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# **On n-Normal Operators**

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#### Abstract

In this paper we introduce n-normal operators on a Hilbert space H. We give some basic properties of these operators. In general an n-normal operators need not be a normal operator, a hyponormal operator.

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## 1 Introduction

Throughout this paper, B(H) denotes to the algebra of all bounded linear operators acting on a complex Hilbert space H. An operator T is said to be normal if  $T^*T = TT^*$ , (it is well known that normal operators have translationinvariant property, i.e., if T is a normal operator, then  $(T - \lambda)$  is a normal operator for every  $\lambda \in \mathbb{C}$ ); self adjoint if  $T^* = T$ ; positive if  $T^* = T$  and  $\langle Tx, x \rangle \geq 0$  for all  $x \in H$ ; and projection if  $T^2 = T = T^*$ . For an operator  $T \in H$ , if ||Tx|| = ||x|| for all  $x \in H$  ( or equivalently  $T^*T = I$ ), then T is called an isometry. An onto isometry is called unitary. An operator  $T \in B(H)$ is called partial isometry if  $T^*T$  is projection. An operator T on H is called subnormal if there exists a Hilbert space K with H is a subspace of K and a normal operator N on K such that  $NH \subseteq H$  and N|H = T; T is hyponormal if  $T^*T \geq TT^*$ . Let  $T \in B(H)$  and  $x \in H$ . The sequence  $\{T^nx\}_{n=0}^{\infty}$  is called orbit of x under T, and is denoted by orb(T, x). If orb(T, x) is dense in H, then x is called a hypercyclic vector for T. An operator  $T \in B(H)$  is called scalar of order m if it possesses a spectral distribution of order m, i.e., if there is a continuous unital morphism  $\phi: C_0^m(\mathbb{C}) \longrightarrow B(H)$  such that  $\phi(z) = T$  where z stands for the identity function on  $\mathcal{C}$  and  $C_0^m(\mathbb{C})$  for the space of compactly supported functions on  $\mathbb{C}$ , continuously differentiable of order  $m, 0 \leq m \leq \infty$ . An operator  $T \in B(H)$  is called subscalar if it is similar to the restriction of a scalar operator to an invariant subspace.

#### 2 n-normal operators

**Definition 2.1.**  $T \in B(H)$  is called an *n*-normal operator if  $T^nT^* = T^*T^n$ .

**Proposition 2.2.** Let  $T \in B(H)$ . Then T is n-normal if and only if  $T^n$  is normal where  $n \in \mathbb{N}$ .

*Proof.* Let T is n-normal,  $T^nT^* = T^*T^n$ . Therefore

 $T^{n}(T^{*})^{n} = T^{*}T^{n}(T^{*})^{n-1} = T^{*}(T^{n}T^{*})(T^{*})^{n-2} = (T^{*})^{2}T^{n}(T^{*})^{n-2} = (T^{*})^{n}T^{n}.$ Then  $T^n$  is normal. Now, let  $T^n$  is normal. Since  $T^nT = TT^n$ , by Fuglede theorem [8],  $T^*T^n = T^nT^*$ . Therefore T is n-normal. 

It is clear that a bounded normal operator is n-normal for any n. The converse is not true. Indeed if  $T = \begin{pmatrix} i & 2 \\ 0 & -i \end{pmatrix}$ , then T is 2-normal which is not normal. And all nonzero nilpotent operators are n-normal operators, for  $n \geq k$  where k the index of nilpotance, but they are not normal. It is well known that if T is normal, then it is hyponormal. And if T is normal and  $T^k$ is compact for some k, then T is compact by [8]. The following example shows that these need not be true in case of *n*-normal operator.

**Example 2.3.** Let  $H = \ell^2$  and  $e_1, e_2, \dots$  be standard orthogonal basis for  $\ell^2$ . Define T on H by  $Te_i = \begin{cases} e_1, & i=1\\ e_{i+1}, & i=2j\\ 0, & i=2j+1 \end{cases}$ ,  $j = 1, 2, \dots$ . Then  $T^2 = P$ , where

P is the orthogonal projection on the space span by  $e_1$ . So T is 2-normal but neither T nor  $T^*$  is hyponormal.

Now, since  $T^2$  is a projection on one-dimensional space, it is compact. However, since range of T contains an infinite orthonormal set  $\{e_i, i = 1, 3, 5, \cdots\}$ , T is not compact.

The following example shows that there exists an operator which is subnormal but not *n*-normal for any  $n \in N$ .

**Example 2.4.** Let U be unilateral shift on  $\ell^2$  (i.e.,  $U(\alpha_0, \alpha_1, \cdots) = (0, \alpha_0, \alpha_1, \cdots)$ ). Then U is subnormal but for any  $n \in N$ ,  $U^n$  is not normal.

It is well known that if T is hyponormal and compact, then T is normal. But we note that the nilpotent operator  $T = \begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix}$  an *n*-normal operator, which is compact but not normal. Thus T is not hyponormal.

**Theorem 2.5.** The set of all n-normal operators on H is closed subset of B(H) which is closed under scalar multiplication.

