THE COMPACTIFICABILITY CLASSES: THE BEHAVIOR AT INFINITY

MARTIN MARIA KOVÁR

Received 15 October 2004; Revised 18 November 2005; Accepted 28 November 2005

We study the behavior of certain spaces and their compactificability classes at infinity. Among other results we show that every noncompact, locally compact, second countable Hausdorff space *X* such that each neighborhood of infinity (in the Alexandroff compactification) is uncountable, has $\mathscr{C}(X) = \mathscr{C}(\mathbb{R})$. We also prove some criteria for (non-) comparability of the studied classes of mutual compactificability.

Copyright © 2006 Hindawi Publishing Corporation. All rights reserved.

1. The notation and terminology

Throughout the paper we mostly use the standard topological notions as in [1] or [2], however with a few exceptions. Space always refers to topological space, usually considered without any additional separation axioms. Especially compactness is understood without the Hausdorff separation axiom. For the terminology related to θ -regularity, we refer the reader to [3, 4]. An ordinal is the set of smaller ordinals, and a cardinal is an initial ordinal. Let S be a set. The cardinality of S we denote by |S|. Let (X, τ) be a topological space. If we do not wish to specify its topology explicitly, we will sometimes, for our convenience, speak less precisely about the space X. Conversely, if we decide to specify the topology of a space X introduced in some previous steps, we will usually denote it by τ or τ_X (in the case that we will work simultaneously with more topological spaces or more topologies on the same set). In a space X, a point $x \in X$ is in the θ *closure* of a set $A \subseteq X$ ($x \in cl_{\theta}A$) if every closed neighborhood of x intersects A. A filter base Φ in X has a θ -cluster point $x \in X$ if $x \in \bigcap \{ cl_{\theta}F \mid F \in \Phi \}$. We say that a space X is θ -regular if every filter base in X with a θ -cluster point has a cluster point. For more detailed characterization of θ -regularity, the reader is referred to [3–5]. The points x, y in a space X are T_0 -separable if there is an open set containing only one of the points x, y. The points x, y are T_2 -separable if they have disjoint open neighborhoods. Let X be a space. Two disjoint sets $A, B \subseteq X$ are said to be *point-wise separated in X* if every $x \in A$, $y \in B$ are T_2 -separable in X. Several modifications of local compactness have been defined by various authors in the literature. In this paper, we say that a space is (strongly) locally compact if its every point has a compact (closed) neighborhood. One can easily

Hindawi Publishing Corporation International Journal of Mathematics and Mathematical Sciences Volume 2006, Article ID 24370, Pages 1–12 DOI 10.1155/IJMMS/2006/24370

check that a space is strongly locally compact if and only if it is θ -regular and locally compact. We will often use the following simple, but important property of strongly locally compact spaces. If *X* is strongly locally compact and *yX* is a compactification such that *X* and the remainder *yX* \ *X* are point-wise separated in *yX*, then *X* is open in *yX*. A filter in a space *X* is said to be *ultra-closed* if it is maximal among all filters in *X* having a base consisting of closed sets [1]. By the *Wallman compactification* of *X*, we mean the set $\omega X = X \cup \{y \mid y \text{ is a nonconvergent ultra-closed filter in$ *X* $}. The sets$ $<math>\mathcal{G}(U) = U \cup \{y \mid y \in \omega X \setminus X, U \in y\}$, where *U* is open in *X*, constitute an open base of ωX . For more detail, we refer the reader to [1]. Some properties of the Wallman compactification of a θ -regular space were studied in [5].

2. Preliminaries and introduction

Let us recall some notions and results from the previous papers [6–8]. Let (X, τ_X) , (Y, τ_Y) be spaces with $X \cap Y = \emptyset$. We say that the space X is *compactificable* by the space Y or, in other words, X, Y are said to be *mutually compactificable* if there is a compact topology τ_K on $K = X \cup Y$ such that the topologies on X, Y induced by τ_K coincide with τ_X , τ_Y , respectively, and the sets X, Y are point-wise separated in (K, τ_K) . Then we say that the topology τ_K is \mathscr{C} -acceptable. Recall that mutually compactificable spaces are always θ -regular, and any two disjoint strongly locally compact spaces are always mutually compactificable [6].

Let **Top** be the class of all topological spaces. For any pair of two spaces X, Z, we define $X \sim Z$ if for every nonempty space Y disjoint from X, Z the space X is compactificable by Y if and only if Z is compactificable by Y. It can be easily seen that \sim is reflexive, symmetric, transitive, and hence it is an equivalence relation. Let us denote by $\mathscr{C}(X)$ the equivalence subclass of **Top** with respect to \sim containing X and call it the *compactificabil-ity class* of X. For any spaces X, Z, we put $\mathscr{C}(X) \succeq \mathscr{C}(Z)$ if for every nonempty space Y it holds: if the space X is compactificable by Y disjoint from X, Z, then Z is compactificable by Y. The relation \succeq is reflexive, antisymmetric, transitive, and hence it is an order relation between the compactificability classes. If for some spaces X, Z it holds $\mathscr{C}(X) \succeq \mathscr{C}(Z)$ but $\mathscr{C}(X) \neq \mathscr{C}(Z)$, we write $\mathscr{C}(X) \succ \mathscr{C}(Z)$. We proved in [7] that every compactificability classes with no Hausdorff representatives.

