A PROOF OF SOME SCHÜTZENBERGER-TYPE RESULTS FOR EULERIAN PATHS AND CIRCUITS ON DIGRAPHS

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ABSTRACT. This paper shows that the number of even Eulerian paths equals the number of odd Eulerian paths when the number of arcs is at least twice the number of vertices of a digraph.

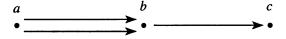
KEY WORDS AND PHRASES. Digraph, Eulerian paths, odd permutation, even permutation. 1992 AMS SUBJECT CLASSIFICATION CODE. 05C30.

1. INTRODUCTION AND CONVENTIONS.

This paper shows that in a digraph of order n with m arcs that satisfies $m \geq 2n$, the number of even Eulerian paths equals the number of odd Eulerian paths. This result generalizes Schützenberger's theorem (see [1] and [2]), which says that in a digraph of order n with m arcs that satisfies $m \geq 2n + 1$, the number of even Eulerian circuits equals the number of odd Eulerian circuits. The proof is also perhaps more intuitive, not depending on too much terminology or disparate graph theory results.

In this paper, a digraph is defined by a sequence of arcs, where an arc is indicated by an ordered pair such as (a, b), and multiple arcs and loops are allowed. Those letters which appear in describing the arcs in the sequence defining the graph are therefore considered as vertices or points. Note that with this definition, there can be no isolated vertices. If D is a sequence of arcs, its length is denoted by |D|. If VD denotes the set of vertices which appear in D, then |VD| denotes its cardinality.

Two digraphs D_1 and D_2 are considered to be the same, under appropriate relabeling of vertices, when D_2 , as a sequence of arcs, is a permutation of the sequence of arcs which define D_1 . We write a sequence such as ((a,b),(c,d),(d,f)) = D in the form $D = (a,b) \cdot (c,d) \cdot (d,f)$. For example, the digraph whose diagram is



can be expressed as $D_1 = (a, b) \cdot (b, c) \cdot (a, b)$ or $D_2 = (a, b) \cdot (a, b) \cdot (b, c)$ with $D_1 = D_2$ according to our definition. Also, |D|=3 and |VD|=3.

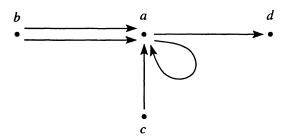
Unlike the usual way, we define a path of D as a subsequence of a permutation of the sequence describing the digraph D. If a path $(a_1,b_1)\cdot(a_2,b_2)\cdot\cdots\cdot(a_n,b_n)$ is a permutation of D and has the additional property that $b_1=a_2,\ b_2=a_3,\ldots,\ b_{n-1}=a_n$, then it is an Eulerian path of D. The added condition $b_n=a_1$ identifies an Eulerian circuit of D. A path $(a,b)\cdot(c,d)\cdot\cdots\cdot(e,f)$ is said to start from a and end at f; the vertex a is the starting point and the vertex f is the end point. If α and β are paths of D, then the sequence obtained by putting β after α is written $\alpha\beta$ provided that the resulting sequence is also a path of D.

498 B. CHWE

Next, we denote by $\bigwedge_x D$ the set of all Eulerian paths of the digraph D which start from the point x. If the starting point is fixed but unimportant we write $\bigwedge D$. Also, when $\alpha \in \bigwedge_x D$, if we consider α as a digraph, then $\bigwedge_x \alpha = \bigwedge_x D$.

If α and β are two paths and if there are two paths γ and δ , where either or both of γ and δ can be empty, such that $\gamma \alpha \delta = \beta$, then α is a part of β and we use the notation $\alpha \subseteq \beta$ to indicate this fact. Hence, if an arc (a,b) is a term in a path β , we write $(a,b)\subseteq \beta$. By $\beta-\alpha$ is meant the subsequence of β obtained by eliminating all terms of α one by one. Thus, if arcs in β or α occur more than once the procedure may leave copies left over and the result may not be unique. The notations od(a), id(a), and d(a) are defined as follows: od(a) is the number of terms in D which start from a, id(a) is the number of terms in D which end at a, and d(a) = od(a) + id(a) is called the degree of a. An even (odd) point is a point with even (odd) degree.

