ON BIRATIONAL MONOMIAL TRANSFORMATIONS OF PLANE

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We study birational monomial transformations of the form $\varphi(x : y : z) = (\varepsilon_1 x^{\alpha_1} y^{\beta_1} z^{y_1} : \varepsilon_2 x^{\alpha_2} y^{\beta_2} z^{y_2} : x^{\alpha_3} y^{\beta_3} z^{y_3})$, where $\varepsilon_1, \varepsilon_2 \in \{-1, 1\}$. These transformations form a group. We describe this group in terms of generators and relations and, for every such transformation φ , we prove a formula, which represents the transformation φ as a product of generators of the group. To prove this formula, we use birationally equivalent polynomials Ax + By + C and $Ax^p + By^q + Cx^r y^s$. If φ is the transformation which carries one polynomial onto another, then the integral powers of generators in the product, which represents the transformation φ , can be calculated by the expansion of p/q in the continued fraction.

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1. Introduction. Birational monomial transformations of the projective plane have already found a lot of applications. For example, such transformations are actively used for construction of real algebraic curves and surfaces (see, e.g., [1, 4, 5, 6, 8, 9]). We think that formula (3.6) will be helpful for description of construction of algebraic objects.

In Section 2 we give a little exposition on projective polynomials in three variables. In Section 3 we describe the birational monomial group in terms of its generators and relations and give the statement of a theorem of decomposition of birational monomial transformations. In Section 4 we give the proof of the theorem.

2. Preliminaries. A nonzero homogeneous polynomial of degree *n* in three variables, *x*, *y*, *z*, is the expression

$$f(x, y, z) = \sum_{\omega_1 + \omega_2 + \omega_3 = n} f_{\omega} x^{\omega_1} y^{\omega_2} z^{\omega_3}, \quad \omega = (\omega_1, \omega_2, \omega_3).$$
(2.1)

The convex hull of the set $\{(\omega_1, \omega_2) \in \mathbb{R}^2 | f_{\omega} \neq 0\}$ is called the *Newton polygon* of the polynomial f(x, y, z) and is denoted as N(f). The plane with coordinates (ω_1, ω_2) is called the *plane of Newton's polygons*.

Every polynomial f(x, y, 1) can be represented in the form $f(x, y, 1) = x^i y^j f(x, y, 1)$, where *i* and *j* are nonnegative integers, and the polynomial f(x, y, 1) has no factors *x* and *y*. If φ is a transformation, then clearly $(f \circ \varphi)^2 = (f \circ \varphi)^2$. It is also clear that the Newton polygon $N(f^2)$ can be obtained from the Newton polygon N(f) by translation in the plane of Newton's polygons by the vector (-i, -j).

3. The birational monomial group. Let (x : y : z) be homogeneous point coordinates in the projective plane $\mathbb{K}P^2$ over a field \mathbb{K} and let (x, y) be affine coordinates in the affine chart $\mathbb{K}^2 = \mathbb{K}P^2 \setminus \{z = 0\}$. A projective transformation φ is defined by the

formula $\varphi(x : y : z) = (\varphi_1(x, y, z) : \varphi_2(x, y, z) : \varphi_3(x, y, z))$, where $\varphi_1, \varphi_2, \varphi_3$ are homogeneous polynomials of the same degree, assumed to have no common factors. For the transformation $\varphi(x : y : z)$, we define its natural restriction $\varphi(x, y)$ to the affine chart $\mathbb{K}^2 = \mathbb{K}P^2 \setminus \{z = 0\}$ by the formula $\varphi(x, y) = (\varphi_1(x, y, 1)/\varphi_3(x, y, 1), \varphi_2(x, y, 1)/\varphi_3(x, y, 1))$.

