

## On $b$ -function, spectrum and multiplier ideals

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(Some details are in arXiv:0705.1434.)

### $b$ -function of a hypersurface

**b-function** (or Bernstein-Sato polynomial).

$\mathcal{D}_X$  : ring of linear differential operators.

$$\mathcal{D}_X[s]f^s \subset \mathcal{O}_X[\frac{1}{f}][s]f^s,$$

where  $\partial_i f^s = s(\partial_i f)f^{s-1}$  with  $\partial_i = \partial/\partial x_i$ .

**Def.**  $b_f(s)$  the monic poly. of least degree s.t.

$$b_f(s)f^s = P(x, \partial, s)f^{s+1} \text{ in } \mathcal{O}_X[\frac{1}{f}][s]f^s,$$

with  $P(x, \partial, s) \in \mathcal{D}_X[s]$ . Locally, it is the minimal polynomial of  $s$  on  $\mathcal{D}_X[s]f^s/\mathcal{D}_X[s]f^{s+1}$ .

Define  $b_{f,x}(s)$  replacing  $\mathcal{D}_X$  with  $\mathcal{D}_{X,x}$ .

**Observation.**  $i_f : X \rightarrow \tilde{X} := X \times \mathbf{C}$  by graph.

$$\tilde{M} := i_{f+} \mathcal{O}_X (= \mathcal{D}_{\tilde{X}} \delta(f-t) = \mathcal{O}_{X \times \mathbf{C}}[\frac{1}{f-t}]/\mathcal{O}_{X \times \mathbf{C}}),$$

This is a free  $\mathcal{O}_X[\partial_t]$ -module of rank 1 with generator  $\delta(f-t) = \frac{1}{f-t}$  satisfying

$$\partial_i \delta(f-t) = -(\partial_i f) \partial_t \delta(f-t), \quad t \delta(f-t) = f \delta(t-f).$$

Then  $f^s \leftrightarrow \delta(f-t)$  with  $s = -\partial_t t$ , and

$$\mathcal{D}_X[s]f^s = \mathcal{D}_X[s] \delta(f-t) \text{ (as } \mathcal{D}_X[s]\text{-modules).}$$

The action of  $t$  on  $\mathcal{D}_X[s]f^s$  is  $\mathcal{D}_X$ -linear and

$$t : s \mapsto s+1, \text{ i.e. } ts = (s+1)t.$$

### V-filtration.

**Def.**  $V$  is a filtration of Kashiwara-Malgrange if  $V$  is exhaustive, separated, and satisfies for any  $\alpha \in \mathbf{Q}$ :

- (i)  $V^\alpha \tilde{M}$  is a coherent  $\mathcal{D}_X[s]$ -submodule of  $\tilde{M}$ .
- (ii)  $tV^\alpha \tilde{M} \subset V^{\alpha+1} \tilde{M}$  and  $=$  holds for  $\alpha \gg 0$ .
- (iii)  $\partial_t V^\alpha \tilde{M} \subset V^{\alpha-1} \tilde{M}$ .
- (iv)  $\partial_t t - \alpha$  is nilpotent on  $\text{Gr}_V^\alpha \tilde{M}$ .

$V$  is unique if it exists.

**Thm** (Kashiwara, Malgrange). There exists  $V$  on  $\tilde{M} = i_{f+} M$  for any holonomic  $M$ . ( $V$  indexed by  $\mathbf{C}$ ).

**Relation with the b-function.** If  $X$  is  $X$  affine or Stein and relatively compact, the multiplicity of a root  $\alpha$  of  $b_f(-s)$  is given by

$$\deg(\min. \text{ poly}(s + \alpha \mid \text{Gr}_V^\alpha(\mathcal{D}_X[s]f^s/\mathcal{D}_X[s]f^{s+1})),$$

where  $\mathcal{D}_X[s]f^s = \mathcal{D}_X[s] \delta(f-t)$  with  $s = -\partial_t t$ .

Here  $V^\alpha \tilde{M}$ ,  $\mathcal{D}_X[s]f^{s+i}$  are ‘lattices’ of  $\tilde{M} = \mathcal{O}_X[\partial_t]$ ,

i.e.  $V^\alpha \tilde{M} \subset \mathcal{D}_X[s]f^{s+i} \subset V^\beta \tilde{M} \quad (\alpha \gg i \gg \beta)$ .

Moreover, existence of  $V \Leftrightarrow$  existence of  $b_f(s)$

### Relation with vanishing cycle functors.

Let  $\rho : X_t \rightarrow X_0$  be a ‘good’ retraction (using a resolution of singularities of  $(X, X_0)$ ), where  $X_t = f^{-1}(t)$  with  $t \neq 0$  sufficiently near 0.

