A NOTE ON CONTROLLABILITY OF SEMILINEAR INTEGRODIFFERENTIAL SYSTEMS IN BANACH SPACES

K. BALACHANDRAN and R. SAKTHIVEL

Bharathiar University Department of Mathematics Coimbatore 641 046, India

(Received March, 1998; Revised January, 1999)

Sufficient conditions for controllability of semilinear integrodifferential systems in a Banach space are established. The results are obtained by using the Schaefer fixed-point theorem.

Key words: Controllability, Semilinear Integrodifferential System, Fixed-Point Theorem.

AMS subject classifications: 93B05.

1. Introduction

Controllability of linear and nonlinear systems represented by ordinary differential equations in finite-dimensional space has been extensively studied. Several authors have extended the concept to infinite-dimensional systems in Banach spaces with Naito [8, 9] studied the controllability of semilinear systems bounded operators. whereas Yamamoto and Park [13] considered the same problem for parabolic equation with uniformly bounded nonlinear term. Lasiecka and Triggiani [5] studied exact controllability of abstract semilinear equations. Chukwu and Lenhart [3] discussed the controllability of nonlinear systems in abstract spaces and Naito [10] established the controllability for nonlinear Volterra integrodifferential systems. Do [4] and Zhou [14] investigated the approximate controllability for a class of semilinear abstract equations. Recently Balachandran et al. [1, 2] established sufficient conditions for the controllability of nonlinear integrodifferential systems in Banach spaces by using Schauder's fixed-point theorem. The purpose of this paper is to study the controllability of semilinear integrodifferential systems in Banach spaces by suitably applying the Schaefer fixed-point theorem.

2. Preliminaries

Consider the semilinear integrodifferential system

$$\dot{x}(t) = A[x(t) + \int_{0}^{t} F(t-s)x(s)ds] + (Bu)(t) + f(t,x(t),\int_{0}^{t} g(t,s,x(s))ds), \ t \in J = [0,b],$$

161

Printed in the U.S.A. ©2000 by North Atlantic Science Publishing Company

$$x(0) = x_0, \tag{1}$$

where the state $x(\cdot)$ takes values in a Banach space X and the control function $u(\cdot)$ is given in $L^2(J,U)$, a Banach space of admissible control functions with U as a Banach space. Here A is the generator of a strongly continuous semigroup, B is a bounded linear operator from U into X, and $g: J \times J \times X \to X$ and $f: J \times X \times X \to X$ are given functions. $F(t): Y \to Y$ and for $x(\cdot)$ continuous in Y, $AF(\cdot)x(\cdot) \in$ $L^1([0,b],X)$. $F(t) \in B(X), t \in J$ and for some $x \in X$, F'(t)x is continuous in $t \in$ [0,b], where B(X) is the space of all bounded linear operators on X, and Y is the Banach space formed from D(A), the domain of A endowed with the graph norm.

We need the following fixed point theorem due to Schaefer [12].

Schaefer Theorem: Let S be a convex subset of a normed linear space E and $0 \in S$. Let $F: S \rightarrow S$ be a completely continuous operator and let

$$\zeta(F) = \{ x \in S; x = \lambda Fx \text{ for some } 0 < \lambda < 1 \}.$$

Then either $\zeta(F)$ is unbounded or F has a fixed point.

The system (1) has a mild solution of the following form [11]:

$$x(t) = R(t)x_0 + \int_0^t R(t-s) \left[(Bu)(s) + f(s,x(s), \int_0^s g(s,\tau,x(\tau))d\tau) \right] ds, \qquad (2)$$

where R(t) is a resolvent operator [6].

In order to study the controllability problem of (1), we consider the following system as in [7]:

$$\dot{x}(t) = \lambda A \left[x(t) + \int_{0}^{t} F(t-s)x(s)ds \right] + \lambda (Bu)(t)$$
$$+ \lambda f \left(t, x(t), \int_{0}^{t} g(t, sx(s))ds \right), \quad \lambda \in (0, 1), \quad t \in J, \qquad (3)$$
$$x(0) = x_{0}.$$

Then for system (3), there exists a mild solution of the form

$$x(t) = \lambda R(t)x_0 + \lambda \int_0^t R(t-s) \left[(Bu)(s) + f(s,x(s),\int_0^s g(s,\tau,x(\tau))d\tau) \right] ds.$$

Definition: System (1) is said to be controllable on the interval J if for every $x_0, x_1 \in X$, there exists a control $u \in L^2(J, U)$ such that the solution $x(\cdot)$ of (1) satisfies $x(b) = x_1$.