Let id : $\mathbb{K}P^2 \to \mathbb{K}P^2$ be the identity map. If φ is a birational transformation, then we denote as usual

$$\varphi^0 = \mathrm{id}, \qquad \underbrace{\varphi \circ \cdots \circ \varphi}_{n \text{ times}} = \varphi^n, \qquad \underbrace{\varphi^{-1} \circ \cdots \circ \varphi^{-1}}_{n \text{ times}} = \varphi^{-n}.$$
 (3.1)

Let $r_1, r_2, r_3 : \mathbb{K}P^2 \to \mathbb{K}P^2$ be maps defined by formulas $r_1(x : y : z) = ((-x) : y : z)$, $r_2(x : y : z) = (x : (-y) : z)$, and $r_3(x : y : z) = (x : y : (-z))$. The set of maps $R = \{id, r_1, r_2, r_1 \circ r_2\}$ with the operation of composition of the maps, with generators r_1 and r_2 , and with relations

$$r_1^2 = r_2^2 = \mathrm{id}, \qquad r_1 \circ r_2 = r_2 \circ r_1,$$
(3.2)

is a group isomorphic to $\mathbb{Z}_2 \times \mathbb{Z}_2$. Note that $r_3 = r_1 \circ r_2$.

Let $s_1, s_2, s_3 : \mathbb{K}P^2 \to \mathbb{K}P^2$ be maps defined by formulas $s_1(x : y : z) = (x : z : y)$, $s_2(x : y : z) = (z : y : x)$, and $s_3(x : y : z) = (y : x : z)$. The set of maps $S = \{id, s_1 \circ s_2, s_2 \circ s_1, s_1, s_2, s_1 \circ s_2 \circ s_1\}$ with the operation of composition of the maps, with generators s_1 and s_2 , and with relations

$$s_1^2 = s_2^2 = \mathrm{id}, \qquad s_1 \circ s_2 \circ s_1 = s_2 \circ s_1 \circ s_2,$$
 (3.3)

is a group isomorphic to the symmetric group S_3 . Note that $s_3 = s_1 \circ s_2 \circ s_1$.

Let hy be the birational transformation defined by the formula $hy(x : y : z) = (x^2 : yz : xz)$, whose inverse transformation is $hy^{-1}(x : y : z) = (xz : xy : z^2)$. Due to Newton, the transformation hy is called a *hyperbolism*. The set $H = \{..., hy^{-2}, hy^{-1}, id, hy, hy^2, ...\}$ of integral powers of hy is a free group isomorphic to \mathbb{Z} .

Let G = R * S * H be the free product of groups R, S, and H. This means that the set of generators of G is the union of the generators of R, S, and H, and the set of relations of G is the union of the relations of R, S, and H.

DEFINITION 3.1. The factor group G/\mathcal{R} with generators r_1 , r_2 , s_1 , s_2 , hy, where \mathcal{R} is the system of relations

$$\mathcal{R}:\begin{cases} r_{1} \circ s_{1} = s_{1} \circ r_{1}, \\ r_{2} \circ s_{1} = s_{1} \circ r_{2} \circ r_{1}, \\ r_{1} \circ s_{2} = s_{2} \circ r_{1} \circ r_{2}, \\ r_{2} \circ s_{2} = s_{2} \circ r_{2}, \\ r_{1} \circ hy = hy \circ r_{1} \circ r_{2}, \\ r_{2} \circ hy = hy \circ r_{1} \circ r_{2}, \\ s_{1} \circ hy = hy \circ s_{1} \circ s_{2} \circ hy \circ s_{1}, \\ hy \circ s_{2} \circ hy = s_{2}, \end{cases}$$
(3.4)

is called the *group of birational monomial transformations* of $\mathbb{K}P^2$ and denoted by $T(\mathbb{K}P^2)$.

The group of birational monomial transformations $T(\mathbb{K}P^2)$ is a subgroup of the Cremona group $Cr(\mathbb{K}P^2)$ (see [2, 3]).

Below in this paper, the word "transformation" without an adjective always means a "birational monomial transformation."

