

On the Classification of 16-dimensional Planes

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The concluding section *Principles of classification* of the book [18] on compact projective planes contains an outline of how a classification of 16-dimensional planes admitting a group Δ of dimension $\dim \Delta \geq h$ (where h is at least 35) might be accomplished. In the second part, proofs of several claims (of Proposition 87.4 in particular) have been indicated only very sketchily; some details will be supplied in the following, compare Theorem A below. Implications of Theorem A will be discussed elsewhere.

The automorphism group Σ of a compact plane \mathcal{P} will always be taken with the compact-open topology. Only closed subgroups Δ of Σ will be considered, Δ is then a locally compact transformation group of the point space P .

Theorem L. *If $\dim \Delta \geq 29$, or if Δ is connected and $\dim \Delta \geq 27$, then Δ is a Lie group.*

This suffices for all classification purposes. A weaker result is given in [18] (87.1). For proofs see Salzmann [17] and Priwitzer-Salzmann [11].

From now on, assume that P is a compact 16-dimensional space, and that Δ is a connected Lie group. By the structure theory of Lie groups, there are 3 possibilities: Δ is semi-simple, or Δ contains a central torus subgroup, or Δ has a minimal normal vector subgroup $\Theta \cong \mathbb{R}^t$, compare [18] (94.26). In the first two cases, the results mentioned in [18] (87.2 and 3) have been improved in the meantime:

Theorem S. *Let Δ be a semi-simple group of automorphisms of the 16-dimensional plane \mathcal{P} . If $\dim \Delta > 28$, then \mathcal{P} is the classical Moufang plane, or $\Delta \cong \text{Spin}_9(\mathbb{R}, r)$ and $r \leq 1$, or $\Delta \cong \text{SL}_3\mathbb{H}$ and \mathcal{P} is a Hughes plane as described in [18], §86.*

The proof can be found in Priwitzer [9], [10].

Theorem T. *Assume that Δ has a normal torus subgroup $\Theta \cong \mathbb{T}$. If $\dim \Delta > 30$, then Θ fixes a Baer subplane, $\Delta' \cong \mathrm{SL}_3\mathbb{H}$, and \mathcal{P} is a Hughes plane.*

This is proved in Salzmann [15].

Hence only the case $\mathbb{R}^t \cong \Theta \triangleleft \Delta$ has to be considered. For convenience, the classical Moufang plane over the octonions will be excluded from the discussion. So-called *stiffness* theorems on the size of the stabilizer of a quadrangle play a decisive rôle:

- (‡) *Suppose that the fixed elements of the connected closed subgroup Λ of Δ form a non-degenerate subplane \mathcal{E} .*
- (a) *If $\dim \Lambda > 11$, or if \mathcal{E} is a Baer subplane, then Λ is compact.*
 - (b) *If Λ is compact, or if Λ is a Lie group and \mathcal{E} is connected, then $\Lambda \cong \mathrm{G}_2$, or $\Lambda \cong \mathrm{SU}_3\mathbb{C}$, or $\dim \Lambda \leq 7$.*
 - (c) *If Λ is a compact Lie group and $\dim \Lambda < 8$, then $\Lambda \cong \mathrm{SO}_4\mathbb{R}$ or $\dim \Lambda \leq 4$.*
 - (d) *If Λ is a Lie group and \mathcal{E} is a Baer subplane, then Λ is isomorphic to $\mathrm{SU}_2\mathbb{C}$ or $\dim \Lambda \leq 1$.*

The first results are essentially due to Bödi [1], [2]. For (c) and (d) see Salzmann [13] and [18] (83.22).

Lemma 0. *If $\mathbb{R}^t \cong \Theta \triangleleft \Delta$ and if $\dim \Delta \geq 24$, then Δ fixes a point or a line, say a line W .*

Proof. Grundhöfer-Salzmann [8], Proposition XI.10.19.

Theorem A. *Assume that Δ is not semi-simple and that \mathcal{P} is not a Hughes plane. If $\dim \Delta \geq 33$, then, up to duality, Δ has a minimal normal subgroup $\Theta \cong \mathbb{R}^t$ consisting of axial collineations with common axis W . Either $\Theta \leq \Delta_{[a,W]}$ is a group of homologies and $t = 1$, or Θ is contained in the group $\Gamma = \Delta_{[W,W]}$ of elations with axis W .*

Remarks. This has been stated in [18], p. 587 under the stronger hypothesis $\dim \Delta \geq 36$. The theorem does not assert that every given minimal normal subgroup is axial. The proof is fairly easy for $t < 8$ and rather involved for even $t \geq 8$. The different cases will be treated in separate propositions. The result may well be true for even smaller dimensions of Δ , but a proof would become unreasonably complicated.

A group Ξ of collineations is called *straight* if each point orbit x^{Ξ} is contained in some line. The following result (Stroppel [19] Lemma 3 or Priwitzer-Salzmann [11], Th. B) is a clue to the proof of the existence of axial collineations:

Baer's theorem. *If Ξ is a straight subgroup of Δ , then Ξ is contained in a group $\Delta_{[z]}$ of central collineations with common center z , or the fixed elements of Ξ form a Baer subplane \mathcal{F}_{Ξ} of \mathcal{P} and Ξ is compact by (‡).*

Corollary 1. *If $\Pi \cong \mathbb{R}$ and Π is straight, then $\Pi \leq \Delta_{[z,A]}$ for some center z and axis A .*

Proof. Note that Π is not compact. By the dual of [18] (61.8), all elements in Π have the same axis. □

Corollary 2. *If $\Theta \cong \mathbb{R}^t$, and if each one-parameter subgroup Π of Θ is straight, then Θ satisfies the assertions of Theorem A.*

Proof. By [18] (61.7), the center map $\Theta \setminus \{1\} \rightarrow P$ is continuous, and the centers of all one-parameter subgroups of Θ form a compact and connected set Z . Commutativity of Θ implies that either Z is a single point, or Z is contained in the common axis W of all elements of Θ . The dual is also true. If there exist homologies in Θ , then $t = 1$ by [18] (61.2). □

