

## ON M-IDEALS IN $B(\sum_{i=1}^{\infty} \oplus_p \ell_r^{n_i})$

**CHONG-MAN CHO**

Department of Mathematics  
 College of Natural Science  
 Hangyang University  
 Seoul 133, Korea

(Received May 8, 1986)

**ABSTRACT.** For  $1 < p, r < \infty$ ,  $X = (\sum_{i=1}^{\infty} \oplus_p \ell_r^{n_i})$ ,  $\{n_i\}$  bounded, the space  $K(X)$  of all compact operators on  $X$  is the only nontrivial  $M$ -ideal in the space  $B(X)$  of all bounded linear operators on  $X$ .

**KEY WORDS AND PHRASES.** Compact operators, hermitian element,  $M$ -ideal.

**1980 AMS SUBJECT CLASSIFICATION CODE.** Primary 46A32, 47B05, secondary 47B05.

### 1. INTRODUCTION.

Since Alfsen and Effros [1] introduced the notion of an  $M$ -ideal, many authors have studied  $M$ -ideals in operator algebras. It is known that  $K(X)$ , the space of all compact operators on  $X$ , is an  $M$ -ideal in  $B(X)$ , the space of all bounded linear operators on  $X$ , if  $X$  is a Hilbert space or  $\ell_p$  ( $1 < p < \infty$ ). Smith and Ward [2] proved that  $M$ -ideals in a  $C^*$ -algebra are exactly the closed two sided ideals. Smith and Ward [3], and Flinn [4] proved that, for  $1 < p < \infty$ ,  $K(\ell_p)$  is the only nontrivial  $M$ -ideal in  $B(\ell_p)$ . The purpose of this paper is to generalize this result to  $B(X)$ , where  $X = (\sum_{i=1}^{\infty} \oplus_p \ell_r^{n_i})$ , for  $1 < p, r < \infty$  and  $\{n_i\}$  a bounded sequence of positive integers. In this proof, the ideas and results of [4], [2], [5] and [3] are heavily used.

### 2. NOTATIONS AND PRELIMINARIES.

If  $X$  is a Banach space,  $B(X)$  (resp.  $K(X)$ ) will denote the space of all bounded linear operators (resp. compact linear operators) on  $X$ .

A closed subspace  $J$  of a Banach space  $X$  is an  $L$ -summand (resp.  $M$ -summand) if there is a closed subspace  $\tilde{J}$  of  $X$  such that  $X$  is the algebraic direct sum of  $J$  and  $\tilde{J}$ , and  $\|x + y\| = \|x\| + \|y\|$  (resp.  $\|x\| = \max\{\|x\|, \|y\|\}$ ) for  $x \in J, y \in \tilde{J}$ . A projection  $P: X \rightarrow X$  is an  $L$ -projection (resp.  $M$ -projection) if  $\|x\| = \|Px\| + \|(I - P)x\|$  (resp.  $\|x\| = \{\|Px\|, \|(I - P)x\|\}$  for every  $x \in X$ ).

A closed subspace  $J$  of a Banach space  $X$  is an  $M$ -ideal in  $X$  if  $J^\perp = \{x^* \in X^* : x^*|_J = 0\}$  is an  $L$ -summand in  $X^*$ .

If  $(X_i)_{i=1}^\infty$  is a sequence of Banach spaces for  $1 \leq p \leq \infty$ ,  $\sum_{i=1}^\infty \oplus_p X_i$  is the space of all sequences  $x = (x_i)_{i=1}^\infty$ ,  $x_i \in X_i$ , with the norm  $\|x\| = (\sum_{i=1}^\infty \|x_i\|^p)^{1/p} < \infty$  if  $1 \leq p < \infty$  and  $\|x\| = \sup_i \{\|x_i\|\} < \infty$  if  $p = \infty$ .

An element  $h$  in a complex Banach algebra  $A$  with the identity  $e$  is hermitian if  $\|e^{i\lambda h}\| = 1$  for all real  $\lambda$  [6].

