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# EXISTENCE OF SOLUTIONS TO NONLOCAL KIRCHHOFF EQUATIONS OF ELLIPTIC TYPE VIA GENUS THEORY

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ABSTRACT. In this article, we study the existence and multiplicity of solutions to the nonlocal Kirchhoff fractional equation

$$\left(a+b\int_{\mathbb{R}^{2N}}|u(x)-u(y)|^{2}K(x-y)\,dx\,dy\right)(-\Delta)^{s}u-\lambda u=f(x,u(x))\quad\text{in }\Omega,$$
$$u=0\quad\text{in }\mathbb{R}^{N}\setminus\Omega,$$

where a, b > 0 are constants,  $(-\Delta)^s$  is the fractional Laplace operator,  $s \in (0, 1)$  is a fixed real number,  $\lambda$  is a real parameter and  $\Omega$  is an open bounded subset of  $\mathbb{R}^N$ , N > 2s, with Lipschitz boundary,  $f : \Omega \times \mathbb{R} \to \mathbb{R}$  is a continuous function. The proofs rely essentially on the genus properties in critical point theory.

### 1. INTRODUCTION

Recently, a great attention has been focused on the study of fractional and nonlocal operators of elliptic type, both for the pure mathematical research and in view of concrete real-world applications. This type of operators arises in a quite natural way in many different contexts, such as, among the others, the thin obstacle problem, optimization, finance, phase transitions, stratified materials, conservation laws. The literature on non-local operators and on their applications is, therefore, very interesting and, up to now, quite large, we refer the interested readers to [7, 8, 9, 11, 15, 16, 17, 21, 22, 25].

In this article, we are concerned with a class of nonlocal Kirchhoff fractional equations of the type

$$-\left(a+b\int_{\mathbb{R}^{2N}}|u(x)-u(y)|^{2}K(x-y)\,dx\,dy\right)\mathcal{L}_{K}u-\lambda u=f(x,u(x))\quad\text{in }\Omega,$$

$$u=0\quad\text{in }\mathbb{R}^{N}\setminus\Omega,$$
(1.1)

where  $\Omega$  is an open bounded subset of  $\mathbb{R}^N$  with Lipschitz boundary, N > 2s with  $s \in (0, 1), a, b > 0$  are constants,  $f : \Omega \times \mathbb{R} \to \mathbb{R}$  is a continuous function,  $\lambda$  is a

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e1.4

parameter and

$$\mathcal{L}_{K}u(x) := \int_{\mathbb{R}^{N}} \left( u(x+y) + u(x-y) - 2u(x) \right) K(y) \, dy, \quad x \in \mathbb{R}^{N}, \tag{1.2}$$

where  $K : \mathbb{R}^N \setminus \{0\} \to (0, +\infty)$  is a kernel function satisfying the following properties:

- (K1)  $mK \in L^1(\mathbb{R}^N)$ , where  $m(x) = \min\{|x|^2, 1\}$ ; (K2) there exists  $\theta > 0$  such that  $K(x) \ge \theta |x|^{-(N+2s)}$  for any  $x \in \mathbb{R}^N \setminus \{0\}$ ;
- (K3) K(x) = K(-x) for any  $x \in \mathbb{R}^N \setminus \{0\}$ .

The homogeneous Dirichlet datum in (1.1) is given in  $\mathbb{R}^N \setminus \Omega$  and not simply on the boundary  $\partial \Omega$ , consistent with the nonlocal character of the kernel operator  $\mathcal{L}_{K}$ .

A typical model for K is given by the singular kernel  $K(x) = |x|^{-(N+2s)}$  which gives rise to the fractional Laplace operator  $-(-\Delta)^s$  where  $s \in (0,1)$  (N > 2s) is fixed, which, up to normalization factors, may be defined as

$$-(-\Delta)^{s}u(x) := \int_{\mathbb{R}^{N}} \frac{u(x+y) + u(x-y) - 2u(x)}{|y|^{N+2s}} \, dy, \quad x \in \mathbb{R}^{N}.$$
(1.3) **e1.3**

The problem (1.1) in the model case  $\mathcal{L}_K = -(-\Delta)^s$  becomes

$$\left(a+b\int_{\mathbb{R}^N\times\mathbb{R}^N}|u(x)-u(y)|^2|x-y|^{-(N+2s)}\,dx\,dy\right)(-\Delta)^s u-\lambda u=f(x,u(x)),$$
$$u=0\quad\text{in }\mathbb{R}^N\setminus\Omega,$$
(1.4)

which is related to Kirchhoff type problems. These problems model several physical and biological systems, where u describes a process which depends on the average of itself, such as the population density, see [3, 10]. Problem (1.4) with the p-Laplacian operator  $-\Delta_n u$  has been studied in many papers, see [1, 2, 4, 5, 6, 13, 19, 24]. Motivated by [2, 17, 21, 22, 23], in this paper, we study the existence and multiplicity of solutions for Kirchhoff type problem (1.1) driven by the nonlocal operator  $\mathcal{L}_K$ .

