

On Minimum Kissing Numbers of Finite Translative Packings of a Convex Body

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Abstract. For a convex body K , let us denote by $t(K)$ the largest number for which there exists a packing with finitely many translates of K in which every translate has at least $t(K)$ neighbours. In this paper we determine $t(K)$ for convex discs and 3-dimensional convex cylinders. We also examine how small the cardinalities of the extremal configurations can be in these cases.

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1. Introduction

In this paper we consider the problem how large the minimum number of neighbours of a member can be in a packing with finitely many translates of a convex body. A related result of Kertész [6] shows that in any finite packing of \mathbb{R}^3 with congruent balls there is a member having at most eight neighbours. It is not known if eight can be replaced by seven or six in the previous statement. On the other hand, in higher dimensions Alon [1] constructed finite packings of equal balls in which every member has a large number of neighbours (namely, at least $2^{\sqrt{d}}$ neighbours for every dimension $d = 4^k$, $k \in \mathbb{N}$).

First we give a solution of the problem for convex discs, then for 3-dimensional convex cylinders. (By a convex cylinder we mean a convex body which is the Minkowski sum of a segment and a compact convex set of dimension smaller by one.) We also investigate

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on the minimum cardinalities of the extremal packings for these convex bodies. Note that Österreicher and Linhart [8] examined similar problems in \mathbb{R}^2 for packings with congruent copies of smooth convex discs. For additional related results and references in this topic, see the survey papers by G. Fejes Tóth [2] and by G. Fejes Tóth and W. Kuperberg [3].

Let us recall some notions. By a *translative packing* of a convex body we mean a collection of mutually nonoverlapping translates of that body. The *neighbours* of a member K' in a packing are those members that have a common point with K' and are different from K' (i.e. they touch K'). The *kissing numbers* of a packing are the numbers occurring as the number of neighbours of some member in the packing. The *minimum kissing number* of a packing is the least among all kissing numbers of the packing, that is, it is the minimum number of neighbours that a member has in the packing. A 2-dimensional convex body is called a *convex disc*.

For a given convex body K , let $t(K)$ denote the largest number for which there is a packing with finitely many translates of K in which every member has at least $t(K)$ neighbours. In other words, $t(K)$ is the maximum of the minimum kissing numbers of packings with finitely many translates of K . Let $m(K)$ be the minimum cardinality of packings with finitely many translates of K whose minimum kissing numbers are maximal, i.e. equal to $t(K)$.

We prove the following two theorems.

Theorem 1. *Let D be a convex disc. Then*

$$t(D) = \begin{cases} 3, & \text{if } D \text{ is not a parallelogram,} \\ 4, & \text{if } D \text{ is a parallelogram,} \end{cases}$$

and

$$m(D) = \begin{cases} 7, & \text{if } D \text{ is not a parallelogram,} \\ 12, & \text{if } D \text{ is a parallelogram.} \end{cases}$$

Theorem 2. *Let C be a 3-dimensional convex cylinder. Then*

$$t(C) = \begin{cases} 10, & \text{if } D \text{ is not a parallelepiped,} \\ 13, & \text{if } D \text{ is a parallelepiped,} \end{cases}$$

and

$$m(C) \leq \begin{cases} 172, & \text{if } D \text{ is not a parallelepiped} \\ 392, & \text{if } D \text{ is a parallelepiped.} \end{cases}$$

One can reformulate the problem considered in this paper in terms of Minkowski spaces. (By a Minkowski space we mean a finite dimensional normed space in this paper.) Recall that two translates of a d -dimensional convex body K are nonoverlapping [resp., touching] exactly if the distance between their translation vectors is at least 1 [resp., exactly 1] in \mathbb{R}^d equipped with the Minkowski metric whose unit ball is the difference body of K . Using this property, it is easy to see that the problem is equivalent to the following: In a

given Minkowski space, how large the minimum vertex degree of a graph can be in which the vertices are formed by finitely many distinct points of the space and two vertices are connected by an edge exactly if the distance between them is minimal, that is, it is equal to the minimum distance occurring between any two vertices of the graph? By Theorems 1 and 2 this problem is solved when the Minkowski space is either 2-dimensional or it is 3-dimensional and has a cylindrical unit ball.

