Research Article

On Maximal Subsemigroups of Partial Baer-Levi Semigroups

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Suppose that X is an infinite set with $|X| \ge q \ge \aleph_0$ and I(X) is the symmetric inverse semigroup defined on X. In 1984, Levi and Wood determined a class of maximal subsemigroups M_A (using certain subsets A of X) of the Baer-Levi semigroup $BL(q) = \{\alpha \in I(X) : \text{dom } \alpha = X \text{ and } |X \setminus X\alpha| = q\}$. Later, in 1995, Hotzel showed that there are many other classes of maximal subsemigroups of BL(q), but these are far more complicated to describe. It is known that BL(q) is a subsemigroup of the partial Baer-Levi semigroup $PS(q) = \{\alpha \in I(X) : |X \setminus X\alpha| = q\}$. In this paper, we characterize all maximal subsemigroups of PS(q) when |X| > q, and we extend M_A to obtain maximal subsemigroups of PS(q) when |X| = q.

1. Introduction

Suppose that *X* is a nonempty set, and let P(X) denote the semigroup (under composition) of all *partial* transformations of *X* (i.e., all mappings $\alpha : A \rightarrow B$, where $A, B \subseteq X$). For any $\alpha \in P(X)$, we let dom α and ran α (or $X\alpha$) denote the *domain* and the *range* of α , respectively. We also write

$$g(\alpha) = |X \setminus \operatorname{dom} \alpha|, \qquad d(\alpha) = |X \setminus \operatorname{ran} \alpha|, \qquad r(\alpha) = |\operatorname{ran} \alpha|, \tag{1.1}$$

and refer to these cardinals as the *gap*, the *defect*, and the *rank* of α , respectively. Let I(X) denote the *symmetric inverse semigroup* on X: that is, the set of all injective mappings in P(X). If $|X| = p \ge q \ge \aleph_0$, we write

$$BL(q) = \{ \alpha \in I(X) : g(\alpha) = 0, \ d(\alpha) = q \}, \ PS(q) = \{ \alpha \in I(X) : d(\alpha) = q \},$$
(1.2)

where BL(q) is the *Baer-Levi semigroup* of type (p, q) defined on X (see [1, 2, vol 2, Section 8.1]). It is wellknown that this semigroup is right simple, right cancellative, and idempotent-free. On the other hand, in [3] the authors showed that PS(q), the *partial Baer-Levi semigroup* on X, does not have these properties but it is *right reductive* in the sense that for every $\alpha, \beta \in PS(q)$, if $\alpha \gamma = \beta \gamma$ for all $\gamma \in PS(q)$, then $\alpha = \beta$. Also, they showed that PS(q) satisfies the dual property, that is, it is *left reductive* (see [1, 2, vol 1, p 9]). The authors also characterized Green's relations and ideals of PS(q) and, in [3, Corollary 1], they proved that PS(q) contains an inverse subsemigroup: namely, the set R(q) defined by

$$R(q) = \{ \alpha \in PS(q) : g(\alpha) = q \}.$$

$$(1.3)$$

This set consists, in fact, of all regular elements of PS(q), as shown in [3, Theorem 4]. Recently, in [4], the authors studied some properties of Mitsch's natural partial order defined on a semigroup (see [5, Theorem 3]) and some other partial orders defined on PS(q). In particular, they described compatibility and the existence of maximal and minimal elements.

For any nonempty subset *A* of *X* such that $|X \setminus A| \ge q$, let

$$M_A = \{ \alpha \in BL(q) : A \not\subseteq X\alpha \text{ or } (A\alpha \subseteq A \text{ or } |X\alpha \setminus A| < q) \}.$$

$$(1.4)$$

In other words, given $\alpha \in BL(q)$, we have $\alpha \in M_A$ if and only if $X\alpha$ does not contain A, or $X\alpha$ contains A and either $A\alpha \subseteq A$ or $|X\alpha \setminus A| < q$. In [6], Levi and Wood showed that M_A is a maximal subsemigroup of BL(q). Later, Hotzel [7] showed that there are many other maximal subsemigroups of BL(q).

In this paper, we study maximal subsemigroups of PS(q). In particular, in Section 3 we describe all maximal subsemigroups of PS(q) when p > q. We also determine some maximal subsemigroups of a subsemigroup S_r of PS(q) defined by

$$S_r = \{ \alpha \in PS(q) : g(\alpha) \le r \}, \tag{1.5}$$

where $q \le r \le p$. Moreover, we extend M_A to determine maximal subsemigroups of PS(q). In Section 4, we determine some maximal subsemigroups of PS(q) when p = q.

2. Preliminaries

In this paper, $Y = A \cup B$ means Y is a *disjoint* union of sets A and B. As usual, \emptyset denotes the empty (one-to-one) mapping which acts as a zero for P(X). For each nonempty $A \subseteq X$, we write id_A for the identity transformation on A: these mappings constitute all the idempotents in I(X) and belong to PS(q) precisely when $|X \setminus A| = q$.

We modify the convention introduced in [1, 2, vol 2, p 241]: namely, if $\alpha \in I(X)$ is non-zero, then we write

$$\alpha = \begin{pmatrix} a_i \\ x_i \end{pmatrix} \tag{2.1}$$

and take as understood that the subscript *i* belongs to some (unmentioned) index set *I*, that the abbreviation $\{x_i\}$ denotes $\{x_i : i \in I\}$, and that $X\alpha = \operatorname{ran} \alpha = \{x_i\}$, $a_i\alpha = x_i$ for each *i* and dom $\alpha = \{a_i\}$. To simplify notation, if $A \subseteq X$, we sometimes write $A\alpha$ in place of $(A \cap \operatorname{dom} \alpha)\alpha$.