If  $J_1$  and  $J_2$  are complementary nontrivial  $M$ -summands in  $A$  (i.e.  $A = J_1 \oplus J_2$ ),  $P$  is the  $M$ -projection of  $A$  onto  $J_1$  and  $z = P(e) \in J_1$ , then  $z$  is hermitian with  $z = z^2$  [2, 3.1],  $zJ_i \subseteq J_i$  ( $i = 1, 2$ ) and  $zJ_2z = 0$  [2, 3.2 and 3.4]. since  $I - P$  is the  $M$ -projection of  $A$  onto  $J_2$ ,  $e - z = (e - z)^2$  is hermitian,  $(e - z)J_i \subseteq J_i$  ( $i = 1, 2$ ) and  $(e - z)J_1(e - z) = 0$ .

If  $M$  is an  $M$ -ideal in a Banach algebra  $A$ , then  $M$  is a subalgebra of  $A$  [2, 3.6]. If  $h \in A$  is hermitian and  $h^2 = e$ , then  $hM \subseteq M$  and  $Mh \subseteq M$  [4, Lemma 1].

If  $A$  is a Banach algebra with the identity  $e$ , then  $A^{**}$  endowed with Arens multiplication is a Banach algebra and the natural embedding of  $A$  into  $A^{**}$  is an algebra isomorphism into [6]. If  $J$  is an  $M$ -ideal in  $A$ , then  $A^{**} = J^{\perp\perp} \oplus_\infty (J^{\perp\perp})^\sim$  and the associated hermitian element  $z \in J^{\perp\perp}$  commutes with every other hermitian element of  $A^{**}$  [5 .22].

From now  $X$ , will always denote  $\sum_{i=1}^\infty \oplus_p \ell_r^{n_i}$ , where  $1 < p, r < \infty$  and  $\{n_i\}_{i=1}^\infty$  a bounded sequence of positive integers. An operator  $T \in B(X)$  has a matrix representation with respect to the natural basis of  $X$ . From the definition, it is obvious that any diagonal matrix  $T \in B(X)$  with real entries is hermitian.

Flinn [4] proved that if  $M$  is an  $M$ -ideal in  $B(\ell_p)$  and  $h \in B(\ell_p)$  is a diagonal matrix, then  $hM \subseteq M$  and  $Mh \subseteq M$ . His proof is valid for  $X$ . He also proved that if  $M$  is a nontrivial  $M$ -ideal in  $B(\ell_p)$ , then  $M \supseteq K(\ell_p)$ . Again his proof with a small modification is valid for  $X$ .

Thus we have observed that if  $M$  is a nontrivial  $M$ -ideal in  $B(X)$ , then  $M \supseteq K(X)$ .

If  $M$  is an  $M$ -ideal in a Banach algebra  $A$  and  $h \in M$  is hermitian, then  $hAh \subseteq M$ .

Indeed,  $(e - z)h = (e - z)^2h = (e - z)h(e - z) = 0 = h(e - z)$  and so  $zh = hz = h$ .

Since  $zA^{**}z \subseteq M^{\perp\perp}$  [2: 3.4],  $zAz \subseteq M^{\perp\perp}$  and hence  $hAh = hzAz \subseteq M^{\perp\perp}$ . Since  $h \in M$ ,

$hAh \subseteq A \cap M^{\perp\perp} = M$ . Thus if  $e \in M$ , then  $A = M$ .

3. MAIN THEOREM.

We may assume that  $X = (\ell_r^{m_1} \oplus_p \dots \oplus_p \ell_r^{m_s}) \oplus_p (\ell_r^{n_1} \oplus_p \dots \oplus_p \ell_r^{n_k}) \oplus_p (\ell_r^{n_1} \oplus_p \dots \oplus_p \ell_r^{n_k}) \oplus_p \dots$

Set  $\alpha = m_1 + \dots + m_s$  and  $\beta = n_1 + \dots + n_k$ . Let  $N$  be the set of all natural numbers,  $S_o = \{1, 2, \dots, \alpha\}$  and, for  $1 \leq j \leq k$ ,  $S_j = \bigcup_n (n + \beta N)$ , where  $n$  runs over  $\alpha + n_o + n_{j-1} < n \leq \alpha + n_o + \dots + n_j$ ,  $n_o = 0$ . Let  $P_j$  be the projection on  $X$  defined by  $P_j x = 1_{S_j} x$  for every  $x \in X$ , where  $1_{S_j}$  is the indicator function of the set  $S_j$ . Let  $(e_i)_{i=1}^\infty$  be the unit vector basis for  $X$ .  $A = \sum_{ij} a_{ij} e_j \otimes e_i \in B(X)$  is the operator with matrix  $(a_{ij})$  with respect to  $(e_i)_{i=1}^\infty$ .

