

# ON THE COMPUTATION OF MINIMAL REDUCTION

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Let  $P := k[X, Y, Z]$  be a polynomial ring over an algebraic closed field  $k$  and  $(X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) \cdot k[X, Y, Z]$  an  $(X, Y, Z)$ -primary ideal in  $P$ , ( $m, n, l, a, b, c, d, e, f$  are integers). The ideal  $Q = (X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) \cdot R$  is  $(X, Y, Z) \cdot R$ -primary ideal in the local ring  $R = k[X, Y, Z]_{(x, y, z)}$ . In this short note we give a formula for the calculation of Samuel multiplicity  $e_0(Q, R)$  of the ideal  $Q$  in  $R$ . Remark, that the multiplicity  $e_0(Q, R)$  is the leading coefficient in the Hilbert-Samuel polynomial  $P(n) = l(R/Q^n)$ , where  $l(R/Q^n)$  is the length of the  $R$ -module  $R/Q^n$ . We use the notion of a reduction of ideal for the proof of a main theorem. We say, that the ideal  $\bar{q}$  is a reduction of the  $m$ -primary ideal  $q$  in the local ring  $(A, m)$ , if  $\bar{q} \subset q$  and for some integer  $n \in \mathbb{N}$  it holds  $\bar{q} \cdot q^n = q^{n+1}$ . If  $\bar{q}$  is the reduction of the ideal  $q$  in  $A$  then we know that  $e_0(q, A) = (\bar{q}, A)$  [5, Theorem 1].

Let's formulate the first statement of this note. For the monomial ideal  $Q = (X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) \cdot R$  we set

$$\begin{aligned} Q_1 &= (X^m, Y^n, Z^l) \cdot R, \\ Q_2 &= (X^m + Y^n, X^m + Z^l, X^a Y^b Z^c) \cdot R, \\ Q_3 &= (X^m + Y^n, X^m + Z^l, X^d Y^e Z^f) \cdot R, \end{aligned}$$

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$$Q_4 = (X^m - Y^n, X^m - X^d Y^e Z^f, Z^l - X^a Y^b Z^c) \cdot R,$$

$$Q_5 = (Y^n - Z^l, Y^n - X^a Y^b Z^c, X^m - X^d Y^e Z^f) \cdot R,$$

$$Q_6 = (X^m - Z^l, X^m - X^a Y^b Z^c, Y^n - X^d Y^e Z^f) \cdot R.$$

Further define

$$\alpha_1 = mnl,$$

$$\alpha_2 = nla + mlb + mnc,$$

$$\alpha_3 = nld + mle + mnf,$$

$$\alpha_4 = nld + mle + mnc + n(af - cd) + m(bf - ce),$$

$$\alpha_5 = nld + mlb + mnc + n(af - cd) + l(ae - bd),$$

$$\alpha_6 = nla + mle + mnc + m(bf - ce) + l(bd - ae)$$

and finally  $\mathfrak{M} = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \alpha_6\}$ .

**Theorem 1.** Let  $Q = (X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) \cdot R$  be an  $(X, Y, Z) \cdot R$ -primary ideal in the local polynomial ring  $R = k[X, Y, Z]_{(x, y, z)}$  (where  $m > a > d$ ,  $n > b \geq e$ ,  $l > f > c$  w.l.g.). For all  $i \in \{1, 2, 3, 4, 5, 6\}$  we have:

If  $\alpha_i = \min \mathfrak{M}$  then  $Q_i$  is a reduction of  $Q$ .