Proof. First if T is n-normal, and  $\alpha$  is scalar, then  $(\alpha T)^n (\alpha T)^* = \alpha^n \overline{\alpha} (T^n T^*) = \overline{\alpha} \alpha^n (T^* T^n)$  and  $(\overline{\alpha} T^*) (\alpha^n T^n) = (\alpha T)^* (\alpha T)^n$ . Hence  $\alpha T$  is n-normal. Now, suppose that  $(T_k)$  is sequence of *n*-normal operators converging to T in B(H). Now,  $||T^n T^* - T^* T^n|| \leq ||T^n T^* - T_k^n T_k^*|| + ||T_k^* T_k^n - T^* T^n|| \longrightarrow 0$  as  $k \longrightarrow \infty$ . Hence  $T^* T^n = T^n T^*$ . Thus T is n-normal.

**Proposition 2.6.** Let  $T \in B(H)$  be n-normal. Then

- 1.  $T^*$  is n-normal.
- 2. If  $T^{-1}$  exists, then  $(T^{-1})$  is n-normal.
- 3. If  $S \in B(H)$  is unitary equivalent to T, then S is n-normal.
- 4. If M is a closed subspace of H such that M reduces T, then S = T/M is an n-normal operator.

*Proof.* (1) Since T is n-normal,  $T^n$  is normal. So  $(T^n)^* = (T^*)^n$  is normal,  $T^*$  is an n-normal operator.

(2) Since T is n-normal,  $T^n$  is normal. Since  $(T^n)^{-1} = (T^{-1})^n$  is normal,  $T^{-1}$  is an n-normal operator.

(3) Let T be an n-normal operator and S be unitary equivalent of T. Then there exists unitary operator U such that  $S = UTU^*$  so  $S^n = UT^nU^*$ . Since  $T^n$  is normal,  $S^n$  is normal. Therefore S is n-normal.

(4) Since T is n-normal,  $T^n$  is normal. So  $T^n/M$  is normal. And since M is invariant under T,  $T^n/M = (T/M)^n$ . Thus  $(T/M)^n$  is normal. So T/M is n-normal.

Now, the following example shows that the class of 2-normal operators may not have the translation-invariant property.  $\hfill \Box$ 

**Example 2.7.** Let  $T = \begin{pmatrix} 0 & T_1 \\ 0 & 0 \end{pmatrix}$ , where  $T_1 : H_1 \longrightarrow H$ . Then T is 2-normal operator. But  $[(T - \lambda)^{*2}, (T - \lambda)^2] = \begin{pmatrix} -4 \mid \lambda \mid^2 T_1 T_1^* & 0 \\ 0 & 4 \mid \lambda \mid^2 T_1^* T_1 \end{pmatrix}$  not necessarily equal to zero unless  $\lambda = 0$ . Hence  $(T - \lambda)^2$  is not normal. So  $(T - \lambda)$  is not necessarily 2-normal operator.

**Theorem 2.8.** If S, T are commuting n-normal operators, then ST is an n-normal operator.

Proof. Since S, T are commuting n-normal operators,  $S^n$ ,  $T^n$  are commuting normal operator. So  $S^nT^n$  is a normal operator. Since  $S^nT^n = (ST)^n$ ,  $(ST)^n$  is normal. Hence ST is n-normal.

The following example shows that Theorem 2.8 is not necessarily true if S, T are not commuting.

**Example 2.9.** Let  $S = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and  $T = \begin{pmatrix} i & 2 \\ 0 & -i \end{pmatrix}$  be operators on the Hilbert space  $\mathbb{C}^2$ . Then S and T are 2-normal. We note that  $ST = \begin{pmatrix} i & 2 \\ 0 & i \end{pmatrix} \neq \begin{pmatrix} i & -2 \\ 0 & i \end{pmatrix} = TS$ . But as  $(ST)^2 = \begin{pmatrix} -1 & 4i \\ 0 & -1 \end{pmatrix}$  is not normal, ST is not 2-normal.

**Corollary 2.10.** If T is n-normal, Then  $T^m$  is n-normal for any positive integer m.

The following example shows that sum of two commuting n-normal operators need not be n-normal.

**Example 2.11.** Let  $S = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $T = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ . Then S and T are commuting 2-normal. But  $S + T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  $(S + T)^2 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$  is not normal. Thus S + T is not 2-normal. We note here S is a selfadjoint operator.

**Proposition 2.12.** Let T, S be commuting n-normal operator, such that  $(S+T)^*$  commutes with  $\sum_{k=1}^{n-1} {n \choose k} S^{n-k}T^k$ . Then (S+T) is an n-normal operator.

*Proof.* Since 
$$(S+T)^n (S+T)^* = (\sum_{k=0}^n {n \choose k} S^{n-k} T^k) (S^* + T^*), \ (S+T)^n (S+T$$

$$T^{*} = S^{n}S^{*} + \sum_{k=1}^{n} {n \choose k} S^{n-k}T^{k}(S+T)^{*} + T^{n}S^{*} + S^{n}T^{*} + T^{n}T^{*}.$$
 And since

$$(S+T)^*$$
 is commuting with  $\sum_{k=1}^{n-1} {n \choose k} S^{n-k}T^k$ ,  $(S+T)^n (S+T)^* = S^*S^n + (S+1)^n (S+T)^*$ 

$$T)^* \sum_{k=1} \binom{n}{k} S^{n-k} T^k + S^* T^n + T^* S^n + T^* T^n. \text{ So } (S+T)^n (S+T)^* = (S+1)^n (S+T)^n (S+T)^* = (S+1)^n (S+T)^n (S+T)^n$$

$$T)^{*}(S^{n} + T^{n}) + (S + T)^{*}(\sum_{k=1}^{n} {n \choose k} S^{n-k} T^{k}). \text{ Hence } (S + T)^{n}(S + T)^{*} = (S + T)^{*}(\sum_{k=0}^{n} {n \choose k} S^{n-k} T^{k}) = (S + T)^{*}(S + T)^{n}.$$

On n-normal operators

**Lemma 2.13.** If  $S, T \in \mathbb{B}(H)$  are 2-normal operators and ST + TS = 0, then T + S and ST are 2-normal.

*Proof.* Since ST + TS = 0,  $S^2T^2 = T^2S^2$ . So  $(S + T)^2 = S^2 + T^2$  is normal. Thus (S + T) is an 2-normal operator.

