

## BOUNDARY CONTROLLABILITY FOR A NONLINEAR BEAM EQUATION

XIAO-MIN CAO

ABSTRACT. This article concerns a nonlinear system modeling the bending vibrations of a nonlinear beam of length  $L > 0$ . First, we derive the existence of long time solutions near an equilibrium. Then we prove that the nonlinear beam is locally exact controllable around the equilibrium in  $H^4(0, L)$  and with control functions in  $H^2(0, T)$ . The approach we used are open mapping theorem, local controllability established by linearization, and the induction.

### 1. INTRODUCTION AND STATEMENT OF MAIN RESULTS

We consider a controllability problem for a system modeling the bending vibrations of a nonlinear beam of length  $L > 0$ . Let  $\phi$  denote the deflection of the beam, the left end of the beam is fixed, and an appropriate shear force  $u$  exerted on the right end of the beam, then the equations of motion describing beam bending are

$$\begin{aligned} \phi_{tt} + (a(x, \phi)\phi'')'' &= b(x, \phi, \phi', \phi''), \quad x \in (0, L), \quad t \in (0, T), \\ \phi(x, 0) &= \phi_0(x), \quad \phi_t(x, 0) = \phi_1(x), \quad x \in (0, L), \\ \phi(0, t) &= 0, \quad \phi'(0, t) = 0, \quad t \in (0, T), \\ \phi(L, t) &= 0, \quad \phi'(L, t) = u(t), \quad t \in (0, T), \end{aligned} \tag{1.1}$$

where  $a(x, y)$ ,  $b(x, y_1, y_2, y_3)$  are smooth functions on  $[0, L] \times \mathbb{R}$  and  $[0, L] \times \mathbb{R}^3$ , respectively, such that

$$a(x, y) > 0, \quad \forall (x, y) \in [0, L] \times \mathbb{R} \tag{1.2}$$

$$b(x, 0, 0, 0) = 0, \quad \forall x \in [0, L] \tag{1.3}$$

Let  $\phi_0, \phi_1, \widehat{\phi}_0, \widehat{\phi}_1$  be given functions and  $T > 0$  be given. If there is boundary function  $u$  on  $(0, T)$  such that the solution of (1.1) satisfies  $\phi(T) = \widehat{\phi}_0$ ,  $\phi_t(T) = \widehat{\phi}_1$  on  $[0, L]$ , we say the system (1.1) is exactly controllable from  $(\phi_0, \phi_1)$  to  $(\widehat{\phi}_0, \widehat{\phi}_1)$  at time  $T$  by controlled moment on the right end.

Boundary exact controllability on linear beam problems has been studied for many years, see [8, 9, 11, 12, 15, 17, 18], and many others.

To the best of our knowledge, there is little about the boundary control of nonlinear beam equation in the existing related papers. Recently, Yao and G. Weiss [27]

---

2010 *Mathematics Subject Classification.* 93B05, 35L75, 93C10, 93C20.

*Key words and phrases.* Nonlinear beam equation; locally exact controllability; equilibrium; smooth control.

©2015 Texas State University - San Marcos.

Submitted May 4, 2015. Published September 17, 2015.

consider a dynamical system with boundary input and output describing the bending vibrations of a quasi-linear beam, where the nonlinearity comes from Hooke's law. They show that the structure of the boundary input and output forces the system to admit global solutions at least when the initial data and the boundary input are small in a certain sense. And they prove that the norm of the state of the system decays exponentially if the input becomes zero after a finite time. Cindea and Tucsnak [3] study the exact controllability of a nonlinear plate equation by the means of a control which acts on an internal region of the plate. For rectangular domains, they obtain that the Berger system is locally exactly controllable in arbitrarily small time and for every open and nonempty control region.

Recently, using moment theory and Nash-Moser theorem, Beauchard [2] prove that the linear beam equation with clamped ends is locally controllable in a  $H^{5+\varepsilon} \times H^{3+\varepsilon}((0, 1), R)$  neighborhood of a particular trajectory of the free system, with  $\varepsilon > 0$  and with control functions in  $H_0^1((0, T), R)$ .

In the present work we will study boundary controllability for the nonlinear beam equation (1.1) by using some ideas of [21] for the nonlinear system of wave equations. First, we will derive the existence of long time solutions near an equilibrium. Then, the locally exact controllability of the system around the equilibrium will be established.

Let us choose some Sobolev spaces to formulate the problems. To get a smooth control, we assume initial data  $\phi_0 \in H^4(0, L), \phi_1 \in H^2(0, L)$  to study the controllability of the system around the equilibrium in  $H^4(0, L)$  at time  $T$  via a boundary control  $u \in H^2(0, T)$ . Obviously, control function we obtained is more smooth than the previous results [2, 9].

We say  $\omega \in H^4(0, L)$  is an equilibrium of the system (1.1) if

$$(a(x, \omega)\omega'')'' = b(x, \omega, \omega', \omega''). \quad (1.4)$$

Let  $\phi_0 \in H^4(0, L), \phi_1 \in H^2(0, L), u \in H^2(0, T)$ . We say these functions satisfy compatibility conditions if

$$\begin{aligned} \phi_0(0) = 0, \phi_0'(0) = 0, \phi_1(0) = 0, \phi_1'(0) = 0, \\ \phi_0(L) = 0, \phi_0'(L) = u(0), \phi_1(L) = 0, \phi_1'(L) = \dot{u}(0). \end{aligned}$$

Set

$$V(0, L) = \{\varphi | \varphi \in H^2(0, L), \varphi(0) = \varphi(L) = \varphi'(0) = 0.\} \quad (1.5)$$

The next result shows that near one equilibrium, the system has solutions of long time.

**Theorem 1.1.** *Let  $w \in H^4(0, L) \cap V(0, L)$  be an equilibrium of (1.1). Let  $T > 0$  be arbitrary given. Then there is  $\varepsilon_T > 0$ , which depends on the time  $T$ , such that if  $\phi_0 \in H^4(0, L) \cap V(0, L), \phi_1 \in V(0, L)$  satisfy*

$$\|\phi_0 - \omega\|_4 < \varepsilon_T, \|\phi_1\|_2 < \varepsilon_T,$$

*and  $u \in H^2(0, T)$  satisfies the compatibility conditions with  $(\phi_0, \phi_1)$  and  $\|u\|_2 < \varepsilon_T$ , then system (1.1) has a solution*

$$\phi \in C([0, T], H^4(0, L)) \cap C^1([0, T], H^2(0, L)) \cap C^2([0, T], L^2(0, L)).$$

Near an equilibrium, we have the following locally exact controllability results.

**Theorem 1.2.** *Let  $\omega \in H^4(0, L) \cap V(0, L)$  be an equilibrium of (1.1). Then, for  $T > 0$  given, there is  $\varepsilon_T > 0$  such that for any  $(\phi_0^i, \phi_1^i) \in (H^4(0, L) \cap V(0, L)) \times V(0, L)$  ( $i = 1, 2$ ) with*

$$\|\phi_0^i - \omega\|_4 < \varepsilon_T, \|\phi_1^i\|_2 < \varepsilon_T,$$

*we can find  $u \in H^2(0, T)$  which is compatible with  $(\phi_0^1, \phi_1^1)$  such that the solution of the system (1.1) with the initial data  $(\phi_0^1, \phi_1^1)$  satisfies*

$$\phi(T) = \phi_0^2, \quad \phi_t(T) = \phi_1^2.$$

## 2. EXISTENCE OF LONG TIME SOLUTIONS NEAR EQUILIBRIA

The existence of short time solutions for the nonlinear beam equation can be proved using standard arguments such as the nonlinear semigroups theory or the Galerkin method and fixed point arguments [1, 4, 16, 20]. We only study some energy estimates of the short time solutions to have long time solutions when initial data are close to an equilibrium here.

We suppose that the equilibrium is the zero in this section. If an equilibrium  $\omega \in H^4(0, L) \cap V(0, L)$  is not zero, we can make a transform by  $\phi = \omega + \psi$ , and consider the problem

$$\begin{aligned} \psi_{tt} + (a(x, \omega + \psi)(\psi'' + \omega''))'' &= b(x, \omega + \psi, \omega' + \psi', \omega'' + \psi''), \\ x &\in (0, L), t \in (0, T), \\ \psi(x, 0) &= \phi_0 - \omega, \quad \psi_t(x, 0) = \phi_1(x), \quad x \in (0, L), \\ \psi(0, t) &= 0, \psi'(0, t) = 0, \quad t \in (0, T), \\ \psi(L, t) &= 0, \quad \psi'(L, t) = u(t) - \omega'(L), \quad t \in (0, T), \end{aligned}$$

Let  $\phi \in C([0, T], H^4(0, L)) \cap C^1([0, T], H^2(0, L)) \cap C^2([0, T], L^2(0, L))$  be a solution of (1.1) for some  $T > 0$ . Suppose that  $u \in H^2(0, T)$ . We introduce

$$\begin{aligned} \mathcal{E}(t) &= \|\phi\|_4^2 + \|\phi_t\|_2^2 + \|\phi_{tt}\|^2, \\ \mathcal{E}_\Gamma(t) &= u^2(t) + \dot{u}^2(t) + \ddot{u}^2(t), \\ \mathcal{Q}(t) &= \|\phi_t\|^2 + \|\phi''\|^2 + \|\phi'_t\|^2 + \|\phi''' \|^2 + \|\phi_{tt}\|^2 + \|\phi_t''\|^2. \end{aligned}$$

For solutions of (1.1) near the zero equilibrium, we have the following result.

