

## THE NUMBER OF CONNECTED COMPONENTS OF CERTAIN REAL ALGEBRAIC CURVES

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**ABSTRACT.** For an integer  $n \geq 2$ , let  $p(z) = \prod_{k=1}^n (z - \alpha_k)$  and  $q(z) = \prod_{k=1}^n (z - \beta_k)$ , where  $\alpha_k, \beta_k$  are real. We find the number of connected components of the real algebraic curve  $\{(x, y) \in \mathbb{R}^2 : |p(x + iy)| - |q(x + iy)| = 0\}$  for some  $\alpha_k$  and  $\beta_k$ . Moreover, in these cases, we show that each connected component contains zeros of  $p(z) + q(z)$ , and we investigate the locus of zeros of  $p(z) + q(z)$ .

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**1. Introduction.** Throughout the paper,  $n$  is an integer  $\geq 2$ . Let  $f(x, y)$  be an integral polynomial of degree  $n$ . Let  $A$  be the real algebraic curve defined by  $A = \{(x, y) \in \mathbb{R}^2 : f(x, y) = 0\}$ . It is known that  $A$  consists of at most finitely many connected components. More precisely, when the curve is real nonsingular, each unbounded component is homeomorphic to a line and each bounded component is homeomorphic to a circle. We will call a bounded component an oval, and an unbounded component an  $\infty$ -component. Also, we will write "component" instead of "connected component" for convenience. Let  $p(z) = \prod_{k=1}^n (z - \alpha_k)$  and  $q(z) = \prod_{k=1}^n (z - \beta_k)$ , where  $\alpha_k, \beta_k$  are real. The zeros of  $g(z) := p(z) + q(z)$  are clearly contained in the locus of the real algebraic curve

$$C := \{(x, y) \in \mathbb{R}^2 : |p(x + iy)| - |q(x + iy)| = 0\}. \quad (1.1)$$

In fact, in their study of "cylindrical algebraic decomposition," Arnon, Collins, and McCallum [1, 2] provide an algorithm for calculating the number of components given a specific example. However, we do not know the answer in the general case. We provide a different idea in this paper from that in [1, 2]. With the above terminology, here are some general questions.

(a) Given  $P(x, y) = 0$  for real variables  $x$  and  $y$ , how many components are there? It is still unclear how to describe all possibilities for the topological nature of all components of an arbitrary  $P(x, y) = 0$ ; this is the essence of the Hilbert's 16th problem. On the other hand, one of the most significant theorems of real algebraic geometry (Harnack (see [3, pages 257-258]), 1876) tells us that the number of components is at most one more than the genus.

(b) The curve  $C$  has finitely many components. Must each component have zeros of  $g(z) = 0$ ?

We answer the questions (a) and (b) for some real algebraic curves of the form (1.1). Define, for real variables  $x$  and  $y$ ,

$$P(x, y) := |p(x + iy)|^2 - |q(x + iy)|^2, \tag{1.2}$$

where  $\{\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n\} \subseteq \{1, 2, \dots, 2n\}$ . The simplest case for the questions (a) and (b) is  $\{\alpha_k\} = \{1, 2, 3, \dots, n\}$  and  $\{\beta_k\} = \{n + 1, n + 2, \dots, 2n\}$ . Then all zeros of  $P(x, y)$  obviously lie on the vertical line  $x = n + 1/2$ , so  $P(x, y)$  has only one component. We will study the case  $\{\alpha_k\} = \{2, 2, \dots, 2\}$  and  $\{\beta_k\} = \{1, n + 1, n + 1, \dots, n + 1\}$  in Section 3. Moreover, in Section 2, we will investigate the locus of zeros of the more general polynomial equation

$$g(x, t) := (x - 2)^n + (x - 1)(x - t)^{n-1} = 0, \quad t \geq 3. \tag{1.3}$$

**2. The zeros of  $g(x, t) = 0$ .** We need the following two lemmas. First, Lemma 2.1 easily follows from the theorems of Hurwitz (see [4, page 4]) and Rouché (see [4, page 2]).