LEMMA 1. If  $M$  is an M-ideal in  $B(X)$  and contains  $A = \sum a_{ij} e_j \otimes e_i$  such that  $(a_{i1})_{i \geq 1} \in \ell_\infty \setminus c_o$ , then  $M = B(X)$ .

PROOF. By multiplying by diagonal matrices from both sides, and as in Lemma 2 [4], we may assume that  $A = \sum_{i=1}^\infty e_{f(i)} \otimes e_{f(i)}$ , where  $f(i+1) - f(i) \geq \beta$ ,  $f(i) \in S_j$  for all  $i$  and a fixed  $j$  ( $1 \leq j \leq k$ ). Fix  $\ell$  ( $\ell \neq j$ ,  $1 \leq \ell \leq k$ ) and  $s$

$(\alpha + n_o + \dots + n_{\ell-1} < s \leq \alpha + n_o + \dots + n_\ell)$ , and let  $g(i) = s + (i-1)\beta$  ( $i = 1, 2, 3, \dots$ ).

CLAIM:  $B = \sum_{i=1}^\infty e_{g(i)} \otimes e_{f(i)} \in M$ . Suppose  $B \notin M$ . Choose  $\phi \in M^\perp$  so that  $\|\phi\| = 1 = \phi(B)$ . Since  $\|B\| = 1$  and  $AB = B$ ,  $\psi \in B(X)^*$  defined by  $\psi(G) = \phi(GB)$  has norm one and attains its norm at  $A \in M$ . Hence  $\psi \in \tilde{M}$  and  $\|\phi + \psi\| = 2$ , where

$B(X)^* = M^\perp \oplus_1 \tilde{M}$ . Since  $|(\phi + \psi)(G)| = |\phi(G + GB)| \leq \|\phi\| \|G\| \|I + B\|$ ,

$\|\phi + \psi\| \leq \|I + B\|$ . To draw a contradiction, we will show that  $\|I + B\| < 2$ . Let  $j$  and  $\ell$  be as above. For  $x \in X$  with  $\|x\| = 1$ ,  $\|x\|^p = \|P_j x\|^p + \|(I - P_j)x\|^p$ . Let  $t = \|P_j x\|^p$ , then  $1 - t = \|(I - P_j)x\|^p$ . Since  $Bx$  has support in  $S_j$  and

$\|Bx\| \leq \|(I - P_j)x\|$ , we have

$$\|(I + B)x\| \leq 1 + \|Bx\| \leq 1 + (1 - t)^{1/p} \tag{3.1}$$

$\|(I - P_j)x + Bx\| \leq (2\|(I - P_j)x\|^p)^{1/p} = 2^{1/p}(1 - t)^{1/p}$ . Hence

$$\|(I + B)x\| = \|x + Bx\| \leq \|P_j x\| + \|(I - P_j)x + Bx\| \leq t^{1/p} + 2^{1/p}(1-t)^{1/p} \tag{3.2}$$

Obviously,  $F(t) = t^{1/p} + 2^{1/p}(1 - t)^{1/p}$  is continuous on  $[0, 1]$  and  $F(0) = 2^{1/p} < 2$  so  $F(t) < 2$  for all  $0 \leq t \leq \delta$ . For  $\delta \leq t \leq 1$ ,  $1 + (1 - t)^{1/p} < 2$ . By (3.1) and (3.2) above,  $\|(I + B)\| < 2$ . Contradiction! Hence  $B \in M$ .

Similarly  $C = \sum_{i=1}^\infty e_{f(i)} \otimes e_{g(i)} \in M$  (use  $\|C\| = 1$ ,  $CA = C$ ,  $\psi(G) = \phi(CG)$ ,  $I + C$  is the adjoint of  $I + B$ ). Hence  $\|I + C\| < 2$ .

Since  $M$  is an algebra,  $1_{s+\beta N} \cdot I = CB \in M$ . Thus for all  $i = \alpha+1, \alpha+2, \dots, \alpha+\beta$ ,  $1_{i+\beta N} \cdot I \in M$ . Since  $1_{S_o} \cdot I$  is compact,  $1_{S_o} \cdot I \in M$ . This proves  $M = B(X)$ .

