$ ,  $X = X^{\perp \perp}$ .
  - ▶ 0<sub>UU</sub> belongs to every type.

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Gol situation (C, T, U). j<sub>1</sub>, j<sub>2</sub>, k<sub>1</sub>, k<sub>2</sub> components of U ⊗ U ⊲ U(j, k).

• 
$$\theta(\alpha) = X$$
, for  $\alpha$  atomic,

• 
$$\theta(\alpha^{\perp}) = (\theta \alpha)^{\perp}$$
, for  $\alpha$  atomic,

$$\blacktriangleright \ \theta(A \otimes B) = \{j_1 a k_1 + j_2 b k_2 | a \in \theta A, b \in \theta B\}^{\perp \perp}$$

$$\blacktriangleright \ \theta(A \ \mathfrak{B} \ B) = \{j_1 a k_1 + j_2 b k_2 | a \in (\theta A)^{\perp}, b \in (\theta B)^{\perp}\}^{\perp}$$

► 
$$\theta(!A) = \{uT(a)v|a \in \theta A\}^{\perp\perp}$$

$$\bullet \ \theta(?A) = \{ uT(a)v | a \in (\theta A)^{\perp} \}^{\perp}$$

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## ▶ $\Pi$ a proof of $\vdash$ [ $\Delta$ ], $\Gamma$ with cut formulas in $\Delta$

 $\Pi \qquad \rightsquigarrow \qquad (\theta(\Pi), \sigma)$ 

a proof of<br/>MELLpair of morphisms<br/>on the object U

execution formula = standard trace formula

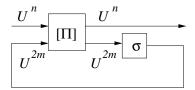
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 $\theta(\Pi): U^{n+2m} \longrightarrow U^{n+2m}$  and  $\sigma: U^{2m} \longrightarrow U^{2m}$ The dynamics is given by

$$\mathit{EX}( heta(\Pi),\sigma) = \mathit{Tr}^{U^{2m}}_{U^n,U^n}((1_{U^n}\otimes\sigma) heta(\Pi))$$

normalisation  $\leftrightarrow$  finite sum



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Which in a traced UDC is:

$$EX(\theta(\Pi), \sigma) = \pi_{11} + \sum_{n \ge 0} \pi_{12} (\sigma \pi_{22})^n (\sigma \pi_{21})$$
  
where  $\theta(\Pi) = \begin{bmatrix} \pi_{11} & \pi_{12} \\ \pi_{21} & \pi_{22} \end{bmatrix}$ .

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# Example, again!

$$\frac{\vdash A, A^{\perp} \vdash A, A^{\perp}}{\vdash [A^{\perp}, A], A, A^{\perp}} \qquad \sigma = s$$

$$EX(\theta(\Pi), \sigma) = Tr \left( \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right)$$

$$= Tr \left( \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \right)$$

$$= \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} + \sum_{n \ge 0} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}^{n} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

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# Associativity of cut

#### Lemma

Let  $\Pi$  be a proof of  $\vdash [\Gamma, \Delta], \Lambda$  and  $\sigma$  and  $\tau$  be the morphisms representing the cut-formulas in  $\Gamma$  and  $\Delta$  respectively. Then

$$EX(\theta(\Pi), \sigma \otimes \tau) = EX(EX(\theta(\Pi), \tau), \sigma)$$
$$= EX(EX((1 \otimes s)\theta(\Pi)(1 \otimes s), \sigma), \tau)$$

### Proof. $EX(EX(\theta(\Pi), \tau), \sigma)$

- $= \mathit{Tr}((1 \otimes \sigma) \mathit{Tr}((1 \otimes \tau) \theta(\Pi)))$
- $= \mathit{Tr}^{\mathit{U}^2}(\mathit{Tr}^{\mathit{U}^2}[(1\otimes \sigma\otimes 1)(1\otimes \tau)\theta(\mathsf{\Pi})])$
- $= \mathit{Tr}^{U^4}((1 \otimes \sigma \otimes \tau)\theta(\Pi))$