We assume the following hypotheses:

(i) The resolvent operator R(t) is compact such that

$$\max_{t>0} \| R(t) \| \le M_1,$$

where $M_1 > 0$. The linear operator W from $L^2(J,U)$ into X, defined by (ii)

$$Wu = \int_{0}^{b} R(b-s)Bu(s)ds$$

has an invertible operator W^{-1} defined on $L^2(J,U)/kerW$ and there exist positive constants M_2, M_3 such that $||B|| \leq M_2$ and $||W^{-1}|| \leq M_3$. For each $t \in J$, the function $g(t, s, \cdot): X \to X$ is continuous and for each

- (iii) $x \in X$, the function $g(\cdot, \cdot, x): J \times J \rightarrow X$ is strongly measurable.
- (iv)For each $t \in J$, the function $f(t, \cdot, \cdot): X \times X \rightarrow X$ is continuous and for each $x, y \in X$, the function $f(\cdot, x, y): J \rightarrow X$ is strongly measurable.
- For every positive integer k, there exists $h_k \in L^1(0,b)$ such that for a.a. (v) $t \in J$,

$$\sup_{||x|| \leq k} \left\| f(t,x(t), \int_0^t g(t,s,x(s))ds) \right\| \leq h_k(t).$$

(vi)There exists a continuous function $m: J \times J \rightarrow [0, \infty)$ such that

$$|| g(t,s,x) || \le m(t,s)\Omega(||x||), t,s \in J, x \in X,$$

where $\Omega: [0,\infty) \rightarrow (0,\infty)$ is a continuous nondecreasing function.

(vii)There exists a continuous function $p: J \rightarrow [0, \infty)$ such that

$$|| f(t, x, y) || \le p(t)\Omega_0(|| x || + || y ||), t \in J \ x, y \in X,$$

where $\Omega_0: [0,\infty) \rightarrow (0,\infty)$ is a continuous nondecreasing function. (viii) Ь

$$\int_{0}^{b} \widehat{m}(s) ds < \int_{c}^{\infty} \frac{ds}{\Omega_{0}(s) + \Omega(s)},$$

where $c = M_1(||x_0||) + M_1Nb$, $\widehat{m}(t) = \max\{M_1p(t), Lm(t, t)\},\$

$$\begin{split} N &= M_2 M_3 \! \left(\, \mid\mid x_1 \mid\mid + M_1 \mid\mid x_0 \mid\mid + M_1 \int_0^b p(s) \Omega_0(\mid\mid x \mid\mid \\ &+ L \int_0^s m(s,\tau) \Omega(\mid\mid x \mid\mid) d\tau) ds \right) \!\!\! . \end{split}$$

3. Main Result

Theorem: If the hypotheses (i)-(viii) are satisfied, then system (1) is controllable on J .

Proof: Using hypothesis (*ii*) for an arbitrary function $x(\cdot)$, define the control

$$u(t) = W^{-1} \left[x_1 - R(b)x_0 - \int_0^b R(b-s)f(s,x(s),\int_0^s g(s,\tau,x(\tau))d\tau)ds \right] (t).$$

We shall now show that when using this control the operator defined by

$$\begin{split} (Fx)(t) &= R(t)x_0 + \int_0^t R(t-s)[(Bu)(s) \\ &+ f(s,x(s),\int_0^s g(s,\tau,x(\tau))d\tau)]ds, t \in J, \end{split}$$

has a fixed point. This fixed point is then a solution of equation (2).

Clearly, $(Fx)(b) = x_1$, which means that the control u steers the semilinear integrodifferential system from the initial state x_0 to x_1 in time b, provided we can obtain a fixed point of the nonlinear operator F.

First, we obtain a priori bounds for the following equation:

$$\begin{split} x(t) &= \lambda R(t) x_0 + \lambda \int_0^t R(t-\eta) B W^{-1} [x_1 - R(b) x_0 \\ &- \int_0^b R(b-s) f(s,x(s), \int_0^s g(s,\tau,x(\tau)) d\tau) ds](\eta) d\eta \\ &+ \lambda \int_0^t R(t-s) f(s,x(s), \int_0^s g(s,\tau,x(\tau)) d\tau) ds. \end{split}$$

