

LOW REGULARITY SOLUTIONS OF THE CHERN-SIMONS-HIGGS EQUATIONS IN THE LORENTZ GAUGE

NIKOLAOS BOURNAVEAS

ABSTRACT. We prove local well-posedness for the 2 + 1-dimensional Chern-Simons-Higgs equations in the Lorentz gauge with initial data of low regularity. Our result improves earlier results by Huh [10, 11].

1. INTRODUCTION

The Chern-Simon-Higgs model was proposed by Jackiw and Weinberg [12] and Hong, Pac and Kim [9] in the context of their studies of vortex solutions in the abelian Chern-Simons theory.

Local well-posedness of low regularity solutions was recently studied in Huh [10, 11] using a null-form estimate for solutions of the linear wave equation due to Foschi and Klainerman [8] as well as Strichartz estimates. Our aim in this paper is to improve the results of [10, 11] in the Lorentz gauge. For this purpose we use estimates in the restriction spaces $X^{s,b}$ introduced by Bourgain, Klainerman and Machedon. A key ingredient in our proof is a modified version of a null-form estimate of Zhou [19] and product rules in $X^{s,b}$ spaces due to D’Ancona, Foschi and Selberg [6, 7] and Klainerman and Selberg [13]. The Higgs field has fractional dimension (see below for details), a common feature of systems involving the Dirac equation, see for example Bournaveas [1, 2], D’Ancona, Foschi and Selberg [6, 7], Machihara [14, 15], Machihara, Nakamura, Nakanishi and Ozawa [16], Selberg and Tesfahun [17], Tesfahun [18].

The Chern-Simon-Higgs equations are the Euler-Lagrange equations corresponding to the Lagrangian density

$$\mathcal{L} = \frac{\kappa}{4} \epsilon^{\mu\nu\rho} A_\mu F_{\nu\rho} + D_\mu \phi \overline{D^\mu \phi} - V(|\phi|^2).$$

Here A_μ is the gauge field, $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ is the curvature, $D_\mu = \partial_\mu - iA_\mu$ is the covariant derivative, ϕ is the Higgs field, V is a given positive function and κ is a positive coupling constant. Greek indices run through $\{0, 1, 2\}$, Latin indices run through $\{1, 2\}$ and repeated indices are summed. The Minkowski metric is defined

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by $(g^{\mu\nu}) = \text{diag}(1, -1, -1)$. We define $\epsilon^{\mu\nu\rho} = 0$ if two of the indices coincide and $\epsilon^{\mu\nu\rho} = \pm 1$ according to whether (μ, ν, ρ) is an even or odd permutation of $(0, 1, 2)$.

We define Klainerman's null forms by

$$Q_{\mu\nu}(u, v) = \partial_\mu u \partial_\nu v - \partial_\nu u \partial_\mu v, \quad (1.1a)$$

$$Q_0(u, v) = g^{\mu\nu} \partial_\mu u \partial_\nu v. \quad (1.1b)$$

Let $I^\mu = 2\text{Im}(\overline{\phi} D^\mu \phi)$. Then the Euler-Lagrange equations are (we set $\kappa = 2$ for simplicity)

$$F_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\alpha} I^\alpha, \quad (1.2a)$$

$$D_\mu D^\mu \phi = -\phi V'(|\phi|^2). \quad (1.2b)$$

The system has the positive conserved energy given by

$$\mathcal{E} = \int_{\mathbb{R}^2} \sum_{\mu=0}^2 |D_\mu \phi|^2 + V(|\phi|^2) dx.$$

We are interested in the so-called 'non-topological' case in which $|\phi| \rightarrow 0$ as $|x| \rightarrow +\infty$. For the sake of simplicity we follow [10, 11] and set $V = 0$. It will be clear from our proof that for various classes of V 's the term $\phi V'(|\phi|^2)$ can easily be handled.

Under the Lorentz gauge condition $\partial^\mu A_\mu = 0$ the Euler-Lagrange equations (1.2) become

$$\partial_0 A_j = \partial_j A_0 + \frac{1}{2} \epsilon_{ij} I_i, \quad (1.3a)$$

$$\partial_1 A_2 = \partial_2 A_1 + \frac{1}{2} I_0, \quad (1.3b)$$

$$\partial_0 A_0 = \partial_1 A_1 + \partial_2 A_2, \quad (1.3c)$$

$$D_\mu D^\mu \phi = 0. \quad (1.3d)$$

Alternatively, they can be written as a system of two nonlinear wave equations:

$$\square A^\alpha = \frac{1}{2} \epsilon^{\alpha\beta\gamma} \text{Im}(\overline{D_\gamma \phi} D_\beta \phi - \overline{D_\beta \phi} D_\gamma \phi) + \frac{1}{2} \epsilon^{\alpha\beta\gamma} (\partial_\beta A_\gamma - \partial_\gamma A_\beta) |\phi|^2, \quad (1.4a)$$

$$\square \phi = 2i A^\alpha \partial_\alpha \phi + A^\alpha A_\alpha \phi. \quad (1.4b)$$

We prescribe initial data in the classical Sobolev spaces $A^\mu(0, x) = a_0^\mu(x) \in H^a$, $\partial_t A^\mu(0, x) = a_1^\mu(x) \in H^{a-1}$, $\phi(0, x) = \phi_0(x) \in H^b$, $\partial_t \phi(0, x) = \phi_1(x) \in H^{b-1}$. Dimensional analysis shows that the critical values of a and b are $a_{cr} = 0$ and $b_{cr} = \frac{1}{2}$. It is well known that in low space dimensions the Cauchy problem may not be locally well posed for a and b close to the critical values due to lack of decay at infinity. Observe also that ϕ has fractional dimension.