Corollary 3. *If $\Theta \cong \mathbb{R}^t$ is a minimal normal subgroup of Δ and if some one-parameter subgroup Π of Θ is straight, then Θ satisfies the assertions of Theorem A.*

Proof. Let $\Pi \leq \Delta_{[z,A]}$ as in Corollary 1, and assume that Δ fixes the line W . Commutativity of Θ implies that $z^\Delta = z$ or $z^\Delta \subseteq A$. If $A = W$, then $\Theta = \Theta_{[A]}$ by minimality of Θ . If $A \neq W$, then $z^\Delta \subseteq W$ and hence $z^\Delta = z$. This case is dual to the first one. □

Lemma 1. *Assume that the one-parameter subgroup Π of Θ is not straight. Then there is an orbit b^Π which generates a connected subplane; its closure will be denoted by $\mathcal{E} = \langle b^\Pi \rangle$. For $\rho \in \Pi \setminus \{1\}$, the stabilizer Δ_ρ in the action of Δ on Θ is the centralizer of Π , and the connected component Λ of $\Delta_{b,\rho}$ induces the identity on \mathcal{E} . Hence (‡) applies, and the dimension formula [18] (96.10) gives*

$$(*) \quad \dim \Delta = \dim b^\Delta + \dim \rho^{\Delta b} + \dim \Lambda \leq 16 + t + \dim \Lambda. \quad \square$$

Proposition 1. *If $t < 8$ and if some one-parameter subgroup Π of Θ is not straight, then $\dim \Delta \leq 32$.*

Proof. Use Lemma 1. If $\Lambda \cong G_2$, then $\dim \mathcal{E} = 2$ by [18] (83.24), and \mathcal{E} consists of all fixed elements of Λ . Moreover, Λ acts trivially on Θ because Λ fixes ρ and each non-trivial representation of G_2 is at least 7-dimensional [18] (95.10). The connected component Θ_b^1 of the stabilizer Θ_b is contained in the compact group Λ , hence $\Theta_b^1 = 1$, $\dim \Theta_b = 0$, and $\dim b^\Theta = t$. Since Θ centralizes the group Λ , the fixed plane \mathcal{E} of Λ is Θ -invariant. Consequently $b^\Theta \subseteq \mathcal{E}$, and it follows that $t \leq 2$ and $\dim \Delta \leq 32$. In all other cases $\dim \Lambda \leq 8$ by (‡), and $\dim \Delta < 32$. □

Proposition 1 and Corollary 2 imply that Theorem A is true in the case $t < 8$ and $\dim \Delta > 32$.

Lemma 2. *Let $\mathbb{R}^t \cong \Theta \triangleleft \Delta$ and $\mathbb{R} \cong \Pi \leq \Theta$. Assume that $\dim \Delta > 32$, and that the orbit b^Π is not contained in any line. Then $\Theta_b = 1$ and $8 \leq t \leq 16$. Moreover, the orbit b^Θ is not contained in any proper closed subplane of \mathcal{P} , and Δ_b acts effectively on Θ .*

Proof. Proposition 1 shows that $t \geq 8$. Let $\mathcal{F} = \langle b^\Theta \rangle$ be the smallest closed subplane containing b^Θ . Note that \mathcal{F} is connected and Δ_b -invariant, and that Θ_b fixes \mathcal{F} pointwise. From (*) it follows that $17 - t \leq \dim \Lambda$. Obviously, $b^\Theta \subseteq \mathcal{F}$ and $\Theta_b^1 \leq \Lambda$. If $\dim \mathcal{F} = 2$, then $t - 2 \leq \dim \Lambda$ and $15 \leq 2 \dim \Lambda$. Therefore, $\dim \Lambda \geq 8$, and Λ is compact by (‡). Now $\Theta_b^1 = \mathbb{1}$ and $\dim b^\Theta = t \leq 2$, a contradiction. If $\dim \mathcal{F} = 4$, then Δ_b induces on \mathcal{F} a group Δ_b/Φ of dimension at most 8 (see [18] (72.8) and note that $\Delta_b \leq \Delta_W$ and $b \notin W$). The kernel Φ of this action satisfies $\dim \Phi > 8$. The connected component of Φ is isomorphic to G_2 by (‡), but this would imply $\dim \mathcal{F} = 2$, see [18] (83.24). Hence $\dim \mathcal{F} \geq 8$ and \mathcal{F} is a Baer subplane or $\mathcal{F} = \mathcal{P}$, for short, $\mathcal{F} \leq \bullet \mathcal{P}$. According to [18] (83.6), the group Θ_b is compact, and then $\Theta_b = \mathbb{1}$. Suppose, finally, that $\mathcal{F} < \mathcal{P}$. Then $t = \dim \mathcal{F} = 8$, and Δ_b induces on \mathcal{F} a group $\Gamma \cong \Delta_b/\Phi$ of dimension $\dim \Gamma \geq 14$ (note that $\dim \Phi \leq 3$ by (‡),(d). Hence $\dim \Gamma \Theta \geq 22$, \mathcal{F} is isomorphic to the quaternion plane, and Θ acts on \mathcal{F} as a group of translations, see [18] (84.13) or Salzmann [14]. This contradicts the assumption that b^Π is not contained in a line. \square

Note. For the proof of Theorem A in the case $t \geq 8$ it is essential that Θ is chosen as a minimal normal subgroup. Write $\Xi = C_s \Theta$ for the centralizer of Θ . Then $\Gamma = \Delta/\Xi$ is an irreducible subgroup of $GL_t \mathbb{R}$. The structure of such groups is well known, compare [18] (95.6). In particular, the commutator subgroup Γ' is semi-simple, and Γ is the product of Γ' and the center Z of Γ . The irreducible representations of almost simple groups in dimension at most 16 are listed in [18] (95.10). Extensive use will be made of this list, compare also Bödi-Joswig [3]. Whenever X is an almost simple factor of Γ , the dimension of a minimal X -invariant subgroup of Θ divides t by Clifford's Lemma [18] (95.5). If t is odd, then Γ' is also irreducible and $\dim Z \leq 1$. Hence these cases are less difficult, they will be discussed next. A special argument is needed for large values of t .

