

## COEFFICIENT ESTIMATES FOR BI-CONCAVE FUNCTIONS OF SAKAGUCHI TYPE

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**ABSTRACT.** In this study, a new class  $\mathcal{CS}_{\Sigma}^{p,q}(s, t, \alpha)$  of analytic and bi-concave functions with Sakaguchi type in the open unit disc were presented. The estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  were found for functions belonging to this class.

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### 1. INTRODUCTION, PRELIMINARIES AND DEFINITION

Let  $\mathbb{C}, \overline{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$  and  $\mathbb{R}$  denote the set of complex numbers, the extended complex plain and the set of real numbers respectively. Let  $\mathbb{D}$  denote the open unit disk. Let  $\mathcal{A}$  indicate the class of analytic functions in  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  given by

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad (1)$$

normalized by the condition  $f(0) = 0 = f'(0) - 1$ . Let  $\mathcal{S}$  be the set of all normalized analytic functions in  $\mathcal{A}$  which are univalent in  $\mathbb{D}$ .

A univalent function  $f : \mathbb{D} \rightarrow \overline{\mathbb{C}}$  is called concave when  $f(\mathbb{D})$  is concave, i.e.  $\overline{\mathbb{C}} \setminus f(\mathbb{D})$  is convex. Concave univalent functions have already been studied in detail by several authors (see [1, 2, 3, 4, 7]).

A function  $f : \mathbb{D} \rightarrow \mathbb{C}$  is called a member of concave univalent functions with an opening angle  $\pi\alpha$  at infinity for  $\alpha \in (1, 2]$  if  $f$  satisfies the conditions given below:

1.  $f$  is analytic in  $\mathbb{D}$  which has normalization condition  $f(0) = 0 = f'(0) - 1$ . Additionally,  $f(1) = \infty$ .

2.  $f$  maps  $\mathbb{D}$  conformally onto a set whose complement is convex with respect to  $\mathbb{C}$ .
3. The opening angle of  $f(\mathbb{D})$  at infinity is less than or equal to  $\pi\alpha$ ,  $\alpha \in (1, 2]$ .

Let us denote the class of concave univalent functions of order  $\beta$  by  $C_\beta(\alpha)$ .

The analytic characterization for functions in  $C_\beta(\alpha)$  are as follows : For  $\alpha \in (1, 2]$  and  $\beta \in [0, 1)$ ,  $f \in C_\beta(\alpha)$  if and only if

$$\operatorname{Re} P_f(z) > \beta, \quad \forall z \in \mathbb{D}, \quad (2)$$

for

$$P_f(z) = \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - 1 - \frac{z f''(z)}{f'(z)} \right] \quad \text{and} \quad f(0) = 0 = f'(0) - 1.$$

Also each  $f \in C_\beta(\alpha)$  has the Taylor expansion given by (1). Especially, for  $\beta = 0$ , we can obtain the class of concave univalent functions  $C_0(\alpha)$  which was studied in [2]. The closed set  $\overline{\mathbb{C}} \setminus f(\mathbb{D})$  is convex and unbounded for  $f \in C_0(\alpha)$ ,  $\alpha \in (1, 2]$ .

Now we define the class of concave functions with Sakaguchi type and order  $\beta$  by  $CS_\beta(s, t, \alpha)$  as follows:

For  $\alpha \in (1, 2]$ ,  $\beta \in [0, 1)$ ,  $s, t \in \mathbb{C}$  with  $s \neq t$ ,  $|t| \leq 1$ ,  $f \in CS_\beta(s, t, \alpha)$  if and only if

$$\operatorname{Re} P_f(z) > \beta, \quad \forall z \in \mathbb{D}, \quad (3)$$

for

$$P_f(z) = \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(z f'(z))'}{(f(sz) - f(tz))'} \right].$$

It is obvious that  $CS_\beta(1, 0, \alpha) \equiv C_\beta(\alpha)$ .

For all  $f \in \mathcal{S}$ , the Koebe 1/4 theorem [8] confirms that the image of  $\mathbb{D}$  under each univalent function  $f \in \mathcal{S}$  covers a disk of radius 1/4. Hence, each  $f \in \mathcal{A}$  has an inverse  $f^{-1}$ , described by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{D})$$

and

$$f(f^{-1}(w)) = w \quad \left( |w| < r_0(f); r_0(f) \geq \frac{1}{4} \right).$$

If  $f$  is univalent and  $g = f^{-1}$  is univalent in  $\mathbb{D}$ , the function  $f \in \mathcal{A}$  is known to be bi-univalent in  $\mathbb{D}$ . If  $f$  given by (1) is bi-univalent, then  $g = f^{-1}$  can be arranged in the form of Taylor expansion given by

$$g(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - \dots . \quad (4)$$

Also, a function  $f$  is bi-concave if both  $f$  and  $f^{-1}$  are concave.