**Theorem 2.1.** *Let  $\gamma > 0$  be given and  $\phi$  be a solution of (1.1) on the interval  $[0, T]$  for some  $T > 0$  such that the condition*

$$\sup_{0 \leq t \leq T} \|\phi(t)\|_4 \leq \gamma. \quad (2.1)$$

*holds. Then there is  $c_\gamma > 0$ , which depends on the  $\gamma$  but is independent of initial data  $(\phi_0, \phi_1)$  and boundary functions  $u$ , such that*

$$\mathcal{Q}(t) \leq c_\gamma \mathcal{Q}(0) + c_\gamma \int_0^t \left[ (1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{\frac{3}{2}}(\tau)) \mathcal{E}(\tau) + \mathcal{E}_\Gamma(\tau) \right] d\tau. \quad (2.2)$$

and

$$\mathcal{Q}(t) \leq \mathcal{E}(t) \leq c_\gamma \mathcal{Q}(t) + c_\gamma \mathcal{E}_\Gamma(t), \quad (2.3)$$

for  $t \in [0, T]$ .

Here we list a few basic properties of Sobolev spaces to be invoked in the sequel.

(i) Let  $s_1 > s_2 \geq 0$ . For any  $\varepsilon > 0$  there is  $c_\varepsilon > 0$  such that

$$\|\varphi\|_{s_2}^2 \leq \varepsilon \|\varphi\|_{s_1}^2 + c_\varepsilon \|\varphi\|^2, \quad \forall \varphi \in H^{s_1}(0, L).$$

(ii) If  $m > \frac{1}{2}$ , then for each  $k = 0, 1, \dots$ , we have  $H^{m+k}(0, L) \subset C^k([0, L])$  with continuous inclusion.

(iii) If  $r := \min\{s_1, s_2, s_1 + s_2 - 1\} \geq 0$ , then there is a constant  $c > 0$  such that

$$\|fg\|_r \leq c \|f\|_{s_1} \|g\|_{s_2}, \quad \forall f \in H^{s_1}(0, L), g \in H^{s_2}(0, L). \quad (2.4)$$

**Lemma 2.2.** (i) Let  $f(x, y)$  be a smooth function on  $[0, L] \times \mathbb{R}$ . Set  $F(x) = f(x, \phi)$ , for  $0 \leq k \leq 4$ , then there is  $c = c(\sup_{x \in [0, L]} |\phi|) > 0$ , such that

$$\|F\|_k \leq c \sum_{j=0}^k (1 + \|\phi\|_4)^j. \quad (2.5)$$

(ii) Let  $f(x, y_1, y_2, y_3)$  be a smooth function on  $[0, L] \times \mathbb{R}^3$ . Set

$$G(x) = f(x, \phi, \phi', \phi''),$$

then there is  $c = c(\sup_{x \in [0, L]} |\phi|, \sup_{x \in [0, L]} |\phi'|, \sup_{x \in [0, L]} |\phi''|) > 0$ , such that

$$\|G\| \leq c. \quad (2.6)$$

*Proof.* (i) Inequality (2.5) is clearly true for  $k = 0$ . By using (2.4) and the induction of the order  $k$ , inequality (2.5) follows.

(ii) A standard method as to the linearly elliptic problem can give inequality (2.6), for example see Taylor [22].  $\square$

Observing the partial differential of the function  $a(x, \phi)$  and  $b(x, \phi, \phi', \phi'')$ , using the formula (2.4) and Lemma 2.2, we have the following lemma.

**Lemma 2.3.** Let  $\gamma > 0$  be given and  $\phi$  be a solution of (1.1) on the interval  $[0, T]$  for some  $T > 0$  such that the condition (2.1) holds true. Then there is  $c_\gamma > 0$ , which depends on the  $\gamma$ , such that

$$\begin{aligned} \|a_t\|_2 &\leq c_\gamma \mathcal{E}^{1/2}(t), & \|a'_t\|_1 &\leq c_\gamma (\mathcal{E}^{1/2}(t) + \mathcal{E}(t)), \\ \|a''_t\| &\leq c_\gamma (\mathcal{E}^{1/2}(t) + \mathcal{E}(t) + \mathcal{E}^{3/2}(t)), & \|b_t\| &\leq c_\gamma \mathcal{E}^{1/2}(t). \end{aligned}$$

**Lemma 2.4.** Let  $\gamma > 0$  be given and  $\phi$  be a solution of (1.1) on the interval  $[0, T]$  for some  $T > 0$  such that the condition (2.1) holds true. Set

$$\Upsilon_1(t) = \|\phi_t\|^2 + \|\phi''\|^2, \quad \Upsilon_2(t) = \|\phi'_t\|^2 + \|\phi'''\|^2, \quad \Upsilon_3(t) = \|\phi_{tt}\|^2 + \|\phi''_t\|^2.$$

Then there is  $c_\gamma > 0$ , which depends on the  $\gamma$ , such that

$$\Upsilon_1(t) \leq c_\gamma \Upsilon_1(0) + c_\gamma \int_0^t [(1 + \mathcal{E}^{1/2}(\tau))\mathcal{E}(\tau) + \mathcal{E}_\Gamma(\tau)] d\tau, \quad (2.7)$$

$$\Upsilon_2(t) + \Upsilon_3(t)$$

$$\leq c_\gamma (\Upsilon_2(0) + \Upsilon_3(0)) + c_\gamma \int_0^t \left[ (1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{3/2}(\tau))\mathcal{E}(\tau) + \mathcal{E}_\Gamma(\tau) \right] d\tau \quad (2.8)$$

for  $0 \leq t \leq T$ .

*Proof.* Let

$$P_1(t) = \|\phi_t\|^2 + (a\phi'', \phi'').$$

We obtain

$$\begin{aligned} \dot{P}_1(t) &= 2(\phi_t, \phi_{tt}) + 2(a\phi'', \phi_t'') + (a_t\phi'', \phi'') \\ &= 2(\phi_t, b - (a\phi'')'') + 2(a\phi'', \phi_t'') + (a_t\phi'', \phi'') \\ &= 2(\phi_t, b) + 2\dot{u}(t)(a\phi'')(L, t) + (a_t\phi'', \phi''). \end{aligned}$$

It follows that

$$\begin{aligned} \Upsilon_1(t) &\leq c_\gamma P_1(t) \\ &\leq c_\gamma P_1(0) + c_\gamma \int_0^t (\|\phi_t\| \|b\| + \|a_t\|_2 \|\phi''\|^2) dt + c_\gamma \int_0^t (\dot{u}^2(t) + \phi''^2(L, t)) dt \\ &\leq c_\gamma \Upsilon_1(0) + c_\gamma \int_0^t \left[ (1 + \mathcal{E}^{\frac{1}{2}}(\tau)) \mathcal{E}(\tau) + \mathcal{E}_\Gamma(\tau) \right] d\tau. \end{aligned}$$

To obtain inequality (2.8), first we differentiate equation (1.1) with respect to  $x$ , then we have

$$\phi'_{tt} + (a\phi'')''' = b'. \quad (2.9)$$

Let

$$P_2(t) = \|\phi'_t\|^2 + (a\phi''', \phi'''). \quad (2.10)$$

We obtain

$$\begin{aligned} \dot{P}_2(t) &= 2(\phi'_t, \phi'_{tt}) + 2(a\phi''', \phi'_t''') + (a_t\phi''', \phi''') \\ &= 2(\phi'_t, b' - (a\phi'')''') + 2(a\phi''', \phi'_t''') + (a_t\phi''', \phi''') \\ &= 2(\phi'_t, b') - 2\dot{u}(t)b(L, t) + 2\phi''_t(L, t)(a\phi''')(L, t) - 2\phi''_t(0, t)(a\phi''')(0, t) \\ &\quad - 2(\phi''_t, a'\phi''') + 2(\phi''_t, 2a'\phi'''' + a''\phi'') + (a_t\phi''', \phi'''). \end{aligned}$$

So

$$\begin{aligned} \Upsilon_2(t) &\leq c_\gamma P_2(t) \\ &\leq c_\gamma P_2(0) + c_\gamma \int_0^t \left[ (1 + \mathcal{E}^{1/2}(\tau)) \mathcal{E}(\tau) + \dot{u}^2(\tau) \right] d\tau \\ &\quad + \varepsilon \int_0^t (\phi''^2(0, t) + \phi''^2(L, t)) dt + c_{\gamma, \varepsilon} \int_0^t (\phi'''^2(0, t) + \phi'''^2(L, t)) dt. \end{aligned} \quad (2.11)$$