2. Proof of Theorem 1

First we recall some notations. We use the standard notation $\alpha A + \beta B$ for the set $\{\alpha a + \beta b \in \mathbb{R}^d \mid a \in A, b \in B\}$ for arbitrary $A, B \subseteq \mathbb{R}^d$ and $\alpha, \beta \in \mathbb{R}$. If $v \in \mathbb{R}^d$, then we simply write $A + v$ instead of $A + \{v\}$, and we write $A - B$ instead of $A + (-1)B$. If $U \subseteq \mathbb{R}^d$ and \mathcal{V} is a collection of subsets of \mathbb{R}^d , then we introduce the notation $U(\cap)\mathcal{V} = \{U \cap V \mid V \in \mathcal{V}\}$. For a convex body K , we denote its boundary by ∂K . If F is a finite set, then we denote its cardinality by $|F|$.

We now recall a well-known observation of Minkowski [7], which says that two translates $K + x$ and $K + y$ of a convex body K have a common point if and only if the two translates $\frac{1}{2}(K - K) + x$ and $\frac{1}{2}(K - K) + y$ of the Minkowski symmetrization $\frac{1}{2}(K - K)$ of K have a common point. This shows that it suffices to prove Theorem 1 when D is centrally symmetric. Therefore we may assume throughout the proof that D is centrally symmetric.

Let \mathcal{P} be an arbitrary packing with finitely many translates of D , and let $S = \text{conv}(\bigcup \mathcal{P})$, where $\text{conv}(\cdot)$ stands for the convex hull of a set. We distinguish two cases.

Case 1. D is a parallelogram.

By affine invariance it is enough to consider the case $D = [0, 1]^2 \subseteq \mathbb{R}^2$.

Consider a supporting line l_1 of S which is horizontal (i.e. parallel to the first coordinate axis). Then $l_1(\cap)\mathcal{P}$ is a nonempty collection of finitely many nonoverlapping segments lying in l_1 . Let $D_1 \in \mathcal{P}$ be chosen so that $l_1 \cap D_1 \neq \emptyset$ and $l_1 \cap D_1$ does not separate any other two segments of $l_1(\cap)\mathcal{P}$ in l_1 . Let $l'_1 \neq l_1$ be the other line parallel to l_1 which contains a side of D_1 . Since it is clear that at most one neighbour of D_1 intersects l_1 , there are at most three neighbours of D_1 which intersect l'_1 and do not intersect l_1 , and that every neighbour of D_1 intersects either l_1 or l'_1 , thus we get that D_1 has at most four neighbours. This shows $t(D) \leq 4$.

Now, consider an $n \times n$ grid of translates of D for $n \geq 4$, and remove the four translates of D at the corners of the grid. In this finite packing every member has at least four neighbours (the case $n = 4$ is illustrated in Figure 1). This implies $t(D) \geq 4$. Consequently $t(D) = 4$.

Assume now that in \mathcal{P} every member has at least four neighbours. Then we get that exactly one neighbour of D_1 intersects l_1 , and there are exactly three neighbours of D_1 which intersect l'_1 and do not intersect l_1 . But for a fixed supporting line l_1 of S there are two distinct choices D_{11} and D_{12} for D_1 , and they have at most two common neighbours. Thus there are at least six members of \mathcal{P} which have a neighbour intersecting l_1 . On the other hand, it is also implied that the horizontal width of S (i.e. the length of the projection of S to the horizontal coordinate axis) is at least 4.

Let $l_2 \neq l_1$ be the other horizontal supporting line of S . An analogous argument shows that there are at least six members of \mathcal{P} which have a neighbour intersecting l_2 .

