

CORDES NONLINEAR OPERATORS IN CARNOT GROUPS

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ABSTRACT. Our aim is to obtain L^p estimates for the second-order horizontal derivatives of the solutions for a nondivergence form nonlinear equation in Carnot groups.

1. INTRODUCTION

The $W^{2,p}$ estimates for elliptic differential equations and systems is a very interesting problem and many Authors have given several contributions to this problem from several different points of view (see [5, 4, 7]) using different approaches. There are essentially two main approaches to the problem: assuming on the coefficients of the equation the Cordes condition or the VMO condition. The first one consists of a geometric condition on the eigenvalues of linear operators. Cordes condition was introduced in [6] and studied by many authors (in the cases of nonlinear nonvariational equations and systems we quote [3, 4]). The second technique consists in assuming the coefficients of the operator to be in VMO-type classes (see [5, 7, 9, 10], and for more general setting see [1, 2]).

Here we obtain $W^{2,p}$ estimates for the following nonlinear nondivergence form equation

$$a(x, u, Xu, X^2u) = f,$$

where $X = (X_1, X_2, \dots, X_l)$ is a system of Hörmander's vector fields on a Carnot group, and we assume a condition that in the particular case of a linear equation gives back the Cordes condition (see [8] for the case of the Heisenberg group).

Namely, we show that there exists a critical exponent $p_0 > 2$ such that if the datum f belongs to L^p , with $2 < p < p_0$, then the second derivatives X^2u of the solutions u have the same integrability as f .

2. PRELIMINARIES

Let \mathcal{G} be a finite-dimensional, stratified, nilpotent Lie algebra. We assume $\mathcal{G} = \bigoplus_{i=1}^s V_i$, where $[V_i, V_j] \subset V_{i+j}$ for $i + j \leq s$ and $[V_i, V_j] = 0$ for $i + j > s$. Let X_1, \dots, X_l be a basis for V_1 and suppose that X_1, \dots, X_l generate \mathcal{G} as a Lie algebra. Then for $2 \leq j \leq s$ we choose a basis $\{X_{ij}\}$, $1 \leq i \leq k_j$, for V_j consisting of commutators of length j . We set $X_{i1} = X_i$, $i = 1, \dots, l$ and $k_1 = l$, and we call X_{i1} a commutator of length 1. If \mathbb{G} is the simply connected Lie group associated to \mathcal{G} then

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\mathbb{G} is called Carnot group. It is well known that the exponential mapping is a global diffeomorphism from \mathcal{G} to \mathbb{G} and then for any $g \in \mathbb{G}$ there exists $x = (x_{ij}) \in \mathbb{R}^n$, $1 \leq i \leq k_j$, $1 \leq j \leq s$, $n = \sum_{j=1}^s k_j$, such that $g = \exp(\sum x_{ij} X_{ij})$.

We now recall the definition of polynomials on the Carnot group \mathbb{G} given by Folland and Stein in [15].

A function P on \mathbb{G} is said to be a polynomial on \mathbb{G} if $P \circ \exp$ is a polynomial on the Lie algebra \mathcal{G} .

Let X_1, X_2, \dots, X_n be a basis of \mathbb{G} and $\xi_1, \xi_2, \dots, \xi_n$ be the dual basis for \mathcal{G}^* we set $\eta_i = \xi_i \circ \exp^{-1}$. Each η_i is a polynomial on \mathbb{G} , and $\eta_1, \eta_2, \dots, \eta_n$ form a system of global coordinates on \mathbb{G} . Then every polynomial on \mathbb{G} can be written uniquely as

$$P(x) = \sum_I a_I \eta^I(x), \quad \eta^I = \eta_1^{i_1} \cdots \eta_n^{i_n}, \quad a_I \in \mathbb{R}$$

where all but finitely many of the coefficients a_I vanish. Clearly η^I is homogeneous of degree $d(I) = \sum_{j=1}^n i_j d_j$, where d_j is the length of X_j as a commutator. We define the homogeneous degree of the polynomial P as $\max\{d(I) : a_I \neq 0\}$.

Here we recall the definition of the Carnot-Carathéodory metric. An absolutely continuous curve $\gamma : [0, \tau] \rightarrow \mathbb{R}^n$ is called subunitary if there exists a measurable function $c = (c_1, c_2, \dots, c_l) : [0, \tau] \rightarrow \mathbb{R}^l$ such that $\gamma'(t) = \sum_{j=1}^l c_j(t) X_j(\gamma(t))$, for a.e. $t \in [0, \tau]$, and $\|c\|_\infty \leq 1$. The Carnot-Carathéodory distance $d(x', x'')$ is defined as the infimum of those $\tau > 0$ for which there exists a subunitary curve $\gamma : [0, \tau] \rightarrow \mathbb{R}^n$ with $\gamma(0) = x'$ and $\gamma(\tau) = x''$.

