Quantum q-series identities

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References

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A q-hypergeometric series (or "q-series") is a series built using the q-Pochhammer symbols

$$(a;q)_n = (1-a)(1-aq)\cdots(1-aq^{n-1})$$

For example,

$$\sum_{n\geq 0}\frac{(a;q)_n(b;q)_nz^n}{(q;q)_n(c;q)_n}$$

Classical q-series identites

As analytic identities, classical q-series identities are identities between functions for |q| < 1.

For example,

$$\sum_{n\geq 0}\frac{q^n}{(q;q)_n}=\frac{1}{(q;q)_\infty}$$

and

$$\sum_{n\geq 0}\frac{q^{n^2}}{(q;q)_n}=\frac{1}{(q;q^5)_{\infty}(q^4;q^5)_{\infty}}.$$

Here

$$(a;q)_{\infty}=\prod_{k=0}^{\infty}(1-aq^k).$$

Let

$$\sigma(q) = \sum_{n \ge 0} \frac{q^{\binom{n+1}{2}}}{(-q;q)_n} = 1 + \sum_{n \ge 0} (-1)^n q^{n+1}(q;q)_n$$

and

$$\sigma^*(q) = 2\sum_{n\geq 1} \frac{(-1)^n q^{n^2}}{(q;q^2)_n} = -2\sum_{n\geq 0} q^{n+1}(q^2;q^2)_n.$$

Note that since

$$(q;q)_n=(1-q)\cdots(1-q^n),$$

the right hand sides are well-defined both when |q| < 1 and when $q = e^{2\pi i a/N}$ is a root of unity.

H. Cohen (1988) showed that if q is any root of unity, then

 $\sigma(q) = -\sigma^*(q^{-1}).$

For example,

$$\sigma(i) = -2i - 4, \ \sigma^*(-i) = 2i + 4.$$

Note that $\sigma(q) = -\sigma^*(q^{-1})$ is not true for |q| < 1.

Let F(q) be the Kontsevich-Zagier series

$$F(q) = \sum_{n \ge 0} (q;q)_n$$

and

$$U(q) = \sum_{n\geq 0} (q;q)_n^2 q^{n+1}.$$

Bryson-Ono-Pitman-Rhoades (2012) proved that if q is any root of unity, then

 $F(q^{-1})=U(q).$

Again, note that this is not true for |q| < 1.

Let

$$F_k(x,q) = \sum_{n=0}^{k-1} x^{n+1} (xq;q)_n$$

and

$$U_k(x,q) = \sum_{n=0}^{k-1} (-xq;q)_n (-x^{-1}q;q)_n q^{n+1}$$

Folsom, Ki, Vu and Yang (2016) proved that if q is a kth root of unity and $x \in \mathbb{C}$ then

$$F_k(x,q^{-1}) = x^k U_k(-x,q).$$

Quantum *q*-series identities

We call such identities quantum q-series identities.

By a *quantum q-series identity* we mean a *q*-series identity which holds at roots of unity but not for |q| < 1.

We write

$$f(q) =_q g(q)$$

if the q-series agree at roots of unity and

$$f(q) =_{q^{-1}} g(q)$$

if (as in the examples above) $f(q) = g(q^{-1})$ for roots of unity q.



Where do such identities come from?

e How can we find more of them?

What are they used for?

In this talk I will focus on the first two questions.

More quantum identities

We will see many more quantum q-series identites, like

$$\sigma^*(q) =_{q^{-1}} -2\sum_{n \ge 0} (q; q)_{2n} q^{2n+1}$$

$$q\sum_{n\geq 0} (q;q)_n q^{n(n+1)/2} =_{q^{-1}} \sum_{n\geq 0} (q;q)_{2n} (q;q^2)_n q^{2n}$$

More quantum identities

$$\sum_{k_t \ge \dots \ge k_1 \ge 1} (q;q)_{k_t-1}^2 q^{k_t} \prod_{i=1}^{t-1} q^{k_i^2} \begin{bmatrix} k_{i+1}+k_i-i+2\sum_{j=1}^{i-1}k_j \\ k_{i+1}-k_i \end{bmatrix}$$
$$=_{q^{-1}} \sum_{k_t \ge \dots \ge k_1 \ge 0}^{\infty} (q;q)_{k_t} \prod_{i=1}^{t-1} q^{k_i(k_i+1)} \begin{bmatrix} k_{i+1} \\ k_i \end{bmatrix}.$$

Here we have used the usual q-binomial coefficient,

$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{cases} \frac{(q;q)_n}{(q;q)_{n-k}(q;q)_k} & \text{, if } 0 \le k \le n, \\ 0, & \text{otherwise.} \end{cases}$$

The first observation

Quantum q-series identities can be proved using classical q-series transformations.