Let $\mathbb{A} = [1, \infty)$, $\mathbb{I} = [0, 1]$ be equipped with the Euclidean topology induced from \mathbb{R} , and $\mathbb{D} = \{0, 1\}$ equipped with the discrete topology. By **0**, we denote the constant function equal to 0. In [8], we proved that for any $k, n \in \mathbb{N}$ the spaces \mathbb{A}^k , \mathbb{R}^n , $\mathbb{A}^k \times \mathbb{R}^n$, $\mathbb{I}^{\aleph_0} \setminus \{\mathbf{0}\}$, $\mathbb{D}^{\aleph_0} \setminus \{\mathbf{0}\}$ are of the same class of mutual compactificability. Also we proved that if *X* is a noncompact locally connected metrizable generalized continuum, then $\mathscr{C}(X) = \mathscr{C}(\mathbb{R})$. All these spaces are uncountable but second countable, locally compact and Hausdorff. On the other hand, it can be proved that there exist spaces compactificable by \mathbb{R} which are not compactificable by \mathbb{N} , but not conversely. Hence, $\mathscr{C}(\mathbb{N}) \succ \mathscr{C}(\mathbb{R})$. Note that we omit the proof now, because it will follow as a corollary from a theorem that will be presented in the next section. Further, it seems that connectedness does not affect the compactificability classes much because \mathbb{D}^{\aleph_0} is homeomorphic to a subspace of \mathbb{R} , also known as the Cantor Discontinuum. Hence, there is a natural question whether it is true that every uncountable, second countable, or separable locally compact Hausdorff space must be of the same class of compactificability as \mathbb{R} . Next we will give a counterexample for this conjecture. After some further investigation we will find out that the essential property which plays the most important role in determining the compactificability classes is the behavior at infinity.

Before we start, let us recapitulate a couple of theorems from the previous papers that we will need in our proofs in the next section. For the proofs of these result, we refer the reader to [7, Theorem 2.12] for Theorem 2.1, and to [8, Theorems 3.1 and 3.2, Corollary 3.2] for Theorems 2.2, 2.3, Corollary 2.1, respectively.

THEOREM 2.1. Let X be a $T_{3.5}$ space which is not locally compact and let Z be a strongly locally compact (not necessarily Hausdorff) space. Then $\mathscr{C}(X)$ and $\mathscr{C}(Z)$ are not comparable in the order \geq .

THEOREM 2.2. Let (X, τ_X) be a closed subspace of a strongly locally compact space (Z, τ_Z) . Then, $\mathscr{C}(X) \succeq \mathscr{C}(Z)$.

Recall that by w(X) we denote the weight of a space (X, τ) , that is, the least infinite cardinal w(X), such that (X, τ) has an open base $\tau_0 \subseteq \tau$ with $|\tau_0| \leq w(X)$.

THEOREM 2.3. Let (X, τ) be a locally compact Hausdorff space with $w(X) = \mathfrak{m}$, where $\mathfrak{m} \ge \aleph_0$. Then $\mathscr{C}(X) \succeq \mathscr{C}(\mathbb{D}^{\mathfrak{m}} \setminus \{\mathbf{0}\})$.

COROLLARY 2.4. For any $k, n \in \mathbb{N}$, the spaces \mathbb{A}^k , \mathbb{R}^n , $\mathbb{A}^k \times \mathbb{R}^n$, $\mathbb{I}^{\aleph_0} \setminus \{\mathbf{0}\}$, $\mathbb{D}^{\aleph_0} \setminus \{\mathbf{0}\}$ are of the same class of mutual compactificability.

3. Main results

Our first theorem studies what happens with the classes of mutual compactificability if a closed compact subspace is collapsed to a singleton.

THEOREM 3.1. Let $H \subseteq X$ be a compact closed subspace of a topological space X. Define an equivalence relation \sim on X by $(x \sim y) \Leftrightarrow [(x = y) \lor (x, y \in H)]$ for any $x, y \in X$. Let $Y = X/\sim$ be the quotient space. Then $\mathscr{C}(X) \succeq \mathscr{C}(Y)$.

Proof. Let (Z, τ_Z) be a space which is mutually compactificable by $(X, \tau), X \cap Z = \emptyset$. We put $K = X \cup Z$ and denote by τ_K the \mathscr{C} -acceptable topology on K. We will show that H is closed in (K, τ_K) . Let $y \in K \setminus H$. If $y \in X$, then $y \in X \setminus H \in \tau_X$, so there exists $U \in \tau_K$ such that $X \setminus H = X \cap U$. Then $U \cap H = \emptyset$, so $y \in U \subseteq K \setminus H$. If $y \in Z$, then from the fact that X, Z are point-wise separated in (K, τ_K) it follows that there are $U, V \in \tau_K$ such that $y \in U, H \subseteq V$, and $U \cap V = \emptyset$. But then $U \cap H = \emptyset$, which implies that $y \in U \subseteq K \setminus H$. Hence, H is closed in (K, τ_K) .

We will extend the equivalence relation \sim to *K* by setting

$$(x \sim y) \iff [(x = y) \text{ or } (\{x, y\} \subseteq H)] \text{ for every } x, y \in K.$$
 (3.1)

Let $f: K \to K_{/\sim}$ be the corresponding quotient mapping. We put $L = K_{/\sim}$ and consider L with its quotient topology τ_L . For simplicity, we may identify the singleton equivalence classes with their elements, so the quotient mapping $f: K \setminus H \to L \setminus \{h\}$ restricted to

the open set $K \setminus H$ is the identity on $K \setminus H$ and a homeomorphism between the open subspaces $K \setminus H$ and $L \setminus \{h\}$. Since $Z \subseteq K \setminus H = L \setminus \{h\}$, the topology on Z induced from (L, τ_L) coincides with its original topology τ_Z .

Let $V \in \tau_Y$. Then $f^{-1}(V) \in \tau_X$, so there exists $U \in \tau_K$ such that $f^{-1}(V) = U \cap X$. We put $W = (U \cap Z) \cup V$. Clearly, $V = W \cap Y$. Further,

$$f^{-1}(W) = f^{-1}(U \cap Z) \cup f^{-1}(V) = (U \cap Z) \cup f^{-1} = (U \cap Z) \cup (U \cap X)$$

= $U \cap (Z \cup X) = U \cap K = U \in \tau_K.$ (3.2)

Then, $W \in \tau_L$ because the quotient mapping is continuous. It follows that τ_Y is weaker or equal to the topology on *Y* induced by τ_L . Conversely, let $W \in \tau_L$ and denote $V = W \cap Y$. Then $U = f^{-1}(W) \in \tau_K$, so $U \cap X \in \tau_X$. We have

$$U \cap X = f^{-1}(W) \cap X = f^{-1}(W \cap L) \cap X = f^{-1}[W \cap (Z \cup Y)] \cap X$$

= $f^{-1}[(W \cap Z) \cup (W \cap Y)] \cap X = [(W \cap Z) \cup f^{-1}(W \cap Y)] \cap X$ (3.3)
= $[W \cap Z \cap X] \cup [f^{-1}(V) \cap X] = \emptyset \cup f^{-1}(V) = f^{-1}(V).$

Let $g: Y \to X$ be the quotient mapping given by the original (not extended) equivalence \sim on X. Then g is a restriction of f, so $g^{-1}(V) = f^{-1}(V) \in \tau_X$, and by the definition of the quotient topology we have $V \in \tau_Y$. Hence, the topology on Y induced from (L, τ_L) coincides with τ_Y .