Furthermore, we differentiate (1.1) with respect to  $t$ , then we have

$$\phi_{ttt} + (a\phi_t'')'' + (a_t\phi_t'')' = b_t. \quad (2.12)$$

Let

$$P_3(t) = \|\phi_{tt}\|^2 + (a\phi_t'', \phi_t''). \quad (2.13)$$

We deduce that

$$\begin{aligned} \dot{P}_3(t) &= 2(\phi_{tt}, \phi_{ttt}) + 2(a\phi_t'', \phi_{tt}'') + (a_t\phi_t'', \phi_t'') \\ &= 2(\phi_{tt}, b_t - (a_t\phi_t'')'' - (a\phi_t'')'') + 2(a\phi_t'', \phi_{tt}'') + (a_t\phi_t'', \phi_t'') \\ &= 2(\phi_{tt}, b_t) - 2(\phi_{tt}, a_t\phi_t^{(4)}) - 4(\phi_{tt}, a_t'\phi_t''') - 2(\phi_{tt}, a_t''\phi_t'') \\ &\quad + 2\dot{u}(t)(a\phi_t'')(L, t) + (a_t\phi_t'', \phi_t''). \end{aligned}$$

So

$$\begin{aligned} \Upsilon_3(t) &\leq c_\gamma P_3(t) \\ &\leq c_\gamma P_3(0) + c_\gamma \int_0^t [(1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{\frac{3}{2}}(\tau))\mathcal{E}(\tau)] d\tau \\ &\quad + \varepsilon \int_0^t \phi_t''^2(L, t) dt + c_{\gamma, \varepsilon} \int_0^t \ddot{u}^2(t) dt. \end{aligned} \quad (2.14)$$

From (2.11) and (2.14), we conclude that

$$\begin{aligned} \Upsilon_2(t) + \Upsilon_3(t) &\leq c_\gamma (P_2(0) + P_3(0)) + \varepsilon \int_0^t (\phi_t''^2(0, t) + \phi_t''^2(L, t)) dt \\ &\quad + c_\gamma \int_0^t [(1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{\frac{3}{2}}(\tau))\mathcal{E}(\tau) + \dot{u}^2(t)] d\tau \\ &\quad + c_{\gamma, \varepsilon} \int_0^t (\phi'''^2(0, t) + \phi'''^2(L, t) + \ddot{u}^2(t)) dt. \end{aligned} \quad (2.15)$$

Next, we estimate the terms  $\int_0^t \phi_t''^2(0, t) dt$  and  $\int_0^t \phi_t''^2(L, t) dt$ .

Differentiating twice (1.1) with respect to  $x$ , multiplying the two sides of the equation by  $(L - x)\phi'''$  and integrating from 0 to  $L$  by parts, we obtain

$$\phi_{tt}'' + (a\phi'')^{(4)} = b'', \quad (2.16)$$

$$\begin{aligned} \int_0^L \phi_{tt}''(L - x)\phi''' dx &= \frac{\partial}{\partial t}(\phi_t'', (L - x)\phi''') + \frac{1}{2}L\phi_t''^2(0, t) - \frac{1}{2} \int_0^L \phi_t''^2 dx, \\ \int_0^L (b'' - (a\phi'')^{(4)})(L - x)\phi''' dx \\ &= (b'', (L - x)\phi''') - b'(0, t)L\phi'''(0, t) + \ddot{u}_1(t)L\phi'''(0, t) - \frac{1}{2}L(a\phi^{(4)})^2(0, t) \\ &\quad - \frac{1}{2} \int_0^L (a'(L - x) - a)\phi^{(4)2} dx + \int_0^L (3a'\phi^{(4)} + 3a''\phi''' + a'''\phi'')(L - x)\phi^{(4)} dx \\ &\quad - b(L, t)\phi'''(L, t) + b(0, t)\phi'''(0, t) + \int_0^L (a\phi'')''\phi^{(4)} dx. \end{aligned}$$

Whence

$$\begin{aligned} &\frac{1}{2}L\phi_t''^2(0, t) \\ &= -\frac{\partial}{\partial t}(\phi_t'', (L - x)\phi''') + \frac{1}{2} \int_0^L \phi_t''^2 dx + (b'', (L - x)\phi''') \\ &\quad - b'(0, t)L\phi'''(0, t) - \frac{1}{2}L(a\phi^{(4)})^2(0, t) - \frac{1}{2} \int_0^L (a'(L - x) - a)\phi^{(4)2} dx \\ &\quad + \int_0^L (3a'\phi^{(4)} + 3a''\phi''' + a'''\phi'')(L - x)\phi^{(4)} dx \\ &\quad - b(L, t)\phi'''(L, t) + b(0, t)\phi'''(0, t) + \int_0^L (a\phi'')''\phi^{(4)} dx. \end{aligned} \quad (2.17)$$

It follows that

$$\begin{aligned} \int_0^t \phi_t''^2(0, t) dt &\leq c_\gamma \left( \Upsilon_2(0) + \Upsilon_3(0) + \Upsilon_2(t) + \Upsilon_3(t) \right) \\ &\quad + c_\gamma \int_0^t \left[ \left( 1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{\frac{3}{2}}(\tau) \right) \mathcal{E}(\tau) \right] d\tau. \end{aligned} \quad (2.18)$$

Similarly, with respect to the term  $\int_0^t \phi_t''^2(L, t) dt$ , multiplying the two sides of (2.16) by  $x\phi'''$  and integrating from 0 to  $L$  by parts, we obtain

$$\int_0^L \phi_{tt}'' x \phi''' dx = \frac{\partial}{\partial t} (\phi_t'', x\phi''') + \frac{1}{2} L \phi_t''^2(L, t) - \frac{1}{2} \int_0^L \phi_t''^2 dx, \quad (2.19)$$

and

$$\begin{aligned} &\int_0^L (b'' - (a\phi'')^{(4)}) x \phi''' dx \\ &= (b'', x\phi''') - b'(L, t) L \phi'''(L, t) + \ddot{u}(t) L \phi'''(L, t) + \frac{1}{2} L (a\phi^{(4)})^2(L, t) \\ &\quad - \frac{1}{2} \int_0^L (a'x + a) \phi^{(4)2} dx + \int_0^L (3a' \phi^{(4)} + 3a'' \phi''' + a''' \phi'') x \phi^{(4)} dx \\ &\quad + b(L, t) \phi'''(L, t) - b(0, t) \phi'''(0, t) - \int_0^L (a\phi'')'' \phi^{(4)} dx. \end{aligned} \quad (2.20)$$

Using (2.19) and (2.20) we have

$$\begin{aligned} &\frac{1}{2} L \phi_t''^2(L, t) \\ &= -\frac{\partial}{\partial t} (\phi_t'', x\phi''') + \frac{1}{2} \int_0^L \phi_t''^2 dx + (b'', x\phi''') - b'(L, t) L \phi'''(L, t) \\ &\quad + \ddot{u}(t) L \phi'''(L, t) + \frac{1}{2} L (a\phi^{(4)})^2(L, t) - \frac{1}{2} \int_0^L (a'x + a) \phi^{(4)2} dx \\ &\quad + \int_0^L (3a' \phi^{(4)} + 3a'' \phi''' + a''' \phi'') x \phi^{(4)} dx \\ &\quad + b(L, t) \phi'''(L, t) - b(0, t) \phi'''(0, t) - \int_0^L (a\phi'')'' \phi^{(4)} dx. \end{aligned} \quad (2.21)$$

We deduce the inequality

$$\begin{aligned} \int_0^t \phi_t''^2(L, t) dt &\leq c_\gamma \left( \Upsilon_2(0) + \Upsilon_3(0) + \Upsilon_2(t) + \Upsilon_3(t) \right) \\ &\quad + c_\gamma \int_0^t \left[ \left( 1 + \mathcal{E}^{1/2}(\tau) + \mathcal{E}(\tau) + \mathcal{E}^{\frac{3}{2}}(\tau) \right) \mathcal{E}(\tau) + \ddot{u}^2(t) \right] d\tau. \end{aligned} \quad (2.22)$$

Finally, Substituting inequality (2.18) and (2.22) in (2.15), choosing  $\varepsilon > 0$  small enough such that the term  $\varepsilon c_\gamma [\Upsilon_2(t) + \Upsilon_3(t)]$  can be moved to the left hand side of the inequality, then (2.8) is obtained.  $\square$

*Proof of Theorem 2.1.* Lemma 2.4 gives inequality (2.2). To prove inequality (2.3), we notice that  $a\phi^{(4)} = b - \phi_{tt} - 2a'\phi''' - a''\phi''$ , then there is  $c_\gamma > 0$ , such that

$$\begin{aligned} \|\phi^{(4)}\|^2 &\leq c_\gamma (a\phi^{(4)}, \phi^{(4)}) \\ &= c_\gamma (b - \phi_{tt} - 2a'\phi''' - a''\phi'', \phi^{(4)}) \end{aligned}$$

$$\leq c_{\gamma,\varepsilon}(\|b\|^2 + \|\phi_{tt}\|^2 + \|\phi'''\|^2 + \|\phi''\|^2) + \varepsilon\|\phi^{(4)}\|^2.$$

Choosing  $\varepsilon$  sufficiently small, then there is  $c_\gamma > 0$ , such that

$$\|\phi^{(4)}\|^2 \leq c_\gamma(\|b\|^2 + \|\phi_{tt}\|^2 + \|\phi'''\|^2 + \|\phi''\|^2) \leq c_\gamma \mathcal{Q}(t).$$

From the definition of  $\mathcal{Q}(t)$  and  $\mathcal{E}(t)$  and using the Poincaré inequality, inequality (2.3) holds.  $\square$

Using the standard method as for the global solution of partial differential equation, from Theorem 2.1 we have the following proof.