For example, take Sears' transformation – for $N \in \mathbb{N}$,

$$\sum_{n\geq 0} \frac{(q^{-N}; q)_n(b; q)_n(c; q)_n q^n}{(q; q)_n(d; q)_n(e; q)_n} \\ = \frac{(e/c; q)_N c^N}{(e; q)_N} \sum_{n\geq 0} \frac{(q^{-N}; q)_n(c; q)_n(d/b; q)_n(bq/e)^n}{(q; q)_n(d; q)_n(cq^{1-N}/e; q)_n}.$$

Setting N = N-1, $b = bq^{1+N}$, c = q and letting $d, e \rightarrow 0$ we obtain

The first observation

$$\sum_{n\geq 0} (q^{1-N};q)_n (bq^{1+N};q)_n q^{n+1} = q^N \sum_{n\geq 0} (q^{1-N};q)_n q^{2Nn - \binom{n+1}{2}} (-b)^n.$$

Setting b = -1 and $q = \zeta_N^a$ and using

$$(-1)^n q^{n(n+1)/2} (q^{-1}; q^{-1})_n = (q; q)_n$$

gives the quantum q-series identity of Cohen.

Setting b = 1 and $q = \zeta_N^a$ gives the quantum *q*-series identity of Bryson-Ono-Pitman-Rhoades.

The Folsom-Ki-Vu-Yang example can be proved similarly.

Other cases

Other cases of Sears' transformation give new quantum q-series identities.

Take
$$q = q^2$$
 and $b = q^{-1}$ above. Then we have

$$\sum_{n \ge 0} (q)_{2n} q^{2n+2} =_{q^{-1}} \sum_{n \ge 0} (q^2; q^2)_n q^n.$$

For example,

$$LHS(i) = RHS(-i) = 1 - 2i.$$

Other cases

Some other cases include

$$\sum_{n\geq 0} \frac{(q;q)_n^2 q^n}{(-q;q)_n} =_{q^{-1}} 2q \sum_{n\geq 0} \frac{(q;q)_n}{(-q;q)_{n+1}},$$
$$\sum_{n\geq 0} \frac{(q^2;q^2)_n^2 q^{2n+2}}{(q;q^2)_{n+1}} =_{q^{-1}} \sum_{n\geq 0} \frac{(q^2;q^2)_n}{(q;q^2)_{n+1}},$$
$$\sum_{n\geq 0} (q^2;q^4)_n q^{2n} =_{q^{-1}} q \sum_{n\geq 0} (q;q^2)_n (-1)^n q^n.$$

Here we implicitly assume that the roots of unity are appropriately restricted.

What about using other classical *q*-series summations and transformations and identities to find quantum *q*-series identities?

Take the q-Chu Vandermonde summation,

$$\sum_{n\geq 0} \frac{(q^{-N};q)_n(a;q)_n q^n}{(c;q)_n(q;q)_n} = \frac{(c/a;q)_N a^N}{(c;q)_N}$$

Letting N = N - 1 and a = q we have

$$\sum_{n\geq 0}\frac{(q^{1-N};q)_nq^n}{(c;q)_n}=\frac{(1-c/q)q^{N-1}}{1-cq^{N-2}}.$$

Taking q to be an Nth root of unity we obtain evaluations like

$$\sum_{n\geq 0} (q;q)_n q^{n+1} =_q 1$$

and

$$\sum_{n\geq 0}\frac{(q;q)_nq^n}{(-q;q)_n} =_q \frac{2}{1+q}.$$

Similar results follow from summation identities like the *q*-Pfaff-Saalschutz identity and Jackson's identity.