**Def.** (Nearby and vanishing cycles)

$$\psi_f \mathbf{C}_X = \mathbf{R}\rho_* \mathbf{C}_{X_t}, \quad \varphi_f \mathbf{C}_X = \psi_f \mathbf{C}_X / \mathbf{C}_{X_0}.$$

If  $F_x$  denote the Milnor fiber around  $x \in X_0$ , then

$$(\mathcal{H}^j \psi_f \mathbf{C}_X)_x = H^j(F_x, \mathbf{C}), \quad (\mathcal{H}^j \varphi_f \mathbf{C}_X)_x = \tilde{H}^j(F_x, \mathbf{C}).$$

**Def.**  $\psi_f M = \bigoplus_{0 < \alpha \leq 1} \text{Gr}_V^\alpha \tilde{M}$ ,

$$\varphi_f M = \bigoplus_{0 \leq \alpha < 1} \text{Gr}_V^\alpha \tilde{M}.$$

**Thm** (Kashiwara, Malgrange).

For a regular holonomic  $\mathcal{D}_X$ -module  $M$ , we have

$$\text{DR}_X \psi_f(M) = \psi_f \text{DR}_{\tilde{X}}(M)[-1],$$

$$\text{DR}_X \varphi_f(M) = \varphi_f \text{DR}_{\tilde{X}}(M)[-1],$$

and  $\exp(-2\pi i \partial_t t)$  on the left-hand side corresponds to the monodromy  $T$  on the right-hand side.

**Def.**  $R_f = \{\text{roots of } b_f(-s)\} \subset \mathbf{Q}_{>0}$ ,

$$m_{f,\alpha} = \text{multiplicity of } \alpha \in R_f,$$

$$\alpha_f = \min R_f > 0 \quad (\text{by Kashiwara}).$$

We have similarly  $R_{f,x}$ ,  $m_{f,x,\alpha}$ ,  $\alpha_{f,x}$  for  $b_{f,x}(s)$ .

**Thm** (Kashiwara, Malgrange).

(i)  $e^{-2\pi i R_f} = \{\text{eigenvalues of } T \text{ on } H^j(F_x, \mathbf{C}) \text{ for any } x \in X_0, j \in \mathbf{Z}\}$ ,

(ii)  $m_{f,\alpha} \leq \min\{i \mid N^i \psi_{f,\lambda} \mathbf{C}_X = 0\} \quad (\lambda = e^{-2\pi i \alpha})$ .

Here  $\psi_{f,\lambda} = \text{Ker}(T_s - \lambda) \subset \psi_f$ ,  $N = \log T_u$ .

### Microlocal b-function.

Define  $\tilde{R}_f, \tilde{m}_{f,\alpha}, \tilde{\alpha}_f$  with  $b_f(s)$  replaced by

$$\tilde{b}_f(s) := b_f(s)/(s+1) \quad (\text{microlocal } b\text{-function}).$$

This coincides with the monic polynomial of the least degree satisfying

$$\tilde{b}_f(s) \delta(f-t) = \tilde{P} \partial_t^{-1} \delta(f-t) \text{ with } \tilde{P} \in \mathcal{D}_X[s, \partial_t^{-1}].$$

**Rem.** If  $f$  has isol. sing. then  $\tilde{\alpha}_{f,x}$  coincides with the min. exp. (Malgrange, Varchenko, Scherk-Steenbrink).

**Thm.**  $\tilde{R}_f \subset [\tilde{\alpha}_f, n - \tilde{\alpha}_f]$ ,  $\tilde{m}_{f,\alpha} \leq n - \tilde{\alpha}_f - \alpha + 1$ , where  $n = \dim X$ .

(The proof uses the filtered duality for  $\varphi_f$ .)

**Prop.** (Kashiwara). If  $f$  is weighted-homog. with weights  $w_1, \dots, w_n$  and  $\text{Sing } X_0 = \{0\}$ , then

$$\tilde{R}_f = E_f (:= \{\text{exponents}\}), \quad \tilde{\alpha}_f = \sum w_i,$$

$$\max \tilde{R}_f = n - \tilde{\alpha}_f, \quad \tilde{m}_{f,\alpha} = 1 \quad (\alpha \in \tilde{R}_f).$$

**Ex.** If  $f = \sum_i x_i^2$ , then  $\tilde{\alpha}_f = n/2$  and the assertion follows from the above theorem.

### Spectrum of a hypersurface

**Spectrum** (Steenbrink 1976, 1987)

$f : X \rightarrow \mathbf{C}$  with  $X$  smooth.

$F_x$  : Milnor fiber around  $x \in X_0 = f^{-1}(0)$ .

**Def.**  $\text{Sp}(f, x) = \text{Sp}(X_0, x) = \sum_{\alpha > 0} n_{f, \alpha} t^\alpha$  with

$$n_{f, \alpha} = \sum_j (-1)^{j-n+1} \dim \text{Gr}_F^p \tilde{H}^j(F_x, \mathbf{C})_\lambda,$$

where  $p = [n - \alpha]$ ,  $\lambda = e^{-2\pi i \alpha}$  ( $n = \dim X$ ).