For example, if D is the digraph $D = (a, a) \cdot (a, d) \cdot (c, a) \cdot (b, a) \cdot (b, a)$ then od(a) = 2, id(a) = 4, od(b) = 2, id(b) = 0, od(c) = 1, id(c) = 0, od(d) = 0, id(d) = 1, and $\bigwedge D = \emptyset$. The diagram for D can be constructed as:



Let α be a permutation of D, and define the following functions. Let $\epsilon(\alpha) = 1$ if α is an Eulerian path or circuit, and let $\epsilon(\alpha) = 0$ if α is not. Let $\pi(\alpha)$ be the sign of the permutation α ; $\pi(\alpha) = +1$ if α is a multiple of an even number of transpositions, and $\pi(\alpha) = -1$ if α is a multiple of an odd number of transpositions. Let $g(\alpha) = \epsilon(\alpha) \cdot \pi(\alpha)$.

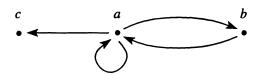
What we are looking for is a natural, intuitive proof of the formula:

$$\sum g(\alpha) = 0 = \sum\nolimits_{\alpha \in \bigwedge_{\pi} D} \ g(\alpha) \ \text{ for any } \ x \in VD,$$

if |VD| = n and $|D| \ge 2n$ for all integers $n \ge 1$.

2. DISCUSSION AND ARGUMENTS.

For convenience of notation, we define $g(\bigwedge_x D) = \sum_{\alpha \in \bigwedge_x D} g(\alpha)$ and define $g(\bigwedge D)$ similarly. If $\bigwedge_a D = \emptyset$, then naturally we take $g(\bigwedge_a D) = 0$. For example, if $D = (a,b) \cdot (a,c) \cdot (b,a) \cdot (a,a)$, with diagram



then $\bigwedge_a D = \{(a,a)\cdot(a,b)\cdot(b,a)\cdot(a,c),\ (a,b)\cdot(b,a)\cdot(a,a)\cdot(a,c)\},\ \bigwedge_b D = \bigwedge_c D = \emptyset,\ g((a,a)\cdot(a,b)\cdot(b,a)\cdot(a,c)) = 1,\ g(\bigwedge_a D) = 2,\ \text{while}\ g(\bigwedge_b D) = g(\bigwedge_c D) = 0.$

For all positive integers n, let B_n be the family of digraphs D such that

- 1. $|D| \ge 2n$ and |VD| = n,
- 2. $id(x) + od(x) \ge 3$ for all $x \in VD$;

while B'_n denotes the subfamily of digraphs D such that

- 1. |D| = 2n and |VD| = n,
- 2. id(x) + od(x) > 3 for all $x \in VD$.

For all positive integers n, let A_n be the family of digraphs D such that

- 1. $|D| \ge 2n \text{ and } |VD| = n$,
- 2. For some $q \in VD$, id(q) + od(q) = 1 or 2.

The proposition S_n is the following:

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"For j = 1, ..., n, if D \in A_1 \cup B_2, then g(\bigwedge D) = 0."
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In Lemma 3.1 we demonstrate that S_1 , S_2 , and S_3 are true. In order to prove S_n in general we proceed by induction on n, assuming S_{n-1} . In computing $g(\bigwedge D)$, we seek to identify $\bigwedge D$ with a union of suitable $\bigwedge D_i'$, where $|VD_i'| < |VD_i|$. This is accomplished by identification of a length 3 path with an arc or by deleting some paths in order to maintain the relation that $g(\bigwedge D) = 0$ if $g(\bigwedge D_i') = 0$ for all i.

For instance, as a trivial example, let $(a, v), (v, w), (w, b) \in D$ and $(a, v) \cdot (v, w) \cdot (w, b) \subseteq \alpha$ for all $\alpha \in \bigwedge_x D$. Let, when $x \neq v$ and $x \neq w$, $D' = (D - (a, v) - (v, w) - (w, b)) \cdot (a, b)$, and let F be a map of $\bigwedge D'$ to $\bigwedge D$ defined by $F(\alpha) = \beta(a, b)\gamma$ where $\alpha = \beta(a, v) \cdot (v, w) \cdot (w, b)\gamma$. Then F is a one-to-one map and when $\alpha, \beta \in \bigwedge D'$, β is an even permutation of α if and only if $F(\beta)$ is an even permutation of $F(\alpha)$. Thus $F(\alpha) = 0$ implies $F(\alpha) = 0$.