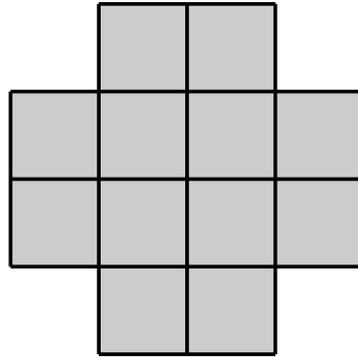


Figure 1. A translative packing of 12 squares with minimum kissing number 4

Now, considering the vertical supporting lines of S , the very same kind of argument as in the horizontal case gives that the vertical width of S is at least 4. Therefore those two subcollections of \mathcal{P} formed by those members of \mathcal{P} which have neighbours intersecting l_1 and l_2 , respectively, have no common members. Since each subcollection has at least six elements, we get $|\mathcal{P}| \geq 12$. Therefore $m(D) \geq 12$.

On the other hand, in the above mentioned example derived from the $n \times n$ grid there are 12 translates of D for $n = 4$. This implies $m(D) \leq 12$. Consequently $m(D) = 12$.

Case 2. D is not a parallelogram.

First we prove $t(D) \leq 3$. We begin with introducing some notions. A packing \mathcal{H} of translates of D is a *Hadwiger configuration* if every member of the packing touches D . Two members $D_1, D_2 \in \mathcal{H}$ are called *opposite* if the center of D is the midpoint of the segment connecting the centers of D_1 and D_2 (recall that D is centrally symmetric throughout the proof). Note that a Hadwiger configuration has a natural cyclic order. We say that there is a *gap* in a Hadwiger configuration \mathcal{H} if there are two consecutive members of \mathcal{H} which are disjoint. A segment s connecting two points of a convex disc D is a *long segment* if its length is larger than the half of the maximum length of chords of D parallel to s .

Subcase 1. ∂D does not contain two nonoverlapping long segments having a common endpoint.

In this case, by Swanepoel [9] either every Hadwiger configuration of six translates of D consists of three pairs of opposite members or it contains a pair of opposite translates with translational vectors parallel to a long segment of ∂D .

If ∂D contains a long segment, then let l be a supporting line of S parallel to one such long segment. Otherwise choose an arbitrary supporting line of S as l . Let $D_1 \in \mathcal{P}$ be chosen so that $l \cap D_1 \neq \emptyset$ and $l \cap D_1$ does not separate any other two members of $l(\cap)\mathcal{P}$ in l .

We now assume the contrary, i.e. $t(D) \geq 4$. Then we may assume that there are at least four neighbours of D_1 in \mathcal{P} . Since l is a supporting line of both D_1 and S , it can be easily shown that there are two translates D' and D'' of D with $D', D'' \notin \mathcal{P}$ which

do not overlap any neighbour of D_1 in \mathcal{P} and touch D_1 . These two translates and the neighbours of D_1 form a Hadwiger configuration of at least six translates of D_1 . But then by the fact that in a planar packing with translates of a convex disc every member has at most six neighbours if the disc is different from a parallelogram (see Grünbaum [5]), there are exactly six members in this new configuration. We may choose D' and D'' to be non-opposite to any neighbour of D_1 in \mathcal{P} (in fact, we can choose D' and D'' so that the line determined by their centers encloses any sufficiently small nonzero angle with l). This implies that there must be two opposite translates among the neighbours of D_1 in \mathcal{P} , contradicting that $l \cap D_1$ does not separate any other two members of $l(\cap)\mathcal{P}$ in l .

Subcase 2. ∂D contains two nonoverlapping long segments having a common endpoint.

In this case it can be easily seen that there exists an affine transformation $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ for which $V \subseteq f(D) \subseteq [-1, 1]^2$, where V is the union of the midpoints of the sides of the square $[-1, 1]^2$ and its two vertices $(-1, 1)$ and $(1, -1)$.

Let $D' = f(D)$, $\mathcal{P}' = \{(\mathcal{P})\}$, and $S' = f(S)$. Consider a horizontal supporting line l of S' , and a member $D'_1 \in \mathcal{P}'$ chosen so that $l \cap D'_1$ does not separate any other two segments of $l(\cap)\mathcal{P}'$ in l . Without loss of generality we may assume that $l = \{(x_1, x_2) \in \mathbb{R}^2 \mid x_2 = 1\}$, $D'_1 = D'$, and $l'(\cap)\mathcal{P}' = \emptyset$ for $l' = l \cap H^-$, where $H^- = \{(x_1, x_2) \in \mathbb{R}^2 \mid x_1 < -1\}$.