 $= EX(\theta(\Pi), \sigma \otimes \tau)$ 

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#### proof $\rightsquigarrow$ algorithm

cut-elim.  $\downarrow \qquad \qquad \downarrow$  computation

 $\mathsf{cut}\mathsf{-}\mathsf{free} \ \mathsf{proof} \quad \rightsquigarrow \quad \mathsf{datum}$ 

## $\Pi \quad \rightsquigarrow \quad \theta(\Pi)$

cut-elim.  $\downarrow \qquad \downarrow$  computation

$$\Pi' \quad \rightsquigarrow \quad \theta(\Pi') = EX(\theta(\Pi), \sigma)$$

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## Towards the theorems

$$\blacktriangleright \ \Gamma = A_1, \cdots, A_n.$$

• A datum of type 
$$\theta \Gamma$$
:  
 $M: U^n \longrightarrow U^n$ , for any  $\beta_1 \in \theta(A_1^{\perp}), \cdots, \beta_n \in \theta(A_n^{\perp})$ ,

 $(\beta_1 \otimes \cdots \otimes \beta_n) \perp M$ 

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## Towards the theorems

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$$(\beta_1 \otimes \cdots \otimes \beta_n) \perp M$$

• An algorithm of type  $\theta \Gamma$ :  $M: U^{n+2m} \longrightarrow U^{n+2m}$  for some non-negative integer *m*, for  $\sigma: U^{2m} \longrightarrow U^{2m} = s^{\otimes m}$ ,

$$EX(M,\sigma) = Tr((1 \otimes \sigma)M)$$

is a <u>finite sum</u> and a <u>datum</u> of type  $\theta \Gamma$ .

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#### Lemma

Let 
$$M : U^n \longrightarrow U^n$$
 and  $a : U \longrightarrow U$ . Define  
 $CUT(a, M) = (a \otimes 1_{U^{n-1}})M : U^n \longrightarrow U^n$ .  
Then  $M = [m_{ij}]$  is a datum of type  $\theta(A, \Gamma)$  iff

• for any 
$$a \in \theta A^{\perp}$$
,  $a \perp m_{11}$ , and

• the morphism  $ex(CUT(a, M)) = Tr^{A}(s_{\Gamma,A}^{-1}CUT(a, M)s_{\Gamma,A})$  is in  $\theta(\Gamma)$ .

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## Theorem (Convergence or Finiteness) Let $\Pi$ be a proof of $\vdash [\Delta], \Gamma$ . Then $\theta(\Pi)$ is an algorithm of type $\theta\Gamma$ .

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#### Proof.

#### A taster!

 $\begin{array}{l} \Pi \text{ is an axiom, where } \Gamma = A, A^{\perp}, \text{ then we need to prove that} \\ EX(\theta(\Pi), 0) = \theta(\Pi) \text{ is a datum of type } \theta\Gamma. \text{ That is, for all } a \in \thetaA^{\perp} \\ \text{and } b \in \thetaA, \ M = (a \otimes b)\theta(\Pi) = \begin{bmatrix} 0 & a \\ b & 0 \end{bmatrix} \text{ must be nilpotent.} \\ \text{Observe that } M^n = \begin{bmatrix} (ab)^{n/2} & 0 \\ 0 & (ba)^{n/2} \end{bmatrix} \text{ for } n \text{ even and} \\ M^n = \begin{bmatrix} 0 & (ab)^{(n-1)/2}a \\ (ba)^{(n-1)/2}b & 0 \end{bmatrix} \text{ for } n \text{ odd. But } a \perp b \text{ and} \\ \text{hence } ab \text{ and } ba \text{ are nilpotent.} \text{ Therefore } M \text{ is nilpotent.} \end{array}$ 

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### Theorem (Soundness)