Proposition 2. *If $t < 15$ and t is odd, and if $\dim \Delta > 32$, then Θ satisfies the assertions of Theorem A.*

Proof. As in Lemma 2, assume that the orbit b^Π is not contained in a line. Since Δ_b acts effectively on Θ , the Note shows that $\dim \Gamma' \geq 16$, and Λ is mapped injectively into Γ . Now let $t = 9$. From (*) it follows that $\dim \Lambda \geq 8$, and (‡) implies that $\Lambda \cong SU_3\mathbb{C}$ or $\Lambda \cong G_2$. Therefore, $\dim \Delta \leq 39$ and $\dim \Gamma \leq 30$. Moreover, Λ acts on a 6- or 7-dimensional subspace of Θ and fixes a complement, see the List [18] (95.10). By Clifford's Lemma, Λ is *properly* contained in an almost simple factor Υ of Γ , and Υ acts effectively and irreducibly on Θ . Noting that $8 < \dim \Upsilon \leq 30$, the List shows that $\Upsilon \cong PSL_3\mathbb{C}$, but this group does not contain Λ . Hence $t \in \{11, 13\}$, and t is a prime number. Clifford's Lemma implies that Γ' is almost simple. Since $\dim \Gamma' > 3$, it follows from the List that $\dim \Gamma' \geq 55$, an obvious contradiction. \square

Lemma 3. *A group Δ of dimension ≥ 31 fixes at most one point $a \notin W$. Assume that $t \geq 12$ and that $\Theta|_W \neq \mathbb{1}$. Let L be a line such that $L \cap W = u \neq u^\Theta$. If L does not contain the exceptional point a , then $\Theta_L = \mathbb{1}$ and $\dim L^\Theta \geq 12$. Hence L^Θ is not contained in any proper closed subplane of \mathcal{P} , and Δ_L acts effectively on Θ .*

Proof. The first assertion follows immediately from (‡) and the dimension formula. Either $\langle L^\ominus \rangle = \mathcal{Q}$ is a closed subplane, or L^\ominus is contained in a pencil \mathfrak{L}_x , and $x^\ominus = x$. Suppose that $x^\Delta \neq x$. Then Θ_u induces the identity on the connected subplane $\mathcal{D} = \langle x^\Delta, u^\ominus \rangle$. By the dimension formula, $12 \leq t = \dim u^\ominus + \dim \Theta_u$, and $\dim \Theta_u \geq 4$. Since Θ_u is not compact, (‡) implies $\dim \Theta_u \leq 7$, and $\dim u^\ominus \geq 5$. Consequently, $\mathcal{D} = \mathcal{P}$ and $\Theta_u = \mathbb{1}$. This contradiction shows that $x^\Delta = x$ is the unique point a . Hence $L \neq au$ leads to the first alternative, and Θ_L acts trivially on \mathcal{Q} . Either $\dim \Theta_L \geq 8$ or $\dim L^\ominus > 4$ and $\mathcal{Q} \leq \bullet \mathcal{P}$. In both cases, Θ_L is compact by (‡), and then $\Theta_L = \mathbb{1}$. Consequently, $\mathcal{Q} = \mathcal{P}$ and $\Delta_L \cap \text{Cs } \Theta = \mathbb{1}$. □

The lemma leads to a useful modification of condition (*):

Proposition 3. *In the situation of Lemma 3, each one-parameter subgroup Π of Θ has some orbit b^Π which is not contained in any line. If u and L are chosen as above, let $\varrho \in \Pi \leq \Theta_u$, $\varrho \neq \mathbb{1}$. Then $\Theta_u \triangleleft H = \Delta_L \Theta$, $\dim \varrho^H \leq \dim \Theta_u \leq 8$, and*

$$(**) \quad H < \Delta, \quad \dim \Delta/H \leq 16 - t, \quad \text{and} \quad \dim H \leq 16 + \dim \varrho^{Hb} + \dim K \leq 24 + \dim \Lambda,$$

where K denotes the connected component of $H_{b,\varrho} = \Delta_b \cap \text{Cs}_H \Pi$ and Λ has the same meaning as in Lemma 1.

Proof. Because Δ_L fixes the point u and Θ is commutative, Θ_u is invariant in $H = \Delta_L \Theta$. By assumption, $\Theta_u < \Theta$ and Θ is a minimal normal subgroup of Δ . Moreover, $\dim \Theta_u \geq t - 8 \geq 4$. Consequently, $H \neq \Delta$. From $\Delta_L \cap \Theta = \mathbb{1}$ it follows that $\dim H = \dim \Delta_L + t$, and the dimension formula shows $\dim \Delta = \dim \Delta_L + \dim L^\Delta \leq \dim \Delta_L + 16$. Therefore, $\dim \Delta - \dim H \leq 16 - t$. Since $\dim b^H \leq 16$, the last inequality in (**) is immediate from the dimension formula. □

Proposition 4. *Suppose that $\dim \Delta \geq 31$ and that $u^\ominus \neq u \in W = W^\Delta$. If $\dim \Lambda > 8$, then $\Lambda \cong G_2$ by (‡), and the centralizer $X = \text{Cs}_\Theta \Lambda$ has dimension at most 2. Moreover, $t \in \{1, 2, 8, 9\}$.*

Proof. Use the same notation as in Proposition 3. By assumption, $\Pi \leq X$ and $\dim X \geq 1$. The orbit b^X is contained in the 2-dimensional subplane \mathcal{E} of the fixed elements of Λ . Obviously, $X_b^1 \leq \Theta \cap \Lambda = \mathbb{1}$. Therefore, $\dim X \leq 2$. From [18] (95.3 and 10) it follows that the complement of X in Θ has a dimension divisible by 7. Hence the proposition is true unless $t \geq 15$. Then Proposition 3 applies, and the first statements of (**) exclude the possibility $t = 16$. In the case $t = 15$, condition (**) implies that $\dim \Delta \leq 39$. Hence Δ induces on Θ an irreducible group Γ of dimension at most 24. According to the note, Γ' is irreducible on Θ , and Λ is properly contained in Γ' . By Clifford's Lemma, Γ' is almost simple. Inspection of the List [18] (95.10) shows that there is no group with these properties. □