Let *S* be a semigroup and $\emptyset \neq A \subseteq S$. Then $\langle A \rangle$ denotes the subsemigroup of *S* generated by *A*. Recall that a proper subsemigroup *M* of *S* is *maximal* in *S* if, whenever $M \subseteq N \subsetneq S$ and *N* is a subsemigroup of *S*, then M = N. Note that this is equivalent to each one of the following:

- (a) $\langle M \cup \{a\} \rangle = S$ for all $a \in S \setminus M$;
- (b) for any $a, b \in S \setminus M$, *a* can be written as a finite product of elements of $M \cup \{b\}$ (note that *a* is not expressible as a product of elements of *M* since $a \notin M$).

Throughout this paper, we will use this fact to show the maximality of subsemigroups of PS(q).

3. Maximal Subsemigroups of PS(q) **When** p > q

The characterisation of maximal subsemigroups of a given semigroup is a natural topic to consider when studying its structure. Sometimes, it is difficult to describe all of them (see [6, 7], e.g.), but for a semigroup with some special properties, we can easily describe some of its maximal subsemigroups.

Lemma 3.1. Let *S* be a semigroup and suppose that *S* is a disjoint union of a subsemigroup *T* and an ideal *I* of *S*. Then,

- (a) for any maximal subsemigroup M of T, $M \cup I$ is a maximal subsemigroup of S;
- (b) for any maximal subsemigroup N of S such that $T \setminus N \neq \emptyset$ and $T \cap N \neq \emptyset$, the set $T \cap N$ is a maximal subsemigroup of T.

Proof. To see that (a) holds, let *M* be a maximal subsemigroup of *T*. Since *I* is an ideal, we have $M \cup I$ is a subsemigroup of *S*. Clearly, $M \cup I \subsetneq T \cup I = S$. If $a \in S \setminus (M \cup I)$, then $a \in T \setminus M$ and thus $T = \langle M \cup \{a\} \rangle \subseteq \langle M \cup I \cup \{a\} \rangle$. Since $\langle M \cup I \cup \{a\} \rangle$ contains *I*, we have $S = T \cup I = \langle M \cup I \cup \{a\} \rangle$ and so $M \cup I$ is maximal in *S* as required.

To prove (b), let *N* be a maximal subsemigroup of *S*, where $T \setminus N \neq \emptyset$ and $T \cap N \neq \emptyset$, and let $a \in T \setminus N$. Since *N* is maximal in *S*, we have $\langle N \cup \{a\} \rangle = S$. Thus, for each $b \in T \setminus N$, $b = c_1c_2 \cdots c_n$ for some natural *n* and some $c_i \in N \cup \{a\}$ for all $i = 1, 2, \ldots, n$. Since $b \notin N$, we have $c_i = a$ for some *i*. Moreover, since $b \notin I$, we have $c_j \in T \cap N$ for all $j \neq i$. It follows that $T \setminus N \subseteq \langle (T \cap N) \cup \{a\} \rangle$, therefore

$$T = (T \setminus N) \cup (T \cap N) \subseteq \langle (T \cap N) \cup \{a\} \rangle, \tag{3.1}$$

that is, $T = \langle (T \cap N) \cup \{a\} \rangle$ and thus $T \cap N$ is maximal in T.

Let u be a cardinal number. The *successor* of u, denoted by u', is defined as

$$u' = \min\{v : v > u\}.$$
 (3.2)

Note that u' always exists since the cardinals are wellordered, and when u is finite we have u' = u + 1.

From [3, p 95], for $\aleph_0 \le k \le p$,

$$S_k = \{ \alpha \in PS(q) : g(\alpha) \le k \}$$
(3.3)

is a subsemigroup of PS(q). Also, when p > q, the proper ideals of PS(q) are precisely the sets:

$$T_s = \{ \alpha \in PS(q) : g(\alpha) \ge s \}, \tag{3.4}$$

where $q < s \le p$ (see [3, Theorem 13]). Thus, for any $q \le r < p$, it is clear that

$$PS(q) = S_r \ \cup \ T_{r'},\tag{3.5}$$

that is, PS(q) can be written as a disjoint union of the semigroup S_r and the ideal $T_{r'}$. Hence, the next result follows directly from Lemma 3.1(a).

Corollary 3.2. Suppose that $p > r > q \ge \aleph_0$. If M is a maximal subsemigroup of S_r , then $M \cup T_{r'}$ is a maximal subsemigroup of PS(q).

Lemma 3.3. Let $p > q \ge \aleph_0$ and suppose that M is a maximal subsemigroup of PS(q). Then,

- (a) $S_r \cap M \neq \emptyset$ for all $q \leq r < p$;
- (b) if there exists $\alpha \notin M$ with $g(\alpha) < p$, then $S_k \setminus M \neq \emptyset$ for some $q \leq k < p$.

Proof. To show that (a) holds, we first note that S_q is contained in S_r for all $q \le r < p$. If $S_q \cap M = \emptyset$, then $M \subseteq T_{q'} \subsetneq PS(q)$ and thus $M = T_{q'}$ by the maximality of M. But $T_{q'} \subsetneq T_{q'} \cup BL(q) \subsetneq PS(q)$ where $T_{q'} \cup BL(q)$ is a subsemigroup of PS(q) (since $T_{q'}$ is an ideal), so we get a contradiction. Therefore, $\emptyset \neq S_q \cap M \subseteq S_r \cap M$ for all $q \le r < p$.

To show that (b) holds, suppose there is $\alpha \notin M$ with $g(\alpha) = k < p$. If k < q, then $\alpha \in S_r \setminus M$ for all $q \le r \le p$. Otherwise, if $q \le k$, then $\alpha \in S_k \setminus M$. Hence (b) holds.

For what follows, for any cardinal $r \leq p$, we let

$$G_r = \{ \alpha \in PS(q) : g(\alpha) = r \}.$$

$$(3.6)$$

Then $G_0 = BL(q)$ and $G_q = R(q)$. Moreover, if p > q and r > q, then $G_r = S_r \cap T_r$, and so G_r is a subsemigroup of S_r (since it is the intersection of two semigroups). Also, G_r is bisimple and idempotent-free, when p > q and r > q (see [3, Corollary 3]).