Before proving the main results, some preliminary material on function spaces and norms is needed. In the following, we briefly recall the definition of the functional space  $X_0$ , firstly introduce in [21], and we give some notations. We denote  $\mathbf{Q} = \mathbb{R}^{2N} \setminus \mathcal{O}$ , where  $\mathcal{O} = \mathbb{R}^N \setminus \Omega \times \mathbb{R}^N \setminus \Omega$ . We denote the set X by

$$X = \left\{ u : \mathbb{R}^N \to \mathbb{R} : u|_{\Omega} \in L^2(\Omega), \ (u(x) - u(y))\sqrt{K(x-y)} \in L^2(\mathbb{R}^{2N} \setminus \mathcal{O}) \right\},\$$

where  $u|_{\Omega}$  represents the restriction to  $\Omega$  of function u(x). Also, we denote by  $X_0$ the following linear subspace of X

$$X_0 = \{ g \in X : g = 0 \text{ a.e. in } \mathbb{R}^N \setminus \Omega \}.$$

We know that X and  $X_0$  are nonempty, since  $C_0^2(\Omega) \subseteq X_0$  by Lemma 11 of [21]. Moreover, the linear space X is endowed with the norm defined as

$$||u||_X := ||u||_{L^2(\Omega)} + \left(\int_{\Omega} |u(x) - u(y)|^2 K(x-y) \, dx \, dy\right)^{1/2}$$

It is easy seen that  $\|\cdot\|_X$  is a norm on X (see, for instance, [22] for a proof). By Lemmas 6 and 7 of [22], in the sequel we can take the function

$$X_0 \ni v \mapsto \|v\|_{X_0} = \left(\int_{\mathbf{Q}} |v(x) - v(y)|^2 K(x - y) \, dx \, dy\right)^{1/2} \tag{1.5}$$

 $\mathbf{2}$ 

as norm on  $X_0$ . Also  $(X_0, \|\cdot\|_{X_0})$  is a Hilbert space, with scalar product

$$\langle u, v \rangle_{X_0} := \int_{\mathcal{Q}} (u(x) - u(y))(v(x) - v(y))K(x - y) \, dx dy.$$
 (1.6) e1.6

Note that in (1.5) the integral can be extended to all  $\mathbb{R}^N \times \mathbb{R}^N$ , since  $v \in X_0$  and so v = 0 a.e. in  $\mathbb{R}^N \setminus \Omega$ .

In what follows, we denote by  $\lambda_1$  the first eigenvalue of the operator  $\mathcal{L}_K$  with homogeneous Dirichlet boundary data, namely the first eigenvalue of the problem

$$\mathcal{L}_K u = \lambda u, \quad \text{in } \Omega, \\ u = 0, \quad \text{in } \mathbb{R}^N \setminus \Omega.$$

We refer to [23, Proposition 9 and Appendix A], for the existence and the basic properties of this eigenvalue, where a spectral theory for general integro-differential nonlocal operators was developed.

When  $\lambda < \lambda_1$  we can take as a norm on  $X_0$  the function

$$X_0 \ni v \mapsto \|v\|_{X_0,\lambda} = \left(\int_{\mathcal{Q}} |v(x) - v(y)|^2 K(x-y) \, dx \, dy - \lambda \int_{\Omega} |v(x)|^2 \, dx\right)^{1/2}, \ (1.7) \quad \boxed{\texttt{e1.7}}$$

since for any  $v \in X_0$  it holds true (for this see [23, Lemma 10])

$$m_{\lambda} \|v\|_{X_0} \le \|v\|_{X_0,\lambda} \le M_{\lambda} \|v\|_{X_0}, \tag{1.8}$$

where

 $\eta$ 

$$a_{\lambda} := \min\left\{\sqrt{\frac{\lambda_1 - \lambda}{\lambda_1}}, 1\right\}, \quad M_{\lambda} := \max\left\{\sqrt{\frac{\lambda_1 - \lambda}{\lambda_1}}, 1\right\}.$$

Let  $H^{s}(\mathbb{R}^{N})$  be the usual fractional Sobolev space endowed with the norm (the so-called Gagliardo norm)

$$\|u\|_{H^s(\mathbb{R}^N)} = \|u\|_{L^2(\mathbb{R}^N)} + \left(\int_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u(x) - u(y)|^2}{|x - y|^{N+2s}} \, dx \, dy\right)^{1/2}.$$
 (1.9) **e1.9**

Also, we recall the embedding properties of  $X_0$  into the usual Lebesgue spaces (see [22, Lemma 8]). The embedding  $j: X_0 \hookrightarrow L^v(\mathbb{R}^N)$  is continuous for any  $v \in [1, 2^*]$   $(2^* = \frac{2N}{N-2s})$ , while it is compact whenever  $v \in [1, 2^*)$ . Hence, for any  $v \in [1, 2^*]$  there exists a positive constant  $c_v$  such that

$$\|v\|_{L^{v}(\mathbb{R}^{N})} \leq c_{v} \|v\|_{X_{0}} \leq c_{v} m_{\lambda}^{-1} \|v\|_{X_{0},\lambda}, \qquad (1.10) \quad \boxed{\texttt{e1.10}}$$

for any  $v \in X_0$ .