Let $y \in Y$, $z \in Z$. We will show that y, z have disjoint open neighborhoods in (L, τ_L) . If $y \neq h$, then $\{y, z\} \subseteq K \setminus H = L \setminus \{h\}$. There exist $U, V \in \tau_K$ such that $y \in U, z \in V$, and $U \cap V = \emptyset$. We put $P = U \setminus H$, $Q = V \setminus H$. Then $P, Q \subseteq K \setminus H = L \setminus \{h\}$, so $P, Q \in \tau_L$ and we have $y \in P$, $z \in Q$, and $P \cap Q = \emptyset$. Let y = h. Since X, Z are point-wise separated in (K, τ_K) and H is compact, there exist $U, V \in \tau_K$ such that $H \subseteq U, z \in V$, and $U \cap V = \emptyset$. We put $P = (U \setminus H) \cup \{h\}$. Then

$$f^{-1}(P) = f^{-1}(U \setminus H) \cup f^{-1}(\{h\}) = (U \setminus H) \cup H = U \in \tau_K.$$
(3.4)

Similarly, $f^{-1}(V) = V \in \tau_K$. Then $P, V \in \tau_L$, $y = h \in P$, $z \in V$, and

$$P \cap V = [(U \setminus H) \cap V] \cup [\{h\} \cap V] = \emptyset \cup (\{h\} \cap V) \subseteq \{h\} \cap (K \setminus H)$$

= $\{h\} \cap (L \setminus \{h\}) = \emptyset.$ (3.5)

Hence, *Y* and *Z* are point-wise separated in (L, τ_L) .

Finally, the compactness of (L, τ_L) follows from the continuity of the quotient mapping $f : K \to L$. Now we have a \mathscr{C} -acceptable topology on $L = Y \cap Z$, so $\mathscr{C}(X) \succeq \mathscr{C}(Y)$. \Box

COROLLARY 3.2. Let $X = \mathbb{N} \cup [-1,0]$ with the Euclidean topology induced from \mathbb{R} . Then $\mathscr{C}(X) = \mathscr{C}(\mathbb{N})$.

Proof. Clearly, [-1,0] is the closed compact subspace of *X*, so by the previous theorem we have $\mathscr{C}(X) \succeq \mathscr{C}(\mathbb{N})$. The converse inequality follows from the fact that \mathbb{N} is also a closed subspace of *X*, which is strongly locally compact, and from Theorem 2.2.

Now we will study the behavior of spaces at infinity and its influence on the compactificability classes. For that purpose, we will need some auxiliary assertions. The following lemma is a variation on Cantor-Bendixson theorem (cf., [2, Problem 1.7.10-11, page 59]).

LEMMA 3.3. Let (X, τ) be a second countable space and let $M \subseteq X$ be an uncountable set. Then there is a closed set $C \subseteq X$ such that $M \setminus C$ is (at most) countable and for every open set $O \subseteq X$, either $C \cap O = \emptyset$ or $M \cap O$ is uncountable.

Proof. Let $\sigma \subseteq \tau$ be a countable base for the topology τ . First, it is easy to see that if the condition stated in the theorem holds for every open basic set $O \in \sigma$, then it holds also for every open set from τ . Therefore, we may restrict our considerations to σ instead of τ . By transfinite induction, for some ordinal δ , we define a family $\{O_{\alpha} \mid \alpha < \delta\} \subseteq \sigma$ as follows:

- (1) if for every $O \in \sigma$ either $M \cap O$ is empty or uncountable, then $C = cl_X M$ has all the required properties and we are done. Otherwise, there exists $O_1 \in \sigma$ such that $O_1 \cap M$ is nonempty and countable,
- (2) now, suppose that we have already chosen $\{O_{\beta} \mid \beta < \alpha\}$ for some ordinal α . If there exists a basic open set $O \in \sigma$ such that $O \cap (M \setminus \bigcup_{\beta < \alpha} O_{\beta})$ is nonempty and countable, we let O_{α} be any such set O. Otherwise, we stop and put $\delta = \alpha$.

Having the family $\{O_{\alpha} \mid \alpha < \delta\}$, we put $C = cl_X(M \setminus \bigcup_{\alpha < \delta} O_{\alpha})$. Since $\{O_{\alpha} \mid \alpha < \delta\} \subseteq \sigma$, the ordinal δ is countable. We have

$$M = \left(M \setminus \bigcup_{\beta < \alpha} O_{\beta} \right) \cup \left(M \cap \bigcup_{\beta < \alpha} O_{\beta} \right), \tag{3.6}$$

and so

$$O_{\alpha} \cap M = \left[O_{\alpha} \cap \left(M \setminus \bigcup_{\beta < \alpha} O_{\beta}\right)\right] \cup \left[O_{\alpha} \cap M \cap \bigcup_{\beta < \alpha} O_{\beta}\right]$$
$$\subseteq \left[O_{\alpha} \cap \left(M \setminus \bigcup_{\beta < \alpha} O_{\beta}\right)\right] \cup \bigcup_{\beta < \alpha} (O_{\beta} \cap M).$$
(3.7)

The set $O_1 \cap M$ is countable, from the previous steps (β) where $\beta < \alpha$, we suppose $O_\beta \cap M$ countable and from the current step (α) we know that $O_\alpha \cap (M \setminus \bigcup_{\beta < \alpha} O_\beta)$ is countable. Hence, by transfinite induction, $O_\alpha \cap M$ is countable as a countable union of countable sets. Then the set

$$M \setminus C = M \setminus \operatorname{cl}_X\left(M \setminus \bigcup_{\alpha < \delta} O_\alpha\right) \subseteq M \cap \left(\bigcup_{\alpha < \delta} O_\alpha\right) = \bigcup_{\alpha < \delta} \left(O_\alpha \cap M\right)$$
(3.8)

is also countable. Suppose that $C \cap O \neq \emptyset$ for some $O \in \sigma$. Then, also $O \cap (M \setminus \bigcup_{\alpha < \delta} O_{\alpha}) \neq \emptyset$ and from the last induction step (δ) it follows that $O \cap (M \setminus \bigcup_{\alpha < \delta} O_{\alpha})$ is uncountable, because otherwise we could set $O_{\delta} = O$, which would contradict to the fact that (δ) is the last step of the induction. But then also the set $M \cap O$ is uncountable and we can see that *C* has all the required properties.