In particular we assume, based on this observation, that there are no vertices v and w of VD for which there is a path of the type just described, when we assume S_{n-1} .

In general, if there are digraphs D_1, D_2, \ldots, D_r and such injective maps F_i from $\bigwedge D_i$ to $\bigwedge D$ such that $\bigcup F_i(\bigwedge D_i)$ forms a partition of $\bigwedge D$ and each $g(\bigwedge D_i) = 0$, then we can conclude $g(\bigwedge D) = 0$.

As another example of an identification of the type described above, if D contains (a,b) and (b,c) and if every $\alpha \in \bigwedge D$ starts or ends with $(a,b) \cdot (b,c)$, then take D' = D - (a,b) - (b,c). In this case it is also clear that if $g(\bigwedge D') = 0$, then $g(\bigwedge D) = 0$.

In all our arguments, it is the case that if a statement is true for a digraph D, then it is also true for the digraph obtained by reversing all arcs (a, b) of D to arcs (b, a).

If a digraph D contains an arc (a, b) with multiplicity at least two, then trivially $g(\bigwedge D) = 0$ since by a simple transposition of one (a, b) with another leaves D unchanged while $g(\bigwedge D)$ changes sign. Therefore we assume no multiple arcs.

Where needed, the truth of the proposition S_{n-1} is assumed in the arguments to follow.

PROPOSITION 1. If there is a vertex q of D with d(q) = 1 or 2, then there are digraphs D_i , $i = 0, 1, 2, \ldots$ such that $|D_i| \ge |D| - 2$, $|VD_i| \le |VD| - 1$, and $g(\bigwedge D) = \sum_i (-1)^{e_i} g(\bigwedge D_i)$, where $e_i = 0$ or 1.

PROOF. Suppose D contains arcs (t,q), (q,h), and (h,b_i) , $i=1,2,3,\ldots$ Let $D_i=(D-(t,q)-(q,h)-(h,b_i))\cdot (t,b_i)$ and $\bigwedge_i=\{\alpha\in\bigwedge D: (t,q)\cdot (q,h)\cdot (h,b_i)\subseteq\alpha\}$; then we see that $\bigwedge D_i$ has a one to one correspondence F_i as follows: $F_i(\alpha)=\alpha_1(t,q)\cdot (q,h)\cdot (h,b_i)\alpha_2$ if $\alpha=\alpha_1(t,b_i)\alpha_2$. Clearly $g(\alpha)=(-1)^{e_1}g(F_i(\alpha))$ for some fixed $e_i=0$ or 1, for all $\alpha\in\bigwedge D_i$. Thus we get $g(\bigwedge_x D)=\sum (-1)^{e_1}g(\bigwedge_x D_i)$, when $x\neq q$ and $x\neq h$. If x=h, or there are no such b_i , then we can switch t and t, and conclude that $g(\bigwedge_x D)=\sum (-1)^{e_1}g(\bigwedge_x D_i)$ if t

If d(q)=2, and q is the starting point, then $\bigwedge_x D=\{(q,h)\alpha(t,q):\alpha\in\bigwedge D_0\}$ where $D_0=D-(q,h)-(t,q)$ and it is clear that $g(\bigwedge_x D)=\pm g(\bigwedge_x D_0)$.

If d(q) = 1, then $\bigwedge_x D = \{(q, h)\alpha : \alpha \in \bigwedge D_0\}$, where $D_0 = D - (q, h)$, and it is also clear that $g(\bigwedge_x D) = \pm g(\bigwedge_x D_0)$. \square

Also it is clear that $VD_i \not\ni q$ and $|D_i| \ge |D| - 2$, $|VD_i| \le |VD| - 1$. Note that d(h) in D_i becomes smaller by 2 except when h is an end point, where it becomes smaller by 1. We need this fact for the following Lemma 1.1.

LEMMA 1.1. When we assume S_{n-1} , the following are true: (A). If $D \in A_n$, then $g(\bigwedge D) = 0$.