Now since ST + TS = 0,  $(ST)^2 = -S^2T^2 = -T^2S^2$ . Hence by Theorem 2.8, ST is a 2-normal operator.

Now we state some well known lemmas which we shall need.

**Lemma 2.14.** Let P, Q be the projections on closed subspaces M, N respectively. Then  $M \perp N$  if and only if PQ = 0.

**Lemma 2.15.** If T is normal, then  $Tx = \lambda x$  if and only if  $T^*x = \overline{\lambda}x$ .

**Lemma 2.16.** If P is the projection on a closed subspace M of H, then M reduces of T if and only if TP = PT.

**Theorem 2.17.** Let T be an operator on finite dimensional Hilbert space  $H, \lambda_1, ..., \lambda_m$  be eigenvalues of T such that  $\lambda_i^n \neq \lambda_j^n$ ,  $i \neq j$ ,  $M_1, ..., M_m$  the corresponding eigenspaces, and  $P_1, ..., P_m$  the projections on  $M_1, ..., M_m$  respectively. Then  $M_i$ 's are pairwise orthogonal and they span H if and only if T is n-normal operator.

*Proof.* Assume  $M_i$ 's are pairwise orthogonal and they span H. Then for  $x \in H$ ,  $x = x_1 + x_2 + \ldots + x_m, x_i \in M_i, T^n x = T^n x_1 + \ldots + T^n x_m = \lambda_1^n x_1 + \ldots + \lambda_m^n x_m$ .

Since  $P_i$ 's are projection on eigenspace  $M_i$ 's which are pairwise orthogonal, by lemma 2.14  $P_i x = x_i$ . Hence  $Ix = x_1 + \dots x_m = P_1 x + \dots + P_m x = (P_1 + \dots + P_m)x$  for every  $x \in H$ . Thus  $I = \sum_{i=1}^n P_i$ . Since  $T^n x = \lambda_1^n x_1 + \dots + \lambda_m^n x_m = \lambda_1^n P_1 x + \dots + \lambda_m^n P_m x = (\lambda_1^n P_1 + \dots + \lambda_m^n P_m)x$  for all  $x \in H$ . So  $T^n = \sum_{i=1}^m \lambda_i^n P_i$ . Hence  $T^{*n} = \overline{\lambda_1^n} P_1 + \dots + \overline{\lambda_m^n} P_m$ . Since  $M_i$ 's are pairwise orthogonal,  $P_i P_j = \begin{cases} P_i, & \text{if } i = j; \\ 0, & \text{if } i \neq j. \end{cases}$  So  $T^n T^{*n} = |\lambda_1|^{2n} P_1 + \dots + |\lambda_m|^{2n} P_m$  and  $T^{*n} T^n = |\lambda_1|^{2n} P_1 + \dots + |\lambda_m|^{2n} P_m$ . Thus  $T^n$  is normal, i.e., T is an n-normal operator.

Suppose T is an n-normal operator. Then  $T^n$  is a normal operator. We claim that  $M_i$ 's are pairwise orthogonal. Let  $x_i, x_j$  be vectors in  $M_i, M_j, (i \neq j)$ such that  $T^n x_i = \lambda_i^n x_i$  and  $T^n x_j = \lambda_j^n x_j$ . Then  $\lambda_i^n \langle x_i, x_j \rangle = \langle \lambda_i^n x_i, x_j \rangle =$  $\langle T^n x_i, x_j \rangle = \langle x_i, T^{*n} x_j \rangle = \langle x_i, \overline{\lambda_j}^n x_j \rangle = \lambda_j^n \langle x_i, x_j \rangle$ . So  $(\lambda_i^n - \lambda_j^n) \langle x_i, x_j \rangle = 0$ . Since  $\lambda_i^n \neq \lambda_j^n, \langle x_i, x_j \rangle = 0$ . This shows that  $M_i$ 's are pairwise orthogonal.

Let  $M = M_1 + ... + M_m$ . Then M is a closed subspace of H. Let P be associated projection onto M. Then  $P = P_1 + ... + P_m$ . Since  $T^n$  is normal, each  $M_i$ reduces  $T^n$ . It follows that  $T^n P = PT^n$ . Consequently  $M^{\perp}$  is invariant under  $T^n$ . Suppose that  $M^{\perp} \neq \{0\}$ . Let  $T_1 = T^n/M^{\perp}$ . Then  $T_1$  is an operator on non-trivial finite dimensional complex Hilbert space  $M^{\perp}$  with empty point spectrum which is impossible. Therefore  $M^{\perp} = \{0\}$ . i.e., M = H.  $\Box$  **Theorem 2.18.** Let  $T_1, ..., T_m$  be *n*-normal operators in B(H). Then  $(T_1 \oplus ... \oplus T_m)$  and  $(T_1 \otimes ... \otimes T_m)$  are *n*-normal operators.