The next lemma is a variation on Cantor's well-known result that \mathbb{D}^{\aleph_0} embeds into every nonempty perfect set of reals (cf., [2, Problem 3.12.11, page 230; Problem 4.5.5, page 290]).

LEMMA 3.4. Let (X, τ) be a locally compact, noncompact, second countable Hausdorff space, $\alpha X = X \cup \{\infty\}$ the Alexandroff compactification of X. If every neighborhood of ∞ is uncountable, then there exists an embedding $e : \mathbb{D}^{\aleph_0} \to \alpha X$ which maps **0** to ∞ .

Proof. By Lemma 3.3, there is a closed set $C \subseteq \alpha X$ such that every open set that intersects *C* is an uncountable set and such that $X \setminus C$ is countable. Clearly, $\infty \in C$. Thus, without loss of generality we may assume that $C = \alpha X$. In other words, we may assume that every nonempty open subset of αX is uncountable. In particular, it means that no point in αX is isolated.

Since αX is second countable, it is metrizable. Let $d : \alpha X \times \alpha X \to \mathbb{R}$ be a metric on X, which induces the topology τ . For a point $x \in X$ and a positive real number r > 0, we denote

$$B(x,r) = \{ y \mid y \in X, \ d(x,y) < r \}.$$
(3.9)

Let $\mathbb{D}^{<\omega} = \bigcup_{n \in \omega} \mathbb{D}^n$ be the set of all nonempty finite sequences whose members consist of 0's and 1's. Every sequence $s \in \mathbb{D}^{<\omega}$ of the length *n* may be extended by 0 or 1, respectively, to the sequence having the length n + 1. We denote the extended sequence by s^0 or s^1 , respectively. For every $s \in \mathbb{D}^{<\omega}$, we define inductively an open set $O_s \in \tau$ as follows:

- (1) we put $x_0 = \infty$, and as x_1 we take any point from *X*. We also put $r_0 = r_1 = (1/3)d(x_0, x_1)$, $O_0 = B(x_0, r_0)$, $O_1 = B(x_1, r_1)$.
- (2) suppose that $O_s = B(x_s, r_s)$ is defined for every $s \in \mathbb{D}^n$. Let $s \in \mathbb{D}^n$. The point x_s is not isolated, so there exists $x_{s^-1} \in B(x_s, 2/3r_s), x_{s^-1} \neq x_s$. Further, we put $x_{s^-0} = x_s$, $r_{s^-0} = r_{s^-1} = 1/3d(x_{s^-0}, x_{s^-1}), O_{s^-0} = B(x_{s^-0}, r_{s^-0}), O_{s^-1} = B(x_{s^-1}, r_{s^-1})$.

Let $p \in \mathbb{D}^{\aleph_0}$. We denote p_n the restriction of the infinite sequence p to the first n elements. From the construction it follows that

$$O_{p_1} \supseteq cl_{\alpha X} O_{p_2} \supseteq O_{p_2} \supseteq cl_{\alpha X} O_{p_3} \supseteq O_{p_3} \supseteq \cdots .$$
(3.10)

Since the space αX is compact, the intersection $\bigcap_{n \in \mathbb{N}} \operatorname{cl}_{\alpha X} O_{p_n}$ of the closed balls is nonempty and since $\lim_{n\to\infty} r_{p_n} = 0$, it contains exactly one element, say e(p). In particular, $e(\mathbf{0}) = \infty$. The mapping $e : \mathbb{D}^{\aleph_0} \to X$ is an injection. Indeed, let $p, q \in \mathbb{D}^{\aleph_0}, p \neq q$, and let $n \in \mathbb{N}$ be the least number for which $p_n \neq q_n$. We have $e(p) \in \operatorname{cl}_{\alpha X} O_{p_n}, e(q) \in \operatorname{cl}_{\alpha X} O_{q_n}$, but $\operatorname{cl}_{\alpha X} O_{p_n} \cap \operatorname{cl}_{\alpha X} O_{q_n} = \emptyset$. Thus $e(p) \neq e(q)$. Finally, we will show that $e : \mathbb{D}^{\aleph_0} \to X$ is continuous. Let $O \in \tau$ be an open set containing e(p). There is some $m \in \mathbb{N}$ such that $e(p) \in O_{p_m} \subseteq O$. The set $U = \{y \mid y \in \mathbb{D}^{\aleph_0}, y_m = p_m\}$ is open in \mathbb{D}^{\aleph_0} since it is an intersection of m sub-basic sets of the product topology on \mathbb{D}^{\aleph_0} . But if $y \in U$, then $e(y) \in \operatorname{cl}_{\alpha X} O_{y_{m+1}} \subseteq O_{y_m} = O_{p_m} \subseteq O$. Hence, $e : \mathbb{D}^{\aleph_0} \to X$ is continuous. Since \mathbb{D}^{\aleph_0} is compact, e is a homeomorphism onto its image.

Finally, we can formulate and prove the main theorem.

THEOREM 3.5. Let X be a locally compact, noncompact, second countable Hausdorff space. If every neighborhood of $\infty \in \alpha X$ contains uncountably many elements of X, then $\mathscr{C}(X) = \mathscr{C}(\mathbb{R})$.

Proof. By Lemma 3.4, *X* contains a closed subspace homeomorphic to $\mathbb{D}^{\aleph_0} \setminus \{\mathbf{0}\}$. Then from Theorems 2.2, 2.3, and Corollary 2.4, we get

$$\mathscr{C}(\mathbb{D}^{\aleph_0} \setminus \{\mathbf{0}\}) \succeq \mathscr{C}(X) \succeq \mathscr{C}(\mathbb{D}^{\aleph_0} \setminus \{\mathbf{0}\}) = \mathscr{C}(\mathbb{R}), \tag{3.11}$$

which consequently gives $\mathscr{C}(X) = \mathscr{C}(\mathbb{R})$. The proof is complete.