Take a quadratic transformation of Jain,

$$\sum_{n\geq 0} \frac{(q^{-N}; q^2)_n (q^{1-N}; q^2)_n (a; q)_{2n} q^{2n}}{(q^2; q^2)_n (bq; q^2)_n (d; q)_{2n}} \\ = \frac{(d/a; q)_N a^N}{(d; q)_n} \sum_{n\geq 0} \frac{(q^{-N}; q)_n (a; q)_n (b; q^2)_n (-q/d)^n}{(q; q)_n (b; q)_n (aq^{1-N}/d; q)_n (-1)^n q^{n(n-1)/2}}.$$

The case a = q, N = N - 1, b = d = 0 gives

$$q\sum_{n\geq 0} (q;q)_n q^{n(n+1)/2} =_{q^{-1}} \sum_{n\geq 0} (q;q)_{2n} (q;q^2)_n q^{2n}.$$

Many other quantum identities can be deduced from Jain's transformation, as well as from transformations of Singh, Watson, etc.

Two of the nicest ones are

$$\sigma(q) =_q \sum_{n \ge 0} \frac{(q; q)_n (1 + q^{2n+1})(-1)^n q^{n(3n+1)/2}}{(-q; q)_n}$$

and

$$\sum_{n\geq 0} \frac{(q;q)_n (-1)^n q^{n(n+1)/2}}{(-q;q)_n} =_q 2 \sum_{n\geq 0} \frac{(q^2;q^2)_n (-1)^n}{(-q;q^2)_{n+1}}$$

Summary of Part I

- As analytic identities, classical q-series identities hold for |q| < 1.
- There are examples of identities which hold only at roots of unity quantum *q*-series identities.
- These have connections to mock theta functions and quantum modular forms, but are also interesting in their own right.
- Quantum identities can be proved using classical *q*-series identities and transformations.

The Bryson-Ono-Pitman-Rhoades identity can be proved using colored Jones polynomials in knot theory!

The colored Jones polynomial $J_N(K) = J_N(K;q)$ is an important knot invariant. It generalizes the classical Jones polynomial (the case N = 2).

 $J_N(K; e^{2\pi i/N})$ appears in the "Volume Conjecture."

If K^* denotes the mirror image of the knot K, then we have the duality

$$J_N(K;q) = J_N(K^*;q^{-1}).$$

Consider the trefoil knot:



There are actually two of them, a "right-handed" and a "left-handed" trefoil.



They are mirror images of each other.

Let $T_{(2,3)}$ and $T^*_{(2,3)}$ denote the right-handed and left-handed trefoils, respectively.

Formulas of Habiro, Lê and Masbuam give that

$$J_{N}(T^{*}_{(2,3)};q) = \sum_{n=0}^{\infty} q^{n} (q^{1-N};q)_{n} (q^{1+N};q)_{n}$$

and

$$J_N(T_{(2,3)};q) = q^{1-N} \sum_{n=0}^{\infty} (q^{1-N};q)_n q^{-nN}.$$

Thus when q is any Nth root of unity we have

$$J_N(T^*_{(2,3)};q) = q^{-1}U(q)$$

and

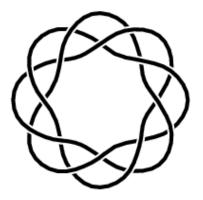
$$J_N(T_{(2,3)};q)=qF(q).$$

The duality of the colored Jones polynomials then gives

 $F(q) =_{q^{-1}} U(q).$

What about other knots?

Consider the torus knots $T_{(s,t)}$.



The trefoil is a special case of the torus knots (2, 2t + 1) for $t \ge 1$.

Hikami showed that the colored Jones polynomial of the right-handed torus knot $T_{(2,2t+1)}$ is

$$J_N(T_{(2,2t+1)};q) = q^{t(1-N)} \sum_{k_t \ge \dots \ge k_1 \ge 0}^{\infty} (q^{1-N};q)_{k_t} q^{-Nk_t}
onumber \ imes \prod_{i=1}^{t-1} q^{k_i(k_i+1-2N)} {k_i \brack k_i}_q^{k_i+1}_q$$

What about the left-handed torus knots $T^*_{(2,2t+1)}$?

Habiro (2008) defined the cyclotomic expansion of the colored Jones polynomial for a knot K to be

$$J_{N}(K;q) = \sum_{n=0}^{\infty} C_{n}(K;q) (q^{1+N};q)_{n} (q^{1-N};q)_{n}$$

and proved that

$$C_n(K;q) \in \mathbb{Z}[q,q^{-1}].$$

The $C_n(K; q)$ are called the cyclotomic coefficients. For the trefoil knot $T^*_{(2,3)}$ we have $C_n = q^n$.