*Proof.* Let  $\alpha_1 = \min \mathfrak{M}$ . Hence

$$\alpha_1 \leq \alpha_2, \quad \alpha_1 \leq \alpha_3.$$

Further let

$$G_1(T_1, T_2, T_3, T_4, T_5) = T_4^{\alpha_2} - X^{a(\alpha_2 - \alpha_1)} Y^{b(\alpha_2 - \alpha_1)} Z^{c(\alpha_2 - \alpha_1)} \cdot T_1^{nla} T_2^{mlb} T_3^{mnc},$$

$$G_2(T_1, T_2, T_3, T_4, T_5) = T_5^{\alpha_3} - X^{d(\alpha_3 - \alpha_1)} Y^{e(\alpha_3 - \alpha_1)} Z^{f(\alpha_3 - \alpha_1)} \cdot T_1^{nld} T_2^{mle} T_3^{mnf}$$

be the polynomials of  $R[T_1, T_2, T_3, T_4, T_5]$ . It is clear that

$$G_1(X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) = G_2(X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) = 0.$$

Let's identify  $G_i^*$  with  $G_i \cdot R[T_1, T_2, T_3, T_4, T_5]/m$ , so

$$\begin{aligned} G_1^*(T_1, T_2, T_3, T_4, T_5) &= T_4^{\alpha_2} \quad (\text{resp. } T_4^{\alpha_2} - T_1^{nla} T_2^{mlb} T_3^{mnc} \text{ if } \alpha_1 = \alpha_2), \\ G_2^*(T_1, T_2, T_3, T_4, T_5) &= T_5^{\alpha_3} \quad (\text{resp. } T_5^{\alpha_3} - T_1^{nld} T_2^{mle} T_3^{mnf} \text{ if } \alpha_1 = \alpha_3). \end{aligned}$$

Let  $I = (G_1^*, G_2^*) \cdot k[T_1, T_2, T_3, T_4, T_5]$ . Then for all possibility of the choice of  $G_i^*$  the ideal  $I + (T_1, T_2, T_3) \cdot k[T_1, T_2, T_3, T_4, T_5]$  is  $(T_1, T_2, T_3, T_4, T_5)$ -primary. Hence the ideal  $Q_1 = (X^m, Y^n, Z^l) \cdot R$  is the reduction of  $Q$  by Proposition of [2]. For the rest five cases we denote

$$\begin{aligned} G_3 &= T_1^{nla} T_2^{mlb} T_3^{mnc} - X^{a(\alpha_1 - \alpha_2)} Y^{b(\alpha_1 - \alpha_2)} Z^{c(\alpha_1 - \alpha_2)} \cdot T_4^{\alpha_2} \\ G_4 &= T_5^{na} - Z^{(\alpha_5 - \alpha_2)} \cdot T_2^{(ae - bd)} T_3^{(na - ae + bd - nd)} \cdot T_4^{nd} && \text{if } ae \geq bd \\ G_5 &= T_5^{mb} - Z^{(\alpha_6 - \alpha_2)} \cdot T_1^{(bd - ae)} T_3^{(mb - bd + ae - me)} \cdot T_4^{me} && \text{if } ae \leq bd \\ G_6 &= T_1^{nld} T_2^{mle} T_3^{mnf} - X^{d(\alpha_1 - \alpha_3)} Y^{e(\alpha_1 - \alpha_3)} Z^{f(\alpha_1 - \alpha_3)} \cdot T_4^{\alpha_3} \\ G_7 &= T_4^{\alpha_2} - X^{a(\alpha_2 - \alpha_1)} Y^{b(\alpha_2 - \alpha_1)} Z^{c(\alpha_2 - \alpha_1)} \cdot T_1^{nla} T_2^{mlb} T_3^{mnc} && \text{if } \alpha_2 \geq \alpha_1 \\ G_8 &= T_4^{(mn - nd - me)} - Z^{(\alpha_4 - \alpha_3)} \\ &\quad \cdot T_1^{(na - nd + bd - ae)} T_2^{(mb - me + ae - bd)} T_5^{(mn - na - mb)} && \text{if } \alpha_1 \geq \alpha_2 \\ G_9 &= T_1^{(na - nd + bd - ae)} T_2^{(mb - me + ae - bd)} T_5^{(mn - na - mb)} \\ &\quad - Z^{\alpha_3 - \alpha_4} T_4^{(mn - nd - me)} \end{aligned}$$