So far, our effort was concentrated especially on the problem how to prove that the compactificability classes of two or more different spaces coincide. Perhaps it is just the right time to find some general conditions under which the compactificability classes of different spaces must differ.

Let \mathfrak{T} be some class of directed sets with $\emptyset \notin \mathfrak{T}$. Define the following properties of a topological space *X*:

- (i) **property** $\alpha(\mathfrak{T})$: there exists an injective net $\varphi(A, \geq)$ with $A \in \mathfrak{T}$, $\varphi(A) \subseteq X$, converging in *X*,
- (ii) **property** $\overline{\alpha}(\mathfrak{T})$: no injective net $\varphi(A, \geq)$ with $A \in \mathfrak{T}, \varphi(A) \subseteq X$, has a cluster point in *X*.

LEMMA 3.6. Let (X, τ_X) be a T_1 space, $\mathbb{I} = [0,1]$, $K = \omega X \times \mathbb{I}$, $Y = K \setminus (X \times \{0\})$. We consider \mathbb{I} with its natural, Euclidean topology. Let τ_K be the product topology of the Wallman compactification ωX of (X, τ_X) with the Euclidean topology of \mathbb{I} and let τ_Y be the subspace topology on Y induced from (K, τ_K) . Let $a_1, a_2, \ldots, b_1, b_2, \ldots$ be two disjoint sequences in X having no cluster point in X. Let (Z, τ_Z) be a topological space disjoint from Y and let $L = Y \cup Z$ be equipped with a topology τ_L such that (Y, τ_Y) , (Z, τ_Z) are subspaces of (L, τ_L) . Let c_1, c_2, \ldots be a sequence in Z such that each c_i is a cluster point of the nets $\alpha_i(t) = (a_i, t)$, $\beta_i(t) = (b_i, t)$ with their values in Y for $t \to 0$. Then the topology τ_L is not \mathcal{C} -acceptable.

Proof. Suppose that all the conditions stated in the lemma are satisfied and the topology τ_L is \mathscr{C} -acceptable. We put $F = \{a_1, a_2, \ldots\}, F = \{b_1, b_2, \ldots\}$. Then $F \cap G = \emptyset$ and F, G are closed in (X, τ_X) . Denote $V = X \setminus G \in \tau_X$, $\mathscr{G}(V) = V \cup \{h \mid h \in \omega X \setminus X, V \in h\}$, where we identify the elements of $\omega X \setminus X$ with the nonconvergent ultra-closed filters in (X, τ_X) . The set $\mathscr{G}(V)$ is open in ωX . Let $h \in \omega X \setminus X$ be a cluster point of the sequence a_1, a_2, \ldots . Then $F \in h$, because otherwise, $\mathscr{G}(X \setminus F)$ would be a neighborhood of h, which does not contain any element from a_1, a_2, \ldots . Then, $V \in h$ because $F \subseteq X \setminus G = V$. Then $h \in \mathscr{G}(V)$. Consequently, all cluster points of the sequence a_1, a_2, \ldots are in $\mathscr{G}(V)$. Analogously, if g is a cluster point of the sequence b_1, b_2, \ldots , then necessarily $G \in g$. Then $V = X \setminus G \notin g$, which gives $g \notin \mathscr{G}(V)$. Therefore, all cluster points of the sequence b_1, b_2, \ldots are outside of $\mathscr{G}(V)$, that is, in $\omega X \setminus \mathscr{G}(V)$. Then both sequences $a_1, a_2, \ldots, b_1, b_2, \ldots$ have no common cluster point in ωX .

Denote $M = \mathbb{N} \times (0,1]$. For every $(k,t), (l,s) \in M$, we define

$$(k,t) \le (l,s) \iff k \ge l, \quad t \le s.$$
 (3.12)

Further, for every $(k, t) \in M$, we put

$$\varphi(k,t) = \alpha_k(t) = (a_k,t), \qquad \chi(k,t) = \beta_k(t) = (b_k,t).$$
 (3.13)

The nets $\varphi(M, \geq)$, $\chi(M, \geq)$ have their values in *Y* and they have no cluster point in $X \times \{0\}$. Suppose that, for instance, $\varphi(M, \geq)$ has a cluster point $z \in Z$. Then there exists a net $\varphi'(M', \sqsupseteq)$ finer than $\varphi(M, \geq)$, which converges to *z*. Since *K* is compact, $\varphi'(M', \sqsupset)$ has a cluster point, say $y \in K$. But *y* is also a cluster point of $\varphi(M, \geqslant)$, which has no cluster point in $X \times \{0\}$, so $y \in K \setminus (X \times \{0\}) = Y$. However, since τ_L is \mathscr{C} -acceptable, *Y* and *Z* are point-wise separated in (L, τ_L) . This is not possible since $\varphi'(M', \sqsupset)$ converges to $z \in Z$ and has a cluster point $y \in Y$, both in the topology τ_L , which on *Y* coincides with the topology induced from (K, τ_K) . Hence, the net $\varphi(M, \ge)$, and similarly also $\chi(M, \ge)$, has no cluster point in *Z*.

Let $w \in L$ be the cluster point of the sequence c_1, c_2, \ldots . Take $W \in \tau_L$ such that $w \in W$. Let $(k_0, t_0) \in M$. There exists $k \ge k_0$ such that $c_k \in W$. However, c_k is a cluster point of the nets $\alpha_k(t)$, $\beta_k(t)$ for $t \to 0$. So, there exist $t, s \in (0, 1]$, $t \le t_0$, $s \le t_0$ such that $\alpha_k(t) = (a_k, t) = \varphi(k, t) \in W$ and $\beta_k(s) = (b_k, s) = \chi(k, s) \in W$. We have $(k, t) \ge (k_0, t_0)$ and $(k, s) \ge (k_0, t_0)$, which mean that $w \in L$ is a common cluster point of the nets $\varphi(M, \ge), \chi(M, \ge)$. Because of the previous paragraph $w \notin Z$, so $w \in Y = K \setminus (X \times \{0\}) = (\omega X \times (0, 1]) \cup [(\omega X \setminus X) \times \{0\}]$. However, it is not possible that $w \in \omega X \times (c, 1]$ is open in τ_Y , but it does not contain $\varphi(k, t)$ or $\chi(k, t)$ if $(k, t) \ge (1, \varepsilon)$. Hence, it remains $w \in (\omega X \setminus X) \times \{0\}$. Then w = (v, 0), where $v \in \omega X \setminus X$. But this implies that v is a common cluster point of the sequences $a_1, a_2, \ldots, b_1, b_2, \ldots$, which is a contradiction.