We set $B_r(x_0) = \{x \in \mathbb{R}^n : d(x, x_0) < r\}$. When it is clear from the setting we will omit x_0 or r . It is well known that the Carnot-Carathéodory balls satisfy a doubling condition, that is

$$|B_{2r}(x_0)| \leq 2^Q |B_r(x_0)|$$

for all $r > 0$ and $x_0 \in \mathbb{R}^n$. The constant Q is the homogeneous dimension of \mathbb{G} .

We define the intrinsic Sobolev spaces for a bounded domain Ω in \mathbb{R}^n . Let $k \in \mathbb{N}$ and $p \geq 1$ we set

$$W^{k,p}(\Omega) = \{u : \Omega \rightarrow \mathbb{R} : u, X_{i_1} \dots X_{i_j} u \in L^p(\Omega), 1 \leq j \leq k\}$$

endowed with the norm

$$\|u\|_{W^{k,p}(\Omega)} = \|u\|_{L^p(\Omega)} + \sum_{h=1}^k \sum_{i_j=1}^l \|X_{i_1} X_{i_2} \dots X_{i_h} u\|_{L^p(\Omega)}.$$

We define $W_0^{k,p}(\Omega)$ as the closure of $C_0^\infty(\Omega)$ in $W^{k,p}(\Omega)$ with respect to the above norm.

For $I = (i_1, i_2, \dots, i_n)$ we denote the differential operator $X_1^{i_1} X_2^{i_2} \dots X_n^{i_n}$ by X^I and $d(I)$ the homogeneous degree of X^I . We denote by Xu the gradient of u ($X_1 u, X_2 u, \dots, X_l$) and by $X^2 u$ the hessian matrix $\{X_{ij} u\}_{i,j=1,\dots,l}$.

In [17] the existence of approximation polynomials of Sobolev functions in Carnot groups and related Poincaré-type inequalities have been obtained. Here we state some results [17, Theorems 2.7 and 5.1], that we will use in the sequel.

Theorem 2.1. *Let k be a positive integer and u a function in $W^{k,1}(\Omega)$. Then there exists a polynomial P of degree less than k such that $\int_\Omega X^I(u - P) dx = 0$ for any $0 \leq d(I) < k$.*

Choosing first $q_{10} = p = 2$ and $q_{21} = 2$, $p = \frac{2Q}{Q+2}$ in [17, Theorem 5.1], we obtain the following two inequalities that we collect in the same statement.

Theorem 2.2. *Let B_r be a ball of \mathbb{R}^n and $u \in W^{2, \frac{2Q}{Q+2}}(\overline{B_r})$. Then there exists a polynomial of degree ≤ 1 such that*

$$\int_{B_r} |u - P|^2 dx \leq cr^2 \int_{B_r} |X(u - P)|^2 dx, \quad (2.1)$$

$$\int_{B_r} |X(u - P)|^2 dx \leq c \left(\int_{B_r} |X^2 u|^{\frac{2Q}{Q+2}} dx \right)^{\frac{Q+2}{Q}}, \quad (2.2)$$

where the constant c is independent of B_r and u . (The polynomial P is the same as in Theorem 2.1).

The following Theorem has been proved in [14], (for different cases see [13, 11, 12]).

Theorem 2.3. *There exists a constant $C_G \geq 1$ such that for every $u \in W_0^{2,2}(\Omega)$ the following inequality holds*

$$\int_{\Omega} |X^2 u|^2 dx \leq C_G \int_{\Omega} |\Delta u|^2 dx, \quad (2.3)$$

where $\Delta u = \sum_{i=1}^l X_i X_i u$.

3. CACCIOPPOLI-TYPE INEQUALITY AND $W^{2,p}$ ESTIMATES

Let Ω be a bounded domain in \mathbb{R}^n . Let $a(x, u, p, m) : \Omega \times \mathbb{R} \times \mathbb{R}^l \times \mathbb{R}^{l^2} \rightarrow \mathbb{R}$ be a Carathéodory function satisfying the condition

- (A) there exist three positive constants, α , γ and δ such that $C_G \gamma + \delta < 1$, for all $M = \{m_{ij}\}_{i,j=1,\dots,l} \in \mathbb{R}^l \times \mathbb{R}^l$, $u \in \mathbb{R}$, $p \in \mathbb{R}^l$,

$$\left| \sum_{i=1}^l m_{ii} - \alpha[a(x, u, p, m)] \right|^2 \leq \gamma |M|^2 + \delta \left| \sum_{i=1}^l m_{ii} \right|^2, \quad \text{a.e. } x \in \Omega.$$

We consider the nonlinear nonvariational elliptic equation

$$a(x, u, X, X^2 u) = f, \quad (3.1)$$

where $f \in L^2(\Omega)$.