Propositions 3 and 4 imply:

Corollary 4. *If $t \geq 12$, then $\dim \Delta \leq 48 - t$ or Θ satisfies the assertions of Theorem A.*

Corollary 5. *If $t = 16$ and $\dim \Delta > 32$, then $\Theta = \Upsilon$ is a transitive elation group.*

Proposition 5. *If $t = 15$ and $\dim \Delta > 32$, then Θ is a group of elations.*

Proof. Assume that $u^\Theta \neq u$ for some point u on the fixed line W of Δ . Then $\dim \Delta = 33$ by Corollary 4, and Propositions 3 and 4 give $\dim \mathbf{H} = 32$ and $\Lambda \cong \mathrm{SU}_3\mathbb{C}$. Moreover, (**) implies $\dim \varrho^{\mathbf{H}} = 8$ for each admissible ϱ . Hence Δ_L is transitive on $\Theta_u \cong \mathbb{R}^8$. With [18] (96.22) or the List (95.10) it follows that the commutator subgroup Υ of Δ_L is isomorphic to $\mathrm{SU}_4\mathbb{C}$. According to the Note and to Clifford's Lemma in particular, Υ is properly contained in an almost simple factor of $\Gamma \cong \Delta/\mathrm{Cs} \Theta$. This implies $\dim \Gamma \geq 21$ and $\dim \Delta \geq 36$, a contradiction. \square

Lemma 4. *Let p and q be prime numbers. A semi-simple irreducible subgroup G of $\mathrm{SL}_{pq}\mathbb{R}$ has not more than two almost simple factors.*

Proof. G is either almost simple or a product of two proper semi-simple factors A and B such that $B \leq \mathrm{Cs} A$. Let U be a minimal A -invariant subspace of $V = \mathbb{R}^{pq}$. If $U = V$, then $B \leq \mathbb{H}^\times$ by Schur's Lemma [18] (95.4). Hence $4 \mid pq = 4$ and $G \cong \mathrm{SO}_4\mathbb{R}$. If $U < V$, however, then A acts effectively on U (because the fixed elements of the kernel of the action of A on U form a G -invariant subspace of V). Clifford's Lemma shows that $\dim U \in \{p, q\}$ and that A is almost simple.

Alternative proof (suggested by the referee). All semi-simple irreducible subgroups G of $\mathrm{SL}_t\mathbb{R}$ have been determined by Dynkin [5/6] Th. 1.5: either G is maximal in $\mathrm{SL}_t\mathbb{R}$ or in a symplectic or orthogonal group, and the claim follows from Theorems 1.3 and 1.4, or G belongs to a long list of exceptions, but these are even almost simple. \square

The following well-known theorem will be needed several times:

Complete reducibility. *If a semi-simple group G acts on a real vector space V , then each G -invariant subspace of V has a G -invariant complement in V .*

This follows directly from an analogous result about representations of semi-simple Lie algebras, see e.g. Bourbaki [4] I.6.2 Theorem 2, p. 52 or Freudenthal-de Vries [7] § 35 or § 50.

Proposition 6. *If $t = 14$ and $\dim \Delta > 32$, then Θ is a group of elations.*

Proof. Assume that Θ does not act trivially on W . Put again $\Xi = \mathrm{Cs} \Theta$.

(a) Corollary 4 shows that $\dim \Delta \leq 34$. According to Lemma 2 and the Note, the stabilizer Δ_b acts effectively on Θ and may be considered as a subgroup of $\Gamma = \Delta/\Xi$, and the semi-simple commutator group Γ' satisfies $15 \leq \dim \Gamma' \leq 20$. No almost simple group with dimension between 15 and 20 has an irreducible representation in dimension 7 or 14.

Therefore, Lemma 4 implies that Γ' is a product of exactly two almost simple subgroups A and B . Let $\dim A \leq \dim B$. Then $\dim B \geq 8$, and B acts effectively and irreducibly on \mathbb{R}^7 . By the List, $\dim B = 14$, and B is one of the two simple groups of type G_2 . (Later it will be seen that B is in fact the compact form.) As in the proof of Lemma 4, it follows that A acts effectively on \mathbb{R}^2 . Consequently, $A \cong \text{SL}_2\mathbb{R}$ and $\dim \Gamma' = 17$, moreover, the center Z of Γ consists of real dilatations of Θ , see [18] (95.6).

(b) The last statement gives $\dim \Gamma \leq 18$ and $\dim \Xi \geq 15$. On the other hand, $\dim \Xi \leq 16$ since $\dim \Delta/\Xi \geq \dim \Delta_b \geq \dim \Delta - 16$. Therefore, Ξ is contained in the radical $\sqrt{\Delta}$, and a maximal semi-simple subgroup Ψ of Δ is locally isomorphic to Γ' .

(c) The group Δ has a subgroup $\widehat{\Delta}$ of codimension 3 which induces on Θ the group BZ and acts on a 7-dimensional subgroup N of Θ . Note that $\dim \widehat{\Delta}_b \geq 14$. If $\widehat{\Delta}_b$ is transitive on N , then it is also transitive on the 6-sphere consisting of the rays in N , and $\widehat{\Delta}_b$ contains the compact group G_2 , compare [18] (96.19 and 22). If $\widehat{\Delta}_b$ is not transitive on N , then for some $\rho \in N$ the connected component $\widehat{\Lambda}$ of $\widehat{\Delta}_{b,\rho}$ is at least 8-dimensional, and (‡) shows that $\widehat{\Lambda} \cong \text{SU}_3\mathbb{C}$. This group is not contained in the non-compact group $G_2(2)$. Therefore B is compact, and steps (a) and (b) imply $\Gamma' = A \times B \cong \text{SL}_2\mathbb{R} \times G_2 \cong \Psi$.