**LEMMA 2.1.** *Let  $n > m > 0$  be integers. Let  $A, B$ , and  $C$  be real numbers with  $C \neq 0$ . If a trinomial equation*

$$Az^n + Bz^m + C = 0 \quad \text{with } |B| \geq |A| + |C| \tag{2.1}$$

*has no zeros on  $|z| = 1$ , then it has exactly  $m$  zeros strictly inside  $|z| = 1$ .*

**LEMMA 2.2.** *The zeros of  $g(x, t)$  are  $(2 + a_{n,t})/(1 + a_{n,t})$ , where each  $a_{n,t}^{-1/(n-1)}$  is a zero of the trinomial equation  $(2 - t)z^n + (1 - t)z + 1 = 0$ .*

**PROOF.** From  $g(x, t) = 0$ , we obtain  $-(x - 2)/(x - 1) = ((x - t)/(x - 2))^{n-1}$ . Let

$$-\frac{x - 2}{x - 1} = \left(\frac{x - t}{x - 2}\right)^{n-1} = a, \tag{2.2}$$

where  $a := a_{n,t}$  is a complex number. From  $-(x - 2)/(x - 1) = a$ , we find that  $x = (2 + a)/(1 + a)$ , and it easily follows from  $((x - t)/(x - 2))^{n-1} = a$  that  $x = (2a^{1/(n-1)} - t)/(a^{1/(n-1)} - 1)$ . Equating these two formulae for  $x$  leads to  $a^{n/n-1} + (1 - t)a + 2 - t = 0$ . The result follows by multiplying each side by  $a^{-n/(n-1)}$ .  $\square$

Now we find a relation between  $x$  (a zero of  $g(x, t) = 0$ ) and  $z$  (a zero of  $(2 - t)z^n + (1 - t)z + 1 = 0$ ) as follows:

$$x = \frac{2z^{n-1} + 1}{z^{n-1} + 1} = 1 + \frac{1}{1 + 1/z^{n-1}}. \tag{2.3}$$

So

$$z^{n-1} = \frac{x - 1}{2 - x}, \quad \text{that is, } z = \left(\frac{x - 1}{2 - x}\right)^{1/(n-1)}. \tag{2.4}$$

Using Lemmas 2.1 and 2.2, we have the following proposition.

**PROPOSITION 2.3.** *The function  $g(x, t)$  has only one zero  $x_0$  in  $\Re x < 3/2$ , and has no zeros in  $3/2 \leq \Re x \leq (t + 2)/2$ .*

**PROOF.** Observe that the strip  $3/2 \leq \Re x \leq (t+2)/2$  is zero-free, since, for such  $x$ ,  $|x-2| \leq |x-t|$  and  $|x-2| < |x-1|$ . Now we consider the trinomial equation  $(2-t)z^n + (1-t)z + 1 = 0$ . It has no zero on  $|z| = 1$ , since, if there were such a zero  $z$ , then by (2.4),  $1 = |z^{n-1}| = |(x-1)/(2-x)|$ , that is,  $x = 3/2 + i\beta$  for some real number  $\beta$ . This is a contradiction. Hence, by Lemma 2.1, the trinomial equation  $(2-t)z^n + (1-t)z + 1 = 0$  has exactly one zero  $z_0$  interior to  $|z| = 1$ . Then  $|z_0| = |((x_0-1)/(2-x_0))^{1/(n-1)}| < 1$ , that is,  $|x_0-1| < |2-x_0|$  for some real number  $x_0$ . Hence  $\Re x_0 < 3/2$  which proves the proposition.  $\square$

Next, we study further the unique zero  $x_0$  given by Proposition 2.3.

**PROPOSITION 2.4.** *Let  $n$  be an integer  $\geq 3$  and  $t \geq 3$ . Then the only zero  $x_0$  of  $g(x, t)$  in  $\Re x \leq (t+2)/2$  is real and*

$$\frac{1+2(-\epsilon+1/n)^{n-1}}{1+(-\epsilon+1/n)^{n-1}} < x_0 < \frac{1+2(\epsilon+1/n)^{n-1}}{1+(\epsilon+1/n)^{n-1}}, \tag{2.5}$$

where  $\epsilon = \epsilon(n, t) = 2^n(t-2)/(t-1)^{n+1}$ .