Every transformation $\varphi \in T(\mathbb{K}P^2)$ can be represented as a composition $\varphi_1 \circ \cdots \circ \varphi_s$, where each of $\varphi_1, \dots, \varphi_s$ is a positive integral power of one of the generators of the group $T(\mathbb{K}P^2)$, because $r_1^{-1} = r_1$, $r_2^{-1} = r_2$, $s_1^{-1} = s_1$, $s_2^{-1} = s_2$, and $h\gamma^{-n} = s_2 \circ h\gamma^n \circ s_2$. Every transformation φ can be represented in the form

$$\varphi(x:y:z) = (\varepsilon_1 x^{\alpha_1} y^{\beta_1} z^{\gamma_1}: \varepsilon_2 x^{\alpha_2} y^{\beta_2} z^{\gamma_2}: x^{\alpha_3} y^{\beta_3} z^{\gamma_3}), \tag{3.5}$$

where $\varepsilon_1, \varepsilon_2 \in \{-1, 1\}$; α_i, β_i , and γ_i are nonnegative integers; and the monomials $x^{\alpha_1}y^{\beta_1}z^{\gamma_1}, x^{\alpha_2}y^{\beta_2}z^{\gamma_2}, x^{\alpha_3}y^{\beta_3}z^{\gamma_3}$ have no common factors. We stress this convention, for example, $(hy \circ hy)(x : y : z) = (x^4 : xyz^2 : x^3z) = (x^3 : yz^2 : x^2z)$, and accept only the last form. It means that one or two of $\alpha_1, \alpha_2, \alpha_3$, one or two of $\beta_1, \beta_2, \beta_3$, one or two of $\gamma_1, \gamma_2, \gamma_3$ are equal to 0, and $\alpha_1 + \beta_1 + \gamma_1 = \alpha_2 + \beta_2 + \gamma_2 = \alpha_3 + \beta_3 + \gamma_3$. The integer $\alpha_1 + \beta_1 + \gamma_1$ is a degree of the transformation φ . For example, the degree of the transformations r_1, r_2, s_1, s_2 equals 1, and the degree of hy^n equals |n|+1, where $n \in \mathbb{Z}$.

Denote the element $s_3 \circ hy \circ s_3 \in T(\mathbb{K}P^2)$ as hx. Its inverse is $hx^{-1} = s_2 \circ s_1 \circ hy \circ s_1 \circ s_2$. In homogeneous coordinates it is defined by formulae $hx(x : y : z) = (xz : y^2 : yz)$ and $hx^{-1}(x : y : z) = (xy : yz : z^2)$.

In the following theorem and below, the phrase "a polynomial f(x, y, 1) subjected to the transformation φ is carried onto the polynomial l(x, y, 1)" means that $l(x, y, 1) = [(f \circ \varphi^{-1})(x, y, z)|_{z=1}]$.

THEOREM 3.2. Let *p* and *q* be mutually prime natural integers, 0 < q < p. Let *r* and *s* be integers which satisfy the following conditions: (1) 0 < r < p, $0 \le s < q$, (2) r/p + s/q < 1, and (3) $r \equiv -q^{\phi(p)-1} \pmod{p}$ and $s \equiv -p^{\phi(q)-1} \pmod{q}$, where $\phi(m)$ is the Euler function. Then every polynomial $f(x, y, 1) = Ax^p + By^q + Cx^r y^s$, where at least two of *A*, *B*, *C* are not zero, subjected to the transformation

$$\varphi = \left(hx^{(1-(-1)^{k+1})/2} \circ hy^{(1-(-1)^{k})/2}\right)^{a_{k}} \circ \dots \circ hx^{a_{4}} \circ hy^{a_{3}} \circ hx^{a_{2}} \circ hy^{a_{1}}$$
(3.6)

is carried onto the polynomial l(x, y, 1) = Ax + By + C, where the integers $a_1, a_2, ..., a_k$ are provided by expansion of p/q in the continued fraction with adjusted last denominator

$$\frac{p}{q} = a_1 + \frac{1}{a_2 +}; \qquad (3.7)$$

$$\cdot \cdot + \frac{1}{a_{k-1} + \frac{1}{(a_k + 1)}};$$

in other words, $l = (f \circ \varphi^{-1})^{\hat{}}$.