$$\begin{aligned}
G_{10} &= T_3^{(mb-me+ae-bd)} T_4^{me} - Z^{\alpha_5-\alpha_4} \cdot T_1^{(ae-bd)} T_5^{mb} \quad \text{if } ae \geq bd \\
G_{11} &= T_3^{(na-nd+bd-ae)} T_4^{nd} - Z^{\alpha_6-\alpha_4} \cdot T_2^{(bd-ae)} T_5^{na} \quad \text{if } ae \leq bd \\
G_{12} &= T_2^{(ae-bd)} T_3^{(na-ae+bd-nd)} T_4^{nd} - Z^{\alpha_2-\alpha_5} \cdot T_5^{na} \\
G_{13} &= T_1^{(ae-bd)} T_5^{mb} - Z^{\alpha_4-\alpha_5} \cdot T_3^{(mb-me+ae-bd)} T_4^{me} \\
G_{14} &= T_1^{(bd-ae)} T_3^{(mb-bd+ae-me)} T_4^{me} - Z^{\alpha_2-\alpha_6} T_5^{mb} \\
G_{15} &= T_2^{(bd-ae)} T_5^{na} - Z^{\alpha_4-\alpha_6} \cdot T_3^{(na-nd+bd-ae)} T_4^{nd}.
\end{aligned}$$

With the notions as in first part of the proof we concetrare the steps in the rest parts in the following table

$\min \mathfrak{M}$	$G_i$	$I$	$Q_i$
$\alpha_2$	$G_3, G_4, G_5$	$(G_3^*, G_4^*),$ resp. $(G_3^*, G_5^*)$	$Q_2 = (X^m + Y^n, X^m + Z^l,$ $X^a Y^b Z^c) \cdot R$
$\alpha_3$	$G_6, G_7, G_8$	$(G_6^*, G_7^*),$ resp. $(G_6^*, G_8^*)$	$Q_3 = (X^m + Y^n, X^m + Z^l,$ $X^d Y^e Z^f) \cdot R$
$\alpha_4$	$G_9, G_{10}, G_{11}$	$(G_9^*, G_{10}^*),$ resp. $(G_9^*, G_{11}^*)$	$Q_4 = (X^m - Y^n, X^m - X^d Y^e Z^f,$ $Z^l - X^a Y^b Z^c) \cdot R$
$\alpha_5$	$G_{12}, G_{13}$	$(G_{12}^*, G_{13}^*)$	$Q_5 = (Y^n - Z^l, Y^n - X^a Y^b Z^c,$ $X^m - X^d Y^e Z^f) \cdot R$
$\alpha_6$	$G_{14}, G_{15}$	$(G_{14}^*, G_{15}^*)$	$Q_6 = (X^m - Z^l, X^m - X^a Y^b Z^c,$ $Y^n - X^d Y^e Z^f) \cdot R$

what completes the proof. □

Let's prove the main theorem of this note.

**Theorem 2.** Let  $Q = (X^m, Y^n, Z^l, X^a Y^b Z^c, X^d Y^e Z^f) \cdot R$  be an  $m = (X, Y, Z) \cdot R$ -primary ideal in the local polynomial ring  $R = k[X, Y, Z]_{(x, y, z)}$  (where  $m > a > d$ ,  $n > b \geq e$ ,  $l > f > c$  w.l.g.). Then

$$e_0(Q, R) = \min \mathfrak{M}$$

*Proof.* We prove, that  $e_0(Q_i, R) = \alpha_i$  for all  $i \in \{1, 2, 3, 4, 5, 6\}$ . For  $i = 1$

$$e_0(Q_1, R) = e_0((X^m, Y^n, Z^l) \cdot R, R) = mnl = \alpha_1$$

by [4, Chapter 7, Theorem 7]. For  $i = 2$  we have

$$\begin{aligned} e_0(Q_2, R) &= e_0((X^m + Y^n, X^m + Z^l, X^a Y^b Z^c) \cdot R, R) \\ &= e_0((Y^n, Z^l, X^a) \cdot R, R) + e_0((X^m, Z^l, Y^b) \cdot R, R) + e_0((Y^n, X^m, Z^c) \cdot R, R) \\ &= nla + mlb + mnc = \alpha_2 \end{aligned}$$