(d) Because $\Delta_b \hookrightarrow \Gamma$ and $\dim \Gamma/\Delta_b \leq 1$, it follows that $G_2 \cong B \hookrightarrow \Delta_b$. One can now conclude that Δ_b acts irreducibly on Θ . In fact, the action of B and complete reducibility force a proper Δ_b -invariant subgroup N of Θ to be 7-dimensional. Lemma 1 with $\rho \in N$ gives $\dim \Delta_{b,\rho} \geq 10$ and then $\Lambda \cong G_2$, but this contradicts the fact $\rho^\Lambda = \rho$. As a consequence of [18] (95.6(b)), even the action of the semi-simple group Δ_b' on Θ is irreducible, hence $\dim \Delta_b' = 17$ and $\Delta_b' \cong \Psi$.

(e) In particular, the involution in A corresponds to an involution α in the center of Δ_b . By [18] (84.9), the group $B \cong G_2$ cannot act on a Baer subplane. Consequently, α is a reflection, see [18] (55.29). Because of (‡), the group A acts effectively on the 2-dimensional plane $\mathcal{E} = \mathcal{F}_B$ of the fixed elements of B . If A would fix a flag in \mathcal{E} , then A would be solvable by [18] (33.8). Therefore, b and W are the only fixed elements of A in \mathcal{E} , and $\alpha \in \Delta_{[b,W]}$. Since $\Theta_b = \mathbb{1}$, it follows from [18] (61.19b) that $\alpha^\Theta \alpha$ is a 14-dimensional subset of $\mathbb{T} = \Delta_{[W,W]}$. Note that $\Theta\mathbb{T} \leq \sqrt{\Delta}$ and that $\dim \sqrt{\Delta} \leq 17$. Minimality of Θ implies $\Theta \leq \mathbb{T}$. □

Proposition 7. *If $t = 10$ and $\dim \Delta > 32$, then Θ is a group of elations.*

Proof. From (*) and Proposition 4 one obtains $17 \leq \dim \Delta_b \leq \dim \rho^{\Delta_b} + \dim \Lambda \leq 10 + 8$. Either Δ_b is transitive on Θ , or $\Lambda \cong \text{SU}_3\mathbb{C}$ and $\dim \rho^{\Delta_b} = 9$ for some $\rho \in \Theta$. In the first case, Δ_b would contain the group $\text{SU}_5\mathbb{C}$, and $\dim \Delta_b$ would be too large, compare [18] (96.16–22). Similarly, Δ_b cannot be transitive on a 9-dimensional subspace of Θ . Hence Δ_b acts effectively and irreducibly on Θ . Since each non-trivial representation of $\text{SU}_3\mathbb{C}$ on Θ is either 6- or 8-dimensional, it follows from Clifford's Lemma that Λ is not normal in Δ_b . Therefore, Λ is properly contained in an almost simple and irreducible factor X of Δ_b' , and $X = \Delta_b'$ by Schur's Lemma [18] (95.4). The List shows that Δ_b' must be locally isomorphic to $\text{SL}_4\mathbb{R}$ or to $\text{SL}_2\mathbb{H}$, but these groups do not contain $\text{SU}_3\mathbb{C}$. □

The only remaining cases $t = 8$ and $t = 12$ are more difficult. If the given group Θ does not consist of elations, it will be shown that some other normal vector group $\tilde{\Theta}$ of Δ satisfies the conditions of Theorem A.

Proposition 8. *If $t = 8$ and $\dim \Delta > 32$, then either Θ or some minimal normal subgroup $\tilde{\Theta} \cong \mathbb{R}^7$ consists of elations.*

Proof. (a) According to Corollary 3, we may assume that for each one-parameter subgroup $\Pi < \Theta$ there is some point b such that b^Π generates a subplane. Lemma 1 then shows that the connected component Λ of $\Delta_b \cap \text{Cs } \Pi$ has dimension at least 9, and $\Lambda \cong G_2$ by (§). Consider the action of the connected component B of Δ_b on the 7-sphere S consisting of the rays in Θ , and let r, r' denote the two opposite rays contained in Π . Since $\dim B \geq 17$ and $\dim B_r/\Lambda \leq 1$, it follows that r^B is a connected set of positive dimension. For each $s \in S \setminus \{r, r'\}$, the orbit s^Λ is a 6-sphere, and r^B is a connected union of Λ -orbits. Consequently, r^B contains an open neighbourhood of r in S , and r^B is open in S , see also [18] (96.25). The dimension formula implies $\dim B/\Lambda \geq 7$ and $21 \leq \dim \Delta_b \leq 22$.

(b) In particular, r^Δ is open in S , and this is true for each ray $r \in S$ because step (a) is valid for an arbitrary choice of r . Therefore, Δ acts transitively on S . Put $\Xi = \text{Cs } \Theta$ as in the Note. Then $\Gamma = \Delta/\Xi$ is the effective group induced by Δ on Θ . Remember from Lemma 2 that Δ_b is embedded into Γ . Step (a) and Lemma 1 imply $21 \leq \dim \Gamma \leq 30$. According to [18] (96.19), a maximal compact subgroup Φ of Γ acts transitively on S , and from [18] (96.20) it follows that Φ is isomorphic to a subgroup of $\text{SO}_8\mathbb{R}$. Moreover, Φ has a subgroup $\Lambda \cong G_2$. There are only two groups Φ which satisfy these conditions, viz. $\Phi \cong \text{Spin}_7\mathbb{R}$ and $\Phi \cong \text{SO}_8\mathbb{R}$, see [18] (96.21 and 22). The centralizer of Φ in $\text{GL}_8\mathbb{R}$ is isomorphic to \mathbb{R}^\times , and the remarks in the Note show that Γ is the product of $\Phi = \Gamma'$ and the center Z of Γ . By [18] (94.27), the group Δ contains a subgroup Ψ which is a covering group of Γ' . In fact, Ψ is simply connected, since Δ cannot contain a group $\text{SO}_7\mathbb{R}$, see [18] (55.34 or 40).