**PROOF.** For  $n$  an integer  $\geq 3$ , let  $\epsilon = \epsilon(n, t) = 2^n(t-2)/(t-1)^{n+1}$ . Then  $0 < \epsilon \leq 1/(t-1)$ , since  $(2/(t-1))^n < 1/(t-2)$  and  $n \geq 3$ . Then the trinomial equation  $(2-t)z^n + (1-t)z + 1 = 0$  has at least one real zero  $z_0$  in  $(1/(t-1) - \epsilon, 1/(t-1) + \epsilon)$ . In fact, by algebra, we can see that the left side of the trinomial equation is

$$-(2^n + (1+2^n(-2+t)(-1+t)^{-n})^n)(-2+t)(-1+t)^{-n} < 0 \tag{2.6}$$

at  $z = 1/(t-1) + \epsilon$ , and

$$-(-2^n + (1-2^n(-2+t)(-1+t)^{-n})^n)(-2+t)(-1+t)^{-n} > 0 \tag{2.7}$$

at  $z = 1/(t-1) - \epsilon$ . Set  $z_0 = ((x_0-1)/(2-x_0))^{1/(n-1)}$ . Since  $z_0$  is real, so is  $x_0$ . Now we obtain the inequality  $|((x_0-1)/(2-x_0))^{1/(n-1)} - 1/(t-1)| < \epsilon$ , and from this we have the inequality (2.5). A simple calculation yields that  $(1+2A)/(1+A) < (t+2)/2$  for  $A > 0$ . This proves the result.  $\square$

**REMARK 2.5.** (a) For  $n = 2$  and  $t \geq 3$ , we can easily check that  $g(x, t)$  has two real zeros. Here the smaller zero is  $\leq (t+2)/2$ , but it does not satisfy (2.5).

(b) In Lemma 2.2, we encountered a trinomial equation  $(t-2)z^n + (t-1)z - 1 = 0$  ( $t \geq 3$ ). Here we define a more general polynomial

$$h(z) = (t-2)z^n + (t-1)z - s \quad (s \geq 0). \tag{2.8}$$

Then we have the following zero distributions. The function  $h(z)$  has

$$\begin{cases} \text{all its zeros with modulus} > 1 & \text{if } s > 2t-3, \\ \text{one (real) zero with modulus} = 1 \text{ and all others} > 1 & \text{if } s = 2t-3, \\ \text{one (real) zero with modulus} < 1 \text{ and all others} > 1 & \text{if } 0 \leq s \leq 1. \end{cases} \tag{2.9}$$

This can be proved by elementary calculation, Lemma 2.1, and Eneström-Kakeya theorem (see [4, page 136]). However, we did not consider the case  $1 < s < 2t-3$ . We conjecture that, for  $1 < s < 2t-3$ ,  $h(z)$  has one (real) zero with modulus  $< 1$  and all others  $> 1$ , as the case  $0 \leq s \leq 1$ , but it remains an open problem.

**3. The number of components of  $|(z-2)^n| = |(z-1)(z-(n+1))^{n-1}|$ .** Let

$$g(z) := (z-2)^n + (z-1)(z-(n+1))^{n-1}. \tag{3.1}$$

If  $g(z) = 0$ , then  $|(z-1)(z-(n+1))^{n-1}/(z-2)^n|^2 = 1$ . This motivates, for real variables  $x$  and  $y$ , the introduction of

$$G(x,y) := \frac{((x-1)^2 + y^2)((x-(n+1))^2 + y^2)^{n-1}}{((x-2)^2 + y^2)^n} - 1. \tag{3.2}$$

Here  $G(x,y)$  is obviously symmetric about the  $x$ -axis. In this section, we find the number of components of  $G(x,y) = 0$  and show that each component has zeros of  $g(z) = 0$ . First, using Proposition 2.3, we find that the number of components of  $G(x,y) = 0$  is at least two.