We have, from the assumptions,

$$||x(t)|| \le M_1 ||x_0|| + \int_0^t ||R(t-\eta)|| M_2 M_3[||x_1|| + M_1 ||x_0||]$$

$$\begin{split} &+ M_1 \int_0^b p(s) \Omega_0(\, \|\, x(s) \,\|\, + \int_0^s m(s,\tau) \Omega(\, \|\, x(\tau) \,\|\,) d\tau) ds] d\eta \\ &+ M_1 \int_0^t p(s) \Omega_0(\, \|\, x(s) \,\|\, + \int_0^s m(s,\tau) \Omega(\, \|\, x(\tau) \,\|\,) d\tau) ds \\ &\leq M_1 \,\|\, x_0 \,\|\, + \int_0^t M_1 N ds \end{split}$$

$$\begin{split} &+ M_1 \int_0^t p(s) \Omega_0(\, \| \, x(s) \, \| \, + \, \int_0^s m(s,\tau) \Omega(\, \| \, x(\tau) \, \| \,) d\tau) ds \\ &\leq M_1 \, \| \, x_0 \, \| \, + M_1 N b + M_1 \int_0^t p(s) \Omega_0(\, \| \, x(s) \, \| \\ &+ \, \int_0^s m(s,\tau) \Omega(\, \| \, x(\tau) \, \| \,) d\tau) ds. \end{split}$$

Denoting by v(t) the right-hand side of the above inequality, we have $v(0) = M_1 ||x_0|| + M_1 Nb$, $||x(t)|| \le v(t)$ and

$$\begin{split} v'(t) &= M_1 p(t) \Omega_0(\parallel x(t) \parallel + \int_0^t m(t,\tau) \Omega(\parallel x(\tau) \parallel) d\tau) \\ &\leq M_1 p(t) \Omega_0(v(t) + \int_0^t m(t,\tau) \Omega(v(\tau)) d\tau). \end{split}$$

Let

$$w(t) = v(t) + \int_0^t m(t,\tau)\Omega(v(\tau))d\tau.$$

Then $w(0) = v(0) = c, v(t) \le w(t)$, and

$$\begin{split} w'(t) &= v'(t) + m(t,t)\Omega(v(t)) \leq M_1 p(t)\Omega_0(w(t)) + m(t,t)\Omega(w(t)) \\ &\leq \widehat{m}(t)[\Omega_0(w(t)) + \Omega(w(t))]. \end{split}$$

This implies that

$$\int_{w(0)}^{w(t)} \frac{ds}{\Omega_0(s) + \Omega(s)} \leq \int_0^b \widehat{m}(s) ds < \int_c^\infty \frac{ds}{\Omega_0(s) + \Omega(s)}, \quad t \in J,$$

which in turn implies that there is a constant K such that $w(t) \leq K$, $t \in J$, and hence $||x(t)|| \leq K$, $t \in J$, where K depends only on b and on the functions m, Ω_0 , and Ω .

Second, we must prove that the operator $F{:}\,C=C(J,X){\rightarrow}C$ defined by

$$(Fx)(t) = R(t)x_0 + \int_0^t R(t-\eta)BW^{-1}[x_1 - R(b)x_0] \\ - \int_0^b R(b-s)f(s,x(s),\int_0^s g(s,\tau,x(\tau))d\tau)ds](\eta)d\eta$$

165

$$+ \int_0^t R(t-s)f(s,x(s),\int_0^s g(s,\tau,x(\tau))d\tau)ds$$

is a completely continuous operator.

Let $B_k = \{x \in C : ||x|| \le k\}$ for some $k \ge 1$. We first show that F maps B_k into an equicontinuous family. Let $x \in B_k$ and $t_1, t_2 \in J$. Then if $0 < t_1 < t_2 \le b$,

$$\begin{split} \| (Fx)(t_1) - (Fx)(t_2) \| &\leq \| R(t_1) - R(t_2) \| \| x_0 \| \\ &+ \| \int_0^{t_1} [R(t_1 - \eta) - R(t_2 - \eta)] BW^{-1} [x_1 - R(b)x_0 \\ &- \int_0^b R(b - s) f(s, x(s)), \int_0^s g(s, \tau, x(\tau)) d\tau) ds](\eta) d\eta \| \\ &+ \| \int_{t_1}^{t_2} R(t_2 - \eta) BW^{-1} [x_1 - R(b)x_0 \\ &- \int_0^b R(b - s) f(s, x(s)), \int_0^s g(s, \tau, x(\tau)) d\tau) ds](\eta) d\eta \| \\ &+ \| \int_0^{t_1} [R(t_1 - s) - R(t_2 - s)] f(s, x(s)), \int_0^s g(s, \tau, x(\tau)) d\tau) ds \| \\ &+ \| \int_{t_1}^{t_2} R(t_2 - s) f(s, x(s)), \int_0^s g(s, \tau, x(\tau)) d\tau) ds \| \\ &\leq \| R(t_1) - R(t_2) \| \| x_0 \| \\ &+ \int_0^{t_1} \| R(t_1 - \eta) - R(t_2 - \eta) \| M_2 M_3 [\| x_1 \| + M_1 e^{\omega b} \| x_0 \| \\ &+ M_1 \int_0^b e^{\omega (b - s)} h_k(s) ds] d\eta \\ &+ \int_{t_1}^{t_2} \| R(t_2 - \eta) \| M_2 M_3 [\| x_1 \| + M_1 e^{\omega b} \| x_0 \| \\ &+ M_1 \int_0^b e^{\omega (b - s)} h_k(s) ds] d\eta \end{split}$$