From the point of view of scaling it is natural to take $b = a + \frac{1}{2}$. With this choice it was shown in Huh [10] that the Cauchy problem is locally well posed for $a = \frac{3}{4} + \epsilon$ and $b = \frac{5}{4} + \epsilon$. This was improved in Huh [11] to

$$a = \frac{3}{4} + \epsilon, \quad b = \frac{9}{8} + \epsilon \quad (1.5)$$

(slightly violating $b = a + \frac{1}{2}$). The proof relies on the null structure of the right hand side of (1.4a). Indeed,

$$\overline{D_\gamma \phi} D_\beta \phi - \overline{D_\beta \phi} D_\gamma \phi = Q_{\gamma\beta}(\overline{\phi}, \phi) + i(A_\gamma \partial_\beta(|\phi|^2) - A_\beta \partial_\gamma(|\phi|^2)).$$

On the other hand, since in (1.3) the A_μ satisfy first order equations and ϕ satisfies a second order equation it is natural to investigate the case $b = a + 1$. It turns out

that this choice allows us to improve on a at the expense of b . It is shown in Huh [11] that we have local well posedness for

$$a = \frac{1}{2}, \quad b = \frac{3}{2}. \tag{1.6}$$

To prove this result Huh uncovered the null structure in the right hand side of equation (1.4b). Indeed, if we introduce B_μ by $\partial_\mu B^\mu = 0$ and $\partial_\mu B_\nu - \partial_\nu B_\mu = \epsilon_{\mu\nu\lambda} A^\lambda$, then the equations take the form:

$$\square B^\gamma = -\operatorname{Im}(\bar{\phi} D^\gamma \phi) = -\operatorname{Im}(\bar{\phi} \partial^\gamma \phi) + i\epsilon^{\mu\nu\gamma} \partial_\mu B_\nu |\phi|^2, \tag{1.7a}$$

$$\square \phi = i\epsilon^{\alpha\mu\nu} Q_{\mu\alpha}(B_\nu, \phi) + Q_0(B_\mu, B^\mu)\phi + Q_{\mu\nu}(B^\mu, B^\nu)\phi. \tag{1.7b}$$

In this article we shall prove the Theorem stated below which corresponds to exponents $a = \frac{1}{4} + \epsilon$ and $b = \frac{5}{4} + \epsilon$. This improves (1.6) by $\frac{1}{4} - \epsilon$ derivatives in both a and b . Compared to (1.5), it improves a by $\frac{1}{2}$ derivatives at the expense of $\frac{1}{8}$ derivatives in b .

Theorem 1.1. *Let $n = 2$ and $\frac{1}{4} < s < \frac{1}{2}$. Consider the Cauchy problem for the system (1.7) with initial data in the following Sobolev spaces:*

$$B^\gamma(0) = b_0^\gamma \in H^{s+1}(\mathbb{R}^2), \quad \partial_t B^\gamma(0) = b_1^\gamma \in H^s(\mathbb{R}^2), \tag{1.8a}$$

$$\phi(0) = \phi_0 \in H^{s+1}(\mathbb{R}^2), \quad \partial_t \phi(0) = \phi_1 \in H^s(\mathbb{R}^2). \tag{1.8b}$$

Then there exists a $T > 0$ and a solution (B, ϕ) of (1.7)-(1.8) in $[0, T] \times \mathbb{R}^2$ with

$$B, \phi \in C^0([0, T]; H^{s+1}(\mathbb{R}^2)) \cap C^1([0, T]; H^s(\mathbb{R}^2)).$$

The solution is unique in a subspace of $C^0([0, T]; H^{s+1}(\mathbb{R}^2)) \cap C^1([0, T]; H^s(\mathbb{R}^2))$, namely in $\mathcal{H}^{s+1, \theta}$, where $\frac{3}{4} < \theta < s + \frac{1}{2}$ (the definition of $\mathcal{H}^{s+1, \theta}$ is given in the next section).

Finally, we remark that the problem of global existence is much more difficult. We refer the reader to Chae and Chae [4], Chae and Choe [5] and Huh [10, 11].