500 B. CHWE

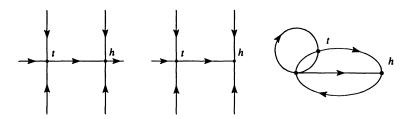
(B). If $D \in A_{n+1}$ and there is an arc (q, h) or (h, q) in D such that d(q) = 2 and d(h) = 3 or 4, then $g(\bigwedge_x D) = 0$ if $x \neq q$ and $x \neq h$.

PROOF. (A). The digraphs D_i in Proposition 1 belong to $A_{n-1} \cup B_{n-1}$. Hence $g(\bigwedge D_i) = 0$ because of S_{n-1} , and $g(\bigwedge D) = 0$.

(B). In Proposition 1, when (q,h), $(h,b_i) \subseteq D$, if d(h)=3 or 4 in D, then d(h) in $D_i=1$ or 2, except the case in which q or h is an end point. Thus $D_i \in A_n$ and $g(D_i)=0$. Therefore $g(\bigwedge_x D) = \sum_i (-1)^{e_i} g(\bigwedge_x D_i) = 0$ if $x \neq q$ and $x \neq h$. When $(h,q) \subseteq D$, we consider $(b_i,h) \subseteq D$ instead of (h,b_i) ; then the argument is similar to the case of $(q,h) \subseteq D$. \square

LEMMA 1.2. When we assume S_{n-1} , if a digraph $D \in B_n$ has an arc (t,h) such that t and h have degree 3 or 4, then $g(\bigwedge D) = 0$.

PROOF. If t = h, $(t,t) \subseteq D$, or $(h,h) \subseteq D$, then the statement reduces to a case of S_{n-1} . So we assume that $t \neq h$, $(t,t) \not\subseteq D$, and $(h,h) \not\subseteq D$. According to our assumption, situations illustrated in the following drawings may occur:

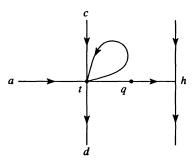


In those diagrams we can interchange h and t, and also we can place the arrows in any way so that $\bigwedge D \neq \emptyset$.

Assuming $\bigwedge D \neq \emptyset$, d(t) and d(h) = 3 or 4, we construct a digraph $\bar{D} \in A_{n+1}$ from D as follows.

$$\bar{D} = (D - (t, h)) \cdot (t, t) \cdot (t, q) \cdot (q, h)$$

 $V\bar{D} = VD \cup \{q\}$, as shown in the diagram below.



Let $x \in VD$, that is, $x \in V\overline{D}$, and $x \neq q$. From Lemma 1.1.B, $g(\bigwedge_x \overline{D}) = 0$ if $x \neq h$. On the other hand, let, for $x \neq t$,

$$\bigwedge_{1} = \{ \alpha \in \bigwedge_{x} \bar{D} : (t, t) \cdot (t, q) \cdot (q, h) \subseteq \alpha \}$$

$$D_1 = (\bar{D} - (t,t) - (t,q) - (q,h)) \cdot (t,h)$$

$$\bigwedge_2 = \{ \alpha \in \bigwedge_x \bar{D} : (a,t) \cdot (t,t) \cdot (t,d) \subseteq \alpha \}$$

$$D_2 = (\bar{D} - (a,t) - (t,t) - (t,d) - (c,t) - (t,q) - (q,h)) \cdot (a,d) \cdot (c,h)$$

$$\bigwedge_3 = \{ \alpha \in \bigwedge_x \bar{D} : (c,t) \cdot (t,t) \cdot (t,d) \subseteq \alpha \}$$

$$D_3 = (\bar{D} - (c,t) - (t,t) - (t,d) - (a,t) - (t,q) - (q,h)) \cdot (c,d) \cdot (a,h)$$

Then Λ_1 , Λ_2 , and Λ_3 form a partition of $\Lambda \bar{D}_x$, and we see that $g(\Lambda_1) = \pm g(\Lambda_x D_1)$ by identifying $(t,t)\cdot(t,q)\cdot(q,h)$ to (t,h), we see that $g(\Lambda_2) = \pm g(\Lambda_x D_2)$ by identifying $(a,t)\cdot(t,t)\cdot(t,d)$

to (a,d) and $(c,t)\cdot (t,q)\cdot (q,h)$ to (c,h), and we see that $g(\bigwedge_3)=\pm g(\bigwedge_x D_3)$ by identifying $(c,t)\cdot (t,t)\cdot (t,d)$ to (c,d) and $(a,t)\cdot (t,q)\cdot (q,h)$ to (a,h).