$$+ \int_{0}^{t_{1}} \| \left[R(t_{1} - s) - R(t_{2} - s) \right] \| h_{k}(s) ds$$

$$+ \int_{t_{1}}^{t_{2}} \| R(t_{2} - s) \| h_{k}(s) ds.$$

The right-hand side tends to zero as $t_2 - t_1 \rightarrow 0$, since the compactness of R(t) for t > 0 implies the continuity in the uniform operator topology.

Thus, F maps B_k into an equicontinuous family of functions. It is easy to see that

the family FB_k is uniformly bounded. Next, we show that $\overline{FB_k}$ is compact. Since we have shown FB_k is an equicontinuous collection, it suffices by the Arzela-Ascoli theorem, to show that F maps B_k into a precompact set in X.

Let $0 < t \le b$ be fixed and ϵ a real number satisfying $0 < \epsilon < t$. For $x \in B_k$, we define

$$\begin{split} (F_{\epsilon}x)(t) &= R(t)x_{0} + \int_{0}^{t-\epsilon} R(t-\eta)BW^{-1}[x_{1} - R(b)x_{0} \\ &- \int_{0}^{b} R(b-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)ds](\eta)d\eta \\ &+ \int_{0}^{t-\epsilon} R(t-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)ds \\ &= R(t)x_{0} + R(\epsilon)\int_{0}^{t-\epsilon} R^{-1}(\epsilon)R(t-\eta)BW^{-1}[x_{1} - R(b)x_{0} \\ &- \int_{0}^{b} R(b-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)ds](\eta)d\eta \end{split}$$

$$+R(\epsilon)\int_{0}^{t-\epsilon}R^{-1}(\epsilon)R(t-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)ds.$$

Since R(t) is a compact operator, the set $Y_{\epsilon}(t) = \{(F_{\epsilon}x)(t): x \in B_k\}$ is precompact in X for every ϵ , $0 < \epsilon < t$. Moreover, for every $x \in B_k$, we have

$$||(Fx)(t) - (F_{\epsilon}x)(t)|| \le \int_{t-\epsilon}^{t} ||R(t-\eta)BW^{-1}[x_1 - R(b)x_0]|$$

167

$$\begin{split} &- \int_{0}^{b} R(b-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)ds](\eta) \parallel d\eta \\ &+ \int_{t-\epsilon}^{t} \parallel R(t-s)f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau) \parallel ds \\ &\leq \int_{t-\epsilon}^{t} \parallel R(t-\eta) \parallel M_{2}M_{3}[\parallel x_{1} \parallel + M_{1}e^{\omega b} \parallel x_{0} \parallel \\ &+ M_{1}\int_{0}^{b}e^{\omega(b-s)}h_{k}(s)ds]d\eta \\ &+ \int_{t-\epsilon}^{t} \parallel R(t-s) \parallel h_{k}(s)ds. \end{split}$$

Therefore, there are precompact sets arbitrarily close to the set $\{(Fx)(t): x \in B_k\}$.

Hence the set $\{(Fx)(t): x \in B_k\}$ is precompact in X. It remains to show that $F: C \to C$ is continuous. Let $\{x_n\}_0^\infty \subseteq C$ with $x_n \to x$ in C. Then there is an integer r such that $||x_n(t)|| \leq r$ for all n and $t \in J$, so $x_n \in B_r$ and $x \in B_r$.