2. BILINEAR ESTIMATES

In this Section we collect the bilinear estimates we need for the proof of Theorem 1.1. We shall work with the spaces $H^{s, \theta}$ and $\mathcal{H}^{s, \theta}$ defined by

$$H^{s, \theta} = \{u \in \mathcal{S}' : \Lambda^s \Lambda_-^\theta u \in L^2(\mathbb{R}^{2+1})\},$$

$$\mathcal{H}^{s, \theta} = \{u \in H^{s, \theta} : \partial_t u \in H^{s-1, \theta}\}$$

where Λ and Λ_- are defined by

$$\widetilde{\Lambda^s u}(\tau, \xi) = (1 + |\xi|^2)^{s/2} \widetilde{u}(\tau, \xi),$$

$$\widetilde{\Lambda_-^\theta u}(\tau, \xi) = \left(1 + \frac{(\tau^2 - |\xi|^2)^2}{1 + \tau^2 + |\xi|^2}\right)^{\theta/2} \widetilde{u}(\tau, \xi).$$

Notice that the weight $(1 + \frac{(\tau^2 - |\xi|^2)^2}{1 + \tau^2 + |\xi|^2})^{\theta/2}$ is equivalent to the weight $w_-(\tau, \xi)^\theta$, where we define

$$w_\pm(\tau, \xi) = 1 + \|\tau\| \pm \|\xi\|.$$

We define the norms

$$\|u\|_{H^{s, \theta}} = \|\langle \xi \rangle^s w_-(\tau, \xi)^\theta \widetilde{u}(\tau, \xi)\|_{L^2(\mathbb{R}^{2+1})},$$

$$\|u\|_{\mathcal{H}^{s, \theta}} = \|u\|_{H^{s, \theta}} + \|\partial_t u\|_{H^{s, \theta}}.$$

The last norm is equivalent to

$$\|\langle \xi \rangle^{s-1} w_+(\tau, \xi) w_-(\tau, \xi)^\theta \tilde{u}(\tau, \xi)\|_{L^2(\mathbb{R}^{2+1})}.$$

We can now state the null form estimate we are going to use in the proof of Theorem 1.1.

Proposition 2.1. *Let $n = 2$, $\frac{1}{4} < s < \frac{1}{2}$, $\frac{3}{4} < \theta < s + \frac{1}{2}$. Let Q denote any of the null forms defined by (1.1). Then for all sufficiently small positive δ we have*

$$\|Q(\phi, \psi)\|_{H^{s, \theta-1+\delta}} \lesssim \|\phi\|_{\mathcal{H}^{s+1, \theta}} \|\psi\|_{\mathcal{H}^{s+1, \theta}}. \quad (2.1)$$

If $Q = Q_0$ there is a better estimate.

Proposition 2.2. *Let $n = 2$, $s > 0$ and let θ and δ satisfy*

$$\begin{aligned} \frac{1}{2} < \theta &\leq \min\{1, s + \frac{1}{2}\}, \\ 0 \leq \delta &\leq \min\{1 - \theta, s + \frac{1}{2} - \theta\}. \end{aligned}$$

Then

$$\|Q_0(\phi, \psi)\|_{H^{s, \theta-1+\delta}} \lesssim \|\phi\|_{\mathcal{H}^{s+1, \theta}} \|\psi\|_{\mathcal{H}^{s+1, \theta}} \quad (2.2)$$

For a proof of the above proposition, see [13, estimate (7.5)].

For $Q = Q_{ij}$, Q_{0j} estimate (2.1) should be compared (if we set $\theta = s + \frac{1}{2}$ and $\delta = 0$) to the following estimate of Zhou [19]:

$$N_{s, s-\frac{1}{2}}(Q_{\alpha\beta}(\phi, \psi)) \lesssim N_{s+1, s+\frac{1}{2}}(\phi) N_{s+1, s+\frac{1}{2}}(\psi), \quad (2.3)$$

where $\frac{1}{4} < s < \frac{1}{2}$ and

$$N_{s, \theta}(u) = \|w_+(\tau, \xi)^s w_-(\tau, \xi)^\theta \tilde{u}(\tau, \xi)\|_{L^2_{\tau, \xi}}. \quad (2.4)$$

The spaces in estimate (2.1) are different, with ϕ and ψ slightly less regular in the sense that $\|u\|_{\mathcal{H}^{s, \theta}} \leq N_{s, \theta}(u)$. Moreover we have to account for the extra hyperbolic derivative of order δ on the left hand side.

Proof of Proposition 2.1. We only sketch the proof for $Q = Q_{0j}$. The proof for $Q = Q_{ij}$ is similar. Let

$$\begin{aligned} F(\tau, \xi) &= \langle \xi \rangle^s w_+(\tau, \xi) w_-^\theta(\tau, \xi) \tilde{\phi}(\tau, \xi), \\ G(\tau, \xi) &= \langle \xi \rangle^s w_+(\tau, \xi) w_-^\theta(\tau, \xi) \tilde{\psi}(\tau, \xi). \end{aligned}$$