From [3, Theorem 5], if $p \ge q$, then $S_q = \alpha \cdot R(q)$ for each $\alpha \in BL(q)$, and by [3, Theorem 6], $S_q = BL(q) \cdot \mu \cdot BL(q)$ for each $\mu \in R(q)$ when $p \ne q$.

This motivates the following result.

Lemma 3.4. Suppose that $p \ge r > q \ge \aleph_0$. Then $G_r = BL(q) \cdot \alpha \cdot BL(q)$ for each $\alpha \in G_r$.

Proof. Let $\alpha \in G_r$ and $\beta, \gamma \in BL(q)$. Since

$$X \setminus \operatorname{dom} \alpha = [X\beta \cap (X \setminus \operatorname{dom} \alpha)] \cup [(X \setminus X\beta) \cap (X \setminus \operatorname{dom} \alpha)], \tag{3.7}$$

where $g(\alpha) = |X \setminus \text{dom } \alpha| = r > q$ and the second intersection on the right has cardinal at most q (since $|X \setminus X\beta| = q$), we have $|X\beta \cap (X \setminus \text{dom } \alpha)| = r$. This means that

$$r = \left| \left[X\beta \cap (X \setminus \operatorname{dom} \alpha) \right] \beta^{-1} \right| = \left| (X\beta \setminus \operatorname{dom} \alpha) \beta^{-1} \right| = \left| \operatorname{dom} \beta \setminus \operatorname{dom} (\beta \alpha) \right|$$

= $\left| X \setminus \operatorname{dom} (\beta \alpha) \right| = g(\beta \alpha).$ (3.8)

Since dom $\gamma = X$, we have dom($\beta \alpha \gamma$) = dom($\beta \alpha$), and so $g(\beta \alpha \gamma) = g(\beta \alpha) = r$. Hence $\beta \alpha \gamma \in G_r$ and therefore $BL(q) \cdot \alpha \cdot BL(q) \subseteq G_r$.

For the converse, if $\alpha, \beta \in G_r$, then $|X \setminus \text{dom } \alpha| = r = |X \setminus \text{dom } \beta|$. Since p > q, every element in PS(q) has rank p, so we write

$$\alpha = \begin{pmatrix} a_i \\ x_i \end{pmatrix}, \quad \beta = \begin{pmatrix} b_i \\ y_i \end{pmatrix} \quad \text{where } |I| = p.$$
(3.9)

Now write $X \setminus \{y_i\} = A \cup B$ and $X \setminus \{a_i\} = C \cup D$ where |A| = |B| = |C| = q and |D| = r (note that this is possible since $d(\beta) = q \ge \aleph_0$ and $g(\alpha) = r > q \ge \aleph_0$). Define

$$\delta = \begin{pmatrix} b_i \ X \setminus \{b_i\} \\ a_i \ D \end{pmatrix}, \qquad \epsilon = \begin{pmatrix} x_i \ X \setminus \{x_i\} \\ y_i \ A \end{pmatrix}, \tag{3.10}$$

where $\delta|(X \setminus \{b_i\})$ and $\epsilon|(X \setminus \{x_i\})$ are bijections. Then $\delta, \epsilon \in BL(q)$ and $\beta = \delta \alpha \epsilon$, that is, $G_r \subseteq BL(q) \cdot \alpha \cdot BL(q)$ and equality follows.

Now we can describe all maximal subsemigroups of PS(q) when p > q.

Theorem 3.5. Suppose that $p > q \ge \aleph_0$. Then *M* is a maximal subsemigroup of PS(q) if and only if *M* equals one of the following sets:

- (a) $PS(q) \setminus G_p = \{ \alpha \in PS(q) : g(\alpha)$
- (b) $N \cup T_{r'}$, where $q \le r < p$ and N is a maximal subsemigroup of S_r .

Proof. Let $\alpha, \beta \in PS(q)$ be such that $g(\alpha) < p$ and $g(\beta) < p$. Clearly $|X\alpha \setminus \text{dom } \beta| \le |X \setminus \text{dom } \beta| = g(\beta) < p$. Then

$$\begin{aligned} \left| \operatorname{dom} \alpha \setminus \operatorname{dom} (\alpha \beta) \right| &= \left| \left[X \alpha \setminus (X \alpha \cap \operatorname{dom} \beta) \right] \alpha^{-1} \right| \\ &= \left| (X \alpha \setminus \operatorname{dom} \beta) \alpha^{-1} \right| \\ &= \left| X \alpha \setminus \operatorname{dom} \beta \right| < p. \end{aligned}$$
(3.11)

Hence,

$$|X \setminus \operatorname{dom}(\alpha\beta)| = |X \setminus \operatorname{dom}\alpha| + |\operatorname{dom}\alpha \setminus \operatorname{dom}(\alpha\beta)| < p, \tag{3.12}$$

and this shows that $PS(q) \setminus G_p$ is a subsemigroup of PS(q). To show that $PS(q) \setminus G_p$ is maximal in PS(q), we let $\alpha, \beta \in PS(q) \setminus (PS(q) \setminus G_p) = G_p$. By Lemma 3.4, $\alpha = \lambda \beta \mu$ for some $\lambda, \mu \in BL(q) \subseteq PS(q) \setminus G_p$. Thus, α can be written as a finite product of elements of $(PS(q) \setminus G_p) \cup \{\beta\}$, and hence $PS(q) \setminus G_p$ is maximal in PS(q). Also, if $q \leq r < p$ and N is a maximal subsemigroup of S_r , then $N \cup T_{r'}$ is maximal in PS(q) by Corollary 3.2.

We now suppose that M is a maximal subsemigroup of PS(q) such that $M \neq PS(q) \setminus G_p$. Then there exists $\alpha \notin M$ with $g(\alpha) < p$. Thus, Lemma 3.3 implies that $S_k \setminus M \neq \emptyset$ and $S_k \cap M \neq \emptyset$ for some k, where $q \leq k < p$. Since $PS(q) = S_k \cup T_{k'}$, Lemma 3.1(b) implies that $S_k \cap M$ is maximal in S_k . We also see that

$$M = (S_k \cap M) \cup (T_{k'} \cap M) \subseteq (S_k \cap M) \cup T_{k'}, \tag{3.13}$$

where $(S_k \cap M) \cup T_{k'}$ is maximal in PS(q) by Corollary 3.2. This means that $M = (S_k \cap M) \cup T_{k'}$ by the maximality of M.