We are now in the position to state the notation of solution and to state the main results of this article.

# def1.1 Definition 1.1. We say that $u \in X_0$ is a weak solution of problem (1.1), if it satisfies

$$\begin{aligned} \left(a+b\int_{Q}|u(x)-u(y)|^{2}K(x-y)\,dx\,dy\right)\\ &\int_{Q}(u(x)-u(y))(v(x)-v(y))K(x-y)\,dx\,dy-\lambda\int_{\Omega}u(x)v(x)\,dx\\ &-\int_{\Omega}f(x,u(x))v(x)\,dx=0, \quad \forall v\in X_{0}.\end{aligned}$$

**the1.2** Theorem 1.2. Assume that f satisfies the following conditions:

(F1)  $f \in C(\Omega \times \mathbb{R}, \mathbb{R})$  and there exist constants  $1 < \gamma_1 < \gamma_2 < \cdots < \gamma_m < 2$  and functions  $a_i \in L^{\frac{2}{2-\gamma_i}}(\Omega, [0, +\infty)), i = 1, 2, \dots, m$  such that

$$|f(x,z)| \le \sum_{i=1}^{m} a_i(x)|z|^{\gamma_i-1}, \quad \forall (x,z) \in \Omega \times \mathbb{R}.$$

(F2) There exist and open set  $\Omega_0 \subset \Omega$  and three constants  $\delta > 0$ ,  $\gamma_0 \in (1,2)$  and  $\eta > 0$  such that

$$F(x,z) \ge \eta |z|^{\gamma_0}, \quad \forall (x,z) \in \Omega_0 \times [-\delta,\delta],$$

where  $F(x,z) := \int_0^z f(x,s) \, ds, \, x \in \Omega, \, z \in \mathbb{R}.$ 

Then for any  $\lambda < \lambda_1$ . min $\{a, 1\}$ , problem (1.1) has at least one nontrivial solutions.

**the1.3** Theorem 1.3. Assume that f and F satisfy the conditions (F1), (F2) and (F3) F(x, -z) = F(x, z) for all  $(x, z) \in \Omega \times \mathbb{R}$ .

Then for any  $\lambda < \lambda_1 . \min\{a, 1\}$ , problem (1.1) has infinitely many nontrivial solutions.

## 2. Proofs of main results

Our idea is to obtain the existence and multiplicity of solutions for problem (1.1) by using critical point theory. Consider the functional  $J: X_0 \to \mathbb{R}$  defined by

$$J(u) = \frac{a}{2} \int_{Q} |u(x) - u(y)|^{2} K(x - y) \, dx \, dy + \frac{b}{4} \Big( \int_{Q} |u(x) - u(y)|^{2} K(x - y) \, dx \, dy \Big)^{2} \\ - \frac{\lambda}{2} \int_{\Omega} |u(x)|^{2} \, dx - \int_{\Omega} F(x, u(x)) \, dx$$

$$(2.1) \quad \boxed{e2.1}$$

and set

$$\Psi(u) = \int_{\Omega} F(x, u(x)) \, dx.$$

Let us recall the following definitions and results which are used to prove our main results, see for instance [14, 18].

- def2.1 Definition 2.1. We say that J satisfies the Palais-Smale (PS) condition if any sequence  $(u_n) \in X$  for which  $J(u_n)$  is bounded and  $J'(u_n) \to 0$  as  $n \to \infty$  possesses a convergent subsequence.
- **1em2.2** Lemma 2.2 ([14]). Let X be a real Banach space and  $J \in C^1(X, \mathbb{R})$  satisfy the (PS) condition. If J is bounded from below, then  $c = \inf_X J$  is a critical value of J.

Let  $\mathcal{X}$  be a Banach space,  $g \in C^1(\mathcal{X}, \mathbb{R})$  and  $c \in \mathbb{R}$ . We set

 $\Sigma = \{A \subset \mathcal{X} \setminus \{0\} : A \text{ is closed in } X \text{ and symmetric with respect to } 0)\},\$ 

$$K_c = \{x \in \mathcal{X} : g(x) = c, g'(x) = 0\},\$$
$$g^c = \{x \in \mathcal{X} : g(x) \le c\}.$$

def2.3 Definition 2.3 ([14]). For  $A \in \Sigma$ , we say genus of A is j (denoted by  $\gamma(A) = j$ ) if there is an odd map  $\psi \in C(A, \mathbb{R}^j \setminus \{0\})$ , and j is the smallest integer with this property.

**Lemma 2.4** ([18]). Let g be an even  $C^1$  functional on  $\mathcal{X}$  which satisfies the Palais-Smale condition. If  $j \in \mathbb{N}$ , j > 0, let

$$\Sigma_j = \{A \in \Sigma : \ \gamma(A) \ge j\}, c_j = \inf_{A \in \Sigma_j} \sup_{u \in A} g(u).$$

- (i) If  $\Sigma_j \neq \emptyset$  and  $c_j \in \mathbb{R}$ , then  $c_j$  is a critical value of g.
- (ii) If there exists  $r \in \mathbb{N}$  such that  $c_j = c_{j+1} = \cdots = c_{j+r} = c \in \mathbb{R}$  and  $c \neq g(0)$ , then  $\gamma(K_c) \geq r+1$ .