The cyclotomic expansion was known for select families of knots, but not for the torus knots $T^*_{(2,2t+1)}$.

In the theory of *q*-hypergeometric series, a Bailey pair relative to *a* is a pair of sequences $(\alpha_n, \beta_n)_{n \ge 0}$ satisfying

$$eta_n = \sum_{j=0}^n rac{lpha_j}{(q;q)_{n-j}(aq;q)_{n+j}},$$

or equivalently,

$$\alpha_n = \frac{1 - aq^{2n}}{1 - a} \frac{(a; q)_n}{(q; q)_n} (-1)^n q^{n(n-1)/2} \sum_{j=0}^n (q^{-n}; q)_j (aq^n; q)_j q^j \beta_j.$$

Thus the colored Jones polynomial and its cyclotomic coefficients are essentially a Bailey pair relative to q^2 !

How can we use this?

First, using the "Rosso-Jones formula" we show that

$$(1-q^N) J_N(T^*_{(2,2t+1)}) = (-1)^N q^{-t+rac{1}{2}N+rac{2t+1}{2}N^2} imes \sum_{k=-N}^{N-1} (-1)^k q^{-rac{2t+1}{2}k(k+1)+k}.$$

This is the α side of a Bailey pair.

Next we use results on Bailey pairs and indefinite quadratic forms (L., 2014!) to find the β side.

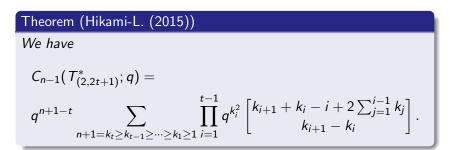
The (preliminary) result is

$$-q^{t-n}C_{n-1}(T^*_{(2,2t+1)};q) = \\\sum \frac{q^{\sum_{i=1}^{t-1}n_{t+i}^2 + \binom{n_t}{2} - \sum_{i=1}^{t-1}n_i n_{i+1} - \sum_{i=1}^{t-2}n_i}{(q;q)_{n-n_{2t-1}}(q;q)_{n_{2t-1}-n_{2t-2}}\cdots(q;q)_{n_2-n_1}(q;q)_{n_1}},$$

where the sum is over $n \ge n_{2t-1} \ge \cdots \ge n_1 \ge 0$.

Why is this a (Laurent) polynomial?

Using further q-series techniques, we reduce the 2t-fold sum to a t-fold sum.



Corresponding to the torus knots $T_{(2,2t+1)}$ for $t \ge 1$, define the generalized U-function $U_t(q)$ by

$$egin{aligned} U_t(q) &:= q^{-t} \sum_{k_t \geq \cdots \geq k_1 \geq 1} (q;q)_{k_t-1}^2 q^{k_t} \ & imes \prod_{i=1}^{t-1} q^{k_i^2} \left[egin{matrix} k_{i+1} + k_i - i + 2\sum_{j=1}^{i-1} k_j \ &k_{i+1} - k_i \end{array}
ight] \end{aligned}$$

and the generalized Kontsevich-Zagier function by

$$egin{aligned} \mathcal{F}_t(q) &= q^t \sum_{k_t \geq \cdots \geq k_1 \geq 0}^\infty (q;q)_{k_t} \prod_{i=1}^{t-1} q^{k_i(k_i+1)} iggl[k_{i+1}]{k_i} \end{bmatrix} \end{aligned}$$

Theorem (Hikami-L., 2015)

We have $F_t(q) =_{q^{-1}} U_t(q)$.

For example, when t = 1 we have

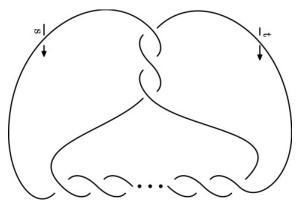
$$\sum_{n\geq 0} (q;q)_n^2 q^n =_{q^{-1}} q \sum_{n\geq 0} (q;q)_n.$$

When t = 2 we have

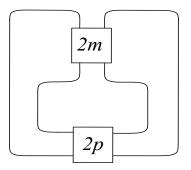
$$\sum_{k_2 \ge k_1 \ge 0} (q;q)_{k_2} q^{k_1^2 + k_1} \begin{bmatrix} k_2 \\ k_1 \end{bmatrix} =_{q^{-1}} \sum_{k_2 \ge k_1 \ge 1} (q;q)_{k_2 - 1}^2 q^{k_2 + k_1^2} \begin{bmatrix} k_2 + k_1 - 1 \\ k_2 - k_1 \end{bmatrix}.$$

Other knots - twist knots

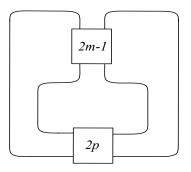
Consider the double twist knots.