Definition 3.1. A function $u \in W^{2,2}(\Omega)$ is called a solution of (3.1) if u satisfies (3.1) for a.e. x in Ω .

Remark 3.2. In the case of linear equation, i.e.

$$\sum_{i,j=1}^l a_{ij}(x) X_i X_j u(x) = f$$

condition (A) is stronger than the following Cordes condition (see [6, 18] for a comparison between condition (A) and Cordes condition in Euclidean setting).

Definition 3.3. The linear operator $L \equiv a_{ij}(x)X_iX_j$ satisfies the Cordes condition $K_{\epsilon,\sigma,\theta}$ if there exist $\epsilon \in (0, 1]$, $\sigma > 0$ and $\theta > 0$ such that for a.e. $x \in \Omega$, $\sum_{i=1}^l a_{ii}(x) > 0$ and

$$0 < \frac{1}{\sigma} \leq \sum_{i,j=1}^l a_{ij}^2(x) \leq \frac{1}{l-1+\epsilon} \left(\sum_{i=1}^l a_{ii}(x) \right)^2 \leq \frac{\theta^2}{l-1+\epsilon}.$$

Now we prove a Caccioppoli type inequality for solutions of (3.1).

Theorem 3.4. *Let condition (A) hold true and $f \in L^2(\Omega)$. Then for any $u \in W^{2,2}(\Omega)$ solution of (3.1), for any $r > 0$ such that $B_{2r} \Subset \Omega$, there exists a polynomial P of degree less than 2 such that $\int_{B_{2r}} X^I(u - P)dx = 0$ for any $0 \leq d(I) < 2$, and*

$$\int_{B_r} |X^2u|^2 dx \leq cr^{-2} \int_{B_{2r}} |X(u - P)|^2 dx + c \int_{B_{2r}} f^2 dx. \quad (3.2)$$

Proof. Let $B_{2r} \Subset \Omega$. From Theorem 2.1 there exists a polynomial P of degree less than two such that $\int_{B_{2r}} X^I(u - P)dx = 0$, for I with $d(I) < 2$.

Let η be a $C_0^\infty(\mathbb{R}^n)$ with the properties $0 \leq \eta \leq 1$, $\eta = 1$ in B_r , $\eta = 0$ in $\mathbb{R}^n \setminus B_{2r}$ and $|X\eta| \leq \frac{c}{r}$.

If we set $\mathcal{U} = \eta(u - P) \in W_0^{2,2}(B_{2r})$, since $X^2P = 0$ (see the proof of [17, Theorem 2.7]), we have $X^2(u - P) = X^2u$ and $X^2\mathcal{U} = X^2u$ in B_r . We have

$$\eta\Delta u = \eta(\Delta u - \alpha a(x, u, Xu, X^2u)) + \eta\alpha f,$$

which implies

$$\begin{aligned} |\eta\Delta u| &\leq \eta|\Delta u - \alpha a(x, u, Xu, X^2u)| + |\eta\alpha f| \\ &\leq \eta[\gamma|X^2u|^2 + \delta|\Delta u|^2]^{1/2} + \eta\alpha|f|. \end{aligned}$$

Note that

$$\Delta\mathcal{U} = \eta\Delta u + A(u - P), \quad (3.3)$$

$$\eta X^2u = X^2\mathcal{U} - B(u - P), \quad (3.4)$$

where

$$A(u - P) = (u - P)\Delta\eta + 2 \sum X_i\eta X_i(u - P) \quad (3.5)$$

and

$$B(u - P) = \{(u - P)X_iX_j\eta + X_i\eta X_j(u - P) + X_j\eta X_i(u - P)\}_{ij}.$$