**Remark 2.5.** From [18, Remark 7.3], we know that if  $K_c \subset \Sigma$  and  $\gamma(K_c) > 1$ , then  $K_c$  contains infinitely many distinct points, i.e., J has infinitely many distinct critical points in  $\mathcal{X}$ .

**1em2.5** Lemma 2.6. Assume that (F1) and (F2) hold. Then the functional  $J : X_0 \to \mathbb{R}$  is well-defined and is of class  $C^1(X_0, \mathbb{R})$  and

$$J'(u)(v) = \left(a + b \int_{Q} |u(x) - u(y)|^{2} K(x - y) \, dx \, dy\right)$$
  
 
$$\times \int_{Q} (u(x) - u(y))(v(x) - v(y)) K(x - y) \, dx \, dy \qquad (2.2) \quad \boxed{e2.2}$$
  
 
$$-\lambda \int_{\Omega} u(x)v(x) \, dx - \Psi'(u)(v), \quad \text{for all } v \in X_{0},$$

where  $\Psi'(u)(v) = \int_{\Omega} f(x, u(x))v(x) dx$ . Moreover, the critical points of J are the solutions of problem (1.1).

*Proof.* For any  $u \in X_0$ , by (F1) and the Hölder inequality, one have

$$\begin{split} \int_{\Omega} |F(x,u)| \, dx &\leq \sum_{i=1}^{m} \frac{1}{\gamma_i} \int_{\Omega} a_i(x) |u|^{\gamma_i} \, dx \\ &\leq \sum_{i=1}^{m} \frac{1}{\gamma_i} \Big( \int_{\Omega} |a_i(x)|^{\frac{2}{2-\gamma_i}} \, dx \Big)^{\frac{2-\gamma_i}{2}} \Big( \int_{\Omega} |u|^2 \, dx \Big)^{\frac{\gamma_i}{2}} \qquad (2.3) \quad \boxed{\mathbf{e2.3}} \\ &\leq C_1 \sum_{i=1}^{m} \frac{1}{\gamma_i} \|a_i\|_{\frac{2-\gamma_i}{2}} \|u\|_{X_0}^{\gamma_i}, \end{split}$$

and so J is defined by (2.1) is well-defined on  $X_0$  by (F1).

Next, we prove that (2.2) holds. For any  $u, v \in X_0$ , any function  $\theta : \Omega \to (0, 1)$ and any number  $h \in (0, 1)$ , by (F1) and the Hölder inequality, we have

$$\int_{\Omega} \max_{h \in (0,1)} |f(x, u(x) + \theta(x)hv(x))v(x)| dx 
\leq \int_{\Omega} \max_{h \in (0,1)} |f(x, u(x) + \theta(x)hv(x))||v(x)| dx 
\leq \sum_{i=1}^{m} \int_{\Omega} a_{i}(x)|u(x) + \theta(x)v(x)|^{\gamma_{i}-1}|v(x)| dx 
\leq \sum_{i=1}^{m} \int_{\Omega} a_{i}(x)(|u(x)|^{\gamma_{i}-1} + |v(x)|^{\gamma_{i}-1})|v(x)| dx 
\leq C_{2} \sum_{i=1}^{m} ||a_{i}||_{\frac{2-\gamma_{i}}{2}} (||u||_{X_{0}}^{\gamma_{i}-1} + ||v||_{X_{0}}^{\gamma_{i}-1})||v||_{X_{0}} < +\infty.$$
(2.4)

Then by (2.4) and the Lebesgue dominated convergence theorem, we have

$$\begin{split} \Psi'(u)(v) &= \lim_{h \to 0^+} \frac{\Psi(u+hv) - \Psi(u)}{h} \\ &= \lim_{h \to 0^+} \frac{1}{h} \int_{\Omega} [F(x, u(x) + hv(x)) - F(x, u(x))] \, dx \\ &= \lim_{h \to 0^+} \int_{\Omega} f(x, u(x) + \theta(x)v(x))v(x) \, dx \\ &= \int_{\Omega} f(x, u(x))v(x) \, dx. \end{split}$$
(2.5)

By (2.5), relation (2.2) holds. Furthermore, by a standard argument, it is easy to show that the critical points of the functional J in  $X_0$  are the solutions of problem (1.1).