By the previous theorem, when p > q, most of the maximal subsemigroups of PS(q) are induced by maximal subsemigroups of S_r where $q \le r < p$. Hence we now determine some maximal subsemigroups of S_r .

As mentioned in Section 1, for every nonempty subset *A* of *X* with $|X \setminus A| \ge q$, M_A is a maximal subsemigroup of BL(q). Here we extend the definition of M_A and consider the set \overline{M}_A defined as

$$\overline{M}_{A} = \{ \alpha \in PS(q) : A \not\subseteq X\alpha \text{ or } (A\alpha \subseteq A \subseteq \operatorname{dom} \alpha \text{ or } |X\alpha \setminus A| < q) \},$$
(3.14)

that is, α in *PS*(*q*) belongs to \overline{M}_A if and only if

(a) $A \not\subseteq X \alpha$, or

(b) $A \subseteq X\alpha$ and either $A\alpha \subseteq A \subseteq \text{dom } \alpha$, or $|X\alpha \setminus A| < q$.

The next result gives more detail on \overline{M}_A .

Lemma 3.6. Suppose that $p \ge q \ge \aleph_0$, and let A be a nonempty subset of X such that $|X \setminus A| \ge q$. Then,

- (a) for any cardinal k such that $0 \le k \le p$, there exist $\alpha, \beta \in PS(q)$ such that $g(\alpha) = k = g(\beta)$ and $\alpha \in \overline{M}_A, \ \beta \notin \overline{M}_A$;
- (b) for each $\gamma \notin \overline{M}_{A_{\ell}} | \operatorname{dom} \gamma \setminus A\gamma^{-1} | = |X \setminus A| = |X\gamma \setminus A|$ and $|A\gamma^{-1}| = |A|$.

Proof. To show that (a) holds, let $|X \setminus A| = r \ge q$, and let k be a cardinal such that $0 \le k \le p$. We write $X \setminus A = R \cup Q$ where |R| = r and |Q| = q. If r = p, then $|A \cup R| \ge r = p$; if not, then $|X \setminus A| < p$, and this implies |A| = p, and so $|A \cup R| = p$. Fix $a \in A$ and let $B = (A \setminus \{a\}) \cup R$. Then, |B| = p and $|X \setminus B| = |Q \cup \{a\}| = q$. We write $X = K \cup L$ where |K| = k and |L| = p. Then there exists a bijection $\alpha : L \to B$ and so $g(\alpha) = k$, $d(\alpha) = q$. Also, since $A \nsubseteq B = X\alpha$, we have $\alpha \in \overline{M}_A$. To find $\beta \in PS(q) \setminus \overline{M}_A$ with $g(\beta) = k$, we consider two cases. First, if r = p, we write $X \setminus A = P \cup Q \cup K$ where |P| = p, |Q| = q, |K| = k. Fix $a \in A$ and define

$$\beta = \begin{pmatrix} P \cup Q \cup \{a\} & A \setminus \{a\} \\ P \cup K \cup \{a\} & A \setminus \{a\} \end{pmatrix}, \tag{3.15}$$

where $\beta | (P \cup Q \cup \{a\})$ and $\beta | (A \setminus \{a\})$ are bijections and $a\beta \neq a$. On the other hand, if r < p, then |A| = p. In this case we write $A = A' \cup K'$ and $X \setminus A = R \cup Q$ where |A'| = p, |K'| = k, |R| = r and |Q| = q. Fix $a \in A'$ and redefine

$$\beta = \begin{pmatrix} (X \setminus A) \cup \{a\} & A' \setminus \{a\} \\ R \cup \{a\} & A \setminus \{a\} \end{pmatrix},$$
(3.16)

where $\beta|((X \setminus A) \cup \{a\})$ and $\beta|(A' \setminus \{a\})$ are bijections and $a\beta \neq a$. In both cases, we have $d(\beta) = q, g(\beta) = k, A \subseteq X\beta, A\beta \not\subseteq A$, and $|X\beta \setminus A| \ge q$, that is $\beta \in PS(q) \setminus \overline{M}_A$.

To see that (b) holds, suppose that there is $\gamma \notin \overline{M}_A$, then $A \subseteq X\gamma$ and $|X\gamma \setminus A| \ge q$. So $|A\gamma^{-1}| = |A|$ since γ is injective. Also,

$$X \setminus A = (X \setminus X\gamma) \mathrel{\dot{\cup}} (X\gamma \setminus A), \tag{3.17}$$

where $|X \setminus X\gamma| = q$. Since $|X \setminus A| \ge q$ and by our assumption $|X\gamma \setminus A| \ge q$, we have $|X \setminus A| = |X\gamma \setminus A| = |(X\gamma \setminus A)\gamma^{-1}| = |\operatorname{dom} \gamma \setminus A\gamma^{-1}|$ as required.

In [6, Theorem 1], the authors proved that M_A is a maximal subsemigroup of BL(q) for every nonempty subset A of X such that $|X \setminus A| \ge q$. Using a similar argument, we show that \overline{M}_A is a subsemigroup of PS(q).

Lemma 3.7. Suppose that $p \ge q \ge \aleph_0$, and let A be a nonempty subset of X such that $|X \setminus A| \ge q$. Then \overline{M}_A is a proper subsemigroup of PS(q).