Let us denote  $\Sigma$  the class of all bi-univalent functions in  $\mathbb{D}$ . Lewin [10] investigated the class  $\Sigma$  and showed that  $|a_2| < 1.51$  for the function  $f(z) \in \Sigma$ . Also, several researchers obtained the coefficient boundaries for  $|a_2|$  and  $|a_3|$  of bi-univalent functions for some subclasses of the class  $\Sigma$  in [9, 12, 13]. In addition, certain subclasses of bi-univalent functions, and also univalent functions consisting of strongly starlike, starlike and convex functions were studied by Brannan and Taha [5]. Some properties of bi-convex, bi-univalent and bi-starlike function classes have already been investigated by Brannan and Taha [5]. Furthermore, estimations for  $|a_2|$  and  $|a_3|$  were found by Bulut [6] for bi-starlike functions. The class of bi-concave functions was studied by Sakar and Güney in [11].

Now, we define the definition of bi-concave functions of Sakaguchi type as follows:

**Definition 1.** The function  $f$  in (1) is called  $\sum_{CS_\beta(s,t,\alpha)}$  if the conditions given below are satisfied:  $f \in \Sigma$  and

$$Re \left\{ \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right] \right\} > \beta \quad , z \in \mathbb{D} \text{ and } 0 \leq \beta < 1 \quad (5)$$

and

$$Re \left\{ \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 - w}{1 + w} - \frac{(s - t)(wg'(w))'}{(g(sw) - g(tw))'} \right] \right\} > \beta \quad , w \in \mathbb{D} \text{ and } 0 \leq \beta < 1. \quad (6)$$

where  $g$  is given by (4) and  $s, t \in \mathbb{C}$  with  $s \neq t$ ,  $|t| \leq 1$ . In other words,  $\sum_{CS_\beta(s,t,\alpha)}$  is the class of bi-concave functions of Sakaguchi type and order  $\beta$ .

It is obvious that  $\sum_{CS_\beta(1,0,\alpha)} \equiv \sum_{C_\beta(\alpha)}$  (see [11]).

We next define the following subclass of  $\mathcal{A}$ , analogous to the definition given by Xu et al. [14].

**Definition 2.** Let us define the functions  $p, q : \mathbb{D} \rightarrow \mathbb{C}$  satisfying the following condition

$$\min \{Re(p(z)), Re(q(z))\} > 0 \quad (z \in \mathbb{D}) \text{ and } p(0) = q(0) = 1.$$

Also let the function  $f$ , defined by (1.1), be in  $\mathcal{A}$ . Then  $f \in \mathcal{CS}_{\Sigma}^{p,q}(s, t, \alpha)$  if the following conditions are satisfied:  $f \in \Sigma$  and

$$\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right] \in p(\mathbb{D}), \quad (z \in \mathbb{D}) \quad (7)$$

and

$$\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 - w}{1 + w} - \frac{(s - t)(wg'(w))'}{(g(sw) - g(tw))'} \right] \in q(\mathbb{D}), \quad (w \in \mathbb{D}) \quad (8)$$

where the  $g$  is given in (4) and  $s, t \in \mathbb{C}$  with  $s \neq t$ ,  $|t| \leq 1$ .

**Remark.** If we let

$$p(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad \text{and} \quad q(z) = \frac{1 - (1 - 2\beta)z}{1 + z} \quad (0 \leq \beta < 1, z \in \mathbb{D}) \quad (9)$$

in the class  $\mathcal{CS}_{\Sigma}^{p,q}(s, t, \alpha)$  then we have  $\sum_{CS_{\beta}(s, t, \alpha)}$ .

The aim of this paper is to estimate the initial coefficients for the bi-concave functions of Sakaguchi type in  $\mathbb{D}$ .

## 2. INITIAL COEFFICIENT BOUNDARY FOR $|a_2|$ AND $|a_3|$

The estimations of initial coefficients for the class  $\mathcal{CS}_{\Sigma}^{p,q}(s, t, \alpha)$  of bi-concave functions of Sakaguchi type are presented in this section.