Proof. Since  $(T_1 \oplus ... \oplus T_m)^n (T_1 \oplus ... \oplus T_m)^* = (T_1^n \oplus ... \oplus T_m^n) (T_1^* \oplus ... \oplus T_m^*) = T_1^n T_1^* \oplus ... \oplus T_m^n T_m^* = T_1^* T_1^n \oplus ... \oplus T_m^* T_m^n = (T_1^* \oplus ... \oplus T_m^*) (T_1^n \oplus ... \oplus T_m^n) = (T_1 \oplus ... \oplus T_m)^* (T_1 \oplus ... \oplus T_m)^n$ . Then  $(T_1 \oplus ... \oplus T_m)$  is an *n*-normal operator. Now, for  $x_1, ...x_m \in H$   $(T_1 \otimes ... \otimes T_m)^n (T_1 \otimes ... \otimes T_m)^* (x_1 \otimes ... \otimes x_m) = (T_1^n \otimes ... \otimes T_m^n) (T_1^* \otimes ... \otimes T_m^*) (x_1 \otimes ... \otimes x_m) = T_1^n T_1^* x_1 \otimes ... \otimes T_m^n T_m^* x_m, = T_1^* T_1^n x_1 \otimes ... \otimes T_m^* T_m^n x_m = (T_1^* \otimes ... \otimes T_m^*) (T_1^n \otimes ... \otimes T_m^n) (x_1 \otimes ... \otimes x_m), = (T_1 \otimes ... \otimes T_m)^* (T_1 \otimes ... \otimes T_m)^n (T_1 \otimes ... \otimes T_m)^n (T_1 \otimes ... \otimes T_m)^* = (T_1 \otimes ... \otimes T_m)^* (T_1 \otimes ... \otimes T_m)^n$ . Thus  $(T_1 \otimes ... \otimes T_m)$  is *n*-normal.

**Proposition 2.19.**  $(T - \lambda)$  is an *n*-normal operator for every  $\lambda \in \mathbb{C}$  if and only if T is a normal operator.

Proof. Since 
$$(T - \lambda)$$
 is *n*-normal for every  $\lambda \in \mathbb{C}$ ,  $(T - \lambda)^* (T - \lambda)^n = (T - \lambda)^n (T - \lambda)^*$ . Hence  $(T^* - \overline{\lambda})(\sum_{k=1}^n (-1)^k \binom{n}{k}T^{n-k}\lambda^k) = (\sum_{k=1}^n (-1)^k \binom{n}{k}T^{n-k}\lambda^k)^{-1}$ .  
 $T^{n-k}\lambda^k) (T^* - \overline{\lambda})$ . So  $(\sum_{k=1}^n (-1)^k \binom{n}{k}T^*T^{n-k}\lambda^k) - (\sum_{k=1}^n (-1)^k \binom{n}{k}T^{n-k}\lambda^k)^{-1} = (\sum_{k=1}^n (-1)^k \binom{n}{k}T^{n-k}\lambda^k)^{-1}$ . Therefore  
 $\sum_{k=1}^n (-1)^k \binom{n}{k} (\lambda)^k (T^*T^{n-k} - T^{n-k}T^*) = 0$ . From the left side of the last equa-

tion we get the term which k = n is zero. Hence  $\sum_{k=1}^{n-1} (-1)^k \binom{n}{k} (\lambda)^k (T^*T^{n-k} - T^{n-k}T^*) = 0$ . Thus  $(-1)^{n-1}n(\lambda)^{n-1}(T^*T - TT^*) + \sum_{k=1}^{n-2} (-1)^k \binom{n}{r} (\lambda)^k (T^*T^{n-k} - T^{n-k}T^*) = 0$ . Put  $\lambda = re^{i\theta}, \ 0 \le \theta \le 2\pi, \ r > 0$ , we get  $(-1)^{n-1}n(re^{i\theta})^{n-1}(T^*T - TT^*) + \sum_{k=1}^{n-2} (-1)^k \binom{n}{k} (re^{i\theta})^k (T^*T^{n-k} - T^{n-k}T^*) = 0$ . So  $(-1)^{n-1}(T^*T - TT^*) + \frac{1}{n(re^{i\theta})^{n-1}} (\sum_{k=1}^{n-2} (-1)^k \binom{n}{k} (re^{i\theta})^k (T^*T^{n-k} - T^{n-k}T^*)) = 0$ . Let  $r \longrightarrow \infty$ . Then  $T^*T - TT^* = 0$ . Hence T is normal. The converse is trivial.

**Proposition 2.20.** Let  $T \in B(H)$  with the Cartesian decomposition T = A + iB where A and B are selfadjoint operators. Then T is 2-normal operator if and only if  $B^2$  commutes with A, and  $A^2$  commutes with B.