Let $H(\tau, \xi)$ be a test function. We may assume $F, G, H \geq 0$. We need to show:

$$\begin{aligned} &\int \frac{\langle \xi + \eta \rangle^s w_-^{\theta-1+\delta}(\tau + \lambda, \xi + \eta) |\tau \eta_j - \lambda \xi_j|}{\langle \xi \rangle^s w_+(\tau, \xi) w_-^\theta(\tau, \xi) \langle \eta \rangle^s w_+(\lambda, \eta) w_-^\theta(\lambda, \eta)} \\ &\times F(\tau, \xi) G(\lambda, \eta) H(\tau + \lambda, \xi + \eta) d\tau d\lambda d\xi d\eta \\ &\lesssim \|F\|_{L^2} \|G\|_{L^2} \|H\|_{L^2}. \end{aligned} \quad (2.5)$$

Using

$$\langle \xi + \eta \rangle^s \leq \langle \xi \rangle^s + \langle \eta \rangle^s$$

we see that we need to estimate the following integral (and a symmetric one):

$$\int \frac{w_-^{\theta-1+\delta}(\tau + \lambda, \xi + \eta) |\tau \eta_j - \lambda \xi_j| F(\tau, \xi) G(\lambda, \eta) H(\tau + \lambda, \xi + \eta)}{w_+(\tau, \xi) w_-^\theta(\tau, \xi) \langle \eta \rangle^s w_+(\lambda, \eta) w_-^\theta(\lambda, \eta)} d\tau d\lambda d\xi d\eta \quad (2.6)$$

We restrict our attention to the region where $\tau \geq 0, \lambda \geq 0$. The proof for all other regions is similar. We use

$$\begin{aligned} \tau\eta - \lambda\xi &= (|\xi|\eta - |\eta|\xi) + (\tau - |\xi|)\eta - (\lambda - |\eta|)\xi \\ &= (|\xi|\eta - |\eta|\xi) + (|\tau| - |\xi|)\eta - (|\lambda| - |\eta|)\xi \end{aligned}$$

to see that, we need to estimate the following three integrals:

$$\begin{aligned} R^+ &= \int \frac{||\xi|\eta - |\eta|\xi|F(\tau, \xi)G(\lambda, \eta)H(\tau + \lambda, \xi + \eta)d\tau d\lambda d\xi d\eta}{w_-^{1-\theta-\delta}(\tau + \lambda, \xi + \eta)w_+(\tau, \xi)w_-^\theta(\tau, \xi)\langle \eta \rangle^s w_+(\lambda, \eta)w_-^\theta(\lambda, \eta)}, \\ T^+ &= \int \frac{||\tau| - |\xi||\eta|F(\tau, \xi)G(\lambda, \eta)H(\tau + \lambda, \xi + \eta)d\tau d\lambda d\xi d\eta}{w_-^{1-\theta-\delta}(\tau + \lambda, \xi + \eta)w_+(\tau, \xi)w_-^\theta(\tau, \xi)\langle \eta \rangle^s w_+(\lambda, \eta)w_-^\theta(\lambda, \eta)}, \\ L^+ &= \int \frac{||\lambda| - |\eta||\xi|F(\tau, \xi)G(\lambda, \eta)H(\tau + \lambda, \xi + \eta)d\tau d\lambda d\xi d\eta}{w_-^{1-\theta-\delta}(\tau + \lambda, \xi + \eta)w_+(\tau, \xi)w_-^\theta(\tau, \xi)\langle \eta \rangle^s w_+(\lambda, \eta)w_-^\theta(\lambda, \eta)}. \end{aligned}$$

We start with R^+ . We have

$$\begin{aligned} &||\eta|\xi - |\xi|\eta| \\ &\lesssim |\xi|^{1/2}|\eta|^{1/2} (|\xi| + |\eta|)^{1/2} (|\tau + \lambda| - |\xi + \eta| + ||\tau| - |\xi|| + ||\lambda| - |\eta||)^{1/2}. \end{aligned} \tag{2.7}$$

Indeed,

$$\begin{aligned} ||\eta|\xi - |\xi|\eta|^2 &= 2|\eta||\xi| (|\xi||\eta| - \xi \cdot \eta) \\ &= |\eta||\xi| (|\xi| + |\eta| + |\xi + \eta|) (|\xi| + |\eta| - |\xi + \eta|). \end{aligned}$$

We have $|\xi| + |\eta| + |\xi + \eta| \leq 2(|\xi| + |\eta|)$ and

$$\begin{aligned} |\xi| + |\eta| - |\xi + \eta| &= (\tau + \lambda - |\xi + \eta|) - (\lambda - |\eta|) - (\tau - |\xi|) \\ &\leq |\tau + \lambda - |\xi + \eta|| + |\lambda - |\eta|| + |\tau - |\xi||, \end{aligned}$$

therefore (2.7) follows. Following Zhou [19] we use (2.7) to obtain

$$\begin{aligned} ||\eta|\xi - |\xi|\eta| &= ||\eta|\xi - |\xi|\eta|^{2s}||\eta|\xi - |\xi|\eta|^{1-2s} \\ &\lesssim ||\eta|\xi - |\xi|\eta|^{2s}|\xi|^{1/2-s}|\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} ||\tau + \lambda| - |\xi + \eta||^{1/2-s} \\ &\quad + ||\eta|\xi - |\xi|\eta|^{2s}|\xi|^{1/2-s}|\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} ||\tau| - |\xi||^{1/2-s} \\ &\quad + ||\eta|\xi - |\xi|\eta|^{2s}|\xi|^{1/2-s}|\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} ||\lambda| - |\eta||^{1/2-s}. \end{aligned}$$