*Proof of Theorem 1.1.* Clearly, it will suffice to prove Theorem 1.1 for the zero equilibrium  $\omega = 0$ .

Let  $T_1 > 0$  be arbitrary given. We take  $\gamma = 1$ . Let

$$c_1 = c_\gamma \geq 1 \tag{2.23}$$

be fixed such that the corresponding inequalities (2.2) and (2.3) of Theorem 2.1 hold for  $t$  in the existence interval of the solution  $\phi$ .

We shall prove that, if the initial data  $(\phi_0, \phi_1)$  and boundary value  $u$  are compatible and satisfy

$$\mathcal{E}(0) + \max_{0 \leq t \leq T_1} \mathcal{E}_\Gamma(t) + \int_0^{T_1} \mathcal{E}_\Gamma(t) dt \leq \frac{1}{16c_1^3} e^{-4c_1^2 T_1}, \tag{2.24}$$

then the solution of problem (1.1) exists at least on the interval  $[0, T_1]$ .

We set

$$\eta = \frac{1}{4c_1} < \frac{1}{2}. \tag{2.25}$$

Since  $\mathcal{E}(0) \leq \frac{\eta}{4}$ , the solution of short time must satisfy

$$\mathcal{E}(t) \leq \eta \leq \frac{1}{2} \tag{2.26}$$

for some interval  $[0, \delta]$ . Let  $\delta_0$  be the largest number such that (2.26) is true for  $t \in [0, \delta_0]$ . We shall prove  $\delta_0 \geq T_1$  by contradiction.

Suppose that  $\delta_0 < T_1$ . In this interval  $t \in [0, \delta_0]$ , the condition (2.1) is true. We apply Theorem 2.1 and the inequalities (2.2) and (2.3), via (2.23)–(2.26). Then we conclude that

$$\mathcal{E}(t) \leq 2c_1^2[\mathcal{E}(0) + \max_{0 \leq t \leq T_1} \mathcal{E}_\Gamma(t) + \int_0^{T_1} \mathcal{E}_\Gamma(t) dt] + 4c_1^2 \int_0^t \mathcal{E}(t) dt, \tag{2.27}$$

for  $t \in [0, \delta_0]$ . By (2.24) and (2.27), the Gronwall inequality yields

$$\mathcal{E}(\delta_0) \leq \eta/2 < \eta.$$

This is a contradiction.  $\square$

### 3. LOCALLY EXACT CONTROLLABILITY

Let  $T > 0$  given. The first step of the proof for the local exact controllability depends on the following fact: Let  $X$  and  $Y$  be Banach spaces and  $\Phi : U \rightarrow Y$ , where  $U$  is an open subset of  $X$ , be Frechét differentiable. If  $\Phi'(x_0) : X \rightarrow Y$  is surjective, then there is an open neighborhood of  $y_0 = \Phi(x_0)$  contained in the image  $\Phi(U)$ .



Given an equilibrium  $\omega \in H^4(0, L) \cap V(0, L)$ , We invoke Theorem 1.1 to define the map for  $u \in H^2(0, T)$  by setting

$$F(u) = (\psi(\cdot, T), \psi_t(\cdot, T)),$$

where  $\psi$  is the solution to

$$\begin{aligned} \psi_{tt} + (a(x, \psi)\psi'')'' &= b(x, \psi, \psi', \psi''), & x \in (0, L), t \in (0, T), \\ \psi(x, 0) &= \omega(x), & \psi_t(x, 0) = 0, & x \in (0, L), \\ \psi(0, t) &= 0, & \psi'(0, t) = 0, & t \in (0, T), \\ \psi(L, t) &= 0, & \psi'(L, t) = u + \omega'(L), & t \in (0, T), \end{aligned} \quad (3.1)$$

Let  $\varepsilon_T > 0$  be given by Theorem 1.1. Then

$$F : B(0, \varepsilon_T) \rightarrow (H^4(0, L) \cap V(0, L)) \times V(0, L), \quad (3.2)$$

where  $B(0, \varepsilon_T) \subset H^2(0, T)$  is the ball with the radius  $\varepsilon_T$  centered at 0. We note that  $F(0) = (\omega(x), 0)$ .

We need to evaluate  $F'(0)(u) = D_\lambda F(\lambda u)|_{\lambda=0}$ . It is easy to check that  $F'(0)(u) = (\psi(\cdot, T), \psi_t(\cdot, T))$ , where  $\psi(x, t)$  is the solution of the linear system

$$\begin{aligned} \psi_{tt} + (p(x)\psi'')'' &= b_1(x)\psi + b_2(x)\psi' + b_3(x)\psi'', & x \in (0, L), t \in (0, T), \\ \psi(x, 0) &= 0, & \psi_t(x, 0) = 0, & x \in (0, L), \\ \psi(0, t) &= 0, & \psi'(0, t) = 0, & t \in (0, T), \\ \psi(L, t) &= 0, & \psi'(L, t) = u(t), & t \in (0, T), \end{aligned} \quad (3.3)$$

and

$$\begin{aligned} p(x) &= a(x, \omega), \\ b_1(x) &= b_{y_1}(x, \omega, \omega', \omega'') - (a_y(x, \omega)\omega'')'', \\ b_2(x) &= b_{y_2}(x, \omega, \omega', \omega'') - 2(a_y(x, \omega)\omega'')', \\ b_3(x) &= b_{y_3}(x, \omega, \omega', \omega'') - a_y(x, \omega)\omega'''. \end{aligned}$$

We now verify that  $F'(0)$  is surjective. In the language of control theory surjectivity is just exact controllability, which for a reversible system such as (3.3) is equivalent to null controllability.

Explicitly one has to show that, for  $T > 0$  given, given  $\psi_0 \in H^4(0, L) \cap V(0, L)$ ,  $\psi_1 \in V(0, L)$ , one can find  $u \in H^2(0, T)$  such that the solution to

$$\begin{aligned} \psi_{tt} + (p(x)\psi'')'' &= b_1(x)\psi + b_2(x)\psi' + b_3(x)\psi'', & x \in (0, L), t \in (0, T), \\ \psi(x, 0) &= \psi_0(x), & \psi_t(x, 0) = \psi_1(x), & x \in (0, L), \\ \psi(0, t) &= 0, & \psi'(0, t) = 0, & t \in (0, T), \\ \psi(L, t) &= 0, & \psi'(L, t) = u(t), & t \in (0, T), \end{aligned} \quad (3.4)$$

satisfies  $\psi(\cdot, T) = 0$  and  $\psi_t(\cdot, T) = 0$ .

**Theorem 3.1.** *Given an equilibrium  $\omega \in H^4(0, L) \cap V(0, L)$ . Let  $T > 0$  given. Then for any*

$$(\psi^0, \psi^1) \in (H^4(0, L) \cap V(0, L)) \times V(0, L),$$

*there is a control  $u \in H^2(0, T)$  such that the solution*

$$\psi \in C([0, T], H^4(0, L)) \cap C^1([0, T], H^2(0, L)) \cap C^2([0, T], L^2(0, L))$$

*of problem (3.4) satisfies  $\psi(\cdot, T) = 0$  and  $\psi_t(\cdot, T) = 0$ .*

Unfortunately, our proof does not give any information on the time  $T$  needed for the controlled motion.

**3.1. Distributed control.** As to the exact controllability of linear systems by distributed control, there is a long history and a lot of results where many approaches are involved. Here the distributed control means that solutions  $(\psi(t), \psi_t(t))$  of the controlled system (3.4) are only in the space  $L^2(0, L) \times H^{-2}(0, L)$  for  $t \in [0, T]$ . One of the useful approaches is the multiplier method, which introduced by Ho [6] where Lions [15] provided a key technique of multipliers for observability estimates, to control the linear system by its duality system. In the case of constant coefficients, Lagnese [9, 11], study the controllability of linear beam by using the multiplier method. In this subsection, we will consider the controllability of linear beam with variable coefficients.