There are even double twist knots...



And odd double twist knots.



- L.-Osburn (2017), *q*-hypergeometric formulas for the colored Jones polynomials of even twist knots \Rightarrow Two infinite families of quantum *q*-series identities.
- L.-Osburn (2019), *q*-hypergeometric formulas for the colored Jones polynomials of odd twist knots \Rightarrow Two infinite families of quantum *q*-series identities.

For integers $m, p \ge 1$ define

$$\begin{split} \mathfrak{F}_{m,p}(q) &= q^{1-p} \sum_{\substack{n_{(2m+1)p-1} \geq \cdots \geq n_1 \geq 0 \\ (2m+1)p-1 \geq \cdots \geq n_1 \geq 0 \\ \times \prod_{\substack{1 \leq i < j \leq (2m+1)p-1 \\ (2m+1) \neq i \\ j \not\equiv m \pmod{2m+1}}} q^{\epsilon_{i,j,m}n_in_j} \prod_{\substack{i \equiv m, 2m+1 \pmod{2m+1} \\ i \equiv m, 2m+1 \pmod{2m+1}}}^{(2m+1)p-2} (-1)^{n_i} q^{\binom{n_i+1}{2}} \\ \times \prod_{\substack{i = 1 \\ i = 1}}^{(2m+1)p-2} q^{-n_in_{i+1}+\gamma_{i,m}n_i}} \prod_{\substack{i = 1 \\ n_i = 1}}^{(n_i+1)p-2} \eta^{-n_in_{i+1}+\gamma_{i,m}n_i}} \left[n_{i+1} \atop n_i \right], \end{split}$$

where $\epsilon_{i,j,m}$ and $\gamma_{i,m}$ are defined by

$$\epsilon_{i,j,m} = \begin{cases} 1, & \text{if } j \equiv -i \text{ or } -i - 1 \pmod{2m+1}, \\ -1, & \text{if } j \equiv i \text{ or } i - 1 \pmod{2m+1}, \\ 0, & \text{otherwise} \end{cases}$$

where $1 \le i < j \le (2m+1)p - 1$ with $(2m+1) \nmid i$ and $j \not\equiv m \pmod{2m+1}$ and

$$\gamma_{i,m} = \begin{cases} 1, & \text{if } i \equiv 1, \dots, m-1 \pmod{2m+1}, \\ -1 & \text{if } i \equiv 0, m+1, \dots, 2m \pmod{2m+1}, \\ 0 & \text{if } i \equiv m \pmod{2m+1} \end{cases}$$

where $1 \le i \le (2m + 1)p - 2$.

Next for integers $m, p \ge 1$ define

$$\mathfrak{U}_{m,p}(q) = q^p \sum_{\substack{n \ge 0 \ n = n_m \ge n_{m-1} \ge \cdots \ge n_1 \ge 0 \ n = s_p \ge s_{p-1} \ge \cdots \ge s_1 \ge 0}} rac{(q;q)_n^2}{(q;q)_{n_1}} q^n imes \sum_{\substack{n = n_m \ge n_{m-1} \ge \cdots \ge n_1 \ge 0 \ n = s_p \ge s_{p-1} \ge \cdots \ge s_1 \ge 0}} imes \prod_{i=1}^{m-1} q^{n_i^2 + n_i} egin{bmatrix} n_{i+1} \ n_i \end{bmatrix} \prod_{j=1}^{p-1} q^{s_j^2 + s_j} egin{bmatrix} s_{j+1} \ s_j \end{bmatrix}.$$

Theorem (L.-Osburn, 2019)

We have $\mathfrak{F}_{m,p}(q) =_{q^{-1}} \mathfrak{U}_{m+1,p}(q)$.

