

## CERTAIN CONVEX HARMONIC FUNCTIONS

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We define and investigate a family of complex-valued harmonic convex univalent functions related to uniformly convex analytic functions. We obtain coefficient bounds, extreme points, distortion theorems, convolution and convex combinations for this family.

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**1. Introduction.** A continuous complex-valued function  $f = u + iv$  defined in a simply connected complex domain  $\mathcal{D} \subset \mathbb{C}$  is said to be harmonic in  $\mathcal{D}$  if both  $u$  and  $v$  are real harmonic in  $\mathcal{D}$ . Consider the functions  $U$  and  $V$  analytic in  $\mathcal{D}$  so that  $u = \Re U$  and  $v = \Im V$ . Then the harmonic function  $f$  can be expressed by

$$f(z) = h(z) + \overline{g(z)}, \quad z \in \mathcal{D}, \quad (1.1)$$

where  $h = (U + V)/2$  and  $g = (U - V)/2$ . We call  $h$  the analytic part and  $g$  the co-analytic part of  $f$ . If the co-analytic part of  $f$  is identically zero then  $f$  reduces to the analytic case.

The mapping  $z \mapsto f(z)$  is sense-preserving and locally one-to-one in  $\mathcal{D}$  if and only if the Jacobian of  $f$  is positive (see [1]), that is, if and only if

$$J_f(z) = |h'(z)|^2 - |g'(z)|^2 > 0, \quad z \in \mathcal{D}. \quad (1.2)$$

Let  $\mathcal{H}$  denote the family of functions  $f = h + \bar{g}$  which are harmonic, sense-preserving, and univalent in the open unit disk  $\Delta = \{z : |z| < 1\}$  with  $h(0) = f(0) = f_z(0) - 1 = 0$ . Thus, we may write

$$h(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad g(z) = \sum_{n=1}^{\infty} b_n z^n, \quad |b_1| < 1. \quad (1.3)$$

Also let  $\overline{\mathcal{H}}$  denote the subclass of  $\mathcal{H}$  consisting of functions  $f = h + \bar{g}$  so that the functions  $h$  and  $g$  take the form

$$h(z) = z - \sum_{n=2}^{\infty} |a_n| z^n, \quad g(z) = - \sum_{n=1}^{\infty} |b_n| z^n, \quad |b_1| < 1. \quad (1.4)$$

Recently, Kanas and Wisniowska [5] (see also Kanas and Srivastava [4]), studied the class of  $k$ -uniformly convex analytic functions, denoted by  $k\text{-}\mathcal{UCV}$ ,  $0 \leq k < \infty$ , so that  $h \in k\text{-}\mathcal{UCV}$  if and only if

$$\Re \left\{ 1 + (z - \zeta) \frac{h''(z)}{h'(z)} \right\} \geq 0, \quad |\zeta| \leq k, \quad z \in \Delta. \quad (1.5)$$

For real  $\phi$  we may let  $\zeta = -ke^{i\phi}$ . Then condition (1.5) can be written as

$$\Re \left\{ 1 + (1 + ke^{i\phi}) \frac{zh''(z)}{h'(z)} \right\} \geq 0. \tag{1.6}$$

Now considering the harmonic functions  $f = h + \bar{g}$  of the form (1.3) we define the family  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$ ,  $0 \leq \alpha < 1$ , so that  $f = h + \bar{g} \in \mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  if and only if

$$\Re \left\{ 1 + (1 + ke^{i\phi}) \frac{z^2h''(z) + \overline{2zg'(z) + z^2g''(z)}}{zh'(z) - \overline{zg'(z)}} \right\} \geq \alpha, \quad 0 \leq \alpha < 1. \tag{1.7}$$

Finally, we let  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha) \equiv \mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha) \cap \overline{\mathcal{H}}$ .