If d(t) = 3 and (a, t) is not an arc of D, then

$$\bigwedge_2 = \{ \alpha \in \bigwedge_x \bar{D} : (t, t) \cdot (t, d) \subseteq \alpha \}$$

$$D_2 = (\bar{D} - (t, t) - (t, d) - (c, t) - (t, q) - (q, h)) \cdot (c, h)$$

$$\bigwedge_{3} = \{ \alpha \in \bigwedge_{x} \bar{D} : (c, t) \cdot (t, t) \cdot (t, d) \subseteq \alpha \}$$

$$D_3 = (\bar{D} - (c, t) - (t, t) - (t, d) - (t, q) - (q, h)) \cdot (c, d)$$

and the results are the same.

Moreover, it is clear that $g(\bigwedge D_1) = g(\bigwedge D)$. Since D_2 and D_3 do not contain vertices t and q, D_2 , $D_3 \subseteq A_{n-1} \cup B_{n-1}$. Thus $g(\bigwedge D_2) = 0$ and $g(\bigwedge D_3) = 0$. Together with $g(\bigwedge_x \bar{D}) = 0$, we get $g(\bigwedge D_1) = 0$, so we get $g(\bigwedge D_x) = 0$ for $x \neq h$. In the case h = x, we can switch t and h. Thus $g(\bigwedge_x D) = 0$ for all $x \in VD$, or $g(\bigwedge D) = 0$. \square

PROPOSITION 2. Suppose $D \subseteq B'_n$ and $\bigwedge D \neq \emptyset$. Also we assume that $n \geq 4$, and that D does not contain any arc of multiplicity more than one, nor any vertex v such that d(v) = 4 and arc $(v,v) \subseteq D$. Then D contains an arc (t,h) such that $t \neq h$, one of t and t has degree 4 and the other has degree 4 or 3.

PROOF. The fact that $D \in B'_n$ implies that $\sum_{v \in VD} d(v) = 4n$, and $d(v) \geq 4$ for all even points v of D. Since $\bigwedge D \neq \emptyset$, the number of odd points is 0 or 2. If it is 0, then d(v) = 4 for all $v \in VD$; thus our assertion is true. In the case of 2, say a and b are odd points, and d(a) + d(b) = 6 or 8. If d(a) + d(b) = 6, then there must be $c \in VD$ such that d(c) = 6 and all other vertices have degree 4, while $n \geq 4$ implies there is a vertex d beside a, b, and c. If d incidents only to c, then the arc (c,d) or (d,c) has the multiplicity more than 1. So d must incident to a or b or a point of degree 4. Thus the assertion is true, because d(d) = 4 and d(a) = d(b) = 3.

In the case d(a) + d(b) = 8, then say d(a) = 3 and d(b) = 5. Since $n \ge 4$, there are at least two more vertices, say c and d, such that d(c) = d(d) = 4. If any vertices of degree 4 do not incident to each other and also do not incident to a then all vertices of degree 4 must incident to b, thus $d(b) \ge 8$. This contradicts with d(b) = 5. Thus some vertex of degree 4 has to be incident to the vertex a or a vertex of degree 4. Thus our assertion is true. \square

LEMMA 2.1. If $D \in B'_n$, $n \ge 4$, and we assume S_{n-1} , then $g(\bigwedge D) = 0$.

PROOF. From Proposition 2, D has an arc (t,h), $t \neq h$, such that one of t and h has degree 4 and the other has degree 3 or 4. Then by Lemma 1.2, $g(\bigwedge D) = 0$. \square

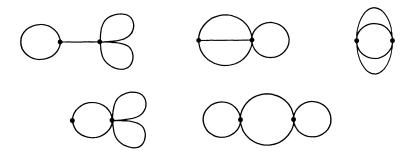
PROPOSITION 3. If $g(\bigwedge D') = 0$ for all $D' \in B'_n$, then for all $D \in B_n$, $g(\bigwedge D) = 0$ when we assume S_{n-1} .