For every $k \in \mathbb{R}$, let H_k^+ be the closed halfplane defined as

$$H_k^+ = \{(x_1, x_2) \in \mathbb{R}^2 \mid x_1 \geq k\}.$$

Let s be the half-open segment

$$s = \{(x, -1) \in \mathbb{R}^2 \mid -1 \leq x < 1\}.$$

We also introduce notations for some translates of D' :

$$\begin{aligned} D'_k &= D' + (k - 1, 0), \\ D''_k &= D' + (k - 1, -2). \end{aligned}$$

Let us assume the contrary, i.e. every member of \mathcal{P} has at least four neighbours. Then the same holds for every member of \mathcal{P}' as well. It is clear that H_1^+ contains at most two neighbours of D'_1 in \mathcal{P}' , and if it contains exactly two neighbours, then these are D'_3 and D''_3 . It is also easy to see that at most two neighbours of D'_1 intersect s . On the other hand, any neighbour of D'_1 either is contained in H_1^+ or intersects s . Therefore, since D'_1 has at least four neighbours by assumption, we get that D'_1 has exactly four neighbours in \mathcal{P}' and D'_3, D''_3, D'_1 are among them. Now we consider the neighbours of D'_3 : there are exactly two which are not contained in H_3^+ . Thus there must be exactly two, D'_5 and D''_5 contained in H_3^+ . Repeating similar arguments for D'_i with $i = 5, 7, 9, \dots$, we obtain by induction that D'_i and D''_i are members of \mathcal{P} for every positive odd number i . But this contradicts the finiteness of \mathcal{P} . Consequently, we get $t(D) \leq 3$.

Next we show $t(D) \geq 3$. We may assume that D is symmetric about the origin $o \in \mathbb{R}^2$. Let us consider the packing of six translates of D with centers of the vertices of an affine regular hexagon inscribed into $2D$ (for the existence of such a hexagon, see [4]). Then

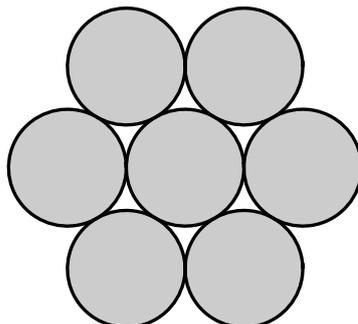


Figure 2. A translative packing of 7 circles with minimum kissing number 3

these six translates form a Hadwiger configuration of D with no gap. This means that these six translates and D form a packing of seven translates of D in which every member has at least three neighbours (Figure 2 shows the case when D is a circle). Consequently $t(D) \geq 3$. This example also shows that $m(D) \leq 7$.

It remains to prove that $m(D) \geq 7$. First we introduce a notion and notations. Then we prove a lemma and its corollary which are needed for the proof of the lower bound.

Let K be a centrally symmetric convex body. By the *relative length* of a segment $s \subseteq \mathbb{R}^2$ with respect to K we mean the ratio of the usual (Euclidean) length of s and the half of the usual length of the longest chord of K parallel to s . For $a, b \in \mathbb{R}^2$, we write ab for the segment with endpoints a and b . We introduce the notation $(ab)_K$ for the relative length of the segment ab . Observe that $ab \subseteq K$ is a long segment if and only if $(ab)_K > 1$. Note that the introduced notion of relative length coincides with the Minkowski metric in which the unit ball is K . If $x = (x_1, x_2) \in \mathbb{R}^2$, then let $\pi_i(x) = x_i$ for $i = 1, 2$.