As noted in [9], everything is therefore going to rely on a prior inequality

$$\|(\phi_0, \phi_1)\|_{H_0^2 \times L^2}^2 \leq C_T \int_0^T \phi''^2(L, t) dt, \quad \forall (\phi_0, \phi_1) \in H_0^2(0, L) \times L^2(0, L) \quad (3.5)$$

where  $\phi$  is the solution of the system

$$\begin{aligned} \phi_{tt} + (p(x)\phi'')'' &= b_1(x)\phi - (b_2(x)\phi)' + (b_3(x)\phi)'', & x \in (0, L), t \in (0, T), \\ \phi(x, 0) &= \phi_0(x), \quad \phi_t(x, 0) = \phi_1(x), & x \in (0, L), \\ \phi(0, t) &= 0, \quad \phi'(0, t) = 0, & t \in (0, T), \\ \phi(L, t) &= 0, \quad \phi'(L, t) = 0, & t \in (0, T), \end{aligned} \quad (3.6)$$

Given  $\phi_0 \in H_0^2(0, L), \phi_1 \in L^2(0, L)$ . We define  $\phi$  as the solution to (3.6) and we define  $\eta$  as the solution of

$$\begin{aligned} \eta_{tt} + (p(x)\eta'')'' &= b_1(x)\eta + b_2(x)\eta' + b_3(x)\eta'' & x \in (0, L), t \in (0, T), \\ \eta(x, T) &= 0, \quad \eta_t(x, T) = 0, & x \in (0, L), \\ \eta(0, t) &= 0, \quad \eta'(0, t) = 0, & t \in (0, T), \\ \eta(L, t) &= 0, \quad \eta'(L, t) = \phi''(L, t), & t \in (0, T), \end{aligned} \quad (3.7)$$

We define the operator  $\Lambda : H_0^2(0, L) \times L^2(0, L) \rightarrow H^{-2}(0, L) \times L^2(0, L)$  by

$$\lambda(\phi_0, \phi_1) = (\eta_t(0), -\eta(0)) \quad (3.8)$$

The solution  $\eta$  of (3.7) is a weak solution defined by transposition, in such a way that Green's formula makes sense. Therefore

$$\langle \Lambda(\phi_0, \phi_1), (\phi_0, \phi_1) \rangle = \int_0^T p(L)\phi''^2(L, t) dt. \quad (3.9)$$

**Lemma 3.2.** *Let  $\phi$  be a solution of (3.6). Then there exist constants  $c, c_0 > 0$  such that for  $T \geq t > 0$ :*

$$e^{-ct}E(0) - N(T) \leq E(t) \leq [E(0) + N(T)]e^{ct}, \quad (3.10)$$

where we introduced the energy

$$E(t) = \int_0^L (\phi_t^2 + p(x)\phi''^2) dx$$

and set  $N(T) = c_0 \int_0^T \|\phi\|_1^2 dt$ .

*Proof.* Multiplying equation (3.6) by  $\phi_t$  and integrating over  $(s, t) \times (0, L)$  by parts in  $t$  on the left-hand side we obtain that for all  $s, t$ :

$$E(t) = E(s) + 2 \int_s^t \int_0^L \phi_t \left( b_1(x)\phi - (b_2(x)\phi)' + (b_3(x)\phi)'' \right) dx dt. \quad (3.11)$$

By Schwartz inequality, we obtain for  $t \geq s \geq 0$ :

$$2 \int_s^t \int_0^L \phi_t \left( b_1(x)\phi - (b_2(x)\phi)' + (b_3(x)\phi)'' \right) dx dt \leq N(T) + c \int_s^t E(\tau) d\tau.$$

So

$$E(t) \leq [E(s) + N(T)] + c \int_s^t E(\tau) d\tau, \quad (3.12)$$

$$E(s) \leq [E(t) + N(T)] + c \int_s^t E(\tau) d\tau. \quad (3.13)$$

We apply the classical argument of the Gronwall's inequality to (3.12) and (3.13), where we note that the terms into the square brackets are independent of  $s$  in (3.13), we thus obtain for  $t \geq s \geq 0$ :

$$E(t) \leq [E(s) + N(T)]e^{c(t-s)}; \quad E(s) \leq [E(t) + N(T)]e^{c(t-s)}. \quad (3.14)$$

Setting  $s = 0$  and thus  $t > 0$  in (3.14) yields (3.10).  $\square$

The observability inequality for the system (3.6) is covered in the following lemma.

**Lemma 3.3.** *Let an equilibrium  $\omega \in H^4(0, L) \cap V(0, L)$  be given. Then for  $T > 0$ ,  $\Lambda$  is an isomorphism from  $H_0^2(0, L) \times L^2(0, L)$  onto  $H^{-2}(0, L) \times L^2(0, L)$ . In particular, there are constants  $C_1 > 0$  and  $C_2 > 0$  such that the inequality*

$$C_1 \|(\phi_0, \phi_1)\|_{H_0^2 \times L^2}^2 \leq \int_0^T p(L)\phi''^2(L, t) dt \leq C_2 \|(\phi_0, \phi_1)\|_{H_0^2 \times L^2}^2 \quad (3.15)$$

holds true for  $(\phi_0, \phi_1) \in H_0^2(0, L) \times L^2(0, L)$ .

*Proof.* Assume that  $\phi_0 \in H_0^2(0, L)$ ,  $\phi_1 \in L^2(0, L)$ . Then (3.6) admits a unique solution  $\phi \in C([0, T]; H_0^2(0, L)) \cap C^1([0, T]; L^2(0, L))$  and the energy  $E(t)$  of the system (3.6) satisfies

$$E(0) = E(\phi_0, \phi_1) = \int_0^L (\phi_1^2 + p(x)\phi_0'^2) dx.$$

That is, we are going to prove that there exist two constants  $C_1, C_2 > 0$  such that:

$$C_1 E(0) \leq \int_0^T p(L)\phi''^2(L, t) dt \leq C_2 E(0). \quad (3.16)$$

We always have the right side of (3.16), so we just need to prove that

$$\int_0^T p(L)\phi''^2(L, t) dt \geq C_1 E(0).$$

We use multiplier  $h(x)\phi'$ , where  $h(x)$  is a function on the interval  $[0, L]$ , and we obtain

$$\int_0^L \int_0^T \phi_{tt} h(x)\phi' dx dt = (h(x)\phi', \phi_t)|_0^T + \frac{1}{2} \int_0^T \int_0^L h'(x)\phi_t^2 dx dt.$$

$$\begin{aligned}
& \int_0^T \int_0^L (p(x)\phi'')'' h(x)\phi' dx dt \\
&= -\frac{1}{2} \int_0^T p(x)h(x)\phi''^2|_0^L dt + \frac{3}{2} \int_0^T \int_0^L p(x)h'(x)\phi''^2 dx dt \\
&\quad - \frac{1}{2} \int_0^T \int_0^L p'(x)h(x)\phi''^2 dx dt - \frac{1}{2} \int_0^T \int_0^L (p(x)h''(x))'\phi'^2 dx dt. \\
&\quad \int_0^T \int_0^L (b_1(x)\phi - (b_2(x)\phi)' + (b_3(x)\phi)'')h\phi' dx dt \\
&= \int_0^T \int_0^L (m_1(x)\phi^2 + m_2(x)\phi'^2) dx dt.
\end{aligned}$$

These equalities give

$$\begin{aligned}
& (h(x)\phi', \phi_t)|_0^T + \frac{1}{2} \int_0^T \int_0^L h'(x)\phi_t^2 dx dt + \frac{3}{2} \int_0^T \int_0^L p(x)h'(x)\phi''^2 dx dt \\
&\quad - \frac{1}{2} \int_0^T \int_0^L p'(x)h(x)\phi''^2 dx dt - \frac{1}{2} \int_0^T \int_0^L (p(x)h''(x))'\phi'^2 dx dt \\
&\quad - \frac{1}{2} \int_0^T p(x)h(x)\phi''^2|_0^L dt \\
&= \int_0^T \int_0^L (m_1(x)\phi^2 + m_2(x)\phi'^2) dx dt.
\end{aligned}$$

Next we use another multiplier  $h'(x)\phi$ . Integrating by part on  $[0, T] \times [0, L]$ , we obtain

$$\begin{aligned}
& \int_0^L \int_0^T \phi_{tt}h'(x)\phi dx dt = (h'(x)\phi, \phi_t)|_0^T - \int_0^T \int_0^L h'(x)\phi_t^2 dx dt. \\
& \int_0^L \int_0^T (p(x)\phi'')'' h'(x)\phi dx dt \\
&= \int_0^T \int_0^L p(x)h'(x)(\phi'')^2 dx dt + \frac{1}{2} \int_0^T \int_0^L (p(x)h'''(x))''\phi^2 dx dt \\
&\quad - \int_0^T \int_0^L (p(x)h'''(x) + (p(x)h''(x))')\phi'^2 dx dt, \\
&\quad \int_0^T \int_0^L (b_1(x)\phi - (b_2(x)\phi)' + (b_3(x)\phi)'')h'(x)\phi dx dt \\
&= \int_0^T \int_0^L (m_3(x)\phi^2 + m_4(x)\phi'^2) dx dt,
\end{aligned}$$

where functions  $m_i(x)$  ( $i = 1, 2, 3, 4$ ) are the function of  $b_1(x)$ ,  $b_2(x)$ ,  $b_3(x)$ ,  $h(x)$  and their derivatives. Considering the fact that functions  $m_i(x)$  ( $i = 1, 2, 3, 4$ ) are all lower order term, we omit specific functions form here.