COROLLARY 2. If  $M$  is an  $M$ -ideal in  $B(X)$  and there exists an isometry

$$\tau: B(X) \rightarrow B(X) \text{ so that } \tau(M) \text{ contains an } A = \sum_{i,j} a_{ij} e_j \otimes e_i \text{ with } (a_{ij})_{i>1} \in \ell_\infty \setminus c_0,$$

then  $M = B(X)$ .

PROOF. Since  $\tau(M)$  is an  $M$ -ideal in  $B(X)$  and  $A \in \tau(M)$ , by the lemma  $\tau(M) = B(X)$ .

Hence  $M = B(X)$

THEOREM 3. If  $M$  is an  $M$ -ideal in  $B(X)$  and contains a noncompact  $T = \sum t_{ij} e_j \otimes e_i$ ,

then  $M = B(X)$ .

PROOF. Suppose  $T \in M$  and  $T$  is not compact. Wlog we may assume

$$T = \sum_{k=1}^{\infty} T_k, T_k = \sum_{i,j=m_k+1}^{m_k+n_k} t_{ij} e_j \otimes e_i, \|T_k\| = 1 \text{ where } m_k \in \alpha + \beta N, n_k \in \beta N, \text{ and}$$

$$m_k + n_k + \beta < m_{k+1}.$$

Since each  $T_k$  has norm one, there exists norm one vectors

$$x_k = (x_i^k) \in X, y_k = (y_i^k) \in X^*, z_k = (z_i^k) \in X^* \text{ all with supports in } \sigma_k = \{i: m_k < i \leq m_k + n_k\}$$

so that  $y_k(T_k x_k) = 1 = z_k(x_k)$ .

$$\text{Let } B_k = \sum_{j \geq 1} x_j^k e_{m_k+1} \otimes e_j, C_k = \sum_{j \geq 1} y_j^k e_j \otimes e_{m_k+1}, D_k = \sum_{j \geq 1} z_j^k e_j \otimes e_{m_k+1},$$

$$A = \sum_{k \geq 1} e_{m_k+1} \otimes e_{m_k+1}, B = \sum_{k \geq 1} B_k, C = \sum_{k \geq 1} C_k \text{ and } D = \sum_{k \geq 1} D_k. \text{ Then all of these operators}$$

have norm one and  $DB = CTB = A$

Let  $P$  be the matrix obtained from the identity matrix  $I$  by interchanging  $(m_k+j)$ -th column and  $(m_k + n_k + j)$ -th column for all  $k$  and  $j(1 \leq j \leq \beta)$ . Then  $P$  is an isometry

in  $X$  since  $n_k \in \beta N$ .

CLAIM. If  $B \in M$ , then  $M = B(X)$ .

Choose  $\phi \in c_0^\perp \subseteq \ell_\infty^*$  so that  $\|\phi\| = 1 = \phi((1,1,1,1,\dots))$ . Define norm one functional

$\gamma \in B(X)^*$  by  $\gamma(G) = \phi((g_{m_k+n_k+1, m_k+1})_{k \geq 1})$  where  $G = \sum g_{ij} e_j \otimes e_i$ . Then  $\gamma \notin M^\perp$ . In

fact, if  $\gamma \in M^\perp$ , then  $\gamma_1 \in B(X)^*$  defined by  $\gamma_1(G) = \phi((DG)_{m_k+1, m_k+1})$  has norm one and

attains its norm at  $B \in M$ . Hence  $\gamma_1 \in \tilde{M}$  and  $\|\gamma + \gamma_1\| = 2$ . But for any norm one