(c) Assume that $\dim \Gamma' > 21$. Then $\Psi \cong \text{Spin}_8\mathbb{R}$, and the center of Ψ contains 3 reflections α, σ , and $\alpha\sigma$ with centers a, u , and v . By (§), the stabilizer ∇ of the triangle $\{a, u, v\}$ satisfies $\dim \nabla \leq 30$, and $\Psi \leq \nabla < \Delta$. It suffices to consider the case $\Delta = \Psi\Theta$. Note that Δ is not transitive on W (otherwise Δ would induce on W the group $\text{SO}_9\mathbb{R}$). On $W \setminus v$ the action of Ψ is equivalent to a linear action, and for each $z \neq u, v$ the orbit z^Ψ is a 7-sphere, compare [18] (96.36). Hence z^Δ is open in W whenever $z^\Delta \neq z^\Psi$, see also [18] (96.25). If $v^\Delta = v$, then $u^\Delta = u^\Theta$ is open in W , and $\sigma^\Theta\sigma = \{\vartheta^{-1}\vartheta^\sigma \mid \vartheta \in \Theta\}$ would generate a transitive group of elations with axis av in Θ . Therefore $u^\Theta \neq u$ and $v^\Theta \neq v$, and W contains some orbit $z^\Delta = Z \approx \mathbb{S}_7$. This leads to a contradiction as follows: Since z^Θ is Ψ_z -invariant, the argument of [18] (96.25) shows that either $z^\Theta = z$ or z^Θ is open in Z . Because Ψ is transitive on Z and Θ is normal, all Θ -orbits in Z are equivalent. The commutative group Θ cannot be transitive on Z . Therefore the orbits are points, $\Theta|_Z = \mathbb{1}$, and Θ would act freely and transitively on $az \setminus \{a, z\} \approx \mathbb{R}^8 \setminus \{0\}$ which is impossible.

(d) The last steps imply $\Psi \cong \Gamma' \cong \text{Spin}_7\mathbb{R}$. Since $21 \leq \dim \Delta_b \leq \dim \Gamma \leq 22$, and since $\text{Spin}_7\mathbb{R}$ has no subgroup of codimension 1, the covering group Ψ of Γ' can be chosen

in Δ_b . Hence $\Delta_b' \cong \text{Spin}_7\mathbb{R}$. Now it is not difficult to determine the structure of Δ . Put again $\Xi = \text{Cs}\Theta$. Lemma 2 implies $\Xi_b = \mathbb{1}$ and $\dim \Xi \leq 16$. On the other hand, the bound on $\dim \Gamma$ gives $\dim \Xi \geq 11$. Consider the group $\Upsilon = \Xi \cap \text{Cs}\Psi$ and note that $\Lambda \leq \Psi$. The orbit b^Υ is contained in the 2-dimensional fixed plane \mathcal{F}_Λ . Consequently, $\dim \Upsilon \leq 2$. Let Ψ act on the Lie algebra $\mathfrak{l}\Xi$. By complete reducibility, $\mathfrak{l}\Theta$ has an invariant complement \mathfrak{n} in $\mathfrak{l}\Xi$, and $\dim \mathfrak{n} > 2$. If $\dim \mathfrak{n} < 7$, the representation of $\text{Spin}_7\mathbb{R}$ on \mathfrak{n} is trivial and $\mathfrak{n} = \mathfrak{l}\Upsilon$, a contradiction. Hence $\dim \mathfrak{n} \geq 7$ and $\dim \Xi \in \{15, 16\}$. Because $\Psi \cap \Xi \leq \Xi_b = \mathbb{1}$, we may assume that $\Delta = \Psi\Xi$.

(e) The central involution σ of Ψ is a reflection, its axis K is different from W (or else $\sigma^\Theta\sigma = \Theta$ would consist of elations). If $\dim \Xi = 15$, then $\mathfrak{n} \cong \mathbb{R}^7$ and Ψ induces on \mathfrak{n} the group $\text{SO}_7\mathbb{R}$. Therefore \mathfrak{n} is the Lie algebra of $\mathbf{N} = \Xi \cap \text{Cs}\sigma$, moreover, Ξ is a vector group and \mathbf{N} is Δ -invariant. Proposition 1 shows that $\tilde{\Theta} = \mathbf{N}$ is an elation group as required.

(f) Finally, let $\dim \Xi = 16$. Because $\Xi_b = \mathbb{1}$, the orbit b^Ξ is open in P by [18] (96.11(a)). Note that $b^\sigma = b$. If $\xi \in \Xi$ and $b^\xi \in K$, then $\xi\sigma\xi^{-1}\sigma \in \Xi_b = \mathbb{1}$ and $\sigma\xi = \xi\sigma$. This gives $\Xi_K = \Xi \cap \text{Cs}\sigma$ and $\dim \Xi_K = 8$, moreover, $\Xi = \Xi_K \times \Theta$. Under the action of Ψ , the group Ξ_K splits into a one-parameter group and a 7-dimensional vector group $\tilde{\Theta}$ on which Ψ induces a group $\text{SO}_7\mathbb{R}$. Obviously, $\tilde{\Theta}$ is $\Psi\Xi$ - and hence Δ -invariant, and $\tilde{\Theta}$ is an elation group, again by Proposition 1 and Corollary 2. □

Proposition 9. *If $t = 12$ and $\dim \Delta > 32$, then Δ has a normal vector subgroup $\tilde{\Theta}$ consisting of elations. ($\tilde{\Theta}$ may be different from Θ).*

Proof. Assume that Θ is not contained in the elation group $\mathbf{T} = \Delta_{[W,W]}$, and use the notation introduced in Proposition 3.