Let us prove now that J' is continuous. It is sufficient to verify that  $\Psi'$  is continuous. Let  $u_n \to u$  in  $X_0$ , then  $u_n \to u$  in  $L^2(\Omega)$  and

$$u_n \to u, \quad \text{strongly in } L^2(\Omega),$$
  
 $u_n \to u, \quad \text{a.e. in } \Omega.$  (2.6) e2.6

Then there exists  $h \in L^2(\Omega)$  such that  $|u_n(x)| \leq h(x)$  a.e.  $x \in \Omega$  and for any  $n \in \mathbb{N}$ . By (F1), we have

$$\begin{aligned} |f(x, u_n(x)) - f(x, u(x))|^2 \\ &\leq 2(|f(x, u_n(x))|^2 + |f(x, u(x))|^2) \\ &\leq C_2 \sum_{i=1}^m |a_i(x)|^2 \left( |u_n(x)|^{2(\gamma_i - 1)} + |u(x)|^{2(\gamma_i - 1)} \right) \\ &\leq C_2 \sum_{i=1}^m |a_i(x)|^2 \left( |h(x)|^{2(\gamma_i - 1)} + |u(x)|^{2(\gamma_i - 1)} \right) \\ &:= g(x), \quad \forall n \in \mathbb{N}, \quad x \in \Omega \end{aligned}$$

$$(2.7) \quad \boxed{e2.9}$$

and

$$\int_{\Omega} g(x) dx = C_2 \sum_{i=1}^{m} \int_{\Omega} |a_i(x)|^2 \left( |h(x)|^{2(\gamma_i - 1)} + |u(x)|^{2(\gamma_i - 1)} \right) dx$$

$$\leq C_2 \sum_{i=1}^{m} \|a_i\|_{\frac{2-\gamma_i}{2}}^2 \left( \|h\|_{L^2}^{2(\gamma_i - 1)} + \|u\|_{L^2}^{2(\gamma_i - 1)} \right) < +\infty.$$
(2.8)

By (2.6), (2.7), (2.8), and the Lebesgue dominated convergence theorem, we have

$$\lim_{n \to \infty} \int_{\Omega} |f(x, u_n(x)) - f(x, u(x))|^2 \, dx = 0.$$
(2.9) e2.7

From (1.10), (2.2), (F1) and the Hölder inequality, we have

$$\begin{aligned} |(\Psi'(u_n) - \Psi'(u), v)| &= \left| \int_{\Omega} [f(x, u_n(x)) - f(x, u(x))] v(x) \, dx \right| \\ &\leq \int_{\Omega} |f(x, u_n(x)) - f(x, u(x))| |v(x)| \, dx \\ &\leq \left( \int_{\Omega} |f(x, u_n(x)) - f(x, u(x))|^2 \, dx \right)^{1/2} \|v\|_{L^2} \end{aligned}$$

$$\leq C_3 \Big( \int_{\Omega} |f(x, u_n(x)) - f(x, u(x))|^2 \, dx \Big)^{1/2} ||v||_{X_0},$$

which converges to 0 as  $n \to \infty$ . This implies that  $\Psi'$  is continuous and the proof of Lemma 2.6 is complete.

Proof of Theorem 1.2. In view of Lemma 2.6,  $J \in C^1(X_0, \mathbb{R})$ . In what follows, we first show that J is bounded from below. Since  $\lambda < \lambda_1 . \min\{a, 1\}$  we have  $a - 1 + m_{\lambda}^2 > 0$ , where  $m_{\lambda}$  is defined by (1.8). By (F1), (1.5), (1.7), (1.8) and the Hölder inequality, we have

$$\begin{aligned} J(u) &= \frac{a}{2} \int_{Q} |u(x) - u(y)|^{2} K(x - y) \, dx \, dy + \frac{b}{4} \Big( \int_{Q} |u(x) - u(y)|^{2} K(x - y) \, dx \, dy \Big)^{2} \\ &- \frac{\lambda}{2} \int_{\Omega} |u(x)|^{2} \, dx - \int_{\Omega} F(x, u(x)) \, dx \\ &\geq \frac{1}{2} (a - 1 + m_{\lambda}^{2}) \|u\|_{X_{0}}^{2} - \sum_{i=1}^{m} \frac{1}{\gamma_{i}} \int_{\Omega} a_{i}(x) |u|^{\gamma_{i}} \, dx \\ &\geq \frac{1}{2} (a - 1 + m_{\lambda}^{2}) \|u\|_{X_{0}}^{2} - C_{1} \sum_{i=1}^{m} \frac{1}{\gamma_{i}} \|a_{i}\|_{\frac{2 - \gamma_{i}}{2}} \|u\|_{X_{0}}^{\gamma_{i}}. \end{aligned}$$

$$(2.10) \quad \boxed{e2.11}$$

As  $\gamma_i \in (1,2), i = 1, 2, ..., m$ , it follows from (2.10) that  $J(u) \to +\infty$  as  $||u||_{X_0} \to +\infty$  and J is bounded from below.