Therefore,

$$R^+ \lesssim R_1^+ + R_2^+ + R_3^+,$$

where

$$\begin{aligned} R_1^+ &= \int \frac{||\eta|\xi - |\xi|\eta|^{2s}|\xi|^{1/2-s}|\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} ||\tau + \lambda| - |\xi + \eta||^{1/2-s}}{w_-^{1-\theta-\delta}(\tau + \lambda, \xi + \eta)w_+(\tau, \xi)w_-^\theta(\tau, \xi)\langle \eta \rangle^s w_+(\lambda, \eta)w_-^\theta(\lambda, \eta)} \\ &\quad \times F(\tau, \xi)G(\lambda, \eta)H(\tau + \lambda, \xi + \eta) d\tau d\lambda d\xi d\eta \\ &\leq \int \frac{||\eta|\xi - |\xi|\eta|^{2s} (|\xi| + |\eta|)^{1/2-s}}{w_-^\theta(\tau, \xi)w_-^\theta(\lambda, \eta)|\xi|^{s+1/2}|\eta|^{2s+1/2}} \\ &\quad \times F(\tau, \xi)G(\lambda, \eta)H(\tau + \lambda, \xi + \eta) d\tau d\lambda d\xi d\eta \end{aligned}$$

(we have used the fact that $w_-^{s+\frac{1}{2}-\theta-\delta}(\tau+\lambda, \xi+\eta) \geq 1$. Indeed, $s+\frac{1}{2}-\theta-\delta > 0$ for small δ , because $\theta < s+\frac{1}{2}$.)

$$\begin{aligned} R_2^+ &= \int \frac{\|\eta\|\xi - |\xi|\eta|^{2s} |\xi|^{1/2-s} |\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} \|\tau - |\xi|\|^{1/2-s}}{w_-^{1-\theta-\delta}(\tau+\lambda, \xi+\eta) w_+(\tau, \xi) w_-^\theta(\tau, \xi) \langle \eta \rangle^s w_+(\lambda, \eta) w_-^\theta(\lambda, \eta)} \\ &\quad \times F(\tau, \xi) G(\lambda, \eta) H(\tau+\lambda, \xi+\eta) d\tau d\lambda d\xi d\eta \\ &\leq \int \frac{\|\eta\|\xi - |\xi|\eta|^{2s} (|\xi| + |\eta|)^{1/2-s}}{w_-^{\theta+s-\frac{1}{2}}(\tau, \xi) w_-^\theta(\lambda, \eta) |\xi|^{s+1/2} |\eta|^{2s+1/2}} \\ &\quad \times F(\tau, \xi) G(\lambda, \eta) H(\tau+\lambda, \xi+\eta) d\tau d\lambda d\xi d\eta \end{aligned}$$

(we have used the fact that $w_-^{1-\theta-\delta}(\tau+\lambda, \xi+\eta) \geq 1$. Indeed, $1-\theta-\delta \geq 0$ for small δ because $\theta < s+\frac{1}{2} < 1$.)

$$\begin{aligned} R_3^+ &= \int \frac{\|\eta\|\xi - |\xi|\eta|^{2s} |\xi|^{1/2-s} |\eta|^{1/2-s} (|\xi| + |\eta|)^{1/2-s} \|\lambda - |\eta|\|^{1/2-s}}{w_-^{1-\theta-\delta}(\tau+\lambda, \xi+\eta) w_+(\tau, \xi) w_-^\theta(\tau, \xi) \langle \eta \rangle^s w_+(\lambda, \eta) w_-^\theta(\lambda, \eta)} \\ &\quad \times F(\tau, \xi) G(\lambda, \eta) H(\tau+\lambda, \xi+\eta) d\tau d\lambda d\xi d\eta \\ &\leq \int \frac{\|\eta\|\xi - |\xi|\eta|^{2s} (|\xi| + |\eta|)^{1/2-s}}{w_-^\theta(\tau, \xi) w_-^{\theta+s-\frac{1}{2}}(\lambda, \eta) |\xi|^{s+1/2} |\eta|^{2s+1/2}} \\ &\quad \times F(\tau, \xi) G(\lambda, \eta) H(\tau+\lambda, \xi+\eta) d\tau d\lambda d\xi d\eta \end{aligned}$$