Thus our assumption that τ_L is \mathscr{C} -acceptable is incorrect.

THEOREM 3.7. Let (X, τ_X) be a θ -regular T_1 space containing a discrete infinite sequence of subspaces P_1, P_2, \ldots with the property $\alpha(\mathfrak{T})$. Then for any space (Z, τ_Z) with the property $\overline{\alpha}(\mathfrak{T})$ it follows $\mathfrak{C}(X) \not\succeq \mathfrak{C}(Z)$.

Proof. Suppose $\mathscr{C}(X) \succeq \mathscr{C}(Z)$. We put $\mathbb{I} = [0,1]$ with the Euclidean topology, $K = \omega X \times \mathbb{I}$, $Y = K \setminus (X \times \{0\})$. We consider the product topology τ_K on K and the topology τ_Y induced from (K, τ_K) on Y. Clearly, the spaces (X, τ_X) and $X \times \{0\}$ with the topology induced from (K, τ_K) are homeomorphic. Since (X, τ_X) is θ -regular, $\omega X \setminus X$ and X are pairwise separated in ωX . Then, also $X \times \{0\}$ and Y are pairwise separated in (K, τ_K) . Then $\mathscr{C}(X \times \{0\}) = \mathscr{C}(X) \succeq \mathscr{C}(Z)$. Hence, there exists a \mathscr{C} -acceptable topology τ_L on $L = Y \cap Z$, where Z is supposed to be disjoint from Y (if $Y \cap Z \neq \emptyset$, we will replace Z by a homeomorphic copy, disjoint from Y).

Let $\xi_i(A_i, \geq)$ be an injective net in P_i such that $A_i \in \mathfrak{T}$, having a limit $l_i \in P_i$. For any $\alpha \in A_i$ and $t \in (0, 1]$, we define

$$\zeta_{i,\alpha}(t) = (\xi_i(\alpha), t) \in P_i \times (0, 1] \subseteq X \times (0, 1] \subseteq Y.$$
(3.14)

If we consider (0,1] as a directed set, then $\zeta_{i,\alpha}$ is a net with values in *Y* and a limit $(\xi_i(\alpha), 0) \in X \times \{0\}$ for $t \to 0$. Since the net $\zeta_{i,\alpha}$ has a limit in $X \times \{0\}$ and the sets $X \times \{0\}$,

Y are pointwise separated in (K, τ_K) , $\zeta_{i,\alpha}$ has no cluster point in *Y*. Since (L, τ_L) is compact, $\zeta_{i,\alpha}$ has a cluster point in *Z*, say $\psi_i(\alpha) \in Z$. Then $\psi_i(A_i, \geq)$ is a net in *Z*, having $A_i \in \mathfrak{T}$.

Suppose that ψ_i is injective. Since (Z, τ_Z) has the property $\overline{\alpha}(\mathfrak{T})$, the net $\psi_i(A_i, \geq)$ has no cluster point in Z, so it must have a cluster point in Y, say $y \in Y$. We denote $M_i = A_i \times (0, 1]$ and for every $(\alpha, t), (\beta, s) \in M_i$, we define

$$\begin{aligned} (\alpha, t) &\supseteq (\beta, s) \iff \alpha \ge \beta, \ t \le s', \\ \varphi_i(\alpha, t) &= \zeta_{i,\alpha}(t) = (\xi_i(\alpha), t). \end{aligned} \tag{3.15}$$

For every $i \in \mathbb{N}$, we have a net $\varphi_i(M_i, \exists)$ with values in Y. Let us show that $\varphi_i(M_i, \exists)$ has the limit $(l_i, 0) \in X \times \{0\}$ in (K, τ_K) . Choose $V \in \tau_X$ such that $l_i \in V$ and $\varepsilon > 0$. Then there exists $\alpha_0 \in A_i$ such that $\xi_i(\alpha) \in V$ for $\alpha \ge \alpha_0$. Then, for every $(\alpha, t) \in M_i$ such that $(\alpha, t_i) \equiv (\alpha_0, \varepsilon/2)$, we have $\varphi_i(\alpha, t) = (\xi_i(\alpha), t) \in V \times (0, \varepsilon)$. Then, $\varphi_i(M_i, \exists)$ converges to $(l_i, 0) \in X \times \{0\}$. Let $W \in \tau_Y$ be an open neighborhood of $y \in Y$. There exists $U \in \tau_L$ such that $W = Y \cap U$. Let $(\alpha, t) \in M_i$. There exists $\beta \in A_i$, $\beta \ge \alpha$ such that $\psi_i(\beta) \in U$. But $\psi_i(\beta)$ is a cluster point of the net $\zeta_{i,\beta}$. Hence, there exists $s \in (0,1]$, $s \le t$ such that $\varphi_i(\beta, s) = \zeta_{i,\beta}(s) = (\xi_i(\beta), s) \in U$. Since the net $\varphi_i(M_i, \exists)$ has its values in Y, we have $\varphi_i(\beta, s) \in W$. Then $y \in Y$ is a cluster point of $\varphi_i(M_i, \exists)$, which is a contradiction, because in the previous step we proved that $\varphi_i(M_i, \exists)$ has the limit $(l_i, 0) \in X \times \{0\}$ and the sets $X \times \{0\}$, Y are point-wise separated in (K, τ_K) . Hence, the only possible conclusion is that ψ_i is not injective.