Next, we prove that J satisfies the (PS)-condition. Assume that  $\{u_n\} \subset X_0$  is a sequence such that  $\{J(u_n)\}$  is bounded and  $J'(u_n) \to 0$  as  $n \to \infty$ . Since  $\{u_n\}$  is a (PS)-sequence and using the definition of J, there exists a constant  $C_4 > 0$  such that

$$\|u_n\|_{X_0} \le C_4, \quad \forall n \in \mathbb{N}. \tag{2.11} \qquad \textbf{e2.12}$$

So passing to a subsequence it necessary, it can be assumed that  $\{u_n\}$  converges weakly to  $u_0$  in  $X_0$  and thus  $\{u_n\}$  converges strongly to  $u_0$  in  $L^2(\Omega)$ . By (2.11) and (F1), we have

$$\begin{aligned} \left| \int_{\Omega} (f(x, u_{n}(x)) - f(x, u(x)))(u_{n}(x) - u_{0}(x)) dx \right| \\ &\leq \int_{\Omega} \left| f(x, u_{n}(x)) - f(x, u(x)) \right| u_{n}(x) - u_{0}(x) | dx \\ &\leq \left( \int_{\Omega} \left| f(x, u_{n}(x)) - f(x, u_{0}(x)) \right|^{2} dx \right)^{1/2} \left( \int_{\Omega} \left| u_{n}(x) - u_{0}(x) \right|^{2} dx \right)^{1/2} \\ &\leq \left( \int_{\Omega} 2(|f(x, u_{n}(x))|^{2} + |f(x, u_{0}(x))|^{2}) dx \right)^{1/2} \left( \int_{\Omega} |u_{n}(x) - u_{0}(x)|^{2} dx \right)^{1/2} \\ &\leq C_{5} \left( \sum_{i=1}^{m} \|u_{i}\|_{X_{0}^{2}}^{2} (\|u_{n}\|_{X_{0}^{2}}^{2(\gamma_{i}-1)} + \|u_{0}\|_{X_{0}^{2}}^{2(\gamma_{i}-1)}) dx \right)^{1/2} \|u_{n} - u_{0}\|_{L^{2}(\Omega)}, \end{aligned}$$

$$(2.12) \quad \boxed{e2.13}$$

which approaches 0 as  $n \to \infty$ .

Since  $\lambda < \lambda_1 \min\{a, 1\}$ , by (1.7) and (1.8), we have

$$(J'(u_n) - J'(u_0))(u_n - u_0)$$

$$\begin{split} &= \Big(a+b\int_{Q}|u_{n}(x)-u_{n}(y)|^{2}K(x-y)\,dx\,dy\Big) \\ &\times \int_{Q}(u_{n}(x)-u_{n}(y))\Big((u_{n}(x)-u_{0}(x))-(u_{n}(y)-u_{0}(y))\Big)K(x-y)\,dx\,dy \\ &- \Big(a+b\int_{Q}|u_{0}(x)-u_{0}(y)|^{2}K(x-y)\,dx\,dy\Big) \\ &\times \int_{Q}(u_{0}(x)-u_{0}(y))\Big((u_{n}(x)-u_{0}(x))-(u_{n}(y)-u_{0}(y))\Big)K(x-y)\,dx\,dy \\ &-\lambda\int_{\Omega}|u_{n}(x)-u_{0}(x)|^{2}\,dx-\int_{\Omega}[f(x,u_{n}(x))-f(x,u_{0}(x))](u_{n}-u_{0})\,dx \\ &= \Big(a+b\int_{Q}|u_{n}(x)-u_{n}(y)|^{2}K(x-y)\,dx\,dy\Big) \\ &\times \int_{Q}|(u_{n}(x)-u_{0}(x))-(u_{n}(y)-u_{0}(y))|^{2}K(x-y)\,dx\,dy \\ &-b\Big(\int_{Q}|u_{0}(x)-u_{0}(y)|^{2}K(x-y)\,dx\,dy-\int_{Q}|u_{n}(x)-u_{n}(y)|^{2}K(x-y)\,dx\,dy\Big) \\ &\times \int_{Q}(u_{0}(x)-u_{0}(y))\Big((u_{n}(x)-u_{0}(x))-(u_{n}(y)-u_{0}(y))\Big)K(x-y)\,dx\,dy \\ &-\lambda\int_{\Omega}|u_{n}(x)-u_{0}(x)|^{2}\,dx-\int_{\Omega}[f(x,u_{n}(x))-f(x,u_{0}(x))](u_{n}-u_{0})\,dx \\ &\geq (a-1+m_{\lambda}^{2})||u_{n}-u_{0}||_{X_{0}}^{2}-\int_{\Omega}[f(x,u_{n}(x))-f(x,u_{0}(x))](u_{n}-u_{0})\,dx \\ &-b\Big(||u_{0}||_{X_{0}}^{2}-||u_{n}||_{X_{0}}^{2}\Big)\int_{Q}(u_{0}(x)-u_{0}(y)) \\ &\times \Big((u_{n}(x)-u_{0}(x))-(u_{n}(y)-u_{0}(y))\Big)K(x-y)\,dx\,dy \,. \end{split}$$