We present the proof for R_2^+ . The proofs for R_1^+ and R_3^+ are similar. We change variables $\tau \mapsto u := |\tau| - |\xi| = \tau - |\xi|$ and $\lambda \mapsto v := |\lambda| - |\eta| = \lambda - |\eta|$ and we use the notation

$$f_u(\xi) = F(u + |\xi|, \xi), \quad g_v(\eta) = G(v + |\eta|, \eta), \quad H_{u,v}(\tau', \xi') = H(u + v + \tau', \xi')$$

to get

$$\begin{aligned} R_2^+ &= \iint \frac{1}{(1+|u|)^{\theta+s-\frac{1}{2}} (1+|v|)^\theta} \left[\iint \frac{\|\eta\|\xi - |\xi|\eta|^{2s} (|\xi| + |\eta|)^{1/2-s}}{|\xi|^{s+1/2} |\eta|^{2s+1/2}} \right. \\ &\quad \left. \times f_u(\xi) g_v(\eta) H_{u,v}(|\xi| + |\eta|, \xi + \eta) d\xi d\eta \right] du dv. \end{aligned}$$

We have $\|\eta\|\xi - |\xi|\eta| = 2|\xi|\eta| (|\xi|\eta| - \xi \cdot \eta)$ therefore

$$\begin{aligned} [\dots] &\lesssim \iint \frac{(|\xi|\eta| - \xi \cdot \eta)^s (|\xi| + |\eta|)^{1/2-s}}{|\xi|^{1/2} |\eta|^{s+1/2}} f_u(\xi) g_v(\eta) H_{u,v}(|\xi| + |\eta|, \xi + \eta) d\xi d\eta \\ &\leq \left(\iint f_u(\xi)^2 g_v(\eta)^2 d\xi d\eta \right)^{1/2} K^{1/2} \\ &= \|f_u\|_{L^2(\mathbb{R}^2)} \|g_v\|_{L^2(\mathbb{R}^2)} K^{1/2}, \end{aligned}$$

where

$$\begin{aligned} K &= \iint \frac{(|\xi|\eta| - \xi \cdot \eta)^{2s} (|\xi| + |\eta|)^{1-2s}}{|\xi| |\eta|^{2s+1}} H_{u,v}(|\xi| + |\eta|, \xi + \eta)^2 d\xi d\eta \\ &= \iint \frac{(|\xi' - \eta|\eta| - (\xi' - \eta) \cdot \eta)^{2s} (|\xi' - \eta| + |\eta|)^{1-2s}}{|\xi' - \eta| |\eta|^{2s+1}} \\ &\quad \times H_{u,v}(|\xi' - \eta| + |\eta|, \xi')^2 d\xi' d\eta. \end{aligned}$$

We use polar coordinates $\eta = \rho\omega$ to get

$$K \lesssim \iiint \frac{(|\xi' - \rho\omega| + \rho - \xi' \cdot \omega)^{2s} (|\xi' - \rho\omega| + \rho)^{1-2s}}{|\xi' - \rho\omega|} \times H_{u,v}(|\xi' - \rho\omega| + \rho, \xi')^2 d\xi' d\rho d\omega.$$

For fixed ξ' and ω , we change variables $\rho \mapsto \tau' := |\xi' - \rho\omega| + \rho$ to get

$$K \lesssim \iint \left[\tau'^{1-2s} \int_{S^1} \frac{1}{(\tau' - \xi' \cdot \omega)^{1-2s}} d\omega \right] H(\tau', \xi')^2 d\xi' d\tau'.$$

From [19, estimate (3.22)] we know that

$$\tau'^{1-2s} \int_{S^1} \frac{1}{(\tau' - \xi' \cdot \omega)^{1-2s}} d\omega \lesssim 1;$$

therefore $K \lesssim \|H\|_{\dot{A}}^2$. Putting everything together we get:

$$R_2^+ \lesssim \left(\int \frac{\|f_u\|_{L^2(\mathbb{R}^2)}}{(1+|u|)^{\theta+s-\frac{1}{2}}} du \right) \left(\int \frac{\|g_v\|_{L^2(\mathbb{R}^2)}}{(1+|v|)^\theta} dv \right) \|H\|.$$

Since $2\theta + 2s - 1 > 2 \cdot \frac{3}{4} + 2 \cdot \frac{1}{4} - 1 = 1$ and $2\theta > 2 \cdot \frac{3}{4} > 1$ we can use the Cauchy-Schwarz inequality to conclude:

$$R_2^+ \lesssim \| \|f_u\|_{L^2(\mathbb{R}^2)} \|L_u^2\| \| \|g_v\|_{L^2(\mathbb{R}^2)} \|L_v^2\| \|H\| = \|F\| \|G\|_{\dot{A}} \|H\|_{\dot{A}}.$$

This completes the estimates for R_2^+ .