(a) Propositions 3 and 4 imply that $29 \leq \dim \mathbf{H} \leq 32$. Consider a minimal \mathbf{H} -invariant subgroup \mathbf{M} of Θ_u and let $\mathbb{1} \neq \rho \in \Pi \leq \mathbf{M}$. Then \mathbf{H} and Δ_L act irreducibly on $\mathbf{M} \supset \rho^{\mathbf{H}}$, and $\dim \rho^{\mathbf{H}} \geq 5$ by (**). Remember from Lemma 2 that $\Theta_b = \mathbb{1}$. Consequently, $\dim b^{\mathbf{M}} > 4$ and $\langle b^{\mathbf{M}} \rangle = \mathcal{B}$ is at least 8-dimensional ($\mathcal{B} \leq \bullet \mathcal{P}$). Statement (d) of (‡) shows that $\dim(\mathbf{H}_b \cap \text{Cs}\mathbf{M}) \leq 3$, and \mathbf{H} induces on \mathbf{M} a group of dimension at least 10. If \mathbf{K} denotes again the connected component of $\mathbf{H}_b \cap \text{Cs}\Pi$, then $\dim \mathbf{K} \leq 8$ by Proposition 4. The following will be shown in the next steps: $\mathbf{M} = \Theta_u \cong \mathbb{R}^8$ and Δ_L does not act effectively on \mathbf{M} .

(b) If $\mathbf{M} \cong \mathbb{R}^5$, then (**) and (‡) imply $\mathbf{K} \cong \text{SU}_3\mathbb{C}$. This group does not admit a non-trivial representation in dimension < 6 . Hence $\mathbf{K} \leq \text{Cs}\mathbf{M}$ contrary to what has been stated in (a).

(c) In the case $\mathbf{M} \cong \mathbb{R}^6$, the same argument shows that $\dim \mathbf{K} < 8$ (note that the action of \mathbf{K} on \mathbf{M} is not irreducible, since Π is \mathbf{K} -invariant). Consequently, $\dim \mathbf{H}_b = 13$ by (**), moreover, $\dim \rho^{\mathbf{H}_b} = 6$ for each choice of ρ , and \mathbf{H}_b acts transitively on $\mathbf{M} \setminus \{\mathbb{1}\}$, see [18] (96.11(a)). Let $\Psi = \mathbf{H}_b|_{\mathbf{M}}$ denote the effective group induced on \mathbf{M} . Since Ψ is irreducible and $\dim \Psi \leq 13$, the List of representations shows $\Psi' \cong \text{SU}_3\mathbb{C}$, and $\dim \Psi = 10$. Hence \mathbf{H}_b has a normal subgroup $\Phi \cong \text{SU}_2\mathbb{C}$ acting trivially on \mathbf{M} , cf. step (a) and (‡)(d). The involution $\omega \in \Phi$ fixes a Baer subplane \mathcal{F}_ω and the orbit $b^{\mathbf{M}}$ is contained in the pointset

F of $\mathcal{F}_\omega = \mathcal{B}$. Since $H_b \leq Cs\omega$, the group Ψ' acts non-trivially on \mathcal{B} and, therefore, on $S = F \cap W \approx \mathbb{S}_4$, but this contradicts Richardson's theorem [18] (96.34).

(d) Finally, let $M \cong \mathbb{R}^7$. Steps (b) and (c) imply that H_b acts irreducibly on M , and (**) shows that $\dim H_b \leq 15$. Again, $\Psi = H_b|_M$ is at least 10-dimensional. According to the Note, Ψ' is an almost simple group of type G_2 . By complete reducibility, M has a Ψ' -invariant complement $N \cong \mathbb{R}^5$ in Θ . If $\langle b^M \rangle = \mathcal{B}$ is a Baer subplane, then $\Psi'M$ is a 21-dimensional automorphism group of \mathcal{B} , and \mathcal{B} is isomorphic to the quaternion plane \mathcal{H} , see Salzmann [12], cp. also [18] (84.21(b)) or Salzmann [14], but \mathcal{H} does not admit a group of type G_2 . Hence $\langle b^M \rangle = \mathcal{P}$ and Ψ' is a subgroup of H_b . The List shows that Ψ' centralizes N . Therefore, Ψ' induces the identity on b^N . Because $\langle b^M \rangle = \mathcal{P}$, there is some point $c \in b^M$ such that $\langle b^N, c \rangle = \mathcal{P}$. Consequently, $\Psi'_c = \mathbb{1}$, but $\dim \Psi'_c \geq 7$, a contradiction proving the first statement at the end of step (a).

(e) Suppose now that Δ_L acts effectively on $M \cong \mathbb{R}^8$. By assumption, this action is irreducible. The structure theorem [18] (95.6(b)) shows that the commutator subgroup $\Upsilon = \Delta_L'$ is semi-simple and that the center Z of Δ_L consists of real or complex dilatations of M . There are two possibilities: (i) the action of Υ on M is irreducible, and (ii) M is a direct sum of two 4-dimensional subspaces M_ν and Υ acts equivalently and effectively on the spaces M_ν . Note that $\dim \Delta_L \geq 17$ and $\dim \Upsilon \geq 15$. The action of the semi-simple group Υ on Θ is completely reducible. Hence there is a Υ -invariant complement $N \cong \mathbb{R}^4$ of M in Θ . In case (i), the group N is even Δ_L -invariant: in fact, for each $\zeta \in Z$ the subspace $N^\zeta N$ is invariant under Υ and at most 8-dimensional, hence it has trivial intersection with M . Choose a one-parameter group E in N and a point p such that $\langle p^E \rangle = \mathcal{E}$ is a subplane. Remember that $H = \Delta_L \Theta$ has dimension at least 29. Consider the connected component \tilde{K} of $H_p \cap CsE$. The dimension formula gives $\dim \tilde{K} \geq 9$, and (‡) shows that $\tilde{K} \cong G_2$, but then Proposition 4 would imply $t \leq 9$. Case (ii) also leads to a contradiction: Υ is semi-simple and effective on \mathbb{R}^4 , therefore, $\Upsilon \cong \text{SL}_4\mathbb{R}$ and $\dim \Upsilon = 15$, moreover, $Z \cong \mathbb{C}^\times$ and Z has a subgroup $P \cong \mathbb{R}$ consisting of real dilatations. The group $\hat{H} = \Upsilon P \Theta$ acts on $M_\nu \cong \mathbb{R}^4$. Choose $\varrho \in M_\nu$ and write \tilde{K} for the connected component of $\hat{H}_{b,\varrho}$. Then $\dim \tilde{K} \geq 8$, and $\tilde{K} \cong \text{SU}_3\mathbb{C}$ by (‡), but the latter group is not contained in the maximal semi-simple subgroup Υ of \hat{H} . This proves the last claim of step (a).