*Proof.* Suppose  $B^2A = AB^2$  and  $A^2B = BA^2$ . Then  $T^2T^* = (A + iB)^2(A - iB) = (A^2 + iAB + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA - B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA + B^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA + AB^2)(A - iB) = A^3 - iA^2B - B^2A + iB^3 + iABA + AB^2 + iBA + AB^2$ 

 $iBA^2 + BAB$  and  $T^*T^2 = A^3 - AB^2 + iA^2B + iABA - iBA^2 + iB^3 + BAB + B^2A$ . Since  $B^2A = AB^2$  and  $A^2B = BA^2$ ,  $T^2T^* = T^*T^2$ . Hence T is 2-normal. Now let T be 2-normal. So  $T^2T^* = T^*T^2$ . Hence  $-B^2A + iBA^2 - iA^2B + AB^2 = -AB^2 + iA^2B - iBA^2 + B^2A$ ,  $(AB^2 - B^2A) + i(BA^2 - A^2B) = 0$ . Let  $T_1 = AB^2 - B^2A$ ,  $T_2 = BA^2 - A^2B$ . Then  $T_1^* = -T_1$ ,  $T_2^* = -T_2$  (i.e.,  $T_1, T_2$  are skew hermition) and  $T_1 + iT_2 = 0$ . So  $-T_1 + iT_2 = 0$ . This gives  $T_1 = AB^2 - B^2A = 0$ . Similarly,  $B^2A = AB^2$ .

It is clear that a 2-normal operator is a 2k-normal operator and a 3-normal operator is a 3k-normal operator. The following examples show that a 2-normal operator need not be 3-normal operator and vice versa.

**Example 2.21.** Let  $T = \begin{pmatrix} 2 & 1 \\ 0 & -2 \end{pmatrix}$ . Then  $T^2 = \begin{pmatrix} 4 & 0 \\ 0 & 4 \end{pmatrix}$  is a normal operator. But  $T^3 = \begin{pmatrix} 8 & 4 \\ 0 & -8 \end{pmatrix}$  is not normal. So T is 2-normal but it is not 3-normal.

**Example 2.22.** Let  $T = \begin{pmatrix} 2 & 2 \\ -2 & 0 \end{pmatrix}$ . Then  $T^3 = \begin{pmatrix} -8 & 0 \\ 0 & -8 \end{pmatrix}$  is a normal operator. But  $T^2 = \begin{pmatrix} 0 & 4 \\ -4 & -4 \end{pmatrix}$  is not normal. So T is 3-normal but it is not 2-normal.

**Proposition 2.23.** Suppose T is both k-normal and (k + 1)-normal for some positive integer k. Then T is (k + 2)-normal. And hence T is n-normal for all  $n \ge k$ .

*Proof.* Since T is k-normal,  $T^kT^* = T^*T^k$ . Hence  $TT^kT^*T = TT^*T^kT$ . So  $T^{k+1}T^*T = TT^*T^{k+1}$ . Since T is (k+1)-normal,  $T^*T^{k+2} = T^{k+2}T^*$ . Thus T is (k+2)-normal.

**Corollary 2.24.** If T is 2-normal and 3-normal, then T is an n-normal for all  $n \ge 2$ .

The following example shows a 2-normal and 3-normal operator may not be normal.

**Example 2.25.** Let  $T = \begin{pmatrix} 0 & 0 \\ a & 0 \end{pmatrix}$  be an operator acting in two-dimensional complex Hilbert space. Then T is 2-normal, 3-normal, and hence it is n-normal for all  $n \ge 2$  but it is not normal.

**Proposition 2.26.** Suppose T is a k-normal operator for a positive integer k and it is a partial isometry. Then T is a (k+1)-normal operator. And hence T is n-normal for all  $n \ge k$ .

Proof. Since T is partial isometry,  $TT^*T = T$  by [5, p.250]. Hence  $TT^*T^k = T^k$  and  $T^kT^*T = T^k$ . Since T is k-normal,  $T^{k+1}T^* = T^k$  and  $T^*T^{k+1} = T^k$ . Thus  $T^{k+1}T^* = T^*T^{k+1}$ . Therefore T is (k + 1)-normal. And hence by Proposition 2.23 T is n-normal for all  $n \ge k$ .

**Corollary 2.27.** If T is 2-normal and partial isometry, then T is n-normal for all integer  $n \ge 2$ .

We note that, in Example 2.25 if a equal to 1, then T is a 2-normal operator and a partial isometry but not normal.

**Lemma 2.28.** Let T be k-normal and (k+1)-normal. If either T or  $T^*$  is injective, then T is normal.

Proof. Since T is (k+1)-normal,  $T^{k+1}T^* = T^*T^{k+1}$ . And since T is k-normal,  $T^{k+1}T^* = T^kT^*T$ . Hence  $T^k(TT^* - T^*T) = 0$ . Since T is injective,  $TT^* - T^*T = 0$ . Thus T is normal. In case  $T^*$  is injective, since  $T^*$  is k-normal and (k+1) - normal,  $T^*$  is normal. Hence T is normal.

**Proposition 2.29.** Let  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  where  $a, b, c, d \in \mathbb{C}$ . Then T is 2-normal if and only if (a + d) = 0 and  $(|b| = |c| \text{ or } b(\overline{d} - \overline{a}) = \overline{c}(d - a))$ .