Here  $F$  is the Hodge filtration on

$$\tilde{H}^j(F_x, \mathbf{C})_\lambda := \text{Ker}(T_s - \lambda) \text{ with } T = T_s T_u.$$

**Def.**  $E_f = \{\alpha \mid n_{f, \alpha} \neq 0\} \subset \mathbf{Q}_{>0}$  (exponents).

### Isolated singularity case.

**Prop.** (Symmetry, positivity).

$$n_{f, \alpha} = n_{f, n-\alpha} \geq 0 \quad (f \text{ isol. sing.})$$

**Thm.** (Scherk-Steenbrink, Varchenko).

$$\text{Sp}(f + g, (x, y)) = \text{Sp}(f, x) \text{Sp}(g, y) \in \mathbf{Q}[t^{1/\epsilon}]$$

**Thm** (Steenbrink). If  $\text{Sing } X_0 = \{0\}$  and  $f$  is weighted homogeneous with positive weights  $w_1, \dots, w_n$  (i.e.  $f = \sum_\nu c_\nu x^\nu$  with  $\sum_i w_i \nu_i = 1$ ), then

$$\text{Sp}(f, x) = \prod_i (t - t^{w_i}) / (t^{w_i} - 1).$$

### Nondegenerate Newton boundary case.

If  $n = 2$  and  $f$  has nondegenerate Newton boundary  $\partial P_f$  s.t.  $\mathbf{R}_{\geq 0}^2 \setminus P_f$  is bounded, then by Steenbrink

$$E_f \cap (0, 1] = \bigcup_\sigma E_\sigma^{\leq 1} \quad (\text{using symmetry})$$

with  $E_\sigma^{\leq 1} = \{L_\sigma(u) \mid u \in \mathbf{Z}_{>0}^2 \cap (\{0\} \cup \sigma)^{\text{conv.hull}}\}$ ,

where  $L_\sigma$  is a linear function s.t.  $L_\sigma^{-1}(1) \supset \sigma$ .

**Generalization.** For  $n > 2$ , the filtration  $V$  on  $\Omega_X^n / df \wedge \Omega_X^{n-1}$  is induced by the Newton filtration, and there is a combinatorial description by Steenbrink.

### Multiplier ideals and an extension theorem

**Multiplier ideals.**  $Z$  subvariety of a smooth  $X$ .

$\mathcal{J}(X, \alpha Z)$  : multiplier ideal ( $\alpha \in \mathbf{Q}_{>0}$ ), where

$$g \in \mathcal{J}(X, \alpha Z) \Leftrightarrow |g|^2 / (\sum |f_i|^2)^\alpha \text{ locally integrable}$$

with  $f_1, \dots, f_r$  local generators of the ideal of  $Z$ , or

$$\mathcal{J}(X, \alpha Z) = \rho_* \omega_{\tilde{X}/X} (-\sum_i [\alpha m_i] \tilde{D}_i)$$

with  $\rho : (\tilde{X}, \tilde{D}) \rightarrow (X, Z)$  an embedded resolution s.t.  $\rho^{-1} \mathcal{I}_Z$  generates the ideal  $\mathcal{I}_{\tilde{D}}$  of  $\tilde{D} = \sum_i m_i \tilde{D}_i$ .

**Def.**  $\alpha \in \text{JN}(Z) := \{\text{Jumping numbers of } Z\} \Leftrightarrow \mathcal{G}(X, \alpha Z) := \mathcal{J}(X, (\alpha - \varepsilon)Z) / \mathcal{J}(X, \alpha Z) \neq 0$  ( $0 < \varepsilon \ll 1$ ).

### Extension of multiplier ideals

Assume  $X = Y \times \mathbf{C}^r$ ,  $D = f^{-1}(0)$  and  $\lambda^* f = f$  with

$$\lambda \cdot (y, z_1, \dots, z_r) = (y, \lambda^{w_1} z_1, \dots, \lambda^{w_r} z_r) \in Y \times \mathbf{C}^r,$$

for  $\lambda \in \mathbf{C}^*$ , where  $w_i > 0$ .

For  $y \in Y = Y \times \{0\} \subset X$ , let

$$G^{>\alpha} \mathcal{O}_{X, y} = \{g \in \mathcal{O}_{X, y} \mid v(g) > \alpha\} \text{ with}$$

$$v(\sum a_\nu z^\nu) = \min\{\sum_i w_i (\nu_i + 1) \mid a_\nu \neq 0\}. \text{ Then}$$

**Thm.**  $\mathcal{J}(X, \alpha D)_y = (j_* \mathcal{J}(X', \alpha D'))_y \cap G^{>\alpha} \mathcal{O}_{X, y}$ , where  $j : X' := X \setminus (Y \times \{0\}) \rightarrow X$ ,  $D' := D \cap X'$ .

**Cor.** If  $D$  is the affine cone of a divisor of degree  $d$  on  $\mathbf{P}^{n-1}$ , then

$$\mathcal{J}(X, \alpha D) = I_0^k \text{ with } k = [d\alpha] - n + 1 \text{ for } \alpha < \alpha'_f,$$

where  $I_0$  is the ideal of 0, and  $\alpha'_f := \min_{x \neq 0} \{\alpha_{f, x}\}$ .

(For hyperplane arrangements this is due to Mustata.)

**Cor.**  $\dim F^{n-1} H^{n-1}(F_0, \mathbf{C})_{e(-k/d)} = \binom{k-1}{n-1}$ ,

for  $0 < \frac{k}{d} < \alpha'_f$ . (Same for  $P$  instead of  $F$ .)

**Cor.**  $\alpha_f = \min(\alpha'_f, \frac{n}{d})$  (using  $\alpha_f = \min \text{JN}(D)$ ).

### Relations in the hypersurface case

Assume  $D = f^{-1}(0)$  hypersurface.