$G \in B(X)$ , we have

$$\begin{aligned} |(\gamma + \gamma_1)(G)| &= |\phi(g_{m_k+n_k+1, m_k+1} + \sum_{j \in \sigma_k} z_j^k g_{j, m_k+1})_{k \geq 1}| \\ &\leq \sup_k \|z_k + e_{m_k+n_k+1}\| \|(z_k + e_{m_k+n_k+1}) \in X^*, \|G\| = 1) \\ &= 2^{1/p'} \text{ where } \frac{1}{p} + \frac{1}{p'} = 1. \end{aligned}$$

so  $\|\gamma + \gamma_1\| \leq 2^{1/p}$  contradiction! Thus  $\gamma \notin M^\perp$ . Since  $\gamma \notin M^\perp$ , there is  $G \in M$  s.t.  $\gamma(G) \neq 0$ . So  $(g_{m_k+n_k+1, m_k+1})_{k \geq 1} \in \ell_\infty \setminus c_0$ . The sequence of the diagonal entries of  $P(G)$  belongs to  $\ell_\infty \setminus c_0$ . Thus by corollary 2,  $M = B(X)$ . This proves the claim.

Next  $\Psi \in B(X)^*$  defined by  $\Psi(G) = \phi(((CG)_{m_k+1, m_k+n_k+1})_{k \geq 1})$  is not in  $M^\perp$ . Indeed, if  $\Psi \in M^\perp$ , then since  $\Psi_1 \in B(X)^*$  defined by  $\Psi_1(G) = \phi(((CGB)_{m_k+1, m_k+1})_{k \geq 1})$  has norm one and attains its norm at  $T \in M$ ,  $\Psi_1 \in \tilde{M}$  and so  $\|\Psi + \Psi_1\| = 2$ . But for any norm one  $G \in B(X)$ , we have

$$\begin{aligned} |(\Psi + \Psi_1)(G)| &\leq \sup_k |(CG)_{m_k+1, m_k+n_k+1} + \sum_{j \in \sigma_k} (CG)_{m_k+1, j} x_j^k| \\ &\leq \sup_k \|x^k + e_{m_k+n_k+1}\| \quad \text{since } CG \in B(X), \|CG\| = 1 \\ &= 2^{1/p}, \text{ contradiction!} \end{aligned}$$

Thus  $\Psi \notin M^\perp$ . So there is  $G = \sum g_{1j} e_j \otimes e_1 \in M$  such that  $((CG)_{m_{k+1}, m_k+n_k+1})_{k \geq 1} \in \ell_\infty \setminus c_0$ .

There is  $\epsilon > 0$  such that  $\|G_k\| > \epsilon$  for infinitely many  $k$ , where

$$G_k = \sum_{j \in \sigma_k} g_j, m_k+n_k+1 e_{m_k+n_k+1} e_j.$$

We can choose diagonal matrices  $D_1$  and  $D_2$  in  $B(X)$  so that  $D_1 G D_2$  has the same form as  $B$  in the claim above. Since  $D_1 G D_2 \in M$ ,  $M = B(X)$ .

REFERENCES

1. ALFSEN, E. and EFFROS, E. Structure in real Banach space, Ann. of Math. 96(1972), 98-173.
2. SMITH, R. and WARD, J. M-ideal structure in Banach algebras, J Functional Analysis 27(1978), 337-349.
3. SMITH, R. and WARD, J. Applications of convexity and M-ideal theory to quotient Banach algebras, Quart. J. Math. 30(1979), 365-384.
4. FLINN, P. A characterization of M-ideals in  $B(\ell_p)$  for  $1 < p < \infty$ , Pacific J. Math. 98(1982), 73-80.
5. SMITH, R. and WARD, J. M-ideas in  $B(\ell_p)$ , Pacific J. Math 81(1979), 227-237.
6. BONSALL and DUNCAN Numerical Range of Operators on Normed space, London Math.Soc. Lecture Note Series 2, Cambridge (1971).
7. BEHRENDTS, E. M-structure and the Banach-stone Theorem, Lecture notes in Mathematics 736, Springer-Verlag (1979).
8. BONSALL and DUNCAN Complete Normed Algebra, Ergebnisse der Math., 80, Springer-Verlag (1973).
9. CHO, Chong-Man and JOHNSON, W.B. A characterization of subspace of  $\ell_p$  for which  $K(X)$  is an M-ideal is  $L(X)$ , Proc. Amer. Math. Soc. Vol. 93(1985), 466-470.
10. LIMA, A. Intersection properties of balls and subspaces of Banach spaces, Trans. Amer. Math. Soc. 227(1977), 1-62.
11. LIMA, A. M-ideals of compact operators in classical Banach spaces, Math. Scand. 44(1979), 207-217.