**Theorem 1.** If the function  $f(z)$  given by (1) is in  $\mathcal{CS}_{\Sigma}^{p,q}(s, t, \alpha)$  then

$$|a_2| \leq \min \left\{ \sqrt{\frac{1}{|4 - 2u_2|^2} \left\{ (\alpha + 1)^2 + \frac{(\alpha^2 - 1)}{2} [|p'(0)| + |q'(0)|] + \frac{(\alpha - 1)^2}{8} [|p'(0)|^2 + |q'(0)|^2] \right\}} \right. \\ \left. ; \sqrt{\frac{1}{|4(9 - 3u_3) - 8u_2(4 - 2u_2)|} \left\{ \frac{(\alpha - 1)}{2} [|p''(0)| + |q''(0)|] + 4(\alpha + 1) \right\}} \right\} \quad (10)$$

and

$$|a_3| \leq \min \left\{ \frac{(\alpha + 1)^2}{|4 - 2u_2|^2} + \frac{(\alpha - 1)}{8|9 - 3u_3|} (|p''(0)| + |q''(0)|) \right. \\ \left. + \frac{(\alpha^2 - 1)}{2|4 - 2u_2|^2} (|p'(0)| + |q'(0)|) + \frac{(\alpha - 1)^2}{8|4 - 2u_2|^2} (|p'(0)|^2 + |q'(0)|^2) \right. \\ \left. ; \frac{4}{|4(9 - 3u_3) - 8u_2(4 - 2u_2)|} \right\} \times$$

$$\left[ (\alpha + 1) + \frac{(\alpha - 1)}{4|9 - 3u_3|} (|(9 - 3u_3) - u_2(4 - 2u_2)||p''(0)| + |u_2(4 - 2u_2)||q''(0)|) \right] \Big\} \quad (11)$$

where  $u_n = \sum_{k=1}^n s^{n-k} t^{k-1}$ ,  $s, t \in \mathbb{C}$  with  $s \neq t$ ,  $|t| \leq 1$ .

**Proof.** Firstly, we can write the argument inequalities in 7 and 8 in their equivalent forms as follows:

$$\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right] = p(z) \quad (z \in \mathbb{D}), \quad (12)$$

and

$$\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 - w}{1 + w} - \frac{(s - t)(wg'(w))'}{(g(sw) - g(tw))'} \right] = q(w) \quad (w \in \mathbb{D}). \quad (13)$$

In addition,  $p(z)$  and  $q(w)$  can be expanded to Taylor-Maclaurin series as given below respectively

$$p(z) = 1 + p_1 z + p_2 z^2 + \dots$$

and

$$q(w) = 1 + q_1 w + q_2 w^2 + \dots$$

Now upon equating the coefficients of  $\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right]$  with those of  $p(z)$  and the coefficients of  $\frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 - w}{1 + w} - \frac{(s - t)(wg'(w))'}{(g(sw) - g(tw))'} \right]$  with those of  $q(w)$ , we can write  $p(z)$  and  $q(w)$  as follows.

$$p(z) = \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right] = 1 + p_1 z + p_2 z^2 + p_3 z^3 + \dots \quad (14)$$

and

$$q(w) = \frac{2}{\alpha - 1} \left[ \frac{\alpha + 1}{2} \frac{1 - w}{1 + w} - \frac{(s - t)(wg'(w))'}{(g(sw) - g(tw))'} \right] = 1 + q_1 w + q_2 w^2 + q_3 w^3 + \dots \quad (15)$$

Since

$$\begin{aligned} \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} &= \frac{1 + \sum_{n=2}^{\infty} n^2 a_n z^{n-1}}{1 + \sum_{n=2}^{\infty} n a_n u_n z^{n-1}} \\ &= 1 + [4 - 2u_2] a_2 z + ([9 - 3u_3] a_3 - 2u_2 [4 - 2u_2] a_2^2) z^2 + \dots \end{aligned}$$

where  $u_n = \sum_{k=1}^n s^{n-k} t^{k-1}$  and  $\frac{1+z}{1-z} = 1 + 2 \sum_{n=1}^{\infty} z^n = 1 + 2z + 2z^2 + 2z^3 + \dots$  we obtain that

$$\frac{2}{\alpha - 1} \left[ \frac{(\alpha + 1)}{2} \frac{1 + z}{1 - z} - \frac{(s - t)(zf'(z))'}{(f(sz) - f(tz))'} \right]$$

$$\begin{aligned}
 &= \frac{2}{(\alpha-1)} \left[ \frac{(\alpha+1)}{2} - 1 + (\alpha+1)z + (\alpha+1)z^2 + \dots - [4-2u_2]a_2z - ([9-3u_3]a_3 - 2u_2[4-2u_2]a_2^2)z^2 + \dots \right] \\
 &= \frac{2}{(\alpha-1)} \left[ \frac{(\alpha-1)}{2} + ((\alpha+1) - [4-2u_2]a_2)z + ((\alpha+1) - ([9-3u_3]a_3 - 2u_2[4-2u_2]a_2^2))z^2 + \dots \right] \\
 &= 1 + \frac{2[(\alpha+1) - [4-2u_2]a_2]}{(\alpha-1)}z + \frac{2[(\alpha+1) - [9-3u_3]a_3 + 2u_2[4-2u_2]a_2^2]}{(\alpha-1)}z^2 + \dots
 \end{aligned}$$