By using the above equalities, we have

$$\begin{aligned}
& \frac{1}{2} \int_0^T p(x)h(x)\phi''^2|_0^L dt \\
&= \left( h(x)\phi' - \frac{1}{2}h'(x)\phi, \phi_t \right) \Big|_0^T + \int_0^T \int_0^L h'(x)\phi_t^2 dx dt \\
&+ \frac{1}{2} \int_0^T \int_0^L p(x)h'(x)\phi''^2 dx dt \\
&+ \frac{1}{2} \int_0^T \int_0^L (p(x)h'(x) - p'(x)h(x))\phi''^2 dx dt \\
&- \int_0^T \int_0^L [d_1(x)\phi^2 + d_2(x)\phi'^2] dx dt,
\end{aligned} \tag{3.17}$$

Where functions  $d_1(x)$ ,  $d_2(x)$  are the function of  $b_1(x)$ ,  $b_2(x)$ ,  $b_3(x)$ ,  $h(x)$  and their derivatives.

Let  $h(x)$  be the solution of the problem

$$\begin{aligned}
h_x &= \frac{b}{p}h + 1, \\
h(0) &= 0.
\end{aligned} \tag{3.18}$$

where  $b(x) = \max(p_x, 0)$ , e.g.,

$$h(x) = e^{\int_0^x \frac{b}{p} dx} \int_0^x e^{-\int_0^s \frac{b}{p} d\tau} ds, \quad 0 < x < L.$$

Therefore, if we introduce

$$Y = \left( h(x)\phi' - \frac{1}{2}h'(x)\phi, \phi_t \right) \Big|_0^T.$$

From (3.17), we obtain

$$\frac{1}{2} \int_0^T p(L)h(L)\phi''^2(L, t) dt \geq \int_0^T E(t) dt - |Y| - C \int_0^T \int_0^L (\phi^2 + \phi'^2) dx dt. \tag{3.19}$$

For  $|Y|$ , we have

$$\begin{aligned}
|Y| &\leq \varepsilon \int_0^L \phi_t^2 dx \Big|_0^T + C_\varepsilon \int_0^L (\phi^2 + \phi'^2) dx \Big|_0^T \\
&\leq \varepsilon (E(T) + E(0)) + C_\varepsilon (\|\phi(T)\|_{H^1}^2 + \|\phi(0)\|_{H^1}^2).
\end{aligned}$$

Using the inequality in Lemma 3.2, we compute

$$\int_0^T E(t) dt \geq \left( \int_0^T e^{-ct} dt \right) E(0) - TN(T) \geq k_T [E(0) + E(T)] - \frac{N(T)}{2}, \tag{3.20}$$

where  $k_T = \left( \int_0^T e^{-ct} dt \right) \frac{e^{-cT}}{2}$  and  $E(T) \geq e^{-cT} E(0) - N(T)$ .

Using inequalities (3.19)-(3.20) and (3.15), we obtain

$$\begin{aligned}
& \frac{1}{2} \int_0^T p(L)h(L)\phi''^2(L, t) dt \\
&\geq k_T [E(0) + E(T)] - \frac{N(T)}{2} - C \int_0^T \int_0^L (\phi^2 + \phi'^2) dx dt
\end{aligned}$$

$$\begin{aligned}
& -\varepsilon \left\{ \left( E(T) + E(0) \right) + C_\varepsilon \left( \|\phi(T)\|_{H^1}^2 + \|\phi(0)\|_{H^1}^2 \right) \right\} \\
& \geq (k_T - \varepsilon)[E(0) + E(T)] - C_T \|\phi\|_{L^\infty([0,T];H^1(0,L))}^2 \\
& \geq (k_T - \varepsilon)[E(0) + e^{-cT}E(0) - N(T)] - C_T \|\phi\|_{L^\infty([0,T];H^1(0,L))}^2.
\end{aligned}$$

Choosing  $\varepsilon > 0$  small enough, such that  $k_T - \varepsilon > 0$ , we obtain

$$\alpha E(0) \leq \int_0^T p(L)h(L)\phi''^2(L)dt + C_T \|\phi\|_{L^\infty([0,T];H^1(0,L))}^2, \quad (3.21)$$

where  $\alpha = 2(k_T - \varepsilon)(1 + e^{-cT})$ .

By using a compactness and uniqueness argument similar to Lagnese [11], we can easily prove that there exist constant  $C > 0$ , such that

$$\|\phi\|_{L^\infty(0,T;H^1(0,L))}^2 \leq C \int_0^T \phi''^2(L,t)dt.$$

So the proof is complete.  $\square$

Therefore,  $\Lambda$  defines an isomorphism from  $H_0^2(0,L) \times L^2(0,L)$  onto  $H^{-2}(0,L) \times L^2(0,L)$ . For  $\psi_0 \in L^2(0,L)$ ,  $\psi_1 \in H^{-2}(0,L)$  given, we solve

$$\Lambda(\bar{\phi}_0, \bar{\phi}_1) = (\psi_1, -\psi_0).$$

Then we define  $\bar{\phi}$  as the corresponding solution of (3.6) and we take

$$u(t) = \bar{\phi}''(L,t) \in L^2(0,T),$$

which is the control driving the system to rest at time  $t = T$ .

Let us now introduce a new norm

$$\|(\phi_0, \phi_1)\|_{\text{new}}^2 = \int_0^T p(L)\phi''^2(L,t)dt.$$

It follows from (3.15) that for  $T > 0$ ,  $\|(\phi_0, \phi_1)\|_{\text{new}}$  is a norm which is equivalent to the  $H_0^2(0,L) \times L^2(0,L)$  norm.

However, the above control strategy only gives distributed control functions because solutions  $(\eta, \eta_t)$  of the controlled system (3.7) are only in  $L^2 \times H^{-2}$  no matter  $(\phi_0, \phi_1)$  are smooth or not.

**3.2. Smooth control.** Smooth control has been considered by Lasiecka and Triggiani [13, 14], Tataru [23]. Here we shall modify the above control strategy to obtain smooth control to meet the need of Theorem 3.1 by induction on the order of the space.

Firstly, we define operator  $B$  by

$$Bu = -(p(x)u'')'' + b_1(x)u - (b_2(x)u)'' + (b_3(x)u)'', \quad \forall u \in H^4(0,L). \quad (3.22)$$

Let  $T > 0$  be given. We assume that  $z \in C^\infty(-\infty, \infty)$  is such that  $0 \leq z(t) \leq 1$  with

$$z(t) = \begin{cases} 0, & t \geq T \\ 1, & t \leq 0 \end{cases} \quad (3.23)$$

For  $(\phi_0, \phi_1) \in (H^4(0, L) \cap H_0^2(0, L)) \times H_0^2(0, L)$  given, we solve (3.6) and then, instead of (3.7), we solve the problem

$$\begin{aligned} \eta_{tt} + (p(x)\eta'')'' &= b_1\eta + b_2\eta' + b_3\eta'', & x \in (0, L), t \in (0, T), \\ \eta(x, T) &= 0, & \eta_t(x, T) = 0, & x \in (0, L), \\ \eta(0, t) &= 0, & \eta'(0, t) = 0, & t \in (0, T), \\ \eta(L, t) &= 0, & \eta'(L, t) = z(t)\phi''(L, t), & t \in (0, T), \end{aligned} \quad (3.24)$$

Let  $\Lambda$  be given by (3.8) where  $\eta$  is the solution of (3.24) this time. It is easy to check that, for any  $(\phi_0, \phi_1), (\varphi_0, \varphi_1) \in H_0^2(0, L) \times L^2(0, L)$ ,

$$\langle \Lambda(\phi_0, \phi_1), (\varphi_0, \varphi_1) \rangle_{L^2 \times L^2} = \int_0^T z(t)p(L)\phi''(L, t)\varphi''(L, t)dt, \quad (3.25)$$

where  $\phi$  and  $\varphi$  are solutions of (3.6) with initial data  $(\phi_0, \phi_1)$  and  $(\varphi_0, \varphi_1)$ , respectively.

We shall show that problem (3.24) provides smooth controls to Theorem 3.1 by the following lemma.