Proof. Let $\alpha, \beta \in \overline{M}_A$. If $A \not\subseteq X \alpha \beta$, then $\alpha \beta \in \overline{M}_A$. Now we suppose that $A \subseteq X \alpha \beta$. Then, $A \subseteq X\beta$ and since $\beta \in \overline{M}_A$, we either have $A\beta \subseteq A \subseteq \text{dom }\beta$, or $|X\beta \setminus A| < q$. If $|X\beta \setminus A| < q$, then

$$\left|X\alpha\beta \setminus A\right| \le \left|X\beta \setminus A\right| < q \tag{3.18}$$

and so $\alpha\beta \in \overline{M}_A$. Otherwise, we have $A\beta \subseteq A \subseteq X\alpha\beta$ and hence $A \subseteq X\alpha$ since β is injective. Since $\alpha \in \overline{M}_A$, we either have $A\alpha \subseteq A \subseteq \text{dom } \alpha$, or $|X\alpha \setminus A| < q$. If the latter occurs, then

$$|X\alpha\beta \setminus A| \le |X\alpha\beta \setminus A\beta| = |(X\alpha \setminus A)\beta| \le |X\alpha \setminus A| < q, \tag{3.19}$$

therefore $\alpha\beta \in \overline{M}_A$. On the other hand, if $A\alpha \subseteq A \subseteq \text{dom } \alpha$, we have $A\alpha\beta \subseteq A\beta \subseteq A$. Moreover, $A\alpha \subseteq X\alpha \cap \text{dom } \beta$, that is, $A \subseteq (X\alpha \cap \text{dom } \beta)\alpha^{-1} = \text{dom}(\alpha\beta)$. Therefore $\alpha\beta \in \overline{M}_A$, and hence

 \overline{M}_A is a subsemigroup of PS(q). Finally, this subsemigroup is properly contained in PS(q) by Lemma 3.6(a).

Remark 3.8. For any cardinal r such that $q \leq r \leq p$, $S_r \cap \overline{M}_A$ is a proper subsemigroup of S_r but it is not maximal when q < r. To see this, suppose $S_r \cap \overline{M}_A$ is maximal and choose $\alpha, \beta \notin \overline{M}_A$ such that $g(\alpha) = r$ and $g(\beta) = 0$ (possible by Lemma 3.6(a)). Then $\alpha, \beta \in S_r \setminus \overline{M}_A$ where dom $\beta = X$. Moreover $\langle (S_r \cap \overline{M}_A) \cup \{\alpha\} \rangle = S_r$, and so

$$\beta = \gamma_1 \gamma_2 \cdots \gamma_n \alpha \lambda_1 \lambda_2 \cdots \lambda_m \tag{3.20}$$

for some $n, m \in \mathbb{N}_0$ and $\gamma_i, \lambda_j \in (S_r \cap \overline{M}_A) \cup \{\alpha\}, i = 1, ..., n, j = 1, ..., m$. If n = 0 or $\gamma_1 = \alpha$, then dom $\beta \subseteq \text{dom } \alpha$ and so $g(\alpha) = 0$, a contradiction. Thus, $n \neq 0$ and $\gamma_1 \neq \alpha$. Since $X = \text{dom } \beta \subseteq \text{dom}(\gamma_1 \gamma_2 \cdots \gamma_n)$, it follows that $\gamma = \gamma_1 \gamma_2 \cdots \gamma_n \in BL(q)$. Moreover, $X\gamma \subseteq \text{dom } \alpha$, and this implies,

$$q \le r = |X \setminus \operatorname{dom} \alpha| \le |X \setminus X\gamma| = q, \tag{3.21}$$

and hence r = q.

Since M_A is maximal in BL(q), a subsemigroup of PS(q), it is natural to think that \overline{M}_A is maximal in PS(q). But when p > q, by taking r = p, the above observation shows that this claim is false since $S_p = PS(q)$. Thus, \overline{M}_A is not always a maximal subsemigroup of PS(q).

The proof of the next result follows some ideas from [6, Theorem 1].

Theorem 3.9. Suppose that $p \ge r \ge q \ge \aleph_0$, and let A be a nonempty subset of X such that $|X \setminus A| \ge q$. Then $S_r \cap \overline{M}_A$ is a maximal subsemigroup of S_r precisely when r = q.

Proof. In Remark 3.8, we have shown that $S_r \cap \overline{M}_A$ is not maximal in S_r when r > q. It remains to show $S_q \cap \overline{M}_A$ is maximal in S_q . Let $\alpha, \beta \in S_q \setminus \overline{M}_A$. Then $g(\alpha), g(\beta) \le q$ and Lemma 3.6(b) implies that

$$|A\alpha^{-1}| = |A| = |A\beta^{-1}|,$$

$$|\operatorname{dom} \alpha \setminus A\alpha^{-1}| = |\operatorname{dom} \beta \setminus A\beta^{-1}| = |X\beta \setminus A| = |X\alpha \setminus A| = |X \setminus A| = s \quad (\operatorname{say}) \ge q.$$
(3.22)

We also have $A\beta \not\subseteq A$ or $A \not\subseteq \text{dom }\beta$. In the case that $A\beta \not\subseteq A$, we have $A\beta \cap (X \setminus A) \neq \emptyset$. Thus, there exists $y \in A \cap (X \setminus A)\beta^{-1}$, so $y \notin A\beta^{-1}$. Since $|\operatorname{dom}\beta \setminus (A\beta^{-1} \cup \{y\})| = s$, we can write

$$\operatorname{dom} \beta \setminus \left(A\beta^{-1} \cup \{y\} \right) = \{c_j\} \, \dot{\cup} \, \{d_k\}, \tag{3.23}$$

where |J| = s and |K| = q. Also, since $\alpha, \beta \notin \overline{M}_A$, we have $A \subseteq X\alpha$ and $A \subseteq X\beta$. Thus, for convenience, write $A = \{a_i\}$, let $y_i, z_i \in X$ be such that $y_i\alpha = a_i = z_i\beta$ for each *i*, and let dom $\alpha \setminus A\alpha^{-1} = \{b_j\}$. Hence, we can write

$$\beta = \begin{pmatrix} z_i & c_j & d_k & y \\ a_i & c_j \beta & d_k \beta & y \beta \end{pmatrix}.$$
 (3.24)