Then

$$p_1 = \frac{2[(\alpha+1) - [4-2u_2]a_2]}{(\alpha-1)} \quad (16)$$

and

$$p_2 = \frac{2[(\alpha+1) - [9-3u_3]a_3 + 2u_2[4-2u_2]a_2^2]}{(\alpha-1)} \quad (17)$$

From (4) and (6), we have

$$\begin{aligned}
 \frac{(s-t)(wg'(w))'}{(g(sw) - g(tw))'} &= \frac{1 - 4a_2w + 9(2a_2^2 - a_3)w^2 + \dots}{1 - 2u_2a_2w + 3u_3(2a_2^2 - a_3)w^2} \\
 &= 1 + [2u_2 - 4]a_2w + [(9 - 3u_3)(2a_2^2 - a_3) + 2u_2(2u_2 - 4)a_2^2]w^2 + \dots
 \end{aligned}$$

where  $u_n = \sum_{k=1}^n s^{n-k}t^{k-1}$  and we know  $\frac{1-w}{1+w} = 1 + 2\sum_{n=1}^{\infty} (-1)^n w^n = 1 - 2w + 2w^2 - 2w^3 + \dots$ . Then from  $q(w)$  given by (15), we have

$$\begin{aligned}
 \frac{2}{\alpha-1} \left[ \frac{(\alpha+1)}{2} \frac{1-w}{1+w} - \frac{(s-t)(wg'(w))'}{(g(sw) - g(tw))'} \right] &= \frac{2}{(\alpha-1)} \left[ \frac{(\alpha+1)}{2} - (\alpha+1)w + (\alpha+1)w^2 - \dots \right. \\
 &\quad \left. - 1 - [2u_2 - 4]a_2w - [(9 - 3u_3)(2a_2^2 - a_3) + 2u_2(2u_2 - 4)a_2^2]w^2 + \dots \right] \\
 &= 1 - \frac{2[(\alpha+1) + [2u_2 - 4]a_2]}{(\alpha-1)}w + \frac{2[(\alpha+1) - [(9 - 3u_3)(2a_2^2 - a_3) + 2u_2(2u_2 - 4)a_2^2]]}{(\alpha-1)}w^2 + \dots
 \end{aligned}$$

So we can obtain  $q_1$  and  $q_2$  as follows

$$q_1 = -\frac{2[(\alpha+1) + [2u_2 - 4]a_2]}{(\alpha-1)} \quad (18)$$

$$q_2 = \frac{2[(\alpha+1) - [(9 - 3u_3)(2a_2^2 - a_3) + 2u_2(2u_2 - 4)a_2^2]]}{(\alpha-1)} \quad (19)$$

From (16) and (18) we obtain

$$p_1 = -q_1 \quad (20)$$

and

$$a_2^2 = \frac{(\alpha+1)^2}{[4-2u_2]^2} - \frac{(\alpha^2-1)}{2[4-2u_2]^2} [p_1 - q_1] + \frac{(\alpha-1)^2}{8[4-2u_2]^2} [p_1^2 + q_1^2]$$

or

$$a_2^2 = \frac{1}{[4-2u_2]^2} \left\{ (\alpha+1)^2 - \frac{(\alpha^2-1)}{2}[p_1-q_1] + \frac{(\alpha-1)^2}{8}[p_1^2+q_1^2] \right\}. \quad (21)$$

Also, from (17) and (19) we obtain that

$$a_2^2 = \frac{(1-\alpha)}{[4(9-3u_3)-8u_2(4-2u_2)]}[p_2+q_2] + \frac{4(\alpha+1)}{[4(9-3u_3)-8u_2(4-2u_2)]}$$

or

$$a_2^2 = \frac{1}{[4(9-3u_3)-8u_2(4-2u_2)]} \{(1-\alpha)[p_2+q_2] + 4(\alpha+1)\} \quad . \quad (22)$$

Therefore, we find from (21) and (22)

$$|a_2|^2 = \frac{1}{|[4-2u_2]|^2} \left\{ (\alpha+1)^2 + \frac{(\alpha^2-1)}{2}[|p'(0)|+|q'(0)|] + \frac{(\alpha-1)^2}{8}[|p'(0)|^2+|q'(0)|^2] \right\}.$$

and

$$|a_2|^2 = \frac{1}{|4(9-3u_3)-8u_2(4-2u_2)|} \left\{ \frac{(\alpha-1)}{2}[|p''(0)|+|q''(0)|] + 4(\alpha+1) \right\} \quad .$$

So we obtain the upper bound of  $|a_2|$  as stated in (10).