**Lemma 3.4.** *Let  $\Lambda$  be given by (3.8) where  $\eta$  is the solution of (3.24). Then there are constants  $c_1 > 0$  and  $c_2 > 0$  such that*

$$\begin{aligned} c_1 \|(\phi_0, \phi_1)\|_{H^4(0, L) \times H^2(0, L)} &\leq \|\Lambda(\phi_0, \phi_1)\|_{L^2(0, L) \times H^2(0, L)} \\ &\leq c_2 \|(\phi_0, \phi_1)\|_{H^4(0, L) \times H^2(0, L)}, \end{aligned} \quad (3.26)$$

for all  $(\phi_0, \phi_1) \in (H^4(0, L) \cap H_0^2(0, L)) \times H_0^2(0, L)$ ,

*Proof.* From the previous argument,

$$\begin{aligned} c_1 \|(\phi_0, \phi_1)\|_{H^2(0, L) \times L^2(0, L)} &\leq \|\Lambda(\phi_0, \phi_1)\|_{H^{-2}(0, L) \times L^2(0, L)} \\ &\leq c_2 \|(\phi_0, \phi_1)\|_{H^2(0, L) \times L^2(0, L)} \end{aligned} \quad (3.27)$$

holds. Let  $(\phi_0, \phi_1) \in (H^4(0, L) \cap H_0^2(0, L)) \times H_0^2(0, L)$  be given, Suppose that  $\phi$  is the solution of problem (3.6) corresponding to the initial data  $(\phi_0, \phi_1)$ .

For  $(\varphi_0, \varphi_1) \in (H^6(0, L) \cap H_0^2(0, L)) \times (H^4(0, L) \cap H_0^2(0, L))$ , let  $\varphi$  is the solution of (3.6) corresponding to the initial data  $(\varphi_0, \varphi_1)$ . Then  $\varphi_t$  and  $\varphi_{tt}$  are the solutions of (3.6) corresponding to the initial data  $(\varphi_1, B\varphi_0)$  and  $(B\varphi_0, B\varphi_1)$ , respectively.

Using the initial data  $(\phi_0, \phi_1)$  and  $(B\varphi_0, B\varphi_1)$  in the formula (3.25), we obtain

$$(\eta(0), B\varphi_1) - (\eta_t(0), B\varphi_0) = - \int_0^T z(t)p(L)\phi''(L, t)\varphi_{tt}''(L, t)dt. \quad (3.28)$$

On the one hand, integrating by parts with respect to the variable  $t$  on  $[0, T]$ , we obtain

$$\begin{aligned} & - \int_0^T z(t)p(L)\phi''(L, t)\varphi_{tt}''(L, t)dt \\ &= p(L)\phi_0''(L)\varphi_1''(L) + p(L) \int_0^T z_t(t)\phi''(L, t)\varphi_t''(L, t)dt \\ & \quad + p(L) \int_0^T z(t)\phi_t''(L, t)\varphi_t''(L, t)dt \end{aligned}$$

On the other hand, using (3.22),

$$(\eta(0), B\varphi_1)$$

$$\begin{aligned}
&= - \int_0^L \eta(0)(p(x)\varphi_1'')'' dx + \int_0^L \eta(0) \left( b_1(x)\varphi_1 - (b_2(x)\varphi_1)' + (b_3(x)\varphi_1)'' \right) dx \\
&= p(L)\phi_0''(L)\varphi_1''(L) - \int_0^L (p(x)\eta''(0))'' \varphi_1 dx \\
&\quad + \int_0^L \left( b_1(x)\eta(0) + b_2(x)\eta'(0) + b_3(x)\eta''(0) \right) \varphi_1 dx
\end{aligned}$$

then

$$\begin{aligned}
&(\eta(0), B\varphi_1) - (\eta_t(0), B\varphi_0) \\
&= p(L)\phi_0''(L)\varphi_1''(L) - \int_0^L (p(x)\eta''(0))'' \varphi_1 dx \\
&\quad + \int_0^L \left( b_1(x)\eta(0) + b_2(x)\eta'(0) + b_3(x)\eta''(0) \right) \varphi_1 dx - (\eta_t(0), B\varphi_0).
\end{aligned}$$

So we have the identity

$$I(\phi, \varphi) = (B^*\eta(0), \varphi_1) - (\eta_t(0), B\varphi_0), \quad (3.29)$$

where

$$\begin{aligned}
I(\phi, \varphi) &= p(L) \int_0^T z_t(t)\phi''(L, t)\varphi_t''(L, t) dt + p(L) \int_0^T z(t)\phi_t''(L, t)\varphi_t''(L, t) dt, \\
B^*\eta(0) &= -(p(x)\eta''(0))'' + b_1(x)\eta(0) + b_2(x)\eta'(0) + b_3(x)\eta''(0).
\end{aligned}$$

Since  $(H^6(0, L) \cap H_0^2(0, L)) \times (H^4(0, L) \cap H_0^2(0, L))$  is dense in  $(H^4(0, L) \cap H_0^2(0, L)) \times H_0^2(0, L)$ , the identity (3.29) is true for all  $(\varphi_0, \varphi_1) \in (H^4(0, L) \cap H_0^2(0, L)) \times H_0^2(0, L)$ . Letting  $\varphi_0 = 0$  in (3.29), we obtain

$$I(\phi, \varphi) = (B^*\eta(0), \varphi_1), \quad (3.30)$$

for  $\varphi_1 \in H_0^2(0, L)$  where  $\varphi$  is the solution of (3.6) for the initial data  $(0, \varphi_1)$ .

Moreover, by inequality (3.15), we have the estimate

$$\begin{aligned}
&|I(\phi, \varphi)| \\
&= p(L) \int_0^T z_t(t)\phi''(L, t)\varphi_t''(L, t) dt + p(L) \int_0^T z(t)\phi_t''(L, t)\varphi_t''(L, t) dt \\
&\leq c \left[ \int_0^T p(L)(\phi''(L, t))^2 dt + \int_0^T p(L)(\phi_t''(L, t))^2 dt \right]^{1/2} \\
&\quad \times \left( \int_0^T p(L)(\varphi_t''(L, t))^2 dt \right)^{1/2} \quad (3.31) \\
&\leq c \left( E(\phi_0, \phi_1) + E(\phi_1, B\phi_0) \right)^{1/2} \left( \int_0^T p(L)(\varphi_t''(L, t))^2 dt \right)^{1/2} \\
&\leq c \left( \|\phi_0\|_4^2 + \|\phi_1\|_2^2 \right)^{1/2} \|\varphi_1\|_2.
\end{aligned}$$

In terms of (3.30)-(3.31), we obtain

$$\|B^*\eta(0)\|_{-2} \leq \sup_{\|\varphi_1\|_2=1} (B^*\eta(0), \varphi_1) \leq c(\|\phi_0\|_4^2 + \|\phi_1\|_2^2)^{1/2}. \quad (3.32)$$



Now using the ellipticity of the operator  $B^*$  and from (3.32), we have

$$\begin{aligned}\|\eta(0)\|_2 &\leq c\left(\|\eta(0)\|_0 + \|B^*\eta(0)\|_{-2}\right) \\ &\leq c\left(\|\eta(0)\|_0 + (\|\phi_0\|_4^2 + \|\phi_1\|_2^2)^{1/2}\right) \\ &\leq c(\|\phi_0\|_4^2 + \|\phi_1\|_2^2)^{1/2}.\end{aligned}$$

where  $\|\eta(0)\|_0 \leq c(\|\phi_0\|_2^2 + \|\phi_1\|_0^2)^{1/2}$  is used. A similar argument yields

$$\|\eta_t(0)\|_0 \leq c(\|\phi_0\|_4^2 + \|\phi_1\|_2^2)^{1/2}, \quad (3.33)$$

after we let  $\varphi_0 \in (H^4(0, L) \cap H_0^2(0, L))$ ,  $\varphi_1 = 0$  in (3.29).