by [4, Chapter 7, Theorem 7]. The same argument is applicable for  $i = 3$ . Now the case  $i = 4$ . For the ideal  $Q_4$  we have

$$\begin{aligned} e_0(Q_4, R) &= e_0((X^m - Y^n, X^m - X^d Y^e Z^f, Z^l - X^a Y^b Z^c) \cdot R, R) \\ &= e_0(X^m - Y^n, X^d(X^{m-d} - Y^e Z^f), Z^c(Z^{l-c} - X^a Y^b) \cdot R, R) \\ &= e_0((X^m - Y^n, X^d, Z^c) \cdot R, R) + e_0((X^m - Y^n, X^{m-d} - Y^e Z^f, Z^c) \cdot R, R) \\ &\quad + e_0((X^m - Y^n, X^d, Z^{l-c} - X^a Y^b) \cdot R, R) + e_0((X^m - Y^n, X^{m-d} - Y^e Z^f, Z^{l-c} - X^a Y^b) \cdot R, R) \\ &= ndc + n(m-d)c + nd(l-c) + e_0((X^m - Y^n, X^{m-d} - Y^e Z^f, Z^{l-c} - X^a Y^b) \cdot R, R) \end{aligned}$$

by the argument above. Let's observe the polynomial  $X^m - Y^n$ . Let  $r = \gcd(m, n)$ ,  $m = \bar{m} \cdot r$ ,  $n = \bar{n} \cdot r$ . As the field  $k$  is algebraically closed there are  $\varsigma_1, \varsigma_2, \dots, \varsigma_r \in k$  such that

$$X^m - Y^n = (X^{\bar{m}})^r - (Y^{\bar{n}})^r = \prod_{i=1}^r (X^{\bar{m}} - \varsigma_i \cdot Y^{\bar{n}}).$$

As

$$\begin{aligned} e_0\left(\prod_{i=1}^r (X^{\bar{m}} - \varsigma_i \cdot Y^{\bar{n}}), X^{m-d} - Y^e Z^f, Z^{l-c} - X^a Y^b\right) \cdot R, R) \\ = \sum_{i=1}^r e_0((X^{\bar{m}} - \varsigma_i \cdot Y^{\bar{n}}, X^{m-d} - Y^e Z^f, Z^{l-c} - X^a Y^b) \cdot R, R), \end{aligned}$$

we can assume the integers  $m$  and  $n$  are not divisible. Then the surface given by  $X^m - Y^n = 0$  has the following parametric representation

$$\begin{aligned} X &= t^n \\ Y &= t^m \\ Z &= s. \end{aligned}$$

Now the module  $k[s, t]$  is finite over  $k[t^n, t^m, s]$  (as  $s$  and  $t$  are integral over  $k[t^n, t^m, s]$ ). Further  $s, t \in k(t^n, t^m, s)$  (as  $m$  and  $n$  are not divisible), so  $k(t^n, t^m, s) = k(s, t)$ . By Proposition 3 below then we have

$$\begin{aligned} e_0((X^m - Y^n, X^{m-d} - Y^e Z^f, Z^{l-c} - X^a Y^b) \cdot R, R) \\ = e_0((t^{n(m-d)} - t^{me} s^f, s^{l-c} - t^{na+mb}) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)}) \\ = e_0((t^{me} (s^f - t^{n(m-d)-me}), s^{l-c} - t^{na+mb}) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)}) \\ = m \cdot e \cdot (l - c) + e_0((s^f - t^{n(m-d)-me}, t^{na+mb} - s^{l-c}) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)}) \end{aligned}$$

$$\begin{aligned}
&= me \cdot (l - c) + \min\{f(na + mb), (l - c)(mn - nd - me)\} \\
&= me \cdot (l - c) + f \cdot (na + mb).
\end{aligned}$$

by [1, Theorem 3]. The inequality  $f(na + mb) < (l - c)(mn - nd - me)$  is equivalent to  $nld + mle + mnc + n(af - cd) + m(bf - ce) < mnl$  and this is true because  $\alpha_4 = \min \mathfrak{M}$ . So we have

$$\begin{aligned}
e_0(Q_4, R) &= ndc + (m - d) \cdot nc + nd \cdot (l - c) + me \cdot (l - c) + f \cdot (na + mb) \\
&= nld + mle + mnc + n(af - cd) + m(bf - ce) = \alpha_4.
\end{aligned}$$