By (iv),

$$f(t, x_n(t), \int_0^t g(t, s, x_n(s)) ds) \rightarrow f(t, x(t), \int_0^t g(t, s, x(s)) ds)$$

for each $t \in J$ and since

$$|| f(t, x_n(t), \int_0^t g(t, s, x_n(s)) ds) - f(t, x(t), \int_0^t g(t, s, x(s)) ds) || \le 2h_r(t),$$

we have by dominated convergence theorem,

$$\begin{split} \| \, Fx_n - Fx \, \| \, &= \sup_{t \, \in \, J} \, \| \, \int_0^t R(t-\eta) BW^{-1} [\, \int_0^b R(b-s) \\ [f(s,x_n(s), \, \int_0^s g(s,\tau,x_n(\tau)) d\tau) - f(s,x(s), \, \int_0^s g(s,\tau,x(\tau)) d\tau)] ds](\eta) d\eta \\ &+ \, \int_0^t R(t-s) [f(s,x_n(s), \, \int_0^s g(s,\tau,x_n(\tau)) d\tau) \, \end{split}$$

$$\begin{split} &-f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)]ds\,\|\\ &\leq \int_{0}^{b}\|\,R(t-\eta)\,\|\,M_{2}M_{3}[M_{1}\int_{0}^{b}e^{\omega(b-s)}\,\|\,f(s,x_{n}(s),\int_{0}^{s}g(s,\tau,x_{n}(\tau))d\tau)\\ &-f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)\,\|\,ds]d\eta\\ &+\int_{0}^{b}\|\,R(t-s)\,\|\,\,\|\,f(s,x_{n}(s),\int_{0}^{s}g(s,\tau,x_{n}(\tau))d\tau)\\ &-f(s,x(s),\int_{0}^{s}g(s,\tau,x(\tau))d\tau)\,\|\,ds{\rightarrow}0,\,\mathrm{as}\,\,n{\rightarrow}\infty. \end{split}$$

Thus F is continuous. This completes the proof that F is completely continuous.

Finally, the set $\zeta(F) = \{x \in C : x = \lambda Fx, \lambda \in (0, 1)\}$ is bounded, as we proved in the first step. Consequently, by Schaefer's theorem, the operator F has a fixed point in C. This means that any fixed point of F is a mild solution of (1) on J satisfying (Fx)(t) = x(t). Thus system (1) is controllable on J.

Acknowledgement

This work is supported by CSIR-New Delhi, India (Grant No. 25(89) 97EMR-II).

References

- Balachandran, K., Dauer, J.P. and Balasubramaniam, P., Controllability of nonlinear integrodifferential systems in Banach spaces, J. of Optim. Theory and Appl. 84 (1995), 83-91.
- [2] Balachandran, K., Dauer, J.P. and Balasubramaniam, P., Controllability of semilinear integrodifferential systems in Banach spaces, J. of Math. Sys., Estim. and Control 6 (1996), 477-480.
- [3] Chukwu, E.N. and Lenhart, S.M., Controllability questions for nonlinear systems in abstract spaces, J. of Optim. Theory and Appl. 68 (1991), 437-462.
- [4] Do, V.N., A note on approximate controllability of semilinear systems, Syst. and Control Letters 12 (1989), 365-371.
- [5] Lasiecka, I. and Triggiani, R., Exact controllability of semilinear abstract systems with application to waves and plates boundary control problems, *Appl. of Math. Optim.* 23 (1991), 109-154.
- [6] Lin, Y. and Liu, J.H., Semilinear integrodifferential equations with nonlocal Cauchy problem, Nonl. Anal. 26 (1996), 1023-1033.

169

- [7] Ntouyas, S.K. and Tsamatos, P.Ch., Global existence for semilinear evolution equations with nonlocal conditions, J. of Math. Anal. and Appl. 210 (1997), 679-687.
- [8] Naito, K., Controllability of semilinear control systems dominated by the linear part, SIAM J. on Contr. and Optim. 25 (1987), 715-722.
- [9] Naito, K., Approximate controllability for trajectories of semilinear control systems, J. of Optim. Theory and Appl. 60 (1989), 57-65.
- [10] Naito, K., On controllability for a nonlinear Volterra equation, Nonl. Anal.: Theory, Methods and Appl. 18 (1992), 99-108.
- [11] Pazy, A., Semigroups of Linear Operators and Applications to Partial Differential Equations, Springer Verlag, New York 1983.
- [12] Schaefer, H., Uber die methode der a priori schranken, Math. Annalem 129 (1955), 415-416.
- [13] Yamamoto, M. and Park, J.Y., Controllability for parabolic equations with uniformly bounded nonlinear terms, J. of Optim. Theory and Appl. 66 (1990), 515-532.
- [14] Zhou, H.X., Approximate controllability for a class of semilinear abstract equations, SIAM J. on Control and Optim. 21 (1983), 551-565.