COROLLARY 3.3. (1) If the polynomial f(x, y, 1) subjected to a transformation ψ is carried onto the polynomial $\varepsilon_1 A x + \varepsilon_2 B y + \varepsilon_3 C$, where $\varepsilon_1, \varepsilon_2, \varepsilon_3 \in \{1, -1\}$, then $\psi = r_1^{(1/2)(1-\varepsilon_1)} \circ r_2^{(1/2)(1-\varepsilon_2)} \circ (r_1 \circ r_2)^{(1/2)(1-\varepsilon_3)} \circ \varphi$.

(2) If the polynomial f(x, y, 1) subjected to a transformation ψ is carried onto the polynomial A + Bx + Cy, Ay + B + Cx, Ax + B + Cy, A + By + Cx, or Ay + Bx + C, then $\psi = s_1 \circ s_2 \circ \varphi$, $\psi = s_2 \circ s_1 \circ \varphi$, $\psi = s_1 \circ \varphi$, $\psi = s_2 \circ \varphi$, or $\psi = s_1 \circ s_2 \circ s_1 \circ \varphi$, respectively.

(3) If condition (2) of Theorem 3.2 is changed to condition (2'), r/p + s/q > 1, and other conditions and notations are kept, and if the polynomial f(x, y, 1) subjected to a transformation ψ is carried onto the polynomial Ax + By + C, then $\psi = \varphi \circ \text{tr} = \text{tr} \circ \varphi$, where $\text{tr} = s_1 \circ hy^{-1} \circ s_1 \circ s_2 \circ s_1 \circ hy$ is well-known standard (triangular) quadratic transformation tr(x : y : z) = (yz : xz : xy).

REMARK 3.4. There is only one more possible case: when p = q = 1, which does not satisfy the theorem. In this case either r = s = 0, and the polynomial Ax + By + C is carried onto itself by the identity transformation: $\varphi = id$, or r = s = 1, and the polynomial Ax + By + Cxy is carried onto the polynomial Ax + By + C by the transformation $\varphi = s_3 \circ tr = s_3 \circ s_1 \circ hy^{-1} \circ s_1 \circ s_2 \circ s_1 \circ hy$.

4. Proof of the theorem. A birational monomial transformation ψ maps a polynomial f onto a polynomial $(f \circ \psi^{-1})$. We find the connection between $N(f \circ \psi^{-1})$ and N(f).

Every transformation ψ^{-1} written in the form (3.5) induces a generic linear mapping $A(\psi) : \mathbb{R}^2 \to \mathbb{R}^2$ of the plane of Newton's polygons, which can be defined on any monomial. Namely, if *g* is a monomial, say $g(x, y, z) = x^{\omega_1} y^{\omega_2} z^{\omega_3}$, then

$$(g \circ \psi^{-1})(x, y, z) = x^{\alpha_1 \omega_1 + \alpha_2 \omega_2 + \alpha_3 \omega_3} y^{\beta_1 \omega_1 + \beta_2 \omega_2 + \beta_3 \omega_3} z^{y_1 \omega_1 + y_2 \omega_2 + y_3 \omega_3},$$
(4.1)

thus, the linear mapping $A(\psi)$ is defined by the matrix

$$A_{\psi} = \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \\ \beta_1 & \beta_2 & \beta_3 \\ \gamma_1 & \gamma_2 & \gamma_3 \end{pmatrix}.$$
 (4.2)

And thus, $N(f \circ \psi^{-1}) = A_{\psi}(N(f))$ for every polynomial *f*.