**Thm** [Budur]. If  $f$  has isol. sing., then for  $\alpha \in (0, 1)$   $n_{f, \alpha} = \dim \mathcal{G}(X, \alpha D)_x$  (so  $\text{JN}(D) \cap (0, 1) = E_f \cap (0, 1)$ ).

**Def.**  $V$  induced by  $\mathcal{O}_X \subset \mathcal{O}_X[\partial_t] \delta(f - t)$ . Then

**Thm** [Budur, S].  $\mathcal{J}(X, \alpha D) = V^\alpha \mathcal{O}_X$  if  $\alpha \notin \text{JN}(D)$ .

$$\mathcal{J}(X, \alpha D) = V^{\alpha+\varepsilon} \mathcal{O}_X, \quad V^\alpha \mathcal{O}_X = \mathcal{J}(X, (\alpha - \varepsilon)D)$$

for any  $\alpha \in \mathbf{Q}_{>0}$  where  $0 < \varepsilon \ll 1$ .

**Rem.**  $V$  : left-continuous, i.e.  $V^\alpha \mathcal{O}_X = V^{\alpha-\varepsilon} \mathcal{O}_X$ ,  $\mathcal{J}(X, \alpha D)$  : right-cont.,  $\mathcal{J}(X, \alpha D) = \mathcal{J}(X, (\alpha + \varepsilon)D)$ .

As a corollary we reprove results of Ein, Lazarsfeld, Smith and Varolin, and of Lichtin, Yano and Kollár.

**Cor.** (i)  $\text{JN}(D) \cap (0, 1] \subset R_f$ . (ii)  $\alpha_f = \min \text{JN}(D)$ .

Set  $\alpha'_{f, x} = \min_{y \neq x} \{\alpha_{f, y}\}$ . Then

**Thm.** If  $\xi f = f$  for a vector field  $\xi$ , then

$$R_f \cap (0, \alpha'_{f, x}) = \text{JN}(D) \cap (0, \alpha'_{f, x}).$$

**Rem.** This does not hold without the assumption on  $\xi$  nor without restricting to  $(0, \alpha'_{f, x})$ .

**Periodicity.**  $\text{JN}(D) = (\text{JN}(D) \cap (0, 1]) + \mathbf{N}$ , since  $f \mathcal{J}(X, \alpha D) = \mathcal{J}(X, (\alpha + 1)D)$  ( $\alpha > 0$ ).

### Malgrange's formula (isol. sing. case).

**Def.** Brieskorn lattice (where  $X$  is analytic)

$$H_f'' = \Omega_{X, x}^n / df \wedge d\Omega_{X, x}^{n-2} \quad (n = \dim X),$$

$$\tilde{H}_f'' = \sum_{i \geq 0} (t \partial_t)^i H_f'' \quad (\text{saturation}).$$

These are  $\mathbf{C}\{t\}$ -modules with reg. sing. connection, and  $\partial_t^{-1}$  is well-defined on  $H_f''$ .

**Thm** (Malgrange).

$$\tilde{b}_f(s) = \min \text{poly}(-\partial_t t \mid \tilde{H}_f'' / t \tilde{H}_f'').$$

**Rem.** Kashiwara's formula on b-function can be proved using this and Brieskorn's calculation.

### Asymptotic Hodge structure (isol. sing. case).

**Thm** (Varchenko, Scherk-Steenbrink).

$$F^p H^{n-1}(F_x, \mathbf{C})_\lambda = \text{Gr}_V^\alpha H_f'',$$

under  $H^{n-1}(F_x, \mathbf{C})_\lambda = \text{Gr}_V^\alpha \mathcal{G}_f$  with  $\mathcal{G}_f := H_f''[\partial_t]$ , where  $p = [n - \alpha]$ ,  $\lambda = e^{-2\pi i \alpha}$  ( $n = \dim X$ ).

**Rem.** This is generalized to the non-isol. sing. case (an origin of the theory of mixed Hodge modules).

**Cor.**  $\tilde{R}_f \subset \bigcup_{k=0}^{n-1} (E_f - k)$ ,  $\tilde{\alpha}_f = \min \tilde{R}_f = \min E_f$ . The proof uses the following

### Reformulation of Malgrange formula (isol. sing.)

$\tilde{F}^p H^{n-1}(F_x, \mathbf{C})_\lambda := \text{Gr}_V^\alpha \tilde{H}_f''$  under the isomorphism

$$H^{n-1}(F_x, \mathbf{C})_\lambda = \text{Gr}_V^\alpha H_f''[\partial_t] \quad (p = [n - \alpha], \lambda = e^{-2\pi i \alpha}).$$

Then

$$\tilde{m}_{f,\alpha} = \deg(\min \text{poly}(N | \text{Gr}_{\tilde{F}}^p H^{n-1}(F_x, \mathbf{C})_\lambda)).$$

**Rem.**  $E_f \cap (0, 1) = \text{JN}(D) \cap (0, 1)$  in the isol. sing. case (by Budur).

**Rem.** If  $f$  is weighted homog. with isol. sing, then

$$\tilde{F} = F \text{ and } \tilde{R}_f = E_f \text{ (by Kashiwara).}$$

**Ex.** If  $f = x^5 + y^4 + x^3y^2$ , then

$$E_f = \left\{ \frac{i}{5} + \frac{j}{4} : 1 \leq i \leq 4, 1 \leq j \leq 3 \right\},$$

$$\tilde{R}_f = E_f \cup \left\{ \frac{11}{20} \right\} \setminus \left\{ \frac{31}{20} \right\}.$$

This is the simplest examples s.t.  $E_f \neq \tilde{R}_f$ .