(f) The group $M \cong \mathbb{R}^8$ acts transitively on the affine line pencil $\mathcal{L}_u \setminus \{W\} = \mathcal{L}_u^* \approx \mathbb{R}^8$: in Lemma 3 it has been shown that $\Theta_L = \mathbb{1}$ for each line $L \in \mathcal{L}_u^*$ with at most one exception au . By [18] (96.11), each non-trivial orbit L^M is open in \mathcal{L}_u^* and homeomorphic to \mathbb{R}^8 . Hence M is sharply transitive on \mathcal{L}_u^* or on $\mathcal{L}_u^* \setminus \{au\}$, but the latter space is not contractible.

(g) According to (e) there is an element $\alpha \neq \mathbb{1}$ in $\Delta_L \cap CsM$, and (f) implies that α fixes each line in \mathcal{L}_u . Consequently, α is an axial collineation with center u and some axis A . If α is an elation, i.e. if $u \in A$, then $A = W$ (since $\alpha^M = \alpha$), and α^Θ is a 4-dimensional subset of \mathbb{T} . Because the elements in α^Θ have different centers, \mathbb{T} is commutative. Any minimal invariant subgroup of \mathbb{T} may be chosen as the group $\tilde{\Theta}$.

(h) Now let $\alpha \in \Delta_{[u,A]}$ be a homology. Since $u^\Delta \neq u$, Hähl's results on the generation of elations by homologies can be applied. In its simplest form, Hähl's theorem says the

following:

(•) If Γ is a Lie subgroup of Σ_A , if $\Gamma_{[c,A]} \neq \mathbb{1}$ for some center $c \notin A$, and if E is the group of elations in Γ with axis A , then $\dim E = \dim c^\Gamma$, see [18] (61.20) for a proof. Note that commutativity of E is not known if c^Γ is contained in a line.

(i) Suppose that $\Theta \leq \Gamma = \Delta_A$, and put $A \cap W = v$. Then (•) shows that $\dim \Delta_{[v,A]} \geq 4$. Moreover, $\dim u^\Theta = 4$ implies that the commutator set $[\alpha, \Theta] = \{\alpha^{-1}\alpha^\vartheta \mid \vartheta \in \Theta\} \subseteq \Theta_{[A]}$ is at least 4-dimensional. Since Θ is commutative, all elements in $\Theta_{[A]}$ have the same center $z \in W$, and $\dim \Theta_{[A]} \leq 8$. By [18] (61.2), a group of homologies has a compact subgroup of codimension at most 1, but Θ is a vector group. Hence $\Theta_{[A]} = \Theta_{[v,A]}$ consists of elations. Because Θ is a minimal normal subgroup of Δ , the group $\Theta_{[A]}$ is not normal, and, therefore, $A^\Delta \neq A$. Since $A^\Theta = A$ and Θ is normal, Θ fixes each line in the orbit A^Δ . On the other hand, all fixed lines of the elation group $\Theta_{[A]}$ pass through the center v . Consequently, $A^\Delta \subseteq \mathcal{L}_v$ and $\Theta = \Theta_{[v,v]}$ is an invariant elation group.

(j) Only the possibility $A^\Theta \neq A$ remains. The strategy in this case is to apply the dual (•) of (•) to the group Ω generated by α and the connected component of Δ_u . Assume first that $A^\Omega = A$. Because $\dim(\Theta_u \cup \Theta_A) < 12$, there is an element $\vartheta \in \Theta$ such that $u^\vartheta = z \neq u$ and $A^\vartheta \neq A$. Remember that $\Theta \triangleleft \Delta$ and that $\dim u^\Theta = 4$. Therefore, $z^\Omega \subseteq u^\Theta$ and $\dim \Omega_z \geq 21$. Let $a \in A \setminus W$, $a \notin A^\vartheta$. Then the connected component Λ of $\Omega_{a,z}$ satisfies $\dim \Lambda \geq 13$, moreover, $\Lambda \leq \Omega^\vartheta \leq \Delta_{A^\vartheta}$, and Λ fixes a non-degenerate quadrangle. Now (‡) implies $\Lambda \cong G_2$. By assumption, $\Gamma = \Delta/C_s\Theta$ is an irreducible subgroup of $GL_{12}\mathbb{R}$, and $\dim \Gamma \leq 24$ by Corollary 4. Since $\Lambda \hookrightarrow \Gamma'$, it follows from [18] (95.6) that Γ' is almost simple and irreducible on Θ . Hence $\Gamma' \cong Spin_7\mathbb{R}$, but, according to the List, this group does not have an irreducible representation in dimension 12.

(k) Consequently, $A^\Omega \neq A$, and then $\dim \Delta_{[u,u]} \geq \dim A^\Omega > 0$ by (•). If some one-parameter group in $\Delta_{[u,u]}$ has an affine axis, then, because of (f), all groups $\Delta_{[u,L]}$ with $L \in \mathcal{L}_u \setminus \{W\}$ have the same positive dimension, and the dual of [18] (61.12) implies $\Delta_{[u,W]} \cong \mathbb{R}^8$. Since $u^\Delta \neq u$, it follows that T is transitive. If each one-parameter subgroup of $\Delta_{[u,u]}$ has axis W however, then $\dim \Delta_{[z,W]} > 0$ for each center $z \in u^\Delta$. Hence $\dim T > 4$, and T contains a normal subgroup $\tilde{\Theta}$ as claimed.

Theorem A is now an immediate consequence of the propositions.

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