Lemma 1. *Let K be a centrally symmetric convex disc different from a parallelogram. Then any pentagon inscribed into K and containing the center of K has a side which is a long segment in K .*

Corollary 2. *Let K be a centrally symmetric convex disc different from a parallelogram. Then there is a gap in every Hadwiger configuration of n translates of K for any $3 \leq n \leq 5$.*

Proof of Lemma 1. Consider an arbitrary pentagon inscribed into K which contains the center of K , and has consecutive vertices p_1, p_2, \dots, p_5 ordered counterclockwise.

First assume that ∂K does not contain a long segment. In this case it is easy to see that we have $(ab)_K < (ac)_K$ for any three distinct consecutive points $a, b, c \in \partial K$ when b is contained in the shorter component of $\partial K \setminus \{a, c\}$ (cf. Lemma 2 of [9]). Let H be an affine regular hexagon inscribed into K having the same center as K . For the existence of such a hexagon, see [4]. It is clear that all sides of H have relative length 1 with respect to K . Consider a partition of ∂K into five disjoint half-open arcs A_i connecting p_i and p_{i+1} , and not containing p_{i+1} , $1 \leq i \leq 5$ (using the notation $p_6 = p_1$). Then there are two consecutive vertices q, q' of H which are contained in one of these half-open arcs, say, in A_1 . We may assume that p_1, q, q', p_2 are consecutive points of ∂K with $q' \neq p_2$ but allowing $q = p_1$. Then $1 = (qq')_K \leq (p_1q')_K < (p_1p_2)_K$. Therefore p_1p_2 is a long segment in K .

Secondly, assume that ∂K contains a long segment. Then, by central symmetry, ∂K contains two parallel long segments of equal length. Without loss of generality we may assume that $s_1 = E_1 \cap K$ and $s_{-1} = E_{-1} \cap K$ are long segments in K , and s_1, s_{-1} are the vertical sides of the square $[-1, 1]^2$, where E_r is the vertical line $E_r = \{(x_1, x_2) \mid x_1 = r\}$ ($r \in \mathbb{R}$).

Assume the contrary, i.e. $(p_i p_{i+1})_K \leq 1$ for every $1 \leq i \leq 5$. Then it is clear that $p_l \in s_1$ and $p_k \in s_{-1}$ for some not cyclically consecutive l and k . We may assume that $l = 1$ and $k = 3$. Then it can be seen that $\pi_1(p_2) = 0$, which implies $\pi_2(p_i) > 0$ for $i = 1, 3$. But from this follows that $\pi_1(p_5) - \pi_1(p_4) > 1$. However, this means that $(p_4 p_5)_K > 1$, which is a contradiction. \square

Proof of Corollary 2. Consider the centers of the translates in the Hadwiger configuration. They form the vertices of a polygon P inscribed into $\partial K'$, where K' is a homothetic copy of K enlarged from the center of K by coefficient 2. Assume the contrary, that is, there is no gap in the Hadwiger configuration. Then each side of P has relative length 1 with respect to K' . This clearly implies that the center of K' is contained in P . Consider a pentagon P' inscribed into K' whose vertices contain the vertices of P . Then every side of P' has relative length at most 1 with respect to K' , contradicting Lemma 1. \square

We now continue the proof of Theorem 1. We prove $m(D) \geq 7$. Assume the contrary, that is, there exists a packing \mathcal{P} of at most six translates of D in which every member has at least three neighbours.

First observe that since D is not a parallelogram, therefore in a Hadwiger configuration of D at most two consecutive members can have a common point. By Corollary 2, this implies that any member of \mathcal{P} can have at most four neighbours. On the other hand, two disjoint members of \mathcal{P} can have at most two common neighbours, otherwise D has to be a parallelogram, a contradiction (cf. Lemma 1 of [9]). This last observation, together with Corollary 2, implies that for any $D' \in \mathcal{P}$, D' has exactly three neighbours, and there are exactly two members of \mathcal{P} which are disjoint from D' , and these two members must be touching. In particular, this shows $|\mathcal{P}| = 6$.