For example, m = 3 and p = 1 gives

$$\sum_{\substack{n_6 \ge n_5 \ge n_4 \ge n_3 \ge n_2 \ge n_1 \ge 0 \\ \times q^{n_1(n_5+n_6)+n_2(n_4+n_5)-n_1n_2-n_2n_3-n_4n_5-n_5n_6 \\ \times q^{n_1+n_2-n_4-n_5} \begin{bmatrix} n_6 \\ n_5 \end{bmatrix} \begin{bmatrix} n_5 \\ n_4 \end{bmatrix} \begin{bmatrix} n_3 \\ n_2 \end{bmatrix} \begin{bmatrix} n_2 \\ n_1 \end{bmatrix}}$$
$$=_{q^{-1}} \sum_{\substack{n\ge 0 \\ n=n_4 \ge n_3 \ge n_2 \ge n_1 \ge 0}} \frac{(q;q)_n^2}{(q;q)_{n_1}} q^{n+1+n_3^2+n_3+n_2^2+n_2+n_1^2+n_1} \begin{bmatrix} n_4 \\ n_3 \end{bmatrix} \begin{bmatrix} n_3 \\ n_2 \end{bmatrix} \begin{bmatrix} n_2 \\ n_1 \end{bmatrix}.$$

- The proof follows from two different formulas for the colored Jones polynomial of the odd double twist knots together with the fact that the (s, t) double twist knot is the mirror image of the (-s, -t) double twist knot.
- The first formula is deduced from work of Takata on "two-bridge" knots.
- \bullet The second uses a "skein-theoretic" formula of Walsh + Bailey pairs!

Specifically, Walsh's work implies that for (2m - 1, 2p) twists the colored Jones polynomial is

$$q^{p(1-N^2)}\sum_{n\geq 0}q^n(q^{1+N};q)_n(q^{1-N};q)_nc_{p,n}(q)d_{m,n}(q),$$

where

$$c_{p,n}(q) = (q;q)_n \sum_{k=0}^n \frac{(-1)^k q^{\binom{k}{2}} + p(k^2+k)(1-q^{2k+1})}{(q;q)_{n-k}(q;q)_{n+k+1}}$$

and

$$d_{m,n}(q) = (q;q)_n \sum_{k=0}^n rac{q^{mk^2+(m-1)k}(1-q^{2k+1})}{(q;q)_{n-k}(q;q)_{n+k+1}}.$$

Using Bailey pairs, one can then show that

$$c_{p,n}(q) = \sum_{n=n_p\geq n_{p-1}\geq\cdots\geq n_1\geq 0}\prod_{j=1}^{p-1}q^{n_j^2+n_j} iggl[n_{j+1} \ n_j iggr].$$

and

$$d_{m,n}(q) = \sum_{n=n_m \geq n_{m-1} \geq \cdots \geq n_1 \geq 0} rac{1}{(q;q)_{n_1}} \prod_{j=1}^{m-1} q^{n_j^2 + n_j} iggl[n_{j+1} \ n_j iggr].$$

Summary of Part II

- Quantum *q*-series identities can be proved using colored Jones polynomials in knot theory.
- We need a formula for the colored Jones polynomial of a knot and another for the colored Jones polynomial of its mirror image.
- Some formulas are known for classes of knots, and some have to be computed.
- Bailey pairs play an important role (and so does work of Takata).

Concluding Remarks

Find more quantum *q*-series identities. Knots? (Yuasa, Stosic-Wedrich) *q*-series?

Prove the quantum *q*-series identities from knot theory using known (classical) *q*-series identities.

In some cases one can use the "tail" of the colored Jones polynomial to find an identity for |q| < 1.

Concluding Remarks

Recall the Kontsevich-Zagier function

1

$$F(q)=\sum_{n\geq 0}(q;q)_n.$$

The coefficients of the series

$$F(1-q) = 1 + q + 2q^2 + 5q^3 + 15q^4 + 53q^5 + \cdots$$

are called the Fishburn numbers.

They count several important combinatorial objects.

What about $F_t(1-q)$, where

$$egin{aligned} \mathcal{F}_t(q) &= q^t \sum_{k_t \geq \cdots \geq k_1 \geq 0}^\infty (q;q)_{k_t} \prod_{i=1}^{t-1} q^{k_i(k_i+1)} iggl[k_{i+1}]{k_i} \end{bmatrix} \end{aligned}$$

is the generalized Kontsevich-Zagier series?

The coefficients appear to be positive.

What are they counting?

Thanks!