By the previous paragraph, there exist $\alpha_i, \beta_i \in A_i$ such that $\alpha_i \neq \beta_i$ and $\psi_i(\alpha_i) = \psi_i(\beta_i)$. We put $a_i = \xi_i(\alpha_i), b_i = \xi_i(\beta_i), c_i = \psi_i(\alpha_i) = \psi_i(\beta_i)$. Since the net $\xi_i(A_i, \geq)$ is injective, $a_i \neq b_i$. We have $a_i, b_i \in P_i$, so the sequences a_1, a_2, \ldots and b_1, b_2, \ldots are disjoint because of the discreteness of the collection $\{P_1, P_2, \ldots\}$. For the same reason, they have no cluster point in *X*. Moreover, for every $i \in \mathbb{N}$, $c_i = \psi_i(\alpha_i) = \psi_i(\beta_i) \in Z$ is a cluster point of the nets $\zeta_{i,\alpha_i}(t) = (\xi_i(\alpha_i), t) = (a_i, t), \zeta_{i,\beta_i}(t) = (\xi_i(\beta_i), t) = (b_i, t)$ for $t \to 0$ in (L, τ_L) . By Lemma 3.6, the topology τ_L is not \mathscr{C} -acceptable, which is a contradiction. Therefore, it must be $\mathscr{C}(X) \not\succeq \mathscr{C}(Z)$.

Modifying the technique of the proof of the previous theorem analogously, we can obtain the following result.

THEOREM 3.8. Let (X, τ_X) be a θ -regular T_1 space containing a discrete infinite sequence of subspaces P_1, P_2, \ldots with the property $|P_i| > \kappa$ (κ is a cardinal number). Then for any space (Z, τ_Z) with the property $|Z| \le \kappa$ it follows $\mathscr{C}(X) \not\succeq \mathscr{C}(Z)$.

Proof. Suppose $\mathscr{C}(X) \succeq \mathscr{C}(Z)$. We put $\mathbb{I} = [0,1]$ with the Euclidean topology, $K = \omega X \times \mathbb{I}$, $Y = K \setminus (X \times \{0\})$. We consider the product topology τ_K on K and the topology τ_Y induced from (K, τ_K) on Y. The space $X \times \{0\}$, with the topology induced from (K, τ_K) , is homeomorphic to (X, τ_X) and pairwise separated from Y in (K, τ_K) . Then $\mathscr{C}(X \times \{0\}) \succeq \mathscr{C}(Z)$. Therefore, there exists a \mathscr{C} -acceptable topology τ_L on $L = Y \cap Z$ (where Z is supposed to be disjoint from Y).

Let $i \in \mathbb{N}$. For every $p \in P_i$, we put $\zeta_{i,p}(t) = (p,t)$. The net $\zeta_{i,p}((0,1], \leq)$ has its values in *Y* and has the limit $(p,0) \in X \times \{0\}$ for $t \to 0$. We put

$$Q_i = \{q \mid q \in Z, q \text{ is a cluster point of the net } \zeta_{i,p}((0,1],\leq)\}.$$
(3.16)

Then $|Q_i| \le \kappa < |P_i|$, so there exist $a_i, b_i \in P_i \subseteq X$, $a_i \ne b_i$ such that the nets $\zeta_{i,a_i}(t) = (a_i, t)$, $\zeta_{i,b_i}(t) = (b_i, t)$ have the same cluster point $c_i \in Z$ for $t \rightarrow 0$ in the topology τ_L . Since the collection $\{P_1, P_2, \ldots\}$ is discrete in (X, τ_X) , the sequences a_1, a_2, \ldots and b_1, b_2, \ldots are disjoint and have no cluster point in X. By Lemma 3.6, the topology τ_L is not \mathscr{C} -acceptable, which is a contradiction. Hence, $\mathscr{C}(X) \not\succeq \mathscr{C}(Z)$.

The next corollary summarizes some relationships between the compactificability classes of some frequently used and well-known spaces. These properties follow from the theory presented in this paper and in the three previous papers [6–8].

COROLLARY 3.9. The following statements are satisfied:

- (i) let X, Z be two infinite discrete spaces with different cardinalities |X| > |Z|. Then $\mathscr{C}(Z) \succ \mathscr{C}(X)$,
- (ii) $\mathscr{C}(\mathbb{R} \setminus \mathbb{Q}) \not\succeq \mathscr{C}(\mathbb{Q})$,
- (iii) Comp $\succ \mathscr{C}(\mathbb{N}) \succ \mathscr{C}(\mathbb{R})$,
- (iv) $\mathscr{C}(\mathbb{Q}), \mathscr{C}(\mathbb{R} \setminus \mathbb{Q}), and \mathscr{C}((0,1)^{\aleph_0})$ are incomparable with Comp, $\mathscr{C}(\mathbb{N}), \mathscr{C}(\mathbb{R}),$
- (v) let $X = \{n 1/m \mid n, m \in \mathbb{N}\}$ be a subspace of \mathbb{R} . Then

$$\mathscr{C}(\mathbb{N}) \succ \mathscr{C}(X) \succ \mathscr{C}(\mathbb{R}),$$
 (3.17)

(vi) let $\omega = \aleph_0 = \mathbb{N}$, and let $\omega_1 = \aleph_1$ be the first uncountable cardinal, both considered as ordinal number, with the interval topology. Then

$$\mathscr{C}(\omega \times (\omega_1 + 1)) \not\succeq \mathscr{C}(\omega_1). \tag{3.18}$$

Proof. (i) Let $|X| > |Z| = \kappa \ge \aleph_0$. Then there exists a decomposition of X into countably many pairwise disjoint subsets $P_i \subseteq X$, $i \in \mathbb{N}$, such that, for each $i \in \mathbb{N}$, we have $|P_i| = |X| > \kappa$. From Theorem 3.8 it follows $\mathscr{C}(X) \not\succeq \mathscr{C}(Z)$. Further, by Theorem 2.2 it holds $\mathscr{C}(Z) \succeq \mathscr{C}(X)$. Then $\mathscr{C}(Z) \succ \mathscr{C}(X)$.

(ii) For every $n \in \mathbb{N}$, we put $P_n = [2n, 2n+1] \cap (\mathbb{R} \setminus \mathbb{Q})$. Then $|P_n| > |\mathbb{Q}| = \aleph_0$, and the family $\{P_n \mid n \in \mathbb{N}\}$ is discrete. By Theorem 3.8, we have $\mathscr{C}(\mathbb{R} \setminus \mathbb{Q}) \not\succeq \mathscr{C}(\mathbb{Q})$.