Notice that if  $g \equiv 0$  and  $\alpha = 0$  then the family  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  defined by (1.7) reduces to the class  $k\text{-}\mathcal{UC}\mathcal{V}$  of  $k$ -uniformly convex analytic functions defined by (1.5). If we, further, let  $k = 1$  in (1.5), we obtain the class of uniformly convex analytic functions defined by Goodman [2]. A geometric characterization of the general family  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  is an open question.

In Section 2, we introduce sufficient coefficient bounds for functions to be in  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  and show that these bounds are also necessary for functions in  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ . In Section 3, the inclusion relation between the classes  $k\text{-}\mathcal{UC}\mathcal{V}$  and  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  is examined. Extreme points and distortion bounds for  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$  are given in Section 4. Finally, in Section 5, we show that the class  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  is closed under convolution and convex combinations.

Here we state a result due to Jahangiri [3], which we will use throughout this paper.

**THEOREM 1.1.** *Let  $f = h + \bar{g}$  with  $h$  and  $g$  of the form (1.3). If*

$$\sum_{n=2}^{\infty} \frac{n(n-\alpha)}{1-\alpha} |a_n| + \sum_{n=1}^{\infty} \frac{n(n+\alpha)}{1-\alpha} |b_n| \leq 1, \quad 0 \leq \alpha < 1, \tag{1.8}$$

*then  $f$  is harmonic, sense-preserving, univalent in  $\Delta$ , and  $f$  is convex harmonic of order  $\alpha$  denoted by  $\mathcal{H}\mathcal{H}(\alpha)$ . Condition (1.8) is also necessary if  $f \in \overline{\mathcal{H}\mathcal{H}}(\alpha) \equiv \mathcal{H}\mathcal{H}(\alpha) \cap \overline{\mathcal{H}}$ .*

**2. Coefficient bounds.** First we state and prove a sufficient coefficient bound for the class  $\mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$ .

**THEOREM 2.1.** *Let  $f = h + \bar{g}$  be of the form (1.3). If  $0 \leq k < \infty$ ,  $0 \leq \alpha < 1$ , and*

$$\sum_{n=2}^{\infty} \frac{n(n+nk-k-\alpha)}{1-\alpha} |a_n| + \sum_{n=1}^{\infty} \frac{n(n+nk+k+\alpha)}{1-\alpha} |b_n| \leq 1, \tag{2.1}$$

*then  $f$  is harmonic, sense-preserving, univalent in  $\Delta$ , and  $f \in \mathcal{H}\mathcal{C}\mathcal{V}(k, \alpha)$ .*

**PROOF.** Since  $n - \alpha \leq n + nk - k - \alpha$  and  $n + \alpha \leq n + nk + k + \alpha$  for  $0 \leq k < \infty$ , it follows from Theorem 1.1 that  $f \in \mathcal{H}\mathcal{H}(\alpha)$  and hence  $f$  is sense-preserving and convex univalent in  $\Delta$ . Now, we only need to show that if (2.1) holds then

$$\Re \left\{ \frac{zh'(z) + (1 + ke^{i\phi})z^2h''(z) + (1 + 2ke^{i\phi})\overline{zg'(z)} + (1 + ke^{i\phi})\overline{z^2g''(z)}}{zh'(z) - \overline{zg'(z)}} \right\} = \Re \frac{A(z)}{B(z)} \geq \alpha. \tag{2.2}$$