 $\begin{array}{l} \textit{Proof. Suppose } T = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ is 2-normal. Then } T^2 = \begin{pmatrix} a^2 + bc & ab + bd \\ ac + dc & cb + d^2 \end{pmatrix} \\ \hline \text{is normal. Hence } |ab + bc| = |ac + dc| \text{ and } (ab + bd)(\overline{(cd + d^2)} - \overline{(a^2 + bc)}) = \overline{(ac + dc)}((cb + d^2) - (a^2 + bc)). \text{ Since } |b(a + d)| = |c(a + d)| \text{ and } b(a + d)(\overline{cb} + \overline{d^2} - \overline{a^2} - \overline{bc}) = \overline{c}(\overline{a} + d)(cb + d^2 - a^2 - bc), \ |b||a + d| = |c||a + d| \text{ and } b(a + d)(\overline{d^2} - \overline{a^2}) = \overline{c}(\overline{a} + \overline{d})(d^2 - a^2). \text{ Hence } |b||a + d| = |c||a + d| \text{ and } b(a + d)(\overline{d} - \overline{a})(\overline{d} + \overline{a}) = \overline{c}(\overline{a} - \overline{d})(d - a)(d + a). \text{ So } |b||a + d| = |c||a + d| \text{ and } b(\overline{d} - \overline{a})|a + d|^2 = \overline{c}(d - a)|a + d|^2. \\ \text{Thus } |b| = |c| \text{ or } |a + d| = 0 \text{ and } b(\overline{d} - \overline{a}) = \overline{c}(d - a) \text{ or } |a + d|^2 = 0. \end{array}$ 

By giving similar arguments that in the last Proposition one can prove the following.

**Proposition 2.30.** Let  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  where  $a, b, c, d \in \mathbb{C}$ . Then T is 3-normal if and only if  $(a^2+bc+ad+d^2) = 0$  and  $(|b| = |c| \text{ or } \overline{c}(d-a) = b(\overline{d}-\overline{a})$ .

Next, we characterize when a two-dimensional upper triangular complex matrix is n-normal.

**Proposition 2.31.** For  $n \ge 2$  we have  $T = \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}$  is n-normal if and only if  $b(a^{n-1} + a^{n-2}c + \dots + c^{n-1}) = 0$ .

Proof. Let 
$$T = \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}$$
. Then  $T$  is  $n$ -normal if and only if  

$$T^{n} = \begin{pmatrix} a^{n} & b(a^{n-1} + a^{n-2}c + \dots + c^{n-1}) \\ 0 & c^{n} \end{pmatrix},$$

is normal if and only if  $| b(a^{n-1} + a^{n-2}c + ... + c^{n-1}) |= 0$  if and only if  $b(a^{n-1} + a^{n-2}c + ... + c^{n-1}) = 0$ .

**Example 2.32.** Consider n = 3 in the last Proposition. Then T is a 3-normal operator if and only if  $b(a^2 + ac + c^2) = 0$ . Take a = 2, b = 1, and  $c = -1 + \sqrt{3}i$ . Then  $T = \begin{pmatrix} 2 & 1 \\ 0 & -1 + \sqrt{3}i \end{pmatrix}$  is 3-normal. Note that  $T^3 = \begin{pmatrix} 8 & 0 \\ 0 & 8 \end{pmatrix}$  is normal. Thus T is 3-normal.

We note that by use the last Proposition we may get an n-normal operator but not normal.

**Proposition 2.33.** Let  $T \in B(H)$ ,  $F = T^n + T^*$ , and  $G = T^n - T^*$ . Then T is an n-normal operator if and only if G commutes with F.

*Proof.* FG = GF if and only if  $(T^n + T^*)(T^n - T^*) = (T^n - T^*)(T^n + T^*)$  if and only if  $T^{2n} - T^nT^* + T^*T^n - T^{*2} = T^{2n} + T^nT^* - T^*T^n - T^{*2}$  if and only if  $T^nT^* - T^*T^n = 0$  if and only if T is an n-normal.

**Proposition 2.34.** Let  $T \in B(H)$ ,  $B = T^nT^*$ ,  $F = T^n + T^*$ , and  $G = T^n - T^*$ . If T is an n-normal, then B commutes with F and G.

Proof. Since T is an n-normal,  $BF = T^nT^*(T^n + T^*) = T^nT^*T^n + T^nT^*T^* = T^nT^nT^* + T^*T^nT^* = (T^n + T^*)T^nT^* = FB$ . By similar way we can prove that BG = GB.

**Proposition 2.35.** Let T be a weighted shift with nonzero weights  $\{\alpha_k\}_{k=0}^{\infty}$ . Then T is n-normal if and only if  $|\alpha_{k-n}| \dots |\alpha_{k-1}| = |\alpha_k| \dots |\alpha_{k+n-1}|$  for  $k = n, n+1, \dots$ 

Proof. Let  $\{e_k\}_{k=0}^{\infty}$  be an orthogonal basis of Hilbert space H. Since  $T^n e_k = \alpha_k \dots \alpha_{k+n-1}$  $e_{k+n}$  and  $T^{*n} e_k = \overline{\alpha_{k-1}} \dots \overline{\alpha_{k-n}} e_{k-n}$ ,  $T^n T^{*n} e_k = |\alpha_{k-1}|^2 \dots |\alpha_{k-n}|^2 e_k$  and  $T^{*n} T^n e_k = |\alpha_k|^2 \dots |\alpha_{k+n-1}|^2 e_k$ . Thus  $T^n$  is normal if and only if  $|\alpha_k|^2 \dots |\alpha_{k+n-1}|^2 = |\alpha_{k-1}|^2 \dots |\alpha_{k-n}|^2$  for  $k = n, n+1, \dots$ 

**Proposition 2.36.** Let  $T \in B(H)$  be an n-normal operator and invertible. Then T and  $T^{-1}$  have a common nontrivial closed invariant subspace. *Proof.* Since T is n-normal and invertible,  $T^n$  and  $(T^{-1})^n$  are normal. Hence by [1, Corollary 4.5]  $T^n$  and  $(T^{-1})^n$  both have no hypercyclic vector. Thus by [7], T and  $T^{-1}$  both have no hypercyclic vector. Therefore by [2], T and  $T^{-1}$ have a common nontrivial closed invariant subspace.