For  $i = 5$  we have

$$\begin{aligned}
e_0(Q_5, R) &= e_0((Y^n - Z^l, Y^n - X^a Y^b Z^c, X^m - X^d Y^e Z^f) \cdot R, R) \\
&= e_0((Y^n - Z^l, Y^b, X^d) \cdot R, R) + e_0((Y^n - Z^l, X^a Z^c - Y^{n-b}, X^d) \cdot R, R) \\
&\quad + e_0((Y^n - Z^l, Y^b, Y^e Z^f - X^{m-d}) \cdot R, R) \\
&\quad + e_0((Y^n - Z^l, X^a Z^c - Y^{n-b}, Y^e Z^f - X^{m-d}) \cdot R, R) \\
&= lbd + ld \cdot (n - b) + lb \cdot (m - d) \\
&\quad + e_0((Y^n - Z^l, X^a Z^c - Y^{n-b}, Y^e Z^f - X^{m-d}) \cdot R, R).
\end{aligned}$$

Let's observe the surface  $Y^n - Z^l = 0$ . With the same argument as before we may assume that  $\gcd(n, l) = 1$ , so the rational parametrisation of this surface is given by

$$\begin{aligned}
X &= s \\
Y &= t^l \\
Z &= t^n
\end{aligned}$$

Now (as the condition of Proposition 3 are satisfied) we have

$$\begin{aligned}
e_0((Y^n - Z^l, X^a Z^c - Y^{n-b}, Y^e Z^f - X^{m-d}) \cdot R) \\
&= e_0((s^a t^{nc} - t^{l(n-b)}, t^{le+nf} - s^{m-d}) \cdot k[s, t]_{(s,t)}) \\
&= e_0((t^{nc}(s^a - t^{l(n-b)-nc}), t^{le+nf} - s^{m-d}) \cdot k[s, t]_{(s,t)}) \\
&= nc(m-d) + e_0((s^a - t^{l(n-b)-nc}, t^{le+nf} - s^{m-d}) \cdot k[s, t]_{(s,t)}) \\
&= nc(m-d) + \min\{a(le+nf), (m-d)(nl-lb-nc)\} \\
&= nc(m-d) + a(le+nf),
\end{aligned}$$

as

$$a(le+nf) < (m-d)(nl-lb-nc)$$

(equivalent to  $nld + mlb + mnc + n(af - cd) + l(ae - bd) < mnl$ ). So for the multiplicity of  $Q_5$  it holds

$$\begin{aligned}
e_0(Q_5, R) &= lbd + ld \cdot (n-b) + lb \cdot (m-d) + nc \cdot (m-d) + a \cdot (le+nf) \\
&= nld + mlb + mnc + n(af - cd) + l(ae - bd) = \alpha_5.
\end{aligned}$$

We finish the proof with the last case  $i = 6$ .

$$\begin{aligned}
e_0(Q_6, R) &= e_0((X^m - Z^l, X^m - X^a Y^b Z^c, Y^n - X^d Y^e Z^f) \cdot R, R) \\
&= e_0((X^m - Z^l, X^a, Y^e) \cdot R, R) + e_0((X^m - Z^l, X^a, X^d Z^f - Y^{n-e}) \cdot R, R) \\
&\quad + e_0((X^m - Z^l, Y^b Z^c - X^{m-a}, Y^e) \cdot R, R) \\
&\quad + e_0((X^m - Z^l, Y^b Z^c - X^{m-a}, X^d Z^f - Y^{n-e}) \cdot R, R) \\
&= lae + la \cdot (n-e) + le \cdot (m-a) \\
&\quad + e_0((X^m - Z^l, Y^b Z^c - X^{m-a}, X^d Z^f - Y^{n-e}) \cdot R, R).
\end{aligned}$$