Now, to obtain the upper bound for the coefficient  $|a_3|$  we use (17) and (19). So we obtain

$$(\alpha-1)(p_2-q_2) = 4[9-3u_3]a_2^2 - 4[9-3u_3]a_3.$$

From (21), we find

$$4[9-3u_3]a_3 = -(\alpha-1)(p_2-q_2) + \frac{4[9-3u_3]}{[4(9-3u_3)-8u_2(4-2u_2)]} \{(1-\alpha)[p_2+q_2] + 4(\alpha+1)\}$$

or

$$a_3 = -\frac{(\alpha-1)}{4[9-3u_3]}(p_2-q_2) + \frac{1}{[4(9-3u_3)-8u_2(4-2u_2)]} \{(1-\alpha)[p_2+q_2] + 4(\alpha+1)\}$$

$$\Rightarrow a_3 = \frac{4(\alpha+1)}{[4(9-3u_3)-8u_2(4-2u_2)]}$$

$$-\frac{8(\alpha-1)}{4[9-3u_3][4(9-3u_3)-8u_2(4-2u_2)]}[(9-3u_3)-u_2(4-2u_2)]p_2+[u_2(4-2u_2)]q_2]. \quad (23)$$

We thus find that

$$|a_3| \leq \frac{4}{|4(9-3u_3)-8u_2(4-2u_2)|} \times \left[ (\alpha+1) + \frac{(\alpha-1)}{4|9-3u_3|} (|(9-3u_3)-u_2(4-2u_2)||p''(0)| + |u_2(4-2u_2)||q''(0)|) \right].$$

Also, we obtain from (22)

$$4[9-3u_3]a_3 = -(\alpha-1)(p_2-q_2) + \frac{4[9-3u_3]}{[4-2u_2]^2} \left\{ (\alpha+1)^2 - \frac{(\alpha^2-1)}{2}[p_1-q_1] + \frac{(\alpha-1)^2}{8}[p_1^2+q_1^2] \right\}$$

$$\Rightarrow a_3 = \frac{(\alpha+1)^2}{[4-2u_2]^2} - \frac{(\alpha-1)}{4[9-3u_3]}(p_2-q_2) - \frac{(\alpha^2-1)}{2[4-2u_2]^2}(p_1-q_1) + \frac{(\alpha-1)^2}{8[4-2u_2]^2}(p_1^2+q_1^2) \quad (24)$$

We thus find that

$$|a_3| \leq \frac{(\alpha+1)^2}{|4-2u_2|^2} + \frac{(\alpha-1)}{8|9-3u_3|}(|p''(0)|+|q''(0)|) + \frac{(\alpha^2-1)}{2|4-2u_2|^2}(|p'(0)|+|q'(0)|) + \frac{(\alpha-1)^2}{8|4-2u_2|^2}(|p'(0)|^2+|q'(0)|^2) \quad .$$

So, the proof of Theorem 1 is completed.

If we set

$$p(z) = \frac{1+(1-2\beta)z}{1-z} \quad \text{and} \quad q(z) = \frac{1-(1-2\beta)z}{1+z} \quad (0 \leq \beta < 1, z \in \mathbb{D})$$

in Theorem 1, we can obtain the following corollary.

**Corollary 1.** Let  $f$  given by (1) be in the class  $\sum_{CS_{\beta}(s,t,\alpha)}$  ( $0 \leq \beta < 1$ ). Then

$$|a_2| \leq \sqrt{\frac{4\{(\alpha-1)(1-\beta) + (\alpha+1)\}}{|4(9-3u_3)-8u_2(4-2u_2)|}}$$

and

$$|a_3| \leq \frac{4}{|4(9-3u_3)-8u_2(4-2u_2)|} \times \left[ (\alpha+1) + \frac{(\alpha-1)}{|9-3u_3|} (|(9-3u_3)-u_2(4-2u_2)| + |u_2(4-2u_2)|)(1-\beta) \right]$$

where  $u_n = \sum_{k=1}^n s^{n-k}t^{k-1}$ ,  $s, t \in \mathbb{C}$  with  $s \neq t$ ,  $|t| \leq 1$ .

Last of all, if we take  $s = 1$  and  $t = 0$  in Theorem 1 and Corollary 1, we can obtain Theorem 2.1 and Corollary 3.1 in [11] respectively.

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