**Rem.** Let  $f = g + h \in \mathbf{C}[x]$  with  $g$  weighted homog. of weights  $w_1, \dots, w_n$  and  $h = x^\nu$  s.t.  $\sum_i w_i \nu_i > 1$  and  $h \notin (\partial g)$ . Then  $E_f \neq \tilde{R}_f$ .

## Hyperplane arrangement case

### Hyperplane arrangements.

$X = \mathbf{C}^n \supset D$  a central hyperplane arrangement (Here, central means the affine cone of  $Z \subset \mathbf{P}^{n-1}$ ).

$D = f^{-1}(0)$  with  $f$  reduced,  $d = \deg f > n$ .

Assume  $D$  is not the pull-back of  $D' \subset \mathbf{C}^{n'}$  ( $n' < n$ ).

**Thm.** (i)  $\max R_f < 2 - \frac{1}{d}$ . (ii)  $m_1 = n$ .

Proof of (i) uses a generalization of Malgrange's formula and a partial generalization of a solution of Aomoto's conjecture (Esnault, Schechtman, Viehweg, Terao, Varchenko)

### Generalization of Malgrange's formula.

**Thm.**  $\exists P$  the pole order filtration on  $H^{n-1}(F_0, \mathbf{C})_\lambda$  s.t. if  $(\alpha + \mathbf{N}) \cap R'_f = \emptyset$  (where  $R'_f = \cup_{x \neq 0} R_{f,x}$ ), then

$$\alpha \in R_f \Leftrightarrow \text{Gr}_P^p H^{n-1}(F_0, \mathbf{C})_\lambda \neq 0,$$

with  $p = [n - \alpha]$ ,  $\lambda = e^{-2\pi i \alpha}$  ( $n = \dim X$ ).

**Rem.** This reduces the proof of Thm. (i) to

$$P^i H^{n-1}(F_0, \mathbf{C})_\lambda = H^{n-1}(F_0, \mathbf{C})_\lambda$$

for  $i = n - 1$  if  $\lambda = 1, e^{2\pi i/d}$ , and  $i = n - 2$  otherwise.

**Generic case.** If  $D$  is a generic central hyperplane arrangement, then

$$b_f(s) = (s + 1)^{n-1} \prod_{j=n}^{2d-2} (s + \frac{j}{d})$$

by U. Walther (except for the multiplicity of  $-1$ ).

He uses a completely different method.

**Non-generic case.** In case  $n = 3, d \leq 7$ , and  $\max\{\text{mult}_x D \mid x \neq 0\} = 3$ , we have

$$b_f(s) = (s + 1) \prod_{i=2}^4 (s + \frac{i}{3}) \prod_{j=3}^r (s + \frac{j}{d})$$

where  $r = 2d - 2$  or  $2d - 3$ .

## b-function of a subvariety

### b-functions.

$X$  smooth  $\supset Z$  closed subvariety.

$f = (f_1, \dots, f_r)$  generators of the ideal of  $Z$ .

Define the action of  $t_j$  on  $\mathcal{O}_X[\frac{1}{f_1 \dots f_r}][s_1, \dots, s_r] \prod_i f_i^{s_i}$

by  $t_j(s_i) = s_i + 1$  if  $i = j$ , and  $t_j(s_i) = s_i$  otherwise.

Put  $s_{i,j} := s_i t_i^{-1} t_j, s = \sum_i s_i$ .

Then  $b_f(s)$  is the monic poly. of least degree s.t.

$$b_f(s) \prod_i f_i^{s_i} = \sum_{k=1}^r P_k t_k \prod_i f_i^{s_i},$$

where  $P_k$  belong to the ring generated by  $\mathcal{D}_X$  and  $s_{i,j}$ .

**Rem.** We can replace  $\prod_i f_i^{s_i}$  with  $\prod_i \delta(t_i - f_i)$ , using the direct image by the graph of  $f : X \rightarrow \mathbf{C}^r$ .

Then the existence of  $b_f(s)$  follows from the theory of the  $V$ -filtration of Kashiwara and Malgrange.

This b-function has appeared in work of Sabbah and Gyoja for the study of b-functions of several variables.

**Thm** [Budur, Mustata, S]. Let  $c = \text{codim}_X Z$ .

Then  $b_f(s - c)$  depends only on  $Z$  and is independent of the choice of  $X, f = (f_1, \dots, f_r)$  and also of  $r$ .

### Equivalent definition.

$b_f(s)$  is the monic polynomial of least degree s.t.

$$b_f(s) \prod_i f_i^{s_i} \in \sum_{|c|=1} \mathcal{D}_X[s] \prod_{c_i < 0} \binom{s_i}{-c_i} \prod_i f_i^{s_i + c_i},$$

where  $c = (c_1, \dots, c_r) \in \mathbf{Z}^r$  with  $|c| := \sum_i c_i = 1$ .