**PROPOSITION 3.1.** *The locus of*

$$|(z-2)^n| = |(z-1)(z-t)^{n-1}|, \quad t \geq 3 \tag{3.3}$$

*has at least two components.*

**PROOF.** We showed in Proposition 2.3 that  $g(x,t)$  has one real zero  $< 2$  and  $n-1$  zeros with real part  $> (t+2)/2 > 2$ . So it suffices to show that, on  $z = 2 + is$  ( $s$  real), the two absolute values are never equal. On  $z = 2 + is$  ( $s$  real),

$$|(z-1)(z-t)^{n-1}|^2 - |(z-2)^n|^2 = (1+s^2)((t-2)^2 + s^2)^{n-1} - s^{2n} \geq (t-2)^2 > 0. \tag{3.4}$$

□

Next, we show that the points where the locus of  $G(x,y) = 0$  has vertical tangents lie on the real axis. We use this later to show that the locus consists of either one oval, one  $\infty$ -component or three  $\infty$ -components. In order to prove this, we need the following lemma.

**LEMMA 3.2.** *Let  $n$  be an integer  $\geq 3$ . Define*

$$f(x) := \left( \frac{-2x+3}{(n-1)(-2x+n+2)} \right)^{n-1} - \frac{-2x+n+2}{(n-1)(-2x+n+3)}. \tag{3.5}$$

*Then all real zeros of  $f(x)$  are*

$$\begin{cases} \frac{n^2+n-5}{2n-4}, & n \text{ even,} \\ \frac{n^2+n-5}{2n-4}, r(n), & n \text{ odd,} \end{cases} \tag{3.6}$$

*where  $(n^2+n-5)/(2n-4)$  is a double zero in each case and  $3/2 < r = r(n) < (n^2+n+1)/2n$ .*

**PROOF.** From  $f(x) = 0$ , we find that

$$\left( \frac{-2x+3}{(n-1)(-2x+n+2)} \right)^{n-1} = \frac{-2x+n+2}{(n-1)(-2x+n+3)} = a, \tag{3.7}$$

where  $a := a_n$  is a complex number. From  $(-2x + 3)/(n - 1)(-2x + n + 2) = a^{1/(n-1)}$ , we get

$$x = -\frac{3 - a^{1/(n-1)}(n-1)(n+2)}{-2 + 2a^{1/(n-1)}(n-1)}, \tag{3.8}$$

and also

$$x = -\frac{n + 2 - a(n-1)(n+3)}{-2 + 2a(n-1)} \tag{3.9}$$

from  $(-2x + n + 2)/(n - 1)(-2x + n + 3) = a$ . Equating these two formulae for  $x$  leads to  $(n - 1)a^{n/(n-1)} - na + 1 = 0$ , and so  $a^{1/(n-1)}$  is a zero of the trinomial equation  $w(y) := (n - 1)y^n - ny^{n-1} + 1 = 0$ . Now, we have

$$\frac{w(y)}{(y-1)^2} = (n-1)y^{n-2} + (n-2)y^{n-3} + (n-3)y^{n-4} + \dots + 2y + 1. \tag{3.10}$$

Since  $a^{1/(n-1)}$  is real if and only if the corresponding  $x$  in (3.7) is real, the number of real zeros of  $f(x)$  is equal to that of  $w(y)$ . By (3.10),  $w(y)$  has a real double zero at 1, and its corresponding  $x$  is  $(n^2 + n - 5)/(2n - 4)$ , since  $(-2x + 3)/(n - 1)(-2x + n + 2) = 1$ . On the other hand, it follows from Eneström-Kakeya theorem that  $w(y)/(y - 1)^2$  has no zero for  $|y| > 1$ . Also it is obvious that  $w(y)/(y - 1)^2$  has no real zero  $\geq 0$ . In order to find the real zeros of  $f(x)$ , we first need to determine whether  $w(y)$  has a real zero on  $(-1, 0)$  or not. We see that  $w'(y) = n(n - 1)y^{n-2}(y - 1)$ . So if  $n$  is even, then  $w'(y) < 0$  for  $-1 < y < 0$ . Moreover,  $w(0) = 1 > 0$ , which implies there are no real zeros of  $w(y)$  other than 1. Hence  $f(x)$  has only one (double) real zero  $(n^2 + n - 5)/(2n - 4)$ . Suppose that  $n$  is odd. Then  $w'(y) > 0$  on  $-1 < y < 0$ ,  $w(-1) = 2(1 - n) < 0$ , and  $w(0) > 0$ . This implies that there must be exactly one real zero on  $(-1, 0)$ . Say  $x_0$  is its corresponding real number. Then by (3.7)

$$-1 < \frac{-2x_0 + 3}{(n-1)(-2x_0 + n + 2)} < 0. \tag{3.11}$$

Simple calculations yield that  $3/2 < x_0 < (n^2 + n + 1)/2n$ . This completes the proof. □

Now we have the following Proposition.