Let the three neighbours of a member $D_1 \in \mathcal{P}$ be D_2, D_3 and D_4 . Then $D_5, D_6 \in \mathcal{P}$ are touching, each of them having two neighbours from $\mathcal{N}_1 = \{D_2, D_3, D_4\}$. This means that one of the members of \mathcal{N}_1 , say D_3 touches both D_5 and D_6 . Since then D_1, D_5, D_6 are neighbours of D_3 , this implies that D_2 and D_4 are neighbours but both are disjoint from D_3 . Moreover, D_2 must touch exactly one of the members D_5, D_6 , say D_6 . Then D_4 touches D_5 but disjoint from D_6 .

Let q_i be the center of D_i ($1 \leq i \leq 6$). We may assume that $D_1 = D$ and $q_1 = o$, where o is the origin of \mathbb{R}^2 . Let $q'_5 = q_1 q_5 \cap \partial(2D)$, and $q'_6 = q_1 q_6 \cap \partial(2D)$. Since $(q_1 q_4)_{2D} = (q_5 q_4)_{2D} = 1$, therefore we have $(q'_5 q_4)_{2D} \leq 1$. It can be obtained similarly that $(q'_5 q_3)_{2D} \leq 1$, $(q'_6 q_2)_{2D} \leq 1$, and $(q'_6 q_3)_{2D} \leq 1$. From $(q_2 q_4)_{2D} = 1$ follows that $q_3, q'_5, q_4, q_2, q'_6$ form the vertices of a pentagon inscribed into $2D$ with every side having relative length at most 1. On the other hand, o is in the bounded region enclosed by the consecutively touching members D_3, D_5, D_4, D_2, D_6 , therefore it is in the closed pentagon q_3, q_5, q_4, q_2, q_6 , and by the definition of q'_i , $i = 5, 6$, o is inside of the pentagon $q_3, q'_5, q_4, q_2, q'_6$, too. But this contradicts Lemma 1. This completes the proof of Theorem 1. \square

3. Proof of Theorem 2

Similarly to the proof of Theorem 1, we may assume that C is centrally symmetric. Moreover, by affine invariance, we may also assume that $C = D \times [-1, 1]$, where D is a centrally symmetric convex disc.

We introduce two kind of maps. Let $\pi : \mathbb{R}^3 \rightarrow \mathbb{R}^2$ be defined as $\pi(x_1, x_2, x_3) = (x_1, x_2)$, and for any $r \in \mathbb{R}$ let $f_r : \mathbb{R}^2 \rightarrow \mathbb{R}^3$ be defined as $f_r(x_1, x_2) = (x_1, x_2, r)$. Let H be the x_1x_2 -plane, that is $H = f_0(\mathbb{R}^2)$.

First we prove that $t(C) \leq 13$ if C is a parallelepiped, and that $t(C) \leq 10$ otherwise. Let \mathcal{C} be a packing of finitely many translates of C . Consider a supporting plane H' of $\text{conv}(\bigcup \mathcal{C})$ parallel to H . Let

$$\mathcal{P} = \{\pi(C') \mid C' \cap H' \neq \emptyset, C' \in \mathcal{C}\}.$$

Then \mathcal{P} is a planar packing of finitely many translates of D , so it has a member $\pi(C_1)$, where $C_1 \in \mathcal{C}$, which has at most $t(D)$ neighbours. Denote by $H(D)$ the *Hadwiger number* of D which is defined as the maximum cardinality of the Hadwiger configurations of translates of D .

Let H'' be a supporting plane of C_1 parallel to H and different from H' . Then clearly, there are at most $H(D) + 1$ neighbours of C_1 in \mathcal{C} which intersect H'' but do not intersect H' . Since every neighbour of C_1 in \mathcal{C} intersects either H' or H'' , we get that $t(C) \leq t(D) + H(D) + 1$. On the other hand, C is a parallelepiped if and only if D is a parallelogram. We also know by Theorem 1 and by [5] that $t(D) = 4$ and $H(D) = 8$ for parallelograms, while $t(D) = 3$ and $H(D) = 6$ otherwise. These facts together imply $t(C) \leq 13$ if C is a parallelepiped, and $t(C) \leq 10$ otherwise.