Let  $\Pi$  be a proof of a sequent  $\vdash [\Delta], \Gamma$  in MELL. Then

- (i)  $EX(\theta(\Pi), \sigma)$  is a finite sum.
- (ii) If  $\Pi$  reduces to  $\Pi'$  by any sequence of cut-elimination steps and  $\Gamma$  does not contain any formulas of the form ?A, then  $EX(\theta(\Pi), \sigma) = EX(\theta(\Pi'), \tau)$ . So  $EX(\theta(\Pi), \sigma)$  is an invariant of reduction. In particular, if  $\Pi'$  is any cut-free proof obtained from  $\Pi$  by cut-elimination, then  $EX(\theta(\Pi), \sigma) = \theta(\Pi')$ .

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#### Proof.

A taster Part (i) is an easy corollary of Convergence Theorem. We proceed to the proof of part (ii).

Suppose  $\Pi'$  is a cut-free proof of  $\vdash \Gamma, A$  and  $\Pi$  is obtained by applying the cut rule to  $\Pi'$  and the axiom  $\vdash A^{\perp}, A$ . Then  $EX(\theta(\Pi), \sigma) =$ 

$$Tr\left((1\otimes\sigma)\begin{bmatrix}1&0&0&0\\0&0&0&1\\0&1&0&0\\0&0&1&0\end{bmatrix}\begin{bmatrix}\pi_{11}'&\pi_{12}'&0&0\\\pi_{21}'&\pi_{22}'&0&0\\0&0&0&1\\0&0&0&1\end{bmatrix}\begin{bmatrix}1&0&0&0\\0&0&0&1\\0&1&0&0\end{bmatrix}\right)$$
$$=Tr\left(\begin{bmatrix}\pi_{11}'&0&\pi_{12}'&0\\0&1&0&0\\\pi_{21}'&0&\pi_{22}'&0\end{bmatrix}\right)=\begin{bmatrix}\pi_{11}'&\pi_{12}'\\\pi_{21}'&\pi_{22}'\end{bmatrix}=\theta(\Pi')$$

• (**PInj**,  $\mathbb{N} \times -, \mathbb{N}$ ) is a Gol situation.

Proposition

(Hilb<sub>2</sub>,  $\ell^2 \otimes -$ ,  $\ell^2$ ) is a Gol Situation which agrees with Girard's  $C^*$ -algebraic model, where  $\ell^2 = \ell_2(\mathbb{N})$ . Its structure is induced via  $\ell_2$  from Plnj.

#### Proposition

Let  $\Pi$  be a proof of  $\vdash [\Delta], \Gamma$ . Then in Girard's model **Hilb**<sub>2</sub> above,

$$((1-\sigma^2)\sum_{n=0}^{\infty}\theta(\Pi)(\sigma\theta(\Pi))^n(1-\sigma^2))_{n\times n}=Tr((1\otimes\tilde{\sigma})\theta(\Pi))$$

where  $(A)_{n \times n}$  is the submatrix of A consisting of the first n rows and the first n columns.  $\tilde{\sigma} = s \otimes \cdots \otimes s$  (m-times.)

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Consider the following situation:

$$\frac{\vdash !A, ?A^{\perp} \vdash !A, ?A^{\perp}}{\vdash [?A^{\perp}, !A], !A, ?A^{\perp}} \succ \vdash !A, ?A^{\perp}$$

Note that 
$$\theta(\Pi) = \begin{bmatrix} 0 & ((Td')e')^2 \\ (e(Td))^2 & 0 \end{bmatrix}$$
  
but  $\theta(\Pi') = \begin{bmatrix} 0 & (Td')e' \\ e(Td) & 0 \end{bmatrix}$ 

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- Extension to additives
- Exploiting the GoI as a semantics: Lambda calculus, PCF etc.
- Gol 4: The Feedback Equation
- ▶ Gol 5: The Hyperfinite Factor
- Connecting to logical complexity

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