Let  $\lambda$  be the coordinate in  $\mathbb{C}$  and  $d_{\mu}(\lambda)$ , denotes planar Lebesgue measure. Let D be a bounded open subset of  $\mathbb{C}$ . We shall denote by  $L^2(D, H)$  the Hilbert space of measurable function  $f: D \longrightarrow H$  such that

$$||f||_{2,D} = \{\int_D ||f(\lambda)||^2 d_\mu(\lambda)\}^{\frac{1}{2}} < \infty.$$

The space of functions  $f \in L^2(D, H)$  that are analytic in D (i.e.,  $\overline{\partial} f = 0$ ) is denoted by

$$A^2(D,H) = L^2(D,H) \cap \hat{\mathcal{O}}(U,H).$$

 $A^2(D, H)$  is called the Bergman space for D.

Let D be a bounded open subset of D and m a fixed non-negative integer. The vector valued Sobolev space  $W^m(D, H)$  with respect to  $\overline{\partial}$  and of order m will be the space of those functions  $f \in L^2(D, H)$  whose derivatives  $\overline{\partial} f, ..., \overline{\partial}^m f$  in the sense of distributions also belong to  $L^2(D, H)$ . Endowed with the norm  $\|f\|_{W^m}^2 = \sum_{i=0}^m \|\overline{\partial}^i f\|_{2,D}^2$ .  $W^m(D, H)$  becomes a Hilbert space contained continuously in  $L^2(D, H)$ .

**Theorem 2.37.** Let D be an arbitrary bounded disk in  $\mathbb{C}$ . If  $T \in B(H)$  is 2-normal with the property that  $\sigma(T) \cap (-\sigma(T)) = \emptyset$ , then the operator

$$\lambda - T : W^2(D, H) \longrightarrow L^2(D, H)$$

is one to one.

*Proof.* Let  $f \in W^2(D, H)$  such that  $(\lambda - T)f = 0$  i.e.,

$$\|(\lambda - T)f\|_{W^2} = 0.$$
(1)

Then, for i = 1, 2, we have

$$\|(\lambda - T)\overline{\partial^i}f\|_{2,D} = 0.$$
<sup>(2)</sup>

Hence for i = 1, 2, we get  $\|(\lambda^2 - T^2)\overline{\partial^i}f\|_{2,D} = 0$ . For i = 1, 2. Since  $T^2$  is normal,

$$\|(\overline{\lambda}^2 - T^{*2})\overline{\partial}^i f\|_{2,D} = 0.$$
(3)

Since  $\lambda - T$  is invertible for  $\lambda \in D \setminus \sigma(T)$ , the equation 2 implies that  $\|\overline{\partial^i} f\|_{2,D \setminus \sigma(T)} = 0$ . Therefore

$$\|(\overline{\lambda} - T^*)\overline{\partial^i} f\|_{2,D\setminus\sigma(T)} = 0.$$
(4)

Since  $\sigma(T) \cap (-\sigma(T)) = \emptyset$  and  $\sigma(T^*) = \sigma(T)^*$ ,  $\overline{\lambda} + T^*$  is invertible for  $\lambda \in \sigma(T)$ . therefore, from equation 3, we have

$$\|(\overline{\lambda} - T^*)\overline{\partial^i}f\|_{2,\sigma(T)} = 0.$$
(5)

Hence from 4 and 5, we get

$$\|(\overline{\lambda} - T^*)\overline{\partial^i}f\|_{2,D} = 0.$$
(6)

By [6, Proposition 2.1], we obtain

$$\|(I-P)f\|_{2,D} = 0, (7)$$

where P denotes the orthogonal projection of  $L^2(D, H)$  onto the Bergman space

 $A^2(D, H)$ . Hence  $(\lambda - T)Pf = (\lambda - T)f = 0$ . Since T has SVEP, f = Pf = 0. Hence  $\lambda - T$  is one to one.

**Lemma 2.38.** Let  $T \in B(H)$  be an 2-normal operator with property for  $\sigma(T) \cap (-\sigma(T)) = \emptyset$ . If V is an isometry, then the operator  $\lambda - VTV^* : W^2(D, H) \longrightarrow L^2(D, H)$  is one to one.

Proof. Let  $f \in W^2(D, H)$  such that  $(\lambda - VTV^*)f = 0$ . Then $(\lambda - T)V^*f = 0$ . Hence for i = 0, 1, 2  $(\lambda - T)V^*\overline{\partial^i}f = 0$ . By Theorem 2.37, for i = 0, 1, 2,  $V^*\overline{\partial^i}f = 0$ . Hence for i = 0, 1, 2,  $VTV^*\overline{\partial^i}f = 0$ . Thus  $\lambda\overline{\partial^i}f = 0$  for i = 0, 1, 2. By [6, Proposition 2.1] with T = (0), we get  $||(I - P)f||_{2,D} = 0$ , where P denotes the orthogonal projection of  $L^2(D, H)$  onto the Bergman space  $A^2(D, H)$ . Hence  $\lambda f = \lambda P f = 0$ . By [4, Corollary 10.7], there exists a constant c > 0 such that

$$c \|Pf\|_{2,D} \leq \|\lambda Pf\|_{2,D} = 0$$
. So  $f = Pf = 0$ . Thus  $\lambda - VTV^*$  is one to one.