Here  $\mathcal{D}_X[s] = \mathcal{D}_X[s_1, \dots, s_r]$ .

This is used in the monomial ideal case.

**Remark.** The well-definedness does not hold without the term  $\prod_{c_i < 0} \binom{s_i}{-c_i}$ .

We have the induced filtration  $V$  by

$$\mathcal{O}_X \subset i_{f+} \mathcal{O}_X = \mathcal{O}_X[\partial_1, \dots, \partial_r] \prod_i \delta(t_i - f_i).$$

**Thm** [Budur, Mustata, S].

$\mathcal{J}(X, \alpha Z) = V^\alpha \mathcal{O}_X$  if  $\alpha \notin \text{JN}(Z)$ , and in general

$$\mathcal{J}(X, \alpha Z) = V^{\alpha+\varepsilon} \mathcal{O}_X, V^\alpha \mathcal{O}_X = \mathcal{J}(X, (\alpha - \varepsilon)Z).$$

**Cor** [BMS].  $\text{JN}(Z) \cap [\alpha_f, \alpha_f + 1) \subset R_f$ .

**Thm** [BMS]. If  $Z$  is reduced and CI, then  $Z$  has rational sing.  $\Leftrightarrow \alpha_f = r$  with multiplicity 1.

## Spectrum of a subvariety

### Spectrum.

$X$  smooth  $\supset Z$  closed subvariety with ideal  $\mathcal{I}_Z$ .

**Def.**  $\text{Sp}_Z \mathbf{Q}_X = \psi_t j_* \mathbf{Q}_{X \times \mathbf{C}^*}$  (Verdier specialization), where  $j : \text{Spec}_X \mathcal{O}_X[t, t^{-1}] \rightarrow \text{Spec}_X (\bigoplus_{i \in \mathbf{Z}} \mathcal{I}_Z^{-i} \otimes t^i)$  (deformation to the normal cone  $N_Z X$ ).

$\Lambda$  : irred. comp. of the fiber  $(N_Z X)_z$  over  $z \in Z$ ,  $\xi \in \Lambda$  sufficiently general with  $i_\xi : \{\xi\} \rightarrow N_Z X$ .

**Def.**  $\widehat{\text{Sp}}(Z, \Lambda) = \sum_{\alpha > 0} n_{\Lambda, \alpha} t^\alpha$ , where

$$n_{\Lambda, \alpha} = \sum_j (-1)^j \dim \text{Gr}_F^p H^{j+c_\Lambda}(i_\xi^* \text{Sp}_Z \mathbf{C}_X)_\lambda,$$

with  $p = [c_\Lambda + 1 - \alpha]$ ,  $\lambda = e^{-2\pi i \alpha}$  ( $c_\Lambda = \dim X - \dim \Lambda$ )

**Def.**  $\text{Sp}(Z, \Lambda) = \widehat{\text{Sp}}(Z, \Lambda) - (-1)^{c_\Lambda} t^{c_\Lambda + 1}$ .

If  $(N_Z X)_x$  is irreducible (e.g. if  $Z$  is CI), set

$$\widehat{\text{Sp}}(Z, x) = \widehat{\text{Sp}}(Z, \Lambda), \text{ etc. for } \Lambda = (N_Z X)_x.$$

This generalizes the definition for hypersurfaces.

**Rem.** In general, we have

$$n_{\Lambda, \alpha} = 0 \ (\alpha \leq 0), \ n_{\Lambda, \beta} \geq 0 \ (\beta \in (0, 1]).$$

In the ICIS (isol. complete intersection sing.) case,

$\tilde{n}_{x,\alpha} \geq 0$  with  $\text{Sp}(Z, x) = \sum_{\alpha} \tilde{n}_{x,\alpha} t^{\alpha}$ ,  
but symmetry and semicontinuity do not hold.

In the ICIS case, our definition coincides with the one by Ebeling and Steenbrink except for  $n_{x,\alpha}$  with  $\alpha \in \mathbf{Z}$ . Indeed, they take generic 1-parameter smoothings

$$f: X' \rightarrow \mathbf{C} \text{ of } Z, \quad g: X'' \rightarrow \mathbf{C} \text{ of } X',$$

and consider  $\varphi_f \psi_g \mathbf{Q}_{X''}[n]$  (where  $n = \dim Z$ ) with  $0 \rightarrow \tilde{H}^n(F_f, \mathbf{C}) \rightarrow \varphi_f \psi_g \mathbf{Q}_{X''}[n] \rightarrow H^{n+1}(F_g, \mathbf{C}) \rightarrow 0$ , since  $\psi_g \mathbf{Q}_{X''}|_{X' \setminus \{0\}} = \mathbf{Q}$ ,  $(\psi_g \mathbf{Q}_{X''})_0 = \mathbf{R}\Gamma(F_g, \mathbf{C})$ . The action of the monodromy on  $H^{n+1}(F_g, \mathbf{C})$  is associated to the functor  $\varphi_f$ , and is the identity.