(iii) It is clear that Comp $\succ \mathscr{C}(\mathbb{N})$. We put $P_n = [2n, 2n+1]$ for every $n \in \mathbb{N}$. We have $|P_n| > |\mathbb{N}| = \aleph_0$, so by Theorem 3.8 we get $\mathscr{C}(\mathbb{R}) \not\succeq \mathscr{C}(\mathbb{N})$. But \mathbb{N} is a closed subspace of \mathbb{R} , so by Theorem 2.2 we have $\mathscr{C}(\mathbb{N}) \succeq \mathscr{C}(\mathbb{R})$. Then $\mathscr{C}(\mathbb{N}) \succ \mathscr{C}(\mathbb{R})$.

(iv) The spaces \mathbb{Q} , $\mathbb{R} \setminus \mathbb{Q}$, and $(0,1)^{\aleph_0}$ are $T_{3.5}$, but not locally compact. The compact spaces as well as \mathbb{N} or \mathbb{R} are strongly locally compact. The assertion now follows directly from [7, Theorem 2.1].

(v) We take $\mathfrak{T} = \{(\mathbb{N}, \geq)\}\)$, where we consider the natural, linear order \geq on \mathbb{N} . Then any injective net $\varphi(A, \geq)$ with $A \in \mathfrak{T}$ is an injective sequence, which clearly has no cluster point in \mathbb{N} . However, if we put $P_n = \{n - 1/m \mid m \in \mathbb{N}, m \geq 2\} \cup \{n\}$, then $P_n \subseteq X$ contains an injective sequence n - 1/2, n - 1/3, n - 1/4, ... converging to $n \in P_n$. The family $\{P_1, P_2, ...\}$ is discrete. By Theorem 3.7 it holds $\mathscr{C}(X) \not\succeq \mathscr{C}(\mathbb{N})$. On the other hand, \mathbb{N} is a closed subspace of *X*, so by Theorem 2.2, $\mathscr{C}(\mathbb{N}) \succeq \mathscr{C}(X)$. Hence, $\mathscr{C}(\mathbb{N}) \succ \mathscr{C}(X)$. For proving $\mathscr{C}(X) \succ \mathscr{C}(\mathbb{R})$, we may adjust (iii) and use the fact that *X* is a closed countable subspace of \mathbb{R} .

(vi) We take $\mathfrak{T} = \{(\omega_1, \geq)\}$, where \geq is the order of ordinals. An injective net φ (ω_1, \geq) has no cluster point in ω_1 , since any uncountable subset of ω_1 is not bounded. On the other hand, $P_n = \{n\} \times (\omega_1 + 1)$ clearly contains values of an injective net $\varphi_n(\omega_1, \geq)$ given by $\varphi_n(\alpha) = (n, \alpha)$, converging to the point $(n, \omega_1) \in P_n$. Applying Theorem 3.7, we get $\mathscr{C}(\omega \times (\omega_1 + 1)) \not\succeq \mathscr{C}(\omega_1)$.

However, we will note that there are still much more open questions than answers and solutions. Among numerous very natural questions that one certainly may ask, we can only list some of them.

Question 3.10. Is Comp the only class above $\mathscr{C}(\mathbb{N})$? Is it true that a space *X* is compact if and only if $\mathscr{C}(X) \succ \mathscr{C}(\mathbb{N})$?

Question 3.11. Which compactificability classes have the representatives among the subspaces of the real line and which are their relationships?

Remark to the previous question that we know almost nothing about the compactificability classes represented by the non-locally compact subspaces of the real line, like $\mathscr{C}(\mathbb{Q})$ or $\mathscr{C}(\mathbb{R} \setminus \mathbb{Q})$. Perhaps some set-theoretic axioms like CH may affect the next open question.

Question 3.12. Is it true that between $\mathscr{C}(\mathbb{N})$ and $\mathscr{C}(\mathbb{R})$ there are infinitely or even uncountably many compactificability classes?

Question 3.13. Is it true that $\mathscr{C}(\omega_1) \succ \mathscr{C}(\omega \times (\omega_1 + 1))$?

Question 3.14. Which relationships are there between the compactificability classes represented by the spaces of ordinals?

Question 3.15. Which properties have the compactificability classes represented by spaces constructed from the classic examples that were given over the years (Sorgenfrey line, Niemytzki plane, ...)?

It is known that each compactificability class has a T_1 representative, however, there exist classes of mutual compactificability with no Hausdorff representatives. Hence, we will close the paper by the following natural question.

Question 3.16. Is it true that every compactificability class contains a sober or sober T_1 representative?

Acknowledgment

The author acknowledges support from Grant no. 201/03/0933 of the Grant Agency of the Czech Republic and from the Research Intention MSM 0021630503 of the Ministry of Education of the Czech Republic.

References

- [1] Á. Császár, General Topology, Akademiai Kiadó, Budapest, 1978.
- [2] R. Engelking, *General Topology*, Sigma Series in Pure Mathematics, vol. 6, Heldermann, Berlin, 1989.
- [3] D. S. Janković, θ-regular spaces, International Journal of Mathematics and Mathematical Sciences 8 (1985), no. 3, 615–619.
- [4] M. M. Kovár, On θ-regular spaces, International Journal of Mathematics and Mathematical Sciences 17 (1994), no. 4, 687–692.
- [5] _____, *A remark on On θ-regular spaces*, International Journal of Mathematics and Mathematical Sciences 21 (1998), no. 1, 199–200.
- [6] _____, Mutually compactificable topological spaces, preprint.
- [7] _____, *The classes of mutual compactificability*, to appear in International Journal of Mathematics and Mathematical Sciences.
- [8] _____, *The compactificability classes of certain spaces*, to appear in International Journal of Mathematics and Mathematical Sciences.

Martin Maria Kovár: Department of Mathematics, Faculty of Electrical Engineering and Communication, University of Technology, Technická 8, Brno 616 69, Czech Republic *E-mail address*: kovar@feec.vutbr.cz