Then for $x \in B_{2r}$,

$$|\Delta\mathcal{U}| \leq |\eta\Delta u| + |A(u - P)| \leq \eta(\gamma|X^2u|^2 + \delta|\Delta u|^2)^{1/2} + \eta\alpha|f| + |A(u - P)|,$$

from which it follows that for all $\epsilon > 0$,

$$\begin{aligned} |\Delta\mathcal{U}|^2 &\leq \eta^2(\gamma|X^2u|^2 + \delta|\Delta u|^2) + (\eta\alpha|f| + |A(u - P)|)^2 \\ &\quad + 2\eta(\gamma|X^2u|^2 + \delta|\Delta u|^2)^{1/2}(\eta\alpha|f| + |A(u - P)|) \\ &\leq \eta^2(\gamma|X^2u|^2 + \delta|\Delta u|^2) + (\eta\alpha|f| + |A(u - P)|)^2 \\ &\quad + \epsilon\eta^2(\gamma|X^2u|^2 + \delta|\Delta u|^2) + \frac{1}{\epsilon}(\eta\alpha|f| + |A(u - P)|)^2 \\ &= (1 + \epsilon)\eta^2(\gamma|X^2u|^2 + \delta|\Delta u|^2) + \left(1 + \frac{1}{\epsilon}\right)(\eta\alpha|f| + |A(u - P)|)^2 \end{aligned}$$

$$\leq (1 + \epsilon)\eta^2(\gamma|X^2u|^2 + \delta|\Delta u|^2) + 2\left(1 + \frac{1}{\epsilon}\right)(\eta^2\alpha^2|f|^2 + |A(u - P)|^2).$$

Then from (3.3) and (3.4),

$$\begin{aligned} |\Delta\mathcal{U}|^2 &\leq (1 + \epsilon)\gamma|X^2(\mathcal{U}) - B(u - P)|^2 + (1 + \epsilon)\delta|\Delta\mathcal{U} - A(u - P)|^2 \\ &\quad + 2\left(1 + \frac{1}{\epsilon}\right)\eta^2\alpha^2|f|^2 + 2\left(1 + \frac{1}{\epsilon}\right)|A(u - P)|^2 \\ &\leq (1 + \epsilon)\gamma(|X^2(\mathcal{U})|^2 + |B(u - P)|^2 + 2|X^2(\mathcal{U})||B(u - P)|) \\ &\quad + (1 + \epsilon)\delta(|\Delta\mathcal{U}|^2 + |A(u - P)|^2 + 2|\Delta\mathcal{U}||A(u - P)|) \\ &\quad + 2\left(1 + \frac{1}{\epsilon}\right)\eta^2\alpha^2|f|^2 + 2\left(1 + \frac{1}{\epsilon}\right)|A(u - P)|^2 \\ &\leq (1 + \epsilon)\gamma\left[(1 + \epsilon)|X^2(\mathcal{U})|^2 + \left(1 + \frac{1}{\epsilon}\right)|B(u - P)|^2\right] \\ &\quad + (1 + \epsilon)\delta\left[(1 + \epsilon)|\Delta\mathcal{U}|^2 + \left(1 + \frac{1}{\epsilon}\right)|A(u - P)|^2\right] \\ &\quad + 2\left(1 + \frac{1}{\epsilon}\right)\eta^2\alpha^2|f|^2 + 2\left(1 + \frac{1}{\epsilon}\right)|A(u - P)|^2 \\ &\leq (1 + \epsilon)^2\gamma|X^2(\mathcal{U})|^2 + (1 + \epsilon)^2\delta|\Delta\mathcal{U}|^2 \\ &\quad + c(\epsilon, \alpha, \gamma, \delta)[|A(u - P)|^2 + |B(u - P)|^2 + |f|^2]. \end{aligned}$$

We integrate on B_{2r} and apply (2.3) in Theorem 2.3 to obtain

$$\begin{aligned} \int_{B_{2r}} |\Delta\mathcal{U}|^2 dx &\leq (1 + \epsilon)^2\gamma \int_{B_{2r}} |X^2(\mathcal{U})|^2 dx + (1 + \epsilon)^2\delta \int_{B_{2r}} |\Delta\mathcal{U}|^2 dx \\ &\quad + c \int_{B_{2r}} (|f|^2 + |A(u - P)|^2 + |B(u - P)|^2) dx \\ &\leq (1 + \epsilon)^2(\gamma C_G + \delta) \int_{B_{2r}} |\Delta\mathcal{U}|^2 dx \\ &\quad + c \int_{B_{2r}} (|f|^2 + |A(u - P)|^2 + |B(u - P)|^2) dx. \end{aligned}$$