Now define $\gamma \in P(X)$ by

$$\gamma = \begin{pmatrix} y_i & b_j \\ z_i & c_j \end{pmatrix}. \tag{3.25}$$

Then, $d(\gamma) = |\{d_k\} \cup \{y\}| + g(\beta) = q$, that is, $\gamma \in PS(q)$. Also, since dom $\gamma = \text{dom } \alpha$, we have $g(\gamma) = g(\alpha) \le q$ and so $\gamma \in S_q$. Moreover, since $y \in A$ and $y \notin X\gamma$, we have $A \not\subseteq X\gamma$, that is, $\gamma \in \overline{M}_A$. Also, since $d(\alpha) = q$, we can write $X \setminus X\alpha = \{m_k\} \cup \{n_k\} \cup \{z\}$ and define μ in P(X) by

$$\mu = \begin{pmatrix} a_i & c_j \beta & d_k \beta & y\beta \\ a_i & b_j \alpha & m_k & z \end{pmatrix}.$$
 (3.26)

Then $d(\mu) = |\{n_k\}| = q = d(\beta) = g(\mu)$, that is, $\mu \in S_q$. Moreover, $\mu \in \overline{M}_A$ since $A\mu = A \subseteq \text{dom } \mu$. Finally, we can see that $\alpha = \gamma \beta \mu$ where $\gamma, \mu \in S_q \cap \overline{M}_A$.

On the other hand, if $A \not\subseteq \operatorname{dom} \beta$, then there exists $w \in A \cap (X \setminus \operatorname{dom} \beta)$. In this case, we rewrite $\operatorname{dom} \beta \setminus A\beta^{-1} = \{c_j\} \cup \{d_k\}$ and $X \setminus X\alpha = \{m_k\} \cup \{n_k\}$ where |J| = s, |K| = q. Like before, we write $A = \{a_i\}$ and $\operatorname{dom} \alpha = \{y_i\} \cup \{b_j\}$ where $\{b_j\} = \operatorname{dom} \alpha \setminus A\alpha^{-1}$, then

$$\beta = \begin{pmatrix} z_i & c_j & d_k \\ a_i & c_j \beta & d_k \beta \end{pmatrix}.$$
(3.27)

Define $\gamma, \mu \in P(X)$ by

$$\gamma = \begin{pmatrix} y_i & b_j \\ z_i & c_j \end{pmatrix}, \qquad \mu = \begin{pmatrix} a_i & c_j \beta & d_k \beta \\ a_i & b_j \alpha & m_k \end{pmatrix}.$$
(3.28)

Then, $d(\gamma) = |\{d_k\}| + g(\beta) = q$, $g(\gamma) = g(\alpha) \le q$, $d(\mu) = |\{n_k\}| = q = d(\beta) = g(\mu)$, and so $\gamma, \mu \in S_q$. Also, $\gamma, \mu \in \overline{M}_A$ since $A \not\subseteq X\gamma$ (note that $w \in A \setminus \text{dom } \beta \subseteq A \setminus X\gamma$) and $A\mu = A \subseteq \text{dom } \mu$. Moreover, $\alpha = \gamma \beta \mu$. In other words, we have shown that for every $\alpha, \beta \in S_q \setminus \overline{M}_A$, α can be written as a finite product of elements of $(S_q \cap \overline{M}_A) \cup \{\beta\}$. Therefore, $S_q \cap \overline{M}_A$ is maximal in S_q .

We now determine some other classes of maximal subsemigroups of S_r .

Lemma 3.10. Suppose that $p \ge r \ge q \ge \aleph_0$. Let k be a cardinal such that k = 0 or $q \le k \le r$. Then

$$S_r \setminus G_k = \{ \alpha \in PS(q) : k \neq g(\alpha) \le r \}$$
(3.29)

is a proper subsemigroup of S_r .

Proof. Since $k \leq r$, we have $S_r \setminus G_k \subsetneq S_r$. If k = 0, then $S_r \setminus G_0 = S_r \setminus BL(q)$, and this is a subsemigroup of S_r since, for $\alpha, \beta \in S_r \setminus BL(q)$, dom $(\alpha\beta) \subseteq$ dom $\alpha \subsetneq X$, and this implies $\alpha\beta \in S_r \setminus BL(q)$. Now suppose $q \leq k \leq r$ and let $\alpha, \beta \in S_r$ be such that $g(\alpha\beta) = k$. We claim that $g(\alpha) = k$ or $g(\beta) = k$. To see this, assume that $g(\alpha) \neq k$. Since

$$k = |X \setminus \operatorname{dom}(\alpha\beta)| = |X \setminus \operatorname{dom}\alpha| + |\operatorname{dom}\alpha \setminus \operatorname{dom}(\alpha\beta)|, \qquad (3.30)$$

we have $|X \setminus \text{dom } \alpha| < k$, thus

$$k = |\operatorname{dom} \alpha \setminus \operatorname{dom}(\alpha\beta)| = |[X\alpha \setminus (X\alpha \cap \operatorname{dom} \beta)]\alpha^{-1}|$$

= $|(X\alpha \setminus \operatorname{dom} \beta)\alpha^{-1}| = |X\alpha \setminus \operatorname{dom} \beta|.$ (3.31)

Note that

$$X \setminus \operatorname{dom} \beta = [X\alpha \setminus \operatorname{dom} \beta] \ \cup \ [(X \setminus X\alpha) \cap (X \setminus \operatorname{dom} \beta)], \tag{3.32}$$

where the intersection on the right has cardinal at most *q*. Hence, $g(\beta) = |X \setminus \text{dom } \beta| = k$ and we have shown that $S_r \setminus G_k$ is a subsemigroup of S_r .