Remark that the generators of the birational monomial group have matrices

$$A_{id} = A_{r_1} = A_{r_2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$A_{s_1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad A_{s_2} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad A_{hy} = \begin{pmatrix} 2 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}.$$
(4.3)

The set of matrices $A(T(\mathbb{K}P^2)) = \{A_{\varphi} \mid \varphi \in T(\mathbb{K}P^2)\}$ is a subset in the linear group $GL(3,\mathbb{R})$ of 3×3 -matrices. The operation \diamond defined by the formula $A_{\varphi} \diamond A_{\psi} = A_{\varphi \circ \psi}$ converts the set $A(T(\mathbb{K}P^2))$ into a group, which is natural $(\varphi \mapsto A_{\varphi})$ homomorphic image of $T(\mathbb{K}P^2)$.

It is clear that every birational monomial transformation φ induces one-to-one correspondence between monomials of the polynomials f and $(f \circ \varphi^{-1})$. Thus, to represent a transformation φ as a composition of generators of birational monomial group, it is enough to study the action of the transformation φ on a polynomial f whose Newton's polygon N(f) is a triangle with the area 1/2.

We consider a polynomial $f(x, y, 1) = Ax^p + By^q + Cx^r y^s$, with $ABC \neq 0$, where p and q are mutually prime integers, 0 < q < p, and r and s are integers, which satisfy the following conditions: (1) 0 < r < p, $0 \le s < q$, (2) r/p + s/q < 1, and (3) $r \equiv -q^{\phi(p)-1} \pmod{p}$ and $s \equiv -p^{\phi(q)-1} \pmod{q}$, where $\phi(m)$ is the Euler function. The Newton polygon N(f) is the triangle with integer vertices (p,0), (0,q), (r,s) which has no other integer points belonging to its interior and boundary but its three vertices. According to the Pick theorem [7], the area of such a triangle equals 1/2. The genus of any curve $f(x, y, 1) = Ax^p + By^q + Cx^r y^s = 0$ with such properties is zero and thus, all such curves are birationally equivalent.

Note that $hy^{-a}(x : y : 1) = (x : x^a y : 1)$ and $hx^{-a}(x : y : 1) = (xy^a : y : 1)$. We evaluate $(f \circ \varphi^{-1})(x, y, 1)$ as follows.

The first step.

$$(f \circ hy^{-a_1})(x, y, 1) = f(x, x^{a_1}y, 1) = x^{a_1q} (Ax^{b_1} + By^q + Cx^{c_1a_1d - a_1q}y^d)$$

= $x^{u_1}y^{v_1} (Ax^{b_1} + By^q + Cx^{c_1}y^{d_1}),$ (4.4)

where $u_1 = a_1 q$, $v_1 = 0$, $c_1 = c + a_1 d - a_1 q$, and $d_1 = d$.

The second step.

$$(f \circ hy^{-a_1} \circ hx^{-a_2})(x, y, 1) = (f \circ hy^{-a_1})(xy^{a_2}, y, 1)$$

= $x^{u_1}y^{a_2u_1+v_1+a_2b_1}(Ax^{b_1}+By^{b_2}+Cx^{c_1}y^{a_2c_1+d_1-a_2b_1})$
= $x^{u_2}y^{v_2}(Ax^{b_1}+By^{b_2}+Cx^{c_2}y^{d_2}),$
(4.5)

where $u_2 = u_1$, $v_2 = a_2u_1 + v_1 + a_2b_1$, $c_2 = c_1$, and $d_2 = a_2c_1 + d_1 - a_2b_1$. *The third step.*

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ hy^{-a_3})(x, y, 1) = (f \circ hy^{-a_1} \circ hx^{-a_2})(x, x^{a_3}y, 1)$$

= $x^{u_3}y^{v_3}(Ax^{b_3} + By^{b_2} + Cx^{c_3}y^{d_3}),$ (4.6)

where $u_3 = u_2 + a_2v_2 + a_3b_2$, $v_3 = v_2$, $c_3 = c_2 + a_3d_2 - a_3b_2$, and $d_3 = d_2$. *The fourth step.*