Next, let us prove the left hand side of inequality (3.26). We set  $\phi_0 = \varphi_0$  and  $\phi_1 = \varphi_1$  in (3.29),

$$\begin{aligned}I(\phi, \phi) &\geq \int_0^{T_1} p(L)(\phi_t''(L, t))^2 dt - c_\varepsilon \int_0^T p(L)(\phi''(L, t))^2 dt \\ &\quad - \varepsilon \int_0^T p(L)(\phi_t''(L, t))^2 dt \\ &\geq \int_0^{T_1} p(L)(\phi_t''(L, t))^2 dt - c_\varepsilon E(\phi_0, \phi_1) - \varepsilon E(\phi_1, B\phi_0) \\ &\geq C_1 E(\phi_1, B\phi_0) - c_\varepsilon (\|\phi_0\|_2^2 + \|\phi_1\|_0^2).\end{aligned} \quad (3.34)$$

Since

$$\begin{aligned}&(B^*\eta(0), \phi_1) \\ &= - \int_0^L p(x)\eta''(0)\phi_1' dx + \int_0^L (b_1(x)\eta(0) + b_2(x)\eta'(0) + b_3(x)\eta''(0))\phi_1 dx,\end{aligned}$$

we have

$$\begin{aligned}&(B^*\eta(0), \phi_1) - (\eta_t(0), B\phi_0) \\ &\leq c(\|\eta(0)\|_2\|\phi_1\|_2 + \|\eta_t(0)\|_0\|B\phi_0\|_0) \\ &\leq c\left(\|\eta(0)\|_2^2 + \|\eta_t(0)\|_0^2\right)^{1/2} \left(\|\phi_1\|_2^2 + \|B\phi_0\|_0^2\right)^{1/2}.\end{aligned} \quad (3.35)$$

Using (3.34)-(3.35) and the induction assumption

$$c(\|\phi_0\|_2^2 + \|\phi_1\|_0^2) \leq \|\eta(0)\|_0^2 + \|\eta_t(0)\|_{-2}^2,$$

it follows that

$$\begin{aligned}\|\phi_0\|_4^2 + \|\phi_1\|_2^2 &\leq cE(\phi_1, B\phi_0) + c\left(\|\phi_0\|_2^2 + \|\phi_1\|_0^2\right) \\ &\leq c\left(\|\eta(0)\|_2^2 + \|\eta_t(0)\|_0^2\right) + c\left(\|\phi_0\|_2^2 + \|\phi_1\|_0^2\right) \\ &\leq c\left(\|\eta(0)\|_2^2 + \|\eta_t(0)\|_0^2\right).\end{aligned}$$

The proof is complete.  $\square$

A similar argument can be used for establishing the inequality

$$\begin{aligned}c_1\|(\phi_0, \phi_1)\|_{H^6(0, L) \times H^4(0, L)} &\leq \|\Lambda(\phi_0, \phi_1)\|_{H^2(0, L) \times H^4(0, L)} \\ &\leq c_2\|(\phi_0, \phi_1)\|_{H^6(0, L) \times H^4(0, L)}.\end{aligned} \quad (3.36)$$

for all  $(\phi_0, \phi_1) \in (H^6(0, L) \cap H_0^2(0, L)) \times (H^4(0, L) \cap H_0^2(0, L))$ .

*Proof of Theorem 3.1.* It follows that the operator

$$\Lambda : (H^6(0, L) \cap H_0^2(0, L)) \times (H^4(0, L) \cap H_0^2(0, L)) \rightarrow V(0, L) \times (H^4(0, L) \cap V(0, L))$$

is surjective. Let  $(\psi_0, \psi_1) \in (H^4(0, L) \cap V(0, L)) \times V(0, L)$  be given, then there is  $(\phi_0, \phi_1) \in (H^6(0, L) \cap H_0^2(0, L)) \times (H^4(0, L) \cap H_0^2(0, L))$  such that the control

$$u(t) = z(t)\phi''(L, t)$$

which drives system (3.4) to rest at the time  $T$ , where  $\phi$  is the solution of (3.6) with the initial data  $(\phi_0, \phi_1)$ .

Since  $\phi_t, \phi_{tt}$  is the solution of (3.6) with the initial data  $(\phi_1, B\phi_0)$  and  $(B\phi_0, B\phi_1)$  respectively, we conclude that  $\phi_t''(L, t), \phi_{tt}''(L, t) \in L^2(0, T)$  from Lemma 3.3. Then  $u \in H^2(0, T)$ .  $\square$

If we change the boundary control condition into  $\phi(0, t) = 0, \phi''(0, t) = 0, \phi(L, t) = 0, \phi'(L, t) = u(t)$  or others, the methods in this article still be applicable.

**Acknowledgements.** This research is supported by the National Science Foundation of China, grants no. 61104129, no. 11201272 and no. 11171195. I want to thank Professor Peng-Fei Yao for his valuable guidance. The author would like to thank the editor and the reviewer for their valuable comments and constructive suggestions which help to improve the presentation of this article.

#### REFERENCES

- [1] A. S. Ackleh, H. T. Banks, G. A. Pinter; *A nonlinear beam equation*, Applied Mathematics Letters, 15 (2002), 381-387.
- [2] K. Beauchard; *Local Controllability of a One-Dimensional Beam Equation*, SIAM Journal on Control and Optimization, 47 (2008), 1219-1273.
- [3] N. Cindea, M. Tucsnak; *Local exact controllability for Berger plate equation*, Mathematics of Control, Signals, and Systems, 21 (2009), 93-110.
- [4] C. M. Dafermos, W. J. Hrusa; *Energy Methods of Quasilinear Hyperbolic Initial-Boundary Value Problems*. Applications to Elastodynamics, Archive for Rational Mechanics and Analysis, 87 (1985), 267-292.
- [5] D. Gilbarg, N. S. Trudinger; *Elliptic Partial Differential Equations of Second Order*, Second Edition and Revised Third Printing, Springer-Verlag, 1998.
- [6] L. F. Ho; *Observabilité frontière del'équation des ondes*, C.R. Acad. Sci. Paris Sér. I Math., 302(1986),443-446.
- [7] T. Kato; *Linear evolution equations of hyperbolic type, II*, Journal of the Mathematical Society of Japan, 25 (1973), 648-666.
- [8] W. Krabs, G. Leugering, Thomas I. Seidman; *On Boundary Controllability of a Vibrating Plate*, Applied Mathematics & Optimization, 13 (1985), 205-229.
- [9] J. E. Lagnese; *Recent progress in exact boundary controllability and uniform, stabilizability of thin beams and plates*. Distributed Parameter Control Systems: New Trends and Applications, Lecture Notes in Pure and Applied Mathematics, 128 (1990),61-112.
- [10] J. E. Lagnese, G. Leugering; *Uniform stabilization of a nonlinear beam by nonlinear boundary feedback*, Journal of Differential Equations, 91 (1991), 355-388.
- [11] J. E. Lagnese, J. L. Lions; *Modelling analysis and control of thin plates*, Recherches en mathématiques appliquees. Masson,Paris 1988.
- [12] I. Lasiecka, R. Triggiani; *Exact controllability of the Euler-Bernoulli equation with controls in the Dirichlet and Neumann boundary conditions: a nonconservative case*, SIAM Journal on Control and Optimization, 27 (1989), 330-373.
- [13] I. Lasiecka, R. Triggiani; *Exact controllability of semilinear abstract systems with application to waves and plates boundary control problems*, Applied Mathematics & Optimization, 23 (1991),109-154.

- [14] I. Lasiecka, R. Triggiani; *Control Theory for Partial Differential Equations: Continuous and Approximation Theories, Volume II: Abstract Hyperbolic-Like Systems over a Finite Time Horizon* (422 pp.), Encyclopedia of Mathematics and its Applications, Cambridge University Press, 2000.
- [15] J. L. Lions; *Exact controllability, stabilization and perturbations for distributed system*, SIAM Review, 30 (1988), 1-68.
- [16] J. L. Lions, E. Magenes; *Non-Homogeneous Boundary Value Problems and Applications I*, Springer-Verlag, 1972.
- [17] B. P. Rao; *Exact boundary controllability of a hybrid system of elasticity by the HUM method*, ESAIM: Control, Optimisation and Calculus of Variations, 6 (2001), 183-199.
- [18] D. L. Russell; *Controllability and stability theory for linear partial differential equations: recent progress and open questions*, SIAM Review, 20 (1978), 639-739.
- [19] L. A. Segel, G. H. Handelman; *Mathematics Applied to Continuum Mechanics*, Macmillan, New York 1977.
- [20] M. Slemrod; *Global existence uniqueness and asymptotic stability of classical smooth solutions in one-dimensional non-linear thermoelasticity*, Archive for Rational Mechanics and Analysis, 76 (1981), 97-133.
- [21] E. J. P. G. Schmidt; *On a nonlinear wave equation and the control of an elastic string from one equilibrium location to another*, Journal of Mathematical Analysis and Applications, 272 (2002), 536-554.
- [22] M. E. Taylor; *Partial Differential Equations I*, Springer-Verlag, 1996.
- [23] D. Tataru; *Boundary controllability of conservative PDEs*, Applied Mathematics & Optimization, 31 (1995), 257-295. Based on a Ph.D. thesis at the University of Virginia, 1992.
- [24] D. Tataru; *Carleman estimates, unique continuation and controllability for anisotropic PDEs*. in Contemporary Mathematics, S. Cox and I. Lasiecka, ed., 209 (1997), 267-279.
- [25] D. Tataru; *Unique continuation for solutions to PDE's between Hörmander's theorem and Holmgren's theorem*. Communication in Partial Differential Equations, 20(1995), 855-884.
- [26] P. F. Yao; *Boundary controllability for the quasilinear wave equation*, Applied Mathematics & Optimization, 61 (2010), 191-233.
- [27] P. F. Yao, G. Weiss; *Global smooth solutions for a nonlinear beam with boundary input and output*, SIAM Journal on Control and Optimization, 45(6) (2007), 1931-1964.

XIAO-MIN CAO

SCHOOL OF MATHEMATICAL SCIENCES, SHANXI UNIVERSITY, TAIYUAN 030006, CHINA

E-mail address: caoxm@sxu.edu.cn