Next we estimate T^+ . We use $|\tau| - |\xi| \leq w_+(\tau, \xi)^{1-\theta} w_-(\tau, \xi)^\theta$ to get

$$\begin{aligned} T^+ &= \int \frac{|\tau| - |\xi| \|\eta\| F(\tau, \xi) G(\lambda, \eta) H(\tau + \lambda, \xi + \eta) d\tau d\lambda d\xi d\eta}{w_-^{1-\theta-\delta}(\tau + \lambda, \xi + \eta) w_+(\tau, \xi) w_-^\theta(\tau, \xi) \langle \eta \rangle^s w_+(\lambda, \eta) w_-^\theta(\lambda, \eta)} \\ &\leq \int \frac{F(\tau, \xi) G(\lambda, \eta) H(\tau + \lambda, \xi + \eta)}{\langle \xi \rangle^\theta \langle \eta \rangle^s w_-^\theta(\lambda, \eta)} d\tau d\lambda d\xi d\eta. \end{aligned}$$

Changing variables $\tau \mapsto u := |\tau| - |\xi| = \tau - |\xi|$ and $\lambda \mapsto v := |\lambda| - |\eta| = \lambda - |\eta|$ we have

$$\begin{aligned} T^+ &\lesssim \iint \frac{1}{\langle \xi \rangle^\theta \langle \eta \rangle^s} \\ &\quad \left[\iint \frac{F(u + |\xi|, \xi) G(v + |\eta|, \eta) H(u + v + |\xi| + |\eta|, \xi + \eta)}{(1 + |v|)^\theta} du dv \right] d\xi d\eta. \end{aligned}$$

For fixed ξ and η we apply [19, Lemma A] in the (u, v) -variables to get

$$\begin{aligned} T^+ &\lesssim \iint \frac{1}{\langle \xi \rangle^\theta \langle \eta \rangle^s} \|F(u + |\xi|, \xi)\|_{L_u^2} \|G(v + |\eta|, \eta)\|_{L_v^2} \\ &\quad \times \|H(u + |\xi| + |\eta|, \xi + \eta)\|_{L_w^2} d\xi d\eta \\ &= \iint \frac{1}{\langle \xi \rangle^\theta \langle \eta \rangle^s} \|F(\cdot, \xi)\|_{L^2(\mathbb{R})} \|G(\cdot, \eta)\|_{L^2(\mathbb{R})} \|H(\cdot, \xi + \eta)\|_{L^2(\mathbb{R})} d\xi d\eta. \end{aligned}$$

Now we do the same in the (ξ, η) -variables to get

$$\begin{aligned} T^+ &\lesssim \| \|F(\cdot, \xi)\|_{L^2(\mathbb{R})} \|L_\xi^2\| \| \|G(\cdot, \eta)\|_{L^2(\mathbb{R})} \|L_\eta^2\| \| \|H(\cdot, \xi')\|_{L^2(\mathbb{R})} \|L_{\xi'}^2\| \\ &= \|F\|_{\dot{A}} \|G\|_{\dot{A}} \|H\|_{\dot{A}}. \end{aligned}$$

The proof for L^+ is similar. □

We are also going to need the following ‘product rules’ in $H^{s,\theta}$ spaces.

Proposition 2.3. *Let $n = 2$. Then*

$$\|uv\|_{H^{-c,-\gamma}} \lesssim \|u\|_{H^{a,\alpha}} \|v\|_{H^{b,\beta}}, \quad (2.8)$$

provided that

$$a + b + c > 1 \quad (2.9)$$

$$a + b \geq 0, \quad b + c \geq 0, \quad a + c \geq 0 \quad (2.10)$$

$$\alpha + \beta + \gamma > 1/2 \quad (2.11)$$

$$\alpha, \beta, \gamma \geq 0. \quad (2.12)$$

Proof. If $a, b, c \geq 0$, the result is contained in [13, Proposition A1]. If not, observe that, due to (2.10), at most one of the a, b, c is negative. We deal with the case $c < 0$, $a, b \geq 0$. All other cases are similar. Observe that

$$\begin{aligned} & \langle \xi \rangle^{-c} \langle |\tau| - |\xi| \rangle^{-\gamma} |\widetilde{uv}(\tau, \xi)| \\ & \lesssim \langle |\tau| - |\xi| \rangle^{-\gamma} \iint \langle \xi - \eta \rangle^{-c} |\widetilde{u}(\tau - \lambda, \xi - \eta)| |\widetilde{v}(\lambda, \eta)| d\lambda d\eta \\ & \quad + \langle |\tau| - |\xi| \rangle^{-\gamma} \iint |\widetilde{u}(\tau - \lambda, \xi - \eta)| \langle \eta \rangle^{-c} |\widetilde{v}(\lambda, \eta)| d\lambda d\eta, \end{aligned}$$

therefore

$$\|uv\|_{H^{-c,-\gamma}} \lesssim \|Uv'\|_{H^{0,-\gamma}} + \|u'V\|_{H^{0,-\gamma}},$$

where

$$\begin{aligned} \widetilde{U}(\tau, \xi) &= \langle \xi \rangle^{-c} |\widetilde{u}(\tau, \xi)|, \\ \widetilde{u}'(\tau, \xi) &= |\widetilde{u}'(\tau, \xi)|, \\ \widetilde{V}(\tau, \xi) &= \langle \xi \rangle^{-c} |\widetilde{v}(\tau, \xi)|, \\ \widetilde{v}'(\tau, \xi) &= |\widetilde{v}'(\tau, \xi)|. \end{aligned}$$