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ hy^{-a_3} \circ hx^{-a_4})(x, y, 1)$$

$$= (f \circ hy^{-a_1} \circ hx^{-a_2} \circ hy^{-a_3})(xy^{a_4}, y, 1)$$

$$= x^{u_1}y^{a_2u_1+v_1+a_2b_1}(Ax^{b_1}+By^{b_2}+Cx^{c_1}y^{a_2c_1+d_1-a_2b_1})$$

$$= x^{u_2}y^{v_2}(Ax^{b_1}+By^{b_2}+Cx^{c_2}y^{d_2}),$$
(4.7)

where $u_2 = u_1$, $v_2 = a_2u_1 + v_1 + a_2b_1$, $c_2 = c_1$, and $d_2 = a_2c_1 + d_1 - a_2b_1$. We then proceed until the (k-1)th step.

The (k-1)*th step.* We have two cases.

The first case: *k* is even.

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hx^{-a_{k-2}} \circ hy^{-a_{k-1}})(x, y, 1)$$

= $(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hx^{-a_{k-2}})(x, x^{a_{k-1}}y, 1)$
= $x^{u_{k-1}}y^{v_{k-1}}(Ax + By^{b_{k-2}} + Cx^{c_{k-1}}y^{d_{k-1}}),$ (4.8)

where $u_{k-1} = u_{k-2} + a_{k-1}v_{k-2} + a_{k-1}b_{k-2}$, $v_{k-1} = v_{k-2}$, $c_{k-1} = c_{k-2} + a_{k-1}d_{k-2} - a_{k-1}b_{k-2}$, and $d_{k-1} = d_{k-2}$.

The second case: *k* is odd.

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hy^{-a_{k-2}} \circ hx^{-a_{k-1}})(x, y, 1)$$

= $(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hy^{-a_{k-2}})(xy^{a_{k-1}}, y, 1)$
= $x^{u_{k-1}}y^{v_{k-1}}(Ax^{b_{k-2}} + By + Cx^{c_{k-1}}y^{d_{k-1}}),$ (4.9)

where $u_{k-1} = u_{k-2}$, $v_{k-1} = a_{k-1}u_{k-2} + v_{k-2} + a_{k-1}b_{k-2}$, $c_{k-1} = c_{k-2}$, and $d_{k-1} = a_{k-1}c_{k-2} + d_{k-2} - a_{k-1}b_{k-2}$.

The kth step. We have two cases.

The first case: *k* is even.

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hy^{-a_{k-1}} \circ hx^{-a_k})(x, y, 1)$$

= $(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hy^{-a_{k-1}})(xy^{a_k}, y, 1)$
= $x^{u_k}y^{v_k}(Ax + By + Cx^{c_k}y^{d_k}),$ (4.10)

where $u_k = u_{k-1}$, $v_k = a_k u_{k-1} + v_{k-1} + a_k$, $c_k = c_{k-1}$, and $d_k = a_k c_{k-1} + d_{k-1} - a_k$. The second case: *k* is odd.

$$(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hx^{-a_{k-1}} \circ hy^{-a_k})(x, y, 1)$$

= $(f \circ hy^{-a_1} \circ hx^{-a_2} \circ \dots \circ hx^{-a_{k-2}})(x, x^{a_k}y, 1)$
= $x^{u_k}y^{v_k}(Ax + By + Cx^{c_k}y^{d_k}),$ (4.11)

where $u_k = u_{k-1} + a_k v_{k-1} + a_k$, $v_k = v_{k-1}$, $c_k = c_{k-1} + a_k d_{k-1} - a_k$, and $d_k = d_{k-1}$.

This calculation shows that the integers $a_1, a_2, a_3, ..., a_k$ satisfy the Euclidean algorithm with adjusted last row

$$p = a_1q + b_1,$$

$$q = a_2b_1 + b_2,$$

$$b_1 = a_3b_2 + b_3,$$

$$\vdots$$

$$b_{k-4} = a_{k-2}b_{k-3} + b_{k-2},$$

$$b_{k-3} = a_{k-1}b_{k-2} + 1,$$

$$b_{k-2} = a_k + 1,$$

(4.12)

which provides the desired continued fraction.

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