In the remaining part of the proof we give constructions for packings with finitely many translates of the cylinder C where every member has at least $t(C)$ neighbours. These constructions complete the proof of Theorem 2. In the description of the constructions we use the notation $\text{vert}(A)$ for the vertex set of a convex polygon or convex polyhedron A . We distinguish two cases.

Case 1. C is a parallelepiped.

We may assume that $C = [-1, 1]^3$. We construct a packing of 392 translates of C where every member has at least 13 neighbours. An outside view of the packing is shown in Figure 3 (note that the figure does not show that there is a ‘‘hole’’ inside). Clearly, it is enough to construct a set $S \subseteq \mathbb{Z}^3$ of translation vectors of C containing only odd coordinates, since then $\{C\} + S$ gives a packing.

Then the only remaining task is to check that every member in this packing has at least 13 neighbours. This becomes relatively easy, because of the highly symmetric structure of the packing there are only few cases to check.

Here is the construction. Let $\mathbb{O} = 2\mathbb{Z} + 1$, and

$$\begin{aligned} B_1 &= ([-5, 5]^2 \setminus \text{vert}([-5, 5]^2)) \cap \mathbb{O}^2, \\ B_2 &= ([-3, 3]^2 \setminus \text{vert}([-3, 3]^2)) \cap \mathbb{O}^2, \end{aligned}$$

$$\begin{aligned}
 B_3 &= ([-1, 1]^2 \cap \mathbb{O}^2, \\
 S_1 &= [-5, 5]^3 \cap \mathbb{O}^3, \\
 S_2 &= f_7(B_1) \cup f_{-7}(B_1), \\
 S_3 &= f_9(B_2) \cup f_{-9}(B_2), \\
 I_1 &= [-3, 3]^3 \cap \mathbb{O}^3, \\
 I_2 &= f_5(B_3) \cup f_{-5}(B_3).
 \end{aligned}$$

Let $S' = S_1 \cup S_2 \cup S_3$, $I' = I_1 \cup I_2$. Let S'' and I'' be the set of all coordinate permutations of the points of S' and I' , respectively. Let us define $S = S'' \setminus I''$. Then $\{C\} + S$ is a packing with 392 translates of C . By symmetry it suffices to check that those members have at least 13 neighbours whose translation vectors are the following: $(1, 1, 9)$, $(1, 3, 9)$, $(1, 1, 7)$, $(1, k, 7)$, $(3, k, 7)$, $(1, k, 5)$, $(3, k, 5)$, $(5, 5, 5)$, for $k = 3$ and 5 . We leave this verification to the reader.

More visually (but less precisely), the construction can be described in this way: Consider $[-6, 6]^3$ formed by $6^3 = 216$ translates of $C = [-1, 1]^3$. Then consider a $6 \times 6 \times 1$ grid of C without the 4 cubes at the corners, and put its copies, as “caps” onto the faces of the cube $[-6, 6]^3$. This gives additionally $6(6^2 - 4) = 192$ cubes. Now, consider a $4 \times 4 \times 1$ grid of C without the 4 cubes at the corners, and place its copies, as “caps”, “in the middle” of the top of the previously placed larger “caps”. This gives additionally $6(4^2 - 4) = 72$ cubes. Finally, from the middle of the packing remove the union of a $4 \times 4 \times 4$ cubic grid and six $2 \times 2 \times 1$ “caps” of cubes placed on the middle of the top of the faces of the cube formed by the $4 \times 4 \times 4$ grid. Thus the total number of cubes in the packing is $216 + 192 + 72 - 64 - 24 = 392$.

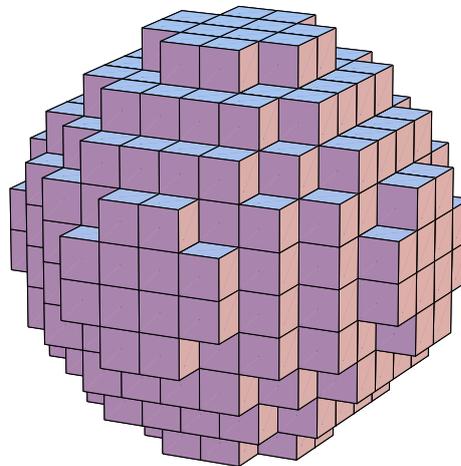


Figure 3. A translative packing of 392 cubes with minimum kissing number 13

Case 2. C is not a parallelepiped.