Since $a + c \geq 0$, we have

$$\|Uv'\|_{H^{0,-\gamma}} \lesssim \|U\|_{H^{a+c,\alpha}} \|v'\|_{H^{b,\beta}} \lesssim \|u\|_{H^{a,\alpha}} \|v\|_{H^{b,\beta}}.$$

Since $b + c \geq 0$, we have

$$\|u'V\|_{H^{0,-\gamma}} \lesssim \|u'\|_{H^{a,\alpha}} \|V\|_{H^{b+c,\beta}} \lesssim \|u\|_{H^{a,\alpha}} \|v\|_{H^{b,\beta}}.$$

The result follows. \square

Proposition 2.4. *Let $n = 2$. If $s > 1$ and $\frac{1}{2} < \theta \leq s - \frac{1}{2}$, then $H^{s,\theta}$ is an algebra.*

For the proof of the above proposition, see [13, Theorem 7.3].

Proposition 2.5. *Let $n = 2$, $s > 1$, $\frac{1}{2} < \theta \leq s - \frac{1}{2}$. Assume that*

$$-\theta \leq \alpha \leq 0 \quad -s \leq a < s + \alpha. \quad (2.13)$$

Then

$$H^{a,\alpha} \cdot H^{s,\theta} \hookrightarrow H^{a,\alpha}. \quad (2.14)$$

The proof can be found in [13, Theorem 7.2].

Proof of Theorem 1.1. Theorem 1.1 follows by well known methods from the following a-priori estimates (together with the corresponding estimates for differences): For any space-time functions $B, B', \phi, \phi' \in \mathcal{H}^{s+1, \theta}$ and any $\gamma, \mu \in \{0, 1, 2\}$ we have:

$$\|\phi' \partial^\gamma \phi\|_{H^{s, \theta-1+\delta}} \lesssim \|\phi'\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}}, \quad (2.15)$$

$$\|(\partial^\mu B) \phi \phi'\|_{H^{s, \theta-1+\delta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}} \|\phi'\|_{\mathcal{H}^{s+1, \theta}}, \quad (2.16)$$

$$\|Q_{\mu\alpha}(B, \phi)\|_{H^{s, \theta-1+\delta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}}, \quad (2.17)$$

$$\|Q_0(B, B')\phi\|_{H^{s, \theta-1+\delta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|B'\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}}, \quad (2.18)$$

$$\|Q_{\mu\nu}(B, B')\phi\|_{H^{s, \theta-1+\delta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|B'\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}}. \quad (2.19)$$

Here $\frac{1}{4} < s < \frac{1}{2}$, $\frac{3}{4} < \theta < s + \frac{1}{2}$ and δ is a sufficiently small positive number.

To prove (2.15) we use Proposition 2.3 to get:

$$\|\phi' \partial^\gamma \phi\|_{H^{s, \theta-1+\delta}} \lesssim \|\phi'\|_{H^{s+1, \theta}} \|\partial^\gamma \phi\|_{H^{s, \theta}} \lesssim \|\phi'\|_{\mathcal{H}^{s+1, \theta}} \|\phi\|_{\mathcal{H}^{s+1, \theta}}.$$

Similarly, for (2.16) we have

$$\|(\partial^\mu B) \phi \phi'\|_{H^{s, \theta-1+\delta}} \lesssim \|\partial^\mu B\|_{H^{s, \theta}} \|\phi \phi'\|_{H^{s+1, \theta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|\phi \phi'\|_{H^{s+1, \theta}}.$$

By Proposition 2.4 and our assumptions on s and θ it follows that the space $H^{s+1, \theta}$ is an algebra. Therefore,

$$\|\phi \phi'\|_{H^{s+1, \theta}} \lesssim \|\phi\|_{H^{s+1, \theta}} \|\phi'\|_{H^{s+1, \theta}},$$

and estimate (2.16) follows.

Estimate (2.17) follows from Proposition (2.1). Finally, we consider estimates (2.18) and (2.19). We use the letter Q to denote any of the null forms $Q_0, Q_{\mu\nu}$. We have

$$\|Q(B, B') \phi\|_{H^{s, \theta-1+\delta}} \lesssim \|Q(B, B')\|_{H^{s, \theta-1+\delta}} \|\phi\|_{H^{s+1, \theta}}. \quad (2.20)$$

This follows from Proposition 2.5 with s replaced by $s+1$ and α replaced by $\theta-1+\delta$. Next, by (2.1),

$$\|Q(B, B')\|_{H^{s, \theta-1+\delta}} \lesssim \|B\|_{\mathcal{H}^{s+1, \theta}} \|B'\|_{\mathcal{H}^{s+1, \theta}} \quad (2.21)$$

therefore (2.18) and (2.19) follow.

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NIKOLAOS BOURNAVEAS

UNIVERSITY OF EDINBURGH, SCHOOL OF MATHEMATICS, JAMES CLERK MAXWELL BUILDING, KING'S BUILDINGS, MAYFIELD ROAD, EDINBURGH, EH9 3JZ, UK

E-mail address: n.bournaveas@ed.ac.uk