Then

$$\begin{aligned} (a-1+m_{\lambda}^{2}) \|u_{n}-u_{0}\|_{X_{0}}^{2} \\ &\leq (J'(u_{n})-J'(u_{0}))(u_{n}-u_{0}) + \int_{\Omega} [f(x,u_{n}(x))-f(x,u_{0}(x))](u_{n}-u_{0}) \, dx \\ &+ b \left(\|u_{0}\|_{X_{0}}^{2} - \|u_{n}\|_{X_{0}}^{2}\right) \\ &\times \int_{Q} (u_{0}(x)-u_{0}(y)) \Big( (u_{n}(x)-u_{0}(x)) - (u_{n}(y)-u_{0}(y)) \Big) K(x-y) \, dx \, dy. \end{aligned}$$

$$(2.13) \quad \boxed{e2.14}$$

As  $\{u_n\}$  converges weakly  $u_0$  in  $X_0$ ,  $\{\|u_n\|_{X_0}\}$  is bounded and we have

$$\lim_{n \to \infty} b\left( \|u_0\|_{X_0}^2 - \|u_n\|_{X_0}^2 \right) \int_Q (u_0(x) - u_0(y))$$

$$\times \left( (u_n(x) - u_0(x)) - (u_n(y) - u_0(y)) \right) K(x - y) \, dx \, dy = 0.$$
(2.14) e2.15

It follows from (2.12), (2.13) and (2.14) that  $\{u_n\}$  converges strongly to  $u_0$  in  $X_0$  and the functional J satisfies the (PS) condition.

Then  $d = \inf_{X_0} J(u)$  is a critical value of J, that is, there exists a critical point  $u^* \in X_0$  such that  $J(u^*) = d$ .

Finally, we show that  $u^* \neq 0$ . Let  $u_0 \in X_0 \cap C_0^{\infty}(\Omega_0)$  and  $||u_0||_{\infty} \leq 1$ , where  $\Omega_0$  is given by (F2). By (F2), for  $t \in (0, \delta)$ , we have

$$\begin{split} J(tu_0) &= \frac{at^2}{2} \int_Q |u_0(x) - u_0(y)|^2 K(x-y) \, dx \, dy \\ &+ \frac{bt^4}{4} \Big( \int_Q |u_0(x) - u_0(y)|^2 K(x-y) \, dx \, dy \Big)^2 \\ &- \frac{\lambda t^2}{2} \int_\Omega |u_0(x)|^2 \, dx - \int_\Omega F(x, tu_0(x)) \, dx \\ &\leq \frac{t^2}{2} (a-1+M_\lambda^2) \|u_0\|_{X_0}^2 + \frac{bt^4}{4} \|u_0\|_{X_0}^4 - \int_{\Omega_0} F(x, tu_0(x)) \, dx \\ &\leq \frac{t^2}{2} (a-1+M_\lambda^2) \|u_0\|_{X_0}^2 + \frac{bt^4}{4} \|u_0\|_{X_0}^4 - \eta t^{\gamma_0} \int_{\Omega_0} |u_0(x)|^{\gamma_0} \, dx \, . \end{split}$$

As  $\gamma_0 \in (1,2)$ , it follows from (2.15) that  $J(tu_0) < 0$  for t > 0 small enough. Hence,  $J(u^*) = d < 0$  and therefore,  $u^*$  is a nontrivial critical point of J, and so  $u^*$  is a nontrivial solution of problem (1.1).

Proof of Theorem 1.3. In view of Lemma 2.6,  $J \in C^1(X_0, \mathbb{R})$  is bounded from below and satisfies the (PS) condition. It follows from (F3) that J is even and J(0) = 0. In order to apply Lemma 2.4, we prove now that

for any 
$$n \in \mathbb{N}$$
, there exists  $\epsilon > 0$  such that  $\gamma(J^{-\epsilon}) \ge n$ . (2.16)  $| e2.17 |$ 

For any  $n \in \mathbb{N}$ , we take n disjoint open sets  $K_i$  such that

$$\bigcup_{i=1}^{n} K_i \subset \Omega_0.$$
  
For  $i = 1, 2, ..., n$ , let  $u_i \in (X_0 \cap C_0^\infty(K_i)) \setminus \{0\}$  and  $||u_i||_{X_0} = 1$ , and  
 $E_n = \operatorname{span}\{u_1, u_2, ..., u_n\}, \quad S_n = \{u \in E_n : ||u||_{X_0} = 1\}.$ 

For each  $u \in E_n$ , there exist  $\mu_i \in \mathbb{R}$ , i = 1, 2, ..., n such that

$$u(x) = \sum_{i=1}^{n} \mu_i u_i(x) \quad \text{for } x \in \Omega.$$
 (2.17) **e2.18**

Then

$$\|u\|_{\gamma_0} = \left(\int_{\Omega} |u(x)|^{\gamma_0} dx\right)^{1/\gamma_0} = \left(\sum_{i=1}^n |\mu_i|^{\gamma_0} \int_{K_i} |u_i(x)|^{\gamma_0} dx\right)^{1/\gamma_0}$$
(2.18) **e2.19**

and

$$\begin{split} \|u\|_{X_0}^2 &= \int_{\mathbf{Q}} |u(x) - u(y)|^2 K(x - y) \, dx \, dy \\ &= \sum_{i=1}^n \mu_i^2 \int_{\mathbf{Q}} |u_i(x) - u_i(y)|^2 K(x - y) \, dx \, dy \\ &= \sum_{i=1}^n \mu_i^2 \|u_i\|_{X_0}^2 = \sum_{i=1}^n \mu_i^2. \end{split}$$
(2.19) san