$\mathcal{I}_Z$ : ideal sheaf of  $Z \subset X$ . For  $z \in Z$  and  $\beta \in (0, 1] \cap \mathbf{Q}$

$$\bar{\mathcal{A}} := \bigoplus_{j \geq 0} \mathcal{I}_Z^j / \mathcal{I}_Z^{j+1}, \quad \bar{\mathcal{A}}(z) := \bar{\mathcal{A}} / \mathfrak{m}_{Z,z} \bar{\mathcal{A}},$$

$$\mathcal{M}(\beta) := \bigoplus_{i \geq 0} \mathcal{G}(X, (\beta + i)Z),$$

$$\mathcal{M}(\beta, z) := \mathcal{M}(\beta) / \mathfrak{m}_{Z,z} \mathcal{M}(\beta),$$

with  $(\mathcal{I}_Z^j / \mathcal{I}_Z^{j+1}) \mathcal{G}(X, (\beta + i)Z) \subset \mathcal{G}(X, (\beta + i + j)Z)$ .

For  $z \in Z$  and  $\Lambda$  irred. comp. of  $(N_Z X)_z = \text{Spec } \bar{\mathcal{A}}(z)$ ,

$$\mu_{\Lambda, \beta} := \dim_{\mathbf{C}(\Lambda)} \mathcal{M}(\beta, z) \otimes_{\bar{\mathcal{A}}(z)} \mathbf{C}(\Lambda),$$

where  $\mathbf{C}(\Lambda)$  is the function field of  $\Lambda$ .

**Thm** [Dimca, Maisonobe, S]. Let  $\beta \in (0, 1] \cap \mathbf{Q}$ .

- (i)  $0 \leq n_{\Lambda, \beta} \leq \mu_{\Lambda, \beta}$  (so  $n_{\Lambda, \beta} = 0$  if  $z \notin \text{supp } \mathcal{M}(\beta)$ ).
- (ii)  $n_{\Lambda, \beta} = \mu_{\Lambda, \beta}$  if  $\text{supp } \bar{\mathcal{A}} \mathcal{M}(\beta) \subset (N_Z X)_z$  on a nbd of the generic point of  $\Lambda$ .

(For hypersurfaces, this is due to Budur.)

**Cor** [DMS]. If  $n_{\Lambda, \alpha} \neq 0$  with  $\alpha \in (0, 1)$ , then

$\exists j_0 \in \mathbf{N}$  s.t.  $\alpha + j \in \text{JN}(Z)$  for any integer  $j \geq j_0$ .

**Thm** [DMS]. If  $T$  is a transversal slice to a stratum of a good Whitney stratification and  $r = \text{codim } T$ ,

$$\hat{\text{Sp}}(Z, \Lambda) = (-t)^r \hat{\text{Sp}}(Z \cap T, \Lambda).$$

## Monomial ideal case

### Monomial ideals.

$\mathfrak{a} \subset \mathbf{C}[x] := \mathbf{C}[x_1, \dots, x_n]$  a monomial ideal. Let

$$\Gamma_{\mathfrak{a}} = \{u \in \mathbf{N}^n \mid x^u \in \mathfrak{a}\}, \quad \mathbf{1} = (1, \dots, 1),$$

$$P_{\mathfrak{a}}: \text{the convex hull of } \Gamma_{\mathfrak{a}} \subset \mathbf{R}_{\geq 0}^n,$$

$$U(\alpha) := \{\nu \in \mathbf{N}^n \mid \nu + \mathbf{1} \in (\alpha + \varepsilon)P_{\mathfrak{a}} \text{ (} 0 < \varepsilon \ll 1)\}.$$

**Thm** (Multiplier ideals) [Howald].

$$J(X, \alpha Z) = \sum_{\nu \in U(\alpha)} \mathbf{C} x^{\nu}.$$

**Cor.**  $\text{JN}(Z) = \{\phi(\nu) \mid \nu \in \mathbf{Z}_{>0}^n\}$ .

Here  $\phi(\nu) = L_{\sigma}(\nu)$  if  $\nu \in \text{Cone}(0, \sigma) := \bigcup_{\lambda \geq 0} \lambda \sigma$ .

For a maximal face  $\sigma$  of  $P_{\mathfrak{a}}$ , set

$$L_{\sigma}: \text{linear function s.t. } L_{\sigma}|_{\sigma} = 1,$$

$$c_{\sigma}: \text{smallest positive integer s.t. } c_{\sigma} L_{\sigma} \in \mathbf{Z}[x].$$

$$e_{\sigma} = |G'_{\sigma} / G_{\sigma}| \text{ with } G'_{\sigma} = \mathbf{Z}^n \cap L_{\sigma}^{-1}(0) \text{ and}$$

$$G_{\sigma} \text{ generated by } \nu - \nu' \text{ for } \nu, \nu' \in \Gamma_{\mathfrak{a}} \cap \sigma.$$

**Thm** (Spectrum) [DMS]. There is a one-to-one correspondence between the max. compact faces  $\sigma$  of  $P_{\mathfrak{a}}$  and the irred. comp.  $\Lambda$  of  $(N_Z X)_0$ , and

$$\hat{\text{Sp}}(Z, \Lambda) = \sum_{i=1}^{c_{\sigma}} e_{\sigma} t^{i/c_{\sigma}}.$$