Applying the former method (for the surface  $X^m - Z^l = 0$ ) we obtain

$$\begin{aligned}
e_0((X^m - Z^l, Y^b Z^c - X^{m-a}, X^d Z^f - Y^{n-e}) \cdot R, R) \\
&= e_0((s^b t^{mc} - t^{l(m-a)}, t^{ld+mf} - s^{n-e}) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)}) \\
&= e_0((t^{mc}(s^b - t^{l(m-a)-mc}), t^{ld+mf} - s^{n-e}) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)}) \\
&= mc(n-e) + \min\{b(ld+mf), (n-e)(ml-la-mc)\} \\
&= mc(n-e) + b(ld+mf),
\end{aligned}$$

as  $b(ld+mf) < (n-e)(ml-la-mc)$ , so we have

$$\begin{aligned}
e_0(Q_6, R) &= lae + la \cdot (n-e) + le \cdot (m-a) + mc(n-e) + b(ld+mf) \\
&= nla + mnc + mle + m(bf-ce) + l(bd-ae) = \alpha_6,
\end{aligned}$$

what completes the proof. □

**Proposition 3.** Let  $F(X, Y, Z)$ ,  $G(X, Y, Z)$ ,  $H(X, Y, Z)$  denote the polynomials in the polynomial ring  $P = k[X, Y, Z]$  ( $k$  algebraic closed) such that the ideal  $Q = (F, G, H) \cdot P$  is  $(X, Y, Z) \cdot P$ -primary,  $R = k[X, Y, Z]_{(X, Y, Z)}$ . Let the surface  $W$  in  $k^3$  given by:  $F(X, Y, Z) = 0$  has rational parametrization

$$\begin{aligned}
X &= u_1(s, t) \\
Y &= u_2(s, t) \\
Z &= u_3(s, t)
\end{aligned}$$

such that the module  $k[u_1, u_2, u_3]$  is finite over  $k[s, t]$  and  $u_i(s, t)$  are polynomial in  $k[s, t]$ . Assume in addition that surface  $W$  is birational isomorph to the plane ( $t \cdot m \cdot k(u_1, u_2, u_3) = k(s, t)$ ). Then

$$e_0(Q, R) = e_0((G(u_1, u_2, u_3), H(u_1, u_2, u_3)) \cdot k[s, t]_{(s,t)}, k[s, t]_{(s,t)})$$

*Proof.* Let's construct following homomorphism of polynomial rings

$$\begin{aligned}\phi : k[X, Y, Z] &\longrightarrow k[s, t] \\ X &\longrightarrow u_1(s, t) \\ Y &\longrightarrow u_2(s, t) \\ Z &\longrightarrow u_3(s, t)\end{aligned}$$

The kernel of  $\phi$  is the ideal  $(F) \cdot k[X, Y, Z]$ , so there is a monomorphism

$$k[X, Y, Z]/(F) \cdot k[X, Y, Z] \cong k[u_1, u_2, u_3] \hookrightarrow k[s, t]$$

and within also the local monomorphism of local rings

$$R/(F) \cdot R \cong k[u_1, u_2, u_3]_{(u_1, u_2, u_3)} \hookrightarrow k[s, t]_{(s, t)}$$

Let's apply the additive formula for multiplicity [3, Chapter 14]. By assumptions the module  $k[u_1, u_2, u_3]_{(u_1, u_2, u_3)}$  is finite over  $k[s, t]_{(s, t)}$  and  $[k(u_1, u_2, u_3) : k(s, t)] = 1$ . So by Theorem 14.7 of cited book is

$$e_0(Q \cdot R/(F) \cdot R, R/(F) \cdot R) = e_0((G(u_1, u_2, u_3), H(u_1, u_2, u_3)) \cdot k[s, t]_{(s, t)}, k[s, t]_{(s, t)})$$

As the ideal  $Q$  in  $R$  is a parameter ideal, we have

$$e_0(Q, R) = e_0(Q \cdot R/(F) \cdot R, R/(F) \cdot R)$$

and thereby is the proof complete. □

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