**PROPOSITION 3.3.** *The points where the locus of  $G(x, y) = 0$  has vertical tangents lie on the real axis.*

**PROOF.** It suffices to show that  $\langle 0, 1 \rangle \cdot \nabla G(x, y) = 0$  and  $G(x, y) = 0$  implies  $y = 0$ . A calculation shows that  $\langle 0, 1 \rangle \cdot \nabla G(x, y) = \partial G/\partial y = 0$  if and only if  $y = 0$  or  $y^2 = A(x)$ , where

$$A(x) = \frac{2(n-2)x^3 - (n^2 + 5n - 17)x^2 + 2(n^2 + n - 12)x - (n^2 - 2n - 11)}{-2(n-2)x + n^2 + n - 5}. \tag{3.12}$$

Suppose that  $y^2 = A(x)$ . Then

$$f(x) := G(x, y) = \begin{cases} \frac{1}{4x^2 - 16x + 15}, & n = 2, \\ \left( \frac{-2x + 3}{(n-1)(-2x + n + 2)} \right)^{n-1} - \frac{-2x + n + 2}{(n-1)(-2x + n + 3)}, & n \geq 3, \end{cases} \tag{3.13}$$

by simplifying the equations. So it is clear that there are no zeros of  $f(x)$  in the case of  $n = 2$ . Suppose that  $n \geq 3$ . By Lemma 3.2,  $(n^2 + n - 5)/(2n - 4)$  is a (double) real zero of  $f(x)$  and, in particular, if  $n$  is even, such a real zero is unique. But  $A((n^2 + n - 5)/(2n - 4))$  is not defined. So this is a contradiction. Suppose that  $n$  is odd. Then by Lemma 3.2, all zeros of  $f(x)$  are  $(n^2 + n - 5)/(2n - 4)$  and  $r(n)$ , where  $3/2 < r(n) < (n^2 + n + 1)/2n$ . As above,  $A((n^2 + n - 5)/(2n - 4))$  is not defined. So it is enough to consider  $r(n)$ . Now, we have that  $A(3/2) = -1/4 < 0$  and  $A((n^2 + n + 1)/2n) = -(n^4 - 2n^3 + 5n^2 - 4n + 1)/4n^2 < 0$ . So if we show that  $A'(x) < 0$  on  $3/2 < x < (n^2 + n + 1)/2n$ , then  $y^2 = A(x) < 0$ , which is a contradiction. We see that

$$A'(x) = -\frac{2s(x)}{(-2(n-2)x + n^2 + n - 5)^2}, \tag{3.14}$$

where  $s(x) = 4(n-2)^2x^3 - 4(n-2)^2(n+4)x^2 + (n^2 + 5n - 17)(n^2 + n - 5)x - n^4 - n^3 + 12n^2 + 10n - 38$ . So it is enough to show that  $s(x) > 0$  on  $3/2 < x < (n^2 + n + 1)/2n$ . Now

$$\begin{aligned} s\left(\frac{3}{2}\right) &= \frac{1}{2}(n-1)^3(n+1) > 0, \\ s\left(\frac{n^2+n+1}{2n}\right) &= \frac{(n-1)^3(2n-1)(n^2-2n+2)}{n^3} > 0, \\ s'(x) &= (6(2-n)x + n^2 + 5n - 17)(2(2-n)x + n^2 + n - 5). \end{aligned} \tag{3.15}$$

Hence,  $(n^2 + 5n - 17)/6(n - 2)$  and  $(n^2 + n - 5)/2(n - 2)$  are the zeros of  $s'(x)$ , and we can check that

$$\begin{cases} \frac{n^2 + 5n - 17}{6(n - 2)} < \frac{3}{2} < \frac{n^2 + n + 1}{2n} < \frac{n^2 + n - 5}{2(n - 2)}, & n = 3, \\ \frac{3}{2} < \frac{n^2 + 5n - 17}{6(n - 2)} < \frac{n^2 + n + 1}{2n} < \frac{n^2 + n - 5}{2(n - 2)}, & n \geq 4. \end{cases} \tag{3.16}$$

This proves the result, since  $s(3/2) > 0$  and  $s((n^2 + n + 1)/2n) > 0$ . □

Next we establish the following Proposition.