As all norms of a finite dimensional normed space are equivalent, there is a constant  $C_6>0$  such that

$$C_6 \|u\|_{X_0} \le \|u\|_{\gamma_0}$$
 for all  $u \in E_n$ . (2.20) e2.20

By (2.17), (2.18), (2.20), we have

$$\begin{split} J(tu) &= \frac{at^2}{2} \int_Q |u(x) - u(y)|^2 K(x - y) \, dx \, dy \\ &+ \frac{bt^4}{4} \Big( \int_Q |u(x) - u(y)|^2 K(x - y) \, dx \, dy \Big)^2 \\ &- \frac{\lambda t^2}{2} \int_\Omega |u(x)|^2 \, dx - \int_\Omega F(x, tu(x)) \, dx \\ &\leq \frac{t^2}{2} (a - 1 + M_\lambda^2) \|u\|_{X_0}^2 + \frac{bt^4}{4} \|u\|_{X_0}^4 - \sum_{i=1}^n \int_{K_i} F(x, t\mu_i u_i(x)) \, dx \\ &\leq \frac{t^2}{2} (a - 1 + M_\lambda^2) \|u\|_{X_0}^2 + \frac{bt^4}{4} \|u\|_{X_0}^4 - \eta t^{\gamma_0} \sum_{i=1}^n |\mu_i|^{\gamma_0} \int_{K_i} |u_i(x)|^{\gamma_0} \, dx \\ &\leq \frac{t^2}{2} (a - 1 + M_\lambda^2) \|u\|_{X_0}^2 + \frac{bt^4}{4} \|u\|_{X_0}^4 - \eta t^{\gamma_0} \|u\|_{\gamma_0}^{\gamma_0} \\ &\leq \frac{t^2}{2} (a - 1 + M_\lambda^2) \|u\|_{X_0}^2 + \frac{bt^4}{4} \|u\|_{X_0}^4 - \eta (C_6 t)^{\gamma_0} \|u\|_{X_0}^{\gamma_0} \\ &\leq \frac{t^2}{2} (a - 1 + M_\lambda^2) \|u\|_{X_0}^2 + \frac{bt^4}{4} \|u\|_{X_0}^4 - \eta (C_6 t)^{\gamma_0} \\ &= \frac{t^2}{2} (a - 1 + M_\lambda^2) + \frac{bt^4}{4} - \eta (C_6 t)^{\gamma_0} \end{split}$$

for all  $u \in S_n$  and sufficient small t > 0. In this case (F2) is applicable, since u is continuous on  $\overline{\Omega}_0$  and so  $|t\mu_i u_i(x)| \leq \delta, \forall x \in \Omega_0, i = 1, 2, ..., n$  can be true for sufficiently small t. Then, there exist  $\epsilon > 0$  and  $\sigma > 0$  such that

$$J(\sigma u) < -\epsilon \quad \text{for } u \in S_n. \tag{2.22}$$

Let

$$S_n^{\sigma} = \{ \sigma u : u \in S_n \}, \quad \Lambda = \{ (\mu_1, \mu_2, \dots, \mu_n) \in \mathbb{R}^n : \sum_{i=1}^n \mu_i^2 < \sigma^2 \}.$$

Then it follows from (2.22) that

$$J(u) < -\epsilon$$
 for all  $u \in S_n^{\sigma}$ ,

which, together with the fact that  $J \in C^1(X_0, \mathbb{R})$  and is even, implies that

$$S_n^{\sigma} \subset J^{-\epsilon} \in \Sigma.$$
 (2.23) e2.23

On the other hand, it follows from (2.17) and (2.19), that

$$S_n^{\sigma} = \left\{ \sum_{i=1}^n \mu_i u_i : \sum_{i=1}^n \mu_i^2 = \sigma^2 \right\}.$$

So, we define a map  $\psi: S_n^{\sigma} \to \partial \Lambda$  as follows:

$$\psi(u) = (\mu_1, \mu_2, \dots, \mu_n), \quad \forall \ u \in S_n^{\sigma}.$$

It is easy to verify that  $\psi: S_n^{\sigma} \to \partial \Lambda$  is an odd homeomorphic map. By Proposition 7.7 in [18], we get  $\gamma(S_n^{\sigma}) = n$  and so by some properties of the genus (see 3° of [18, Proposition 7.5]), we have

$$\gamma(J^{-\epsilon}) \ge \gamma(S_n^{\sigma}) = n, \tag{2.24}$$

so the proof of (2.16) follows. Set

$$c_n = \inf_{A \in \Sigma_n} \sup_{u \in A} J(u).$$

It follows from (2.24) and the fact that J is bounded from below on  $X_0$  that  $-\infty < c_n \leq -\epsilon < 0$ , that is, for any  $n \in \mathbb{N}$ ,  $c_n$  is a real negative number. By Lemma 2.4, the functional J has infinitely many nontrivial critical points, and so problem (1.1) possesses infinitely many nontrivial solutions.

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