Remark 3.11. Observe that, if 0 < k < q then $S_r \setminus G_k$ is not a semigroup for all $q \le r \le p$. To see this, let $\alpha \in BL(q)$ and $\beta = id_{X\alpha\setminus K}$ for some subset K of $X\alpha$ such that |K| = k (possible since $|X\alpha| = p > k$), then $\alpha, \beta \in PS(q)$ since $d(\beta) = d(\alpha) + k = q$. Moreover, since $g(\alpha) = 0$ and $g(\beta) = q \ne k$, we have $\alpha, \beta \in S_r \setminus G_k$. But

$$\operatorname{dom}(\alpha\beta) = (X\alpha \cap \operatorname{dom}\beta)\alpha^{-1} = (X\alpha \setminus K)\alpha^{-1} = X \setminus K\alpha^{-1}, \tag{3.33}$$

thus $g(\alpha\beta) = |K\alpha^{-1}| = k$, that is, $\alpha\beta \in G_k$.

Theorem 3.12. Suppose that $p \ge r \ge q \ge \aleph_0$. Then the following statements hold:

- (a) $S_r \setminus G_0$ is a maximal subsemigroup of S_r ;
- (b) if p > q, then for each cardinal k such that $q \le k \le r$, $S_r \setminus G_k$ is a maximal subsemigroup of S_r .

Proof. By Lemma 3.10, $S_r \setminus G_0$ is a subsemigroup of S_r . To see that it is maximal, let $\alpha, \beta \in G_0 = BL(q) \subseteq S_q$. By [3, Theorem 5], $S_q = \beta \cdot R(q)$, and this implies that $\alpha = \beta \gamma$ for some $\gamma \in R(q) \subseteq S_r \setminus G_0$. Hence $S_r \setminus G_0$ is maximal in S_r .

Now suppose that p > q and let $q \le k \le r$. Let $\alpha, \beta \in G_k$. If k = q, then $G_k = R(q) \subseteq S_q$ and, by [3, Theorem 6], $S_q = BL(q) \cdot \beta \cdot BL(q)$. If k > q, then $G_k = BL(q) \cdot \beta \cdot BL(q)$ (by

Lemma 3.4). Therefore, $\alpha = \gamma \beta \mu$ for some $\gamma, \mu \in BL(q) \subseteq S_r \setminus G_k$, and so $S_r \setminus G_k$ is maximal in S_r .

Corollary 3.13. Suppose that $p > q \ge \aleph_0$ and let A be a nonempty subset of X such that $|X \setminus A| \ge q$. Then the following sets are maximal subsemigroups of PS(q):

- (a) $\overline{M}_A \cup T_{q'}$;
- (b) $N_k = \{ \alpha \in PS(q) : g(\alpha) \neq k \}$ where k = 0 or $q \le k \le p$.

Proof. By Theorem 3.9, $S_q \cap \overline{M}_A$ is maximal in S_q . Then Corollary 3.2 implies that $(S_q \cap \overline{M}_A) \cup T_{q'}$ is maximal in PS(q). But

$$\left(S_q \cap \overline{M}_A\right) \cup T_{q'} = \left(S_q \cup T_{q'}\right) \cap \left(\overline{M}_A \cup T_{q'}\right) = PS(q) \cap \left(\overline{M}_A \cup T_{q'}\right) = \overline{M}_A \cup T_{q'}, \quad (3.34)$$

and so (a) holds. To show that (b) holds, let r = p in Theorem 3.12. Then $S_p = PS(q)$ and thus $N_k = S_p \setminus G_k$ is maximal in PS(q).

Theorem 3.14. Suppose that $p > q \ge \aleph_0$ and k equals 0 or q. Let A be a nonempty subset of X such that $|X \setminus A| \ge q$. Then the two classes of maximal subsemigroups $S_q \cap \overline{M}_A$ and $S_q \setminus G_k$ of S_q are always disjoint.

Proof. By Theorems 3.9 and 3.12, $S_q \cap \overline{M}_A$ and $S_q \setminus G_k$ are maximal subsemigroups of S_q . By Lemma 3.6(a), there exists $\alpha \in \overline{M}_A$ with $g(\alpha) = k$. Then $\alpha \in S_k \cap \overline{M}_A \subseteq S_q \cap \overline{M}_A$ but $\alpha \notin S_q \setminus G_k$, that is, $S_q \cap \overline{M}_A \not\subseteq S_q \setminus G_k$. Also, $S_q \setminus G_k \not\subseteq S_q \cap \overline{M}_A$ by the maximality of $S_q \cap \overline{M}_A$ and $S_q \setminus G_k$. Therefore, $S_q \cap \overline{M}_A$ is not equal to $S_q \setminus G_k$.

4. Maximal Subsemigroups of PS(q) **When** p = q

We first recall that, when p = q, the empty transformation \emptyset belongs to PS(q) since $d(\emptyset) = p = q$. In this case, the ideals of PS(q) are precisely the sets:

$$J_r = \{ \alpha \in PS(q) : r(\alpha) < r \}, \tag{4.1}$$

where $1 \le r \le p'$ (see [3, Theorem 14]). Clearly, $J_{p'} = PS(q)$ and $J_p = \{\alpha \in PS(q) : r(\alpha) < p\}$ is the largest proper ideal. In this case, the complement of each J_r in PS(q) is not a semigroup. To see this, write $X = A \cup B \cup C$ where |A| = p and |B| = r = |C|. Then id_B , $id_C \in PS(q) \setminus J_r$ whereas id_B . $id_C = \emptyset \in J_r$. Hence, unlike what was done in Section 3, we cannot use Lemma 3.1 to find maximal subsemigroups of PS(q) when p = q. In this section, we determine some maximal subsemigroups of PS(q), for p = q, using a different approach. We first describe some properties of each maximal subsemigroup in this case.

Lemma 4.1. Suppose that $p = q \ge \aleph_0$ and M is a maximal subsemigroup of PS(q). Then the following statements hold:

- (a) *M* contains all $\alpha \in PS(q)$ with $r(\alpha) < p$,
- (b) if $R(q) \subseteq M$, then $M \cap BL(q) = \emptyset$.