**Proposition 2.39.** Let  $T \in B(H)$  be an *n*-normal operator. If T is quasinilpotent, then T is nilpotent, and hence T is subscalar.

Proof. Since T is quasinilpotent,  $\sigma(T) = \{0\}$ . Hence by the spectral mapping theorem we get  $\sigma(T^n) = \sigma(T)^n = \{0\}$ . Thus  $T^n$  is quasinilpotent and normal. So  $T^n = 0$  i.e., T is nilpotent and T is algebraic operator and hence by [3], T is subscalar.

**Proposition 2.40.** Let  $T \in B(H)$  be a 2-normal Operator with the property that  $\sigma(T) \cap (-\sigma(T)) = \emptyset$ . Then T is subscalar of order 2.

Proof. Consider an arbitrary bounded disk  $D \subset \mathbb{C}$  which contains  $\sigma(T)$  and the quotient space  $H(D) = W^2(D, H)/(\lambda - T)W^2(D, H)$  endowed with the Hilbert space norm. The class of a vector or an operator A on H(D) will be denoted respectively by  $\tilde{f}$ ,  $\tilde{A}$ . Let M be the operator of multiplication by  $\lambda$  on  $W^2(D, H)$ . Then M is a scalar operator of order 2 and has a spectral distribution  $\phi$ . Let  $S = \tilde{M}$ . Since  $(\lambda - T)W^2(D, H)$  is invariant under every operator  $M_f$ ,  $f \in C_0^2(C)$ , we infer that S is a scalar operator of order 2 with spectral distribution  $\phi$ .

Consider the natural map  $V : H \longrightarrow H(D)$  denoted by  $Vh = 1 \otimes h$ , for  $h \in H$ , where  $1 \otimes h$  denotes the constant function sending  $\lambda \in D$  to h. Then VT = SV. In particular R(V) is an invariant subspace for S. Now we shall prove that V is one to one and has closed range.

Let  $\{h_n\}, \{f_n\}$  be sequences respectively in  $H, W^2(D, H)$  such that

$$\lim_{n \to \infty} \|(\lambda - T)f_n + 1 \otimes h\|_{W^2} = 0.$$
(8)

It suffices to show that  $\lim_{n \to \infty} h_n = 0$ .

By the definition of the norm of Sobolev space 8 implies that

$$\lim_{n \to \infty} \|(\lambda - T)\overline{\partial^i} f_n\|_{2,D} = 0.$$
(9)

 $\lim_{n \to \infty} \|(\lambda - T)\overline{\partial^i} f_n\|_{2,D} = 0$  Since  $T^2$  is normal, for i = 1, 2

$$\lim_{n \to \infty} \|(\overline{\lambda}^2 - T^{*2})\overline{\partial^i} f_n\|_{2,D}\|\overline{\partial^i} f_n\|_{2,D} = 0.$$
(10)

Since  $\lambda - T$  invertible for  $\lambda \in D \setminus \sigma(T)$ , 9 implies that  $\lim_{n \to \infty} \|\overline{\partial}^i f_n\|_{2, D \setminus \sigma(T)} = 0$ . Therefore

$$\lim_{n \to \infty} \|(\overline{\lambda} - T^*)\overline{\partial}^i f_n\|_{2, D \setminus \sigma(T)} = 0.$$
(11)

Since for  $\sigma(T) \cap (-\sigma(T)) = \emptyset$  and  $\sigma(T^*) = \sigma(T)^*$ ,  $\lambda + T^*$  is invertible for  $\lambda \in \sigma(T)$ . Therefor from 10 we have

$$\lim_{n \to \infty} \|(\overline{\lambda} - T^*)\overline{\partial}^i f_n\|_{2,\sigma(T)} = 0.$$
(12)

Hence by 11 and 12 we get

$$\lim_{n \to \infty} \|(\overline{\lambda} - T^*)\overline{\partial^i} f_n\|_{2,D} = 0.$$
(13)

By [6, Proposition 2.1], we obtain

$$\lim \|(I-P)f_i\|_{2,D} = 0, \tag{14}$$

where P denotes the orthogonal projection of  $L^2(D, H)$  onto the Bergman space

 $A^2(D, H)$ . Substituting 14 into 8, we get  $\lim_{n \to \infty} ||(\lambda - T)Pf_n + 1 \otimes h_n||_{2,D} = 0$ . Let  $\Gamma$  be a curve in D Surrounding  $\sigma(T)$ . Then for  $\lambda \in \Gamma$   $\lim_{n \to \infty} \|Pf_n(\lambda) + (\lambda - T)^{-1}(1 \otimes h)\| = 0$ 

uniformly. Hence by Riesz-Dunford functional

$$\lim_{n \to \infty} \left\| \frac{1}{2\pi i} \int_{\Gamma} P f_n(\lambda) d\lambda + h_n \right\| = 0.$$

But since  $\frac{1}{2\pi i} \int_{\Gamma} Pf_n(\lambda) d\lambda = 0$ , by Cauchy's theorem calculus,  $\lim_{n \to \infty} h_n = 0$ . Thus V is one to one and has closed range.

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