**PROPOSITION 3.4.** For fixed  $y_0 \neq 0$ ,

- (a)  $\lim_{x \rightarrow \pm\infty} G(x, y_0) = 0$ ,
- (b) for  $|x|$  large, the limit is approached from above for  $x \rightarrow -\infty$  and the limit is approached from below for  $x \rightarrow +\infty$ ,
- (c)  $G(x, 0)$  has exactly three real zeros. Moreover,  $(\partial G / \partial x)(x, y_0)$  has at most four real zeros,
- (d)

$$\frac{\partial^2 G}{\partial x^2}(x, y_0) \begin{cases} \geq 0 & \text{as } x \rightarrow -\infty, \\ \leq 0 & \text{as } x \rightarrow \infty. \end{cases} \tag{3.17}$$

**PROOF.** Let  $y_0$  be nonzero and fixed. It is obvious that  $\lim_{x \rightarrow \pm\infty} G(x, y_0) = 0$ . By a calculation, we have

$$\frac{\partial G}{\partial x}(x, y_0) = \frac{-2n((x - n - 1)^2 + y_0^2)^n B(x, y_0)}{((x - 2)^2 + y_0^2)^{n+1} ((x - n - 1)^2 + y_0^2)^2}, \tag{3.18}$$

where

$$\begin{aligned}
 B(x) &= B(x, y_0) \\
 &= (n-2)y_0^4 + (n^2 - n + 1)(x-2)y_0^2 - (x-1)(x-2)(x-(n+1))((n-2)x - n + 3)
 \end{aligned}
 \tag{3.19}$$

is a polynomial in  $x$  of degree 4 whose leading coefficient is  $2 - n$ . So it follows from the positivity of the leading coefficient of the numerator of the right side of (3.18) that, for  $|x|$  large,  $(\partial G/\partial x)(x, y_0) > 0$ , that is,  $G(x, y_0)$  is increasing on  $(x_1, \infty)$  and  $(-\infty, -x_1)$  for  $x_1$  is sufficiently large. On the other hand, by (a),  $\lim_{x \rightarrow \pm\infty} G(x, y_0) = 0$ . Hence (b) holds. For (c), we observe that  $(\partial G/\partial x)(x, 0)$  has the three real zeros  $1, n + 1, (n - 3)/(n - 2)$ , and we can check that  $G(1, 0) = G(n + 1, 0) = -1 < 0$  and  $G((n - 3)/(n - 2), 0) > 0$ . So  $G(x, 0)$  has exactly three real zeros. The second assertion of (c) is easily seen from  $\deg B(x) = 4$ , since  $(x, y) \neq (n + 1, 0)$ . Finally, we see that

$$\frac{\partial^2 G}{\partial x^2}(x, y_0) = \frac{2n((x - n - 1)^2 + y_0^2)^n C(x)}{((x - 2)^2 + y_0^2)^{n+2} ((x - n - 1)^2 + y_0^2)^3},
 \tag{3.20}$$

where  $C(x)$  is a polynomial in  $x$  of degree 7 whose leading coefficient is  $2(2 - n)$ . So it follows from the negativity of the leading coefficient of the numerator of the right side of (3.20) that (d) holds.  $\square$

By Proposition 3.4(c),  $G(x, 0)$  has exactly three real zeros, and for fixed  $y \neq 0$  the graph of  $G(x, y)$  indicates that the value 0 can be taken on at most three times. Thus, by Propositions 3.1 and 3.3, the locus consists of

$$\{\text{one oval, one } \infty\text{-component}\} \text{ or } \{\text{three } \infty\text{-components}\}.
 \tag{3.21}$$

Next we examine the number of real zeros of  $(\partial G/\partial x)(x, y)$  for  $|y|$  sufficiently large.