PROOF. Let $D \in B_n$, $a \in VD$, and $\alpha \in \bigwedge D$. Considering a digraph to be a sequence of arcs, it is true that $\bigwedge_a \alpha = \bigwedge_a D$. Now let |VD| = n, |D| - 2n = r and $\alpha \in \bigwedge_a D$. Define $p(\alpha)$ to be the sequence of the first r terms of α , while $q(\alpha)$ to be the subsequence of α consisting of the next 2n terms. Thus $\alpha = p(\alpha)q(\alpha)$. Let $\bigwedge_{p(\alpha)} = \{\beta \in \bigwedge_a D : p(\beta) = p(\alpha)\} = \{p(\alpha)\xi : \xi \in \bigwedge_b q(\alpha)\}$, where b is the end point of $p(\alpha)$, and $q(\alpha) \in A_1 \cup B_j$ for some j < n or $q(\alpha) \in A_n \cup B_n'$. If $q(\alpha) \in A_j \cup B_j$ for some j < n, then $q(\alpha) \in A_n \cup B_n'$. If $q(\alpha) \in A_n \cup B_n'$ are $q(\alpha) \in A_n \cup B_n'$. If $q(\alpha) \in A_n \cup B_n'$ are $q(\alpha) \in A_n \cup B_n'$ are $q(\alpha) \in A_n \cup B_n'$ and $q(\alpha) \in A_n'$ then $q(\alpha) \in A_n'$

LEMMA 3.1. S_1 , S_2 , and S_3 are true.

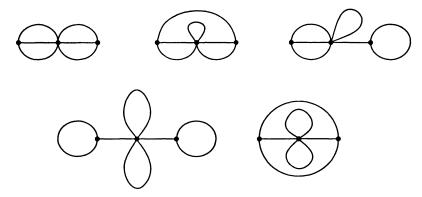
PROOF. S_1 is clearly true. If $D \in B'_2$, then D is one of the following (with the orientation arbitrary):

502 B. CHWE



Clearly $g(\bigwedge D) = 0$ in all of the above situations. From S_1 and Proposition 3, it is true for B_2 as well as for A_2 from Lemma 1.1. Thus S_2 is true.

If $D \in B_3'$, then d(v) can generate the following sequences when v varies over elements of VD: (A) (3,3,6), (B) (3,4,5), (c) (4,4,4). For case (A), it follows that D is one of the following digraphs (with the orientation arbitrary):



A simple count yields $g(\bigwedge D) = 0$ in all cases. In case (B), if there is an arc $(t,h) \subseteq D$ such that d(t) = 4 and d(h) = 3, then $g(\bigwedge D) = 0$ via S_2 and Lemma 1.2. Otherwise, it is the case that all Eulerian paths end at the same path of length two or start at the same path of length two. In case (C), $g(\bigwedge D) = 0$ as in case (B). Therefore S_3 follows, by Proposition 3 and Lemma 3.1. \square

To conclude, we can now claim:

THEOREM. If D is a digraph such that |VD| = n and $|D| \ge 2n$, then $g(\bigwedge D) = 0$; that is, the number of even Eulerian paths equals the number of odd Eulerian paths.

PROOF. S_1 , S_2 , and S_3 are true by Lemma 3.1. For S_n , where $n \geq 4$, we proceed by induction on n. When we assume S_{n-1} for $n \geq 4$, if $D \in A_n$ then $g(\bigwedge D) = 0$ by Lemma 1.1. Also if $D \in B_n$, by Lemma 2.1 and Proposition 3, $g(\bigwedge D) = 0$. \square

COROLLARY. If |VD| = n and $|D| \ge 2n + 1$, then $g(\bigwedge_{(a,b)} D) = 0$ for n = 1, 2, ... and for all $(a,b) \subseteq D$, where $\bigwedge_{(a,b)} D = \{\alpha \in \bigwedge_a D : \alpha \text{ starts with } (a,b)\}.$

PROOF. As in the proof of Proposition 3, let $p(\alpha) = (a,b)$ while $q(\alpha)$ is the next 2n terms of α . Then $g(\bigwedge_{(a,b)} D) = \pm g(\bigwedge_b q(\alpha))$. Since $|q(\alpha)| \ge 2n$ and $|Vq(\alpha)| \le n$, from our theorem $g(\bigwedge_b q(\alpha)) = 0$. Thus $g(\bigwedge_{(a,b)} D) = 0$. When all points are even points, all paths are necessarily circuits, and this is Schützenberger's theorem. \square

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