Proof. Suppose that there exists $\alpha \notin M$ with $r(\alpha) = k < p$. Then $g(\alpha) = p$, and we write in the usual way

$$\alpha = \begin{pmatrix} a_i \\ x_i \end{pmatrix}. \tag{4.2}$$

Also, write $X \setminus \{a_i\} = P \cup Q$ and $X \setminus \{x_i\} = R \cup S$ where |P| = |Q| = p = |R| = |S|, and define β , γ in P(X) by

$$\beta = \begin{pmatrix} a_i & P \\ a_i & P \end{pmatrix}, \qquad \gamma = \begin{pmatrix} a_i & Q \\ x_i & R \end{pmatrix}, \tag{4.3}$$

where $\beta | P$ and $\gamma | Q$ are bijections. Then $\beta, \gamma \in PS(q)$. Also,

$$\alpha = \beta \cdot \alpha \cdot \operatorname{id}_{X\alpha} \in PS(q) \cdot \alpha \cdot PS(q), \tag{4.4}$$

thus $M \subsetneq M \cup (PS(q) \cdot \alpha \cdot PS(q))$. But $M \cup (PS(q) \cdot \alpha \cdot PS(q))$ is a subsemigroup of PS(q) and this means that $M \cup (PS(q) \cdot \alpha \cdot PS(q)) = PS(q)$ by the maximality of M. Since all mappings in $PS(q) \cdot \alpha \cdot PS(q)$ have rank at most k, it follows that M contains all mappings with rank greater than k. Therefore $\beta, \gamma \in M$ and thus $\alpha = \beta \gamma \in M$, a contradiction.

To show that (b) holds, suppose that $R(q) \subseteq M$. If there exists $\alpha \in M \cap BL(q)$, then [3, Theorem 5] implies that $PS(q) = \alpha \cdot R(q) \subseteq M$ (note that $S_q = PS(q)$ when p = q), so M = PS(q), contrary to the maximality of M. Thus $M \cap BL(q) = \emptyset$.

Remark 4.2. If p > q, then every $\alpha \in PS(q)$ has rank p. This contrasts with Lemma 4.1(a). Also, by Corollary 3.13, if p > q and $q < k \le p$, N_k is a maximal subsemigroup of PS(q) containing $R(q) \cup BL(q)$, this contrasts with Lemma 4.1(b).

As in Section 3, for any cardinal *k*, we let

$$N_k = \{ \alpha \in PS(q) : g(\alpha) \neq k \}.$$

$$(4.5)$$

By Lemma 3.10 and Remark 3.11, if p = q, then N_k is a subsemigroup of PS(q) exactly when k = 0 or k = p. From Corollary 3.13(b), when p > q, N_p is a maximal subsemigroup of PS(q). But when p = q, Lemma 4.1(a) implies that N_p is not maximal since $\emptyset \notin N_p$. Moreover, Lemma 4.1(a) implies that every maximal subsemigroup of PS(q) must contain the largest proper ideal

$$J_p = \{ \alpha \in PS(q) : r(\alpha)
$$(4.6)$$$$

Note that J_p itself is a subsemigroup of PS(q), but it is not maximal since $J_p \subsetneq R(q)$ (in case $p = q, r(\alpha) < p$ implies $g(\alpha) = p$).

Theorem 4.3. Suppose that $p = q \ge \aleph_0$, and let A be a nonempty subset of X such that $|X \setminus A| \ge q$. The following are maximal subsemigroups of PS(q):

(a) \overline{M}_{A} ; (b) N_{0} ; (c) $N_{p} \cup J_{p}$.

Proof. If p = q, then $S_q = PS(q)$, and so (a) holds by Theorem 3.9. Also, by taking r = p in Theorem 3.12(a), we see that (b) holds. To show that (c) holds, take r = p = k in Lemma 3.10, we have $N_p = S_p \setminus G_p$ is a subsemigroup of PS(q). Moreover, $N_p \cup J_p$ is also a subsemigroup of PS(q) since J_p is an ideal. To show the maximality of $N_p \cup J_p$, let $\alpha, \beta \in PS(q) \setminus (N_p \cup J_p)$. Then $g(\alpha) = g(\beta) = p = r(\alpha) = r(\beta)$. Write in the usual way

$$\alpha = \begin{pmatrix} a_i \\ x_i \end{pmatrix}, \qquad \beta = \begin{pmatrix} b_i \\ y_i \end{pmatrix}, \tag{4.7}$$

where |I| = p, and let

$$X \setminus \{a_i\} = A \stackrel{.}{\cup} B, \quad X \setminus \{y_i\} = C \stackrel{.}{\cup} D, \tag{4.8}$$

where |A| = |B| = |C| = |D| = p. Then define $\gamma, \mu \in P(X)$ by

$$\gamma = \begin{pmatrix} b_i & X \setminus \{b_i\} \\ a_i & A \end{pmatrix}, \qquad \mu = \begin{pmatrix} x_i & X \setminus \{x_i\} \\ y_i & C \end{pmatrix}, \tag{4.9}$$

where $\gamma | (X \setminus \{b_i\})$ and $\mu | (X \setminus \{x_i\})$ are bijections. Thus $\gamma, \mu \in PS(q)$ since $d(\gamma) = |B| = p = |D| = d(\mu)$. Moreover $\gamma, \mu \in N_p \cup J_p$ since $g(\gamma) = g(\mu) = 0 < p$. It is clear that $\beta = \gamma \alpha \mu$ and therefore $N_p \cup J_p$ is maximal in PS(q).

Remark 4.4. When p = q, if M is a maximal subsemigroup containing R(q), then

$$M \subseteq (PS(q) \setminus BL(q)) = N_0 \tag{4.10}$$

by Lemma 4.1(b). Thus, $M = N_0$ by the maximality of M. So we conclude that N_0 is the only maximal subsemigroup of PS(q) containing R(q).

Remark 4.5. As we showed in Section 3, to see all maximal subsemigroups of PS(q) when p > q, it is necessary to describe all maximal subsemigroups of S_r where $q \le r < p$. So we leave this as a direction for future research.

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