Consider an affine regular hexagon H inscribed into D . Without loss of generality we may assume that H is a regular hexagon centered in the origin $o \in \mathbb{R}^2$ and with consecutive vertices v and w . Then the planar lattice \mathcal{L} spanned by $2v$ and $2w$ induces a lattice packing $\{D\} + \mathcal{L}$ where every member has exactly 6 neighbours.

Now, the construction is the following. Let

$$Z_1 = f_7(2H \cap \mathcal{L}) \cup f_{-7}(2H \cap \mathcal{L}),$$

$$Z_2 = f_5(4H \cap \mathcal{L}) \cup f_{-5}(4H \cap \mathcal{L}),$$

$$Z_3 = f_3((6H \setminus \text{vert}(6H)) \cap (\mathcal{L} \setminus \{o\})) \cup f_{-3}((6H \setminus \text{vert}(6H)) \cap (\mathcal{L} \setminus \{o\})),$$

$$Z_4 = f_1((6H \setminus (2H)) \cap \mathcal{L}) \cup f_{-1}((6H \setminus (2H)) \cap \mathcal{L}).$$

Let $Z = \bigcup_{i=1}^4 Z_i$. Then $\{C\} + Z$ is a packing with 172 translates of C . An outside view of the packing is shown in Figure 4 in the case when the base of the cylinder is a regular hexagon (note that the figure does not show that there is a “hole” inside). To prove that every member has at least 10 neighbours, by the high symmetry it suffices to check this property for those members whose translation vectors are the following: $f_7(o)$, $f_7(2v)$, $f_5(o)$, $f_5(2kv)$, $f_5(2v + 2w)$, $f_3(2kv)$, $f_3(2kv + 2w)$, $f_1(2(k + 1)v)$, $f_1(2kv + 2w)$, for $k = 1$ and 2 . We leave this verification to the reader. This completes the proof of Theorem 2. \square

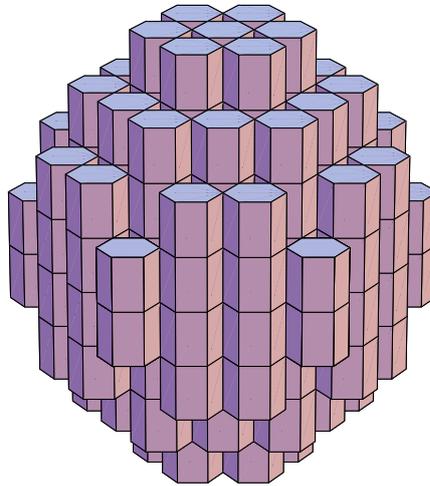


Figure 4. A translative packing of 172 hexagonal cylinders with minimum kissing number 10

4. Concluding remarks

When D is a parallelogram, then from the proof of $m(D) = 12$ in Section 2 one can derive without much effort that the configuration obtained from the 4×4 grid of D by leaving the four translates at the corners is an essentially unique example for a packing with twelve translates of D where every member has at least four neighbours. (By essential uniqueness we mean that a configuration is unique up to simultaneous translations.)

Using similar methods as in the proof of the upper bounds for $t(C)$ in Section 3, it can be easily seen that $t(P_d) \leq (3^d - 1)/2$ for any d -dimensional parallelepiped P_d , and $t(D \times [0, 1]^{d-2}) \leq 3 + 7(3^{d-2} - 1)/2$ for any convex disc D and $d \geq 2$.

It seems very likely that the value of $m(C)$ is relatively large for 3-dimensional convex cylinders, let us say larger than 100. Currently we do not have any extremal configurations for 3-dimensional convex cylinders with smaller number of translates than the above described

ones with 392 and 172 translates for parallelepipeds and other 3-dimensional convex cylinders, respectively.

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