It follows that

$$\begin{aligned} &[1 - (1 + \epsilon)^2(\gamma C_G + \delta)] \int_{B_{2r}} |\Delta\mathcal{U}|^2 dx \\ &\leq c \int_{B_{2r}} (|f|^2 + |A(u - P)|^2 + |B(u - P)|^2) dx, \end{aligned}$$

and then

$$\int_{B_{2r}} |\Delta\mathcal{U}|^2 dx \leq c \int_{B_{2r}} (|f|^2 + |A(u - P)|^2 + |B(u - P)|^2) dx.$$

Finally, we get that

$$\begin{aligned} \int_{B_r} |X^2u|^2 dx &\leq \int_{B_{2r}} |X^2\mathcal{U}|^2 dx \leq C_G \int_{B_{2r}} |\Delta\mathcal{U}|^2 dx \\ &\leq c \int_{B_{2r}} (|f|^2 + |A(u - P)|^2 + |B(u - P)|^2) dx. \end{aligned}$$

Now we observe that from (3.5) and Poincaré inequality (2.1) we obtain

$$\begin{aligned} & \int_{B_{2r}} |A(u - P)|^2 dx \\ & \leq c \int_{B_{2r}} |\Delta \eta|^2 |u - P|^2 dx + c \int_{B_{2r}} \sum |X_i \eta|^2 |X_i(u - P)|^2 dx \\ & \leq cr^{-2} \left\{ r^{-2} \int_{B_{2r}} |u - P|^2 dx + \int_{B_{2r}} |X(u - P)|^2 dx \right\} \\ & \leq cr^{-2} \int_{B_{2r}} |X(u - P)|^2 dx. \end{aligned}$$

In the same way, we find that

$$\int_{B_{2r}} |B(u - P)|^2 dx \leq cr^{-2} \int_{B_{2r}} |X(u - P)|^2 dx,$$

from which the Caccioppoli type inequality follows. \square

Next we state [19, Theorem 3.3] which is a generalization of the Gehring lemma [16].

Lemma 3.5. *Let U and G be non-negative functions in Ω such that*

$$U \in L^t_{\text{loc}}(\Omega), \quad G \in L^s_{\text{loc}}(\Omega), \quad 1 < t < s.$$

If there exists $c > 1$ such that for every $B_{2r} \Subset \Omega$, $r < 1$,

$$\int_{B_r} U^t dx \leq c \left(\int_{B_{2r}} U dx \right)^t + c \int_{B_{2r}} G^t dx,$$

then there exists $\epsilon \in (0, s - t]$ such that $U \in L^p_{\text{loc}}(\Omega)$, for all $p \in [t, t + \epsilon)$ and, for every $B_{2r} \Subset \Omega$, with $r < 1$, we have

$$\left(\int_{B_r} U^p dx \right)^{1/p} \leq K \left[\left(\int_{B_{2r}} U^t dx \right)^{1/t} + \left(\int_{B_{2r}} G^p dx \right)^{1/p} \right],$$

where the constant K depends on c, t and Q .

Our main Theorem is now an easy consequence of Caccioppoli-type inequality (3.2) and Lemma 3.5.

Theorem 3.6. *Let $u \in W^{2,2}(\Omega)$ be a solution of (3.1) then there exists $p_0 > 2$ such that, if $f \in L^p(\Omega)$, with $2 \leq p < p_0$, then $u \in W^{2,p}_{\text{loc}}(\Omega)$ and for all $B_{2r} \subset\subset \Omega$ we have*

$$\left(\int_{B_r} |X^2 u|^p dx \right)^{1/p} \leq c \left(\int_{B_{2r}} |X^2 u|^2 dx \right)^{1/2} + \left(\int_{B_{2r}} |f|^p dx \right)^{1/p}.$$

Proof. Let $B_{2r} \subset\subset \Omega$, from the Caccioppoli-type inequality (3.2) and Poincaré inequality (2.2) it follows

$$\int_{B_r} |X^2 u|^2 dx \leq cr^{-2} \left(\int_{B_{2r}} |X^2 u|^{\frac{2Q}{Q+2}} dx \right)^{\frac{Q+2}{Q}} + \int_{B_{2r}} f^2 dx,$$

from which

$$\int_{B_r} |X^2 u|^2 dx \leq c \left(\int_{B_{2r}} |X^2 u|^{\frac{2Q}{Q+2}} dx \right)^{\frac{Q+2}{Q}} + \int_{B_{2r}} f^2 dx. \quad (3.6)$$

Now we can apply Lemma 3.5 with $U = |X^2 u|^{\frac{2Q}{Q+2}}$, $t = \frac{Q+2}{Q}$, $G = |f|^{\frac{2Q}{Q+2}}$ and $s = \frac{p(Q+2)}{2Q}$, to obtain the thesis. \square

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