For a face  $\sigma$  of  $P_{\mathfrak{a}}$ , set

$V_{\sigma}$ : the linear subspace generated by  $\sigma$ ,

$M_{\sigma}$ : the subsemigroup generated by

$$u - v \text{ with } u \in \Gamma_{\mathfrak{a}}, v \in \Gamma_{\mathfrak{a}} \cap \sigma,$$

$$M'_{\sigma} = v_0 + M_{\sigma} \text{ with } v_0 \in \Gamma_{\mathfrak{a}} \cap \sigma \text{ (indep. of } v_0),$$

$$R_{\sigma} = \{L_{\sigma}(u) \mid u \in ((M_{\sigma} \setminus M'_{\sigma}) + \mathbf{1}) \cap V_{\sigma}\},$$

$$R_{\mathfrak{a}} = \{\text{roots of } b_{\mathfrak{a}}(-s)\}.$$

**Thm** (b-function) [BMS].  $R_{\mathfrak{a}} = \bigcup_{\sigma} R_{\sigma}$  with  $\sigma$  not contained in any coordinate hyperplanes.

**Rem.**  $R_{\sigma}$  may depend on the other  $\sigma'$ .

- (i) If  $\mathfrak{a} = (xy^5, x^3y^2, x^5y)$ , then  $R_{\mathfrak{a}} = R_{\sigma} \cup R_{\sigma'}$ ,

$$R_{\sigma} = \{\frac{5}{13}, \frac{i}{13} \text{ (} 7 \leq i \leq 17), \frac{19}{13}\}, \quad R_{\sigma'} = \{\frac{j}{5} \text{ (} 3 \leq j \leq 9)\}.$$

So  $R_{\sigma} = \{\frac{3i+2j}{13} \text{ (} 1 \leq i \leq 3, 1 \leq j \leq 5)\}$  with  $L_{\sigma}(i, j) = \frac{3i+2j}{13}$ .

- (ii) If  $\mathfrak{a} = (xy^5, x^3y^2, x^4y)$ , then

$$R_{\sigma} = \{\frac{i}{13} \text{ (} 5 \leq i \leq 17)\}, \quad R_{\sigma'} = \{\frac{j}{5} \text{ (} 2 \leq j \leq 6)\}.$$

So  $R_{\sigma} \neq \{\frac{3i+2j}{13} \text{ (} 1 \leq i \leq 3, 1 \leq j \leq 5)\}$  with  $\frac{19}{13}$  shifted to  $\frac{6}{13}$ .

**Comparison.**  $D := f^{-1}(0)$  for  $f = \sum c_{\nu} x^{\nu} \in \mathbf{C}[x]$  with non-degenerate Newton boundary  $\partial P_f = \partial P_{\mathfrak{a}}$ . Assume  $Z_{\text{red}} = \{0\}$  s.t.  $\text{Sing } D = \{0\}$ . Then

$$\text{JN}(D) \cap (0, 1) \stackrel{(1)}{=} \text{JN}(Z) \cap (0, 1)$$

$$\stackrel{(2)}{=} \bigcap (3)$$

$$E_f \cap (0, 1) \stackrel{(4)}{\subset} \bigcup_{\Lambda} E_{Z, \Lambda} \cap (0, 1)$$

where  $E_{Z, \Lambda} = \{\alpha \mid n_{\Lambda, \alpha} \neq 0\}$ .

- (1) Howald, (2) Budur, (1)+(2) Howald, Steenbrink.
- (3)(4) DMS. (In general (3)(4) are not equality.)

**Ex.** If  $\mathfrak{a} = (x_1^{m_1}, \dots, x_n^{m_n})$ , then

$$\text{JN}(Z) = \{\sum_i \frac{a_i}{m_i} \mid a_i \in \mathbf{Z}_{>0}\},$$

$$\hat{\text{Sp}}(Z, 0) = \sum_{i=1}^{c_{\sigma}} e_{\sigma} t^{i/c_{\sigma}},$$

$$b_{\mathfrak{a}}(s) = [\prod_{(a_1, \dots, a_n) \in E} (s + \sum_i \frac{a_i}{m_i})]_{\text{red}},$$

where

$$c_{\sigma} = \text{LCM}(m_1, \dots, m_n),$$

$$e_{\sigma} = m_1 \cdots m_n / c_{\sigma},$$

$$E = \{(a_1, \dots, a_n) \in \mathbf{N}^n \mid a_i \in [1, m_i]\}.$$

**Ex.** If  $f = \sum_i x_i^{m_i}$  and  $D = f^{-1}(0)$ , then

$$\text{JN}(D) \cap (0, 1] = \{\sum_i \frac{a_i}{m_i} \mid a_i \in \mathbf{Z}_{>0}\} \cap (0, 1],$$

$$\text{with } \text{JN}(D) = (\text{JN}(D) \cap (0, 1]) + \mathbf{N},$$

$$\text{Sp}(D, 0) = \prod_i (t - t^{1/m_i}) / (t^{1/m_i} - 1),$$

$$\tilde{b}_f(s) = [\prod_{(a_1, \dots, a_n) \in \tilde{E}} (s + \sum_i \frac{a_i}{m_i})]_{\text{red}},$$

where

$$\tilde{E} = \{(a_1, \dots, a_n) \in \mathbf{N}^n \mid a_i \in [1, m_i - 1]\}.$$