**LEMMA 3.5.** *For  $|y_0|$  sufficiently large,  $(\partial G/\partial x)(x, y_0)$  has exactly two real zeros.*

**PROOF.** Let  $y_0$  be sufficiently large and fixed. From (3.18),

$$\frac{\partial G}{\partial x}(x, y_0) = \frac{-2n((x - n - 1)^2 + y_0^2)^n B(x, y_0)}{((x - 2)^2 + y_0^2)^{n+1} ((x - n - 1)^2 + y_0^2)^2}.
 \tag{3.22}$$

Since  $(x - n - 1)^2 + y_0^2 \neq 0$ ,  $(\partial G/\partial x)(x, y_0) = 0$  is equivalent to  $B(x, y_0) = 0$ . Then

$$B(x) = B(x, y_0) = (ux + v) - (x - 1)(x - 2)(x - (n + 1))((n - 2)x - n + 3),
 \tag{3.23}$$

where  $u$  and  $v$  are positive numbers with  $v/u$  large. Observe that the zeros of  $ux + v$  and  $-(x - 1)(x - 2)(x - (n + 1))((n - 2)x - n + 3)$  are  $-v/u, (n - 3)/(n - 2), 1, 2, n + 1$ . By sign changes, we observe that there are no real zeros of  $B(x)$  on  $(-\infty, -v/u) \cup ((n - 3)/(n - 2), 1) \cup (2, n + 1)$ , and there is at least one real zero of  $B(x)$  on  $(-v/u, (n - 3)/(n - 2))$ . Also there are no real zeros of  $B(x)$  on  $[0, (n - 3)/(n - 2)] \cup (1, 2)$ , since  $v/u$  is large. On the other hand, we can check that  $B(-x)$  has only one sign change in its coefficients. Hence, by Descartes' rule of signs and the above, there is only one real zero of  $B(x)$  on  $(-v/u, 0)$ . But the degree of  $B(x)$  is four, so the number of real zeros on  $(n + 1, \infty)$  is either one or three. It is obvious that more than two real zeros are not on  $(n + 1, \infty)$ . Hence  $(\partial G/\partial x)(x, y_0)$  has exactly two real zeros.  $\square$

By Proposition 3.4(a), (b) and Lemma 3.5, there is only one real  $x$  with  $G(x, y) = 0$  for  $|y|$  sufficiently large. This shows that originally there could have been at most one  $\infty$ -component. Hence, by the above, equation (3.21), Proposition 2.3, and the proof of Proposition 3.1, we have the following theorem.

**THEOREM 3.6.** *The locus of*

$$|(z-2)^n| = |(z-1)(z-(n+1))^{n-1}| \quad (3.24)$$

*has exactly two components; one oval and one  $\infty$ -component. Each component has zeros of  $(z-2)^n + (z-1)(z-(n+1))^{n-1} = 0$ .*

Here Figure 3.1 ( $n = 3$ ) is enlightening.

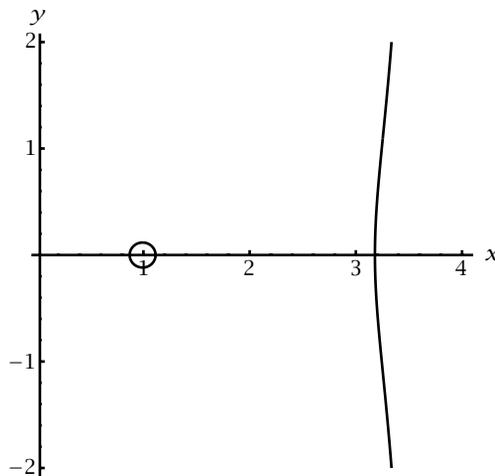


FIGURE 3.1.  $|(z-2)^3| = |(z-1)(z-4)^2|$ .

**REMARK 3.7.** Let  $n$  and  $m$  be positive integers with  $1 \leq k < n$ . If we choose  $\{\alpha_k\} = \{1, 2, \dots, m, n+m+1, n+m+2, \dots, 2n\}$  and  $\{\beta_k\} = \{m+1, m+2, \dots, m+n\}$  in (1.2), we can show that the locus of  $P(x, y) = 0$  has at least two components.

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