Using the fact that  $\Re(w) \geq \alpha$  if and only if  $|1 - \alpha + w| \geq |1 + \alpha - w|$  it suffices to show that

$$|A(z) + (1 - \alpha)B(z)| - |A(z) - (1 + \alpha)B(z)| \geq 0, \tag{2.3}$$

where  $A(z) = zh'(z) + (1 + ke^{i\phi})z^2h''(z) + (1 + 2ke^{i\phi})\overline{zg'(z)} + (1 + ke^{i\phi})\overline{z^2g''(z)}$  and  $B(z) = zh'(z) - \overline{zg'(z)}$ . Substituting for  $A(z)$  and  $B(z)$  in (2.3), we obtain

$$\begin{aligned} & |A(z) + (1 - \alpha)B(z)| - |A(z) - (1 + \alpha)B(z)| \\ &= \left| (2 - \alpha)z + \sum_{n=2}^{\infty} n[n + 1 - \alpha + k(n - 1)e^{i\phi}]a_n z^n \right. \\ &\quad \left. + \sum_{n=1}^{\infty} n[n - 1 + \alpha + k(n + 1)e^{i\phi}]\bar{b}_n \bar{z}^n \right| \\ &\quad - \left| -\alpha z + \sum_{n=2}^{\infty} n[n - 1 - \alpha + k(n - 1)e^{i\phi}]a_n z^n \right. \\ &\quad \left. + \sum_{n=1}^{\infty} n[n + 1 + \alpha + k(n + 1)e^{i\phi}]\bar{b}_n \bar{z}^n \right| \\ &\geq (2 - \alpha)|z| - \sum_{n=2}^{\infty} n[n(k + 1) + 1 - k - \alpha]|a_n||z|^n \\ &\quad - \sum_{n=1}^{\infty} n[n(k + 1) - 1 + k + \alpha]|b_n||z|^n \\ &\quad - \alpha|z| - \sum_{n=2}^{\infty} n[n(k + 1) - 1 - k - \alpha]|a_n||z|^n \\ &\quad - \sum_{n=1}^{\infty} n[n(k + 1) + 1 + k + \alpha]|b_n||z|^n \\ &\geq 2(1 - \alpha)|z| \left\{ 1 - \sum_{n=2}^{\infty} \frac{n[n(k + 1) - k - \alpha]}{1 - \alpha}|a_n| \right. \\ &\quad \left. - \sum_{n=1}^{\infty} \frac{n[n(k + 1) + k + \alpha]}{1 - \alpha}|b_n| \right\} \geq 0, \quad \text{by (2.1)}. \end{aligned} \tag{2.4}$$

The harmonic functions

$$f(z) = z + \sum_{n=2}^{\infty} \frac{1 - \alpha}{n(nk + n - k - \alpha)} x_n z^n + \sum_{n=1}^{\infty} \frac{1 - \alpha}{n(nk + n + k + \alpha)} \bar{y}_n \bar{z}^n, \tag{2.5}$$

where  $\sum_{n=2}^{\infty} |x_n| + \sum_{n=1}^{\infty} |y_n| = 1$ , show that the coefficient bound given in Theorem 2.1 is sharp.

The functions of the form (2.5) are in  $\mathcal{HCV}(k, \alpha)$  because

$$\sum_{n=2}^{\infty} \frac{n(n + nk - k - \alpha)}{1 - \alpha} |a_n| + \sum_{n=1}^{\infty} \frac{n(n + nk + k + \alpha)}{1 - \alpha} |b_n| = \sum_{n=2}^{\infty} |x_n| + \sum_{n=1}^{\infty} |y_n| = 1. \tag{2.6}$$

□

Next we show that the bound (2.1) is also necessary for functions in  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ .

**THEOREM 2.2.** *Let  $f = h + \bar{g}$  with  $h$  and  $g$  of the form (1.4). Then  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  if and only if*

$$\sum_{n=2}^{\infty} \frac{n(n+nk-k-\alpha)}{1-\alpha} |a_n| + \sum_{n=1}^{\infty} \frac{n(n+nk+k+\alpha)}{1-\alpha} |b_n| \leq 1. \tag{2.7}$$

**PROOF.** In view of Theorem 2.1, we only need to show that  $f \notin \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  if condition (2.7) does not hold. We note that a necessary and sufficient condition for  $f = h + \bar{g}$  given by (1.4) to be in  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  is that the coefficient condition (1.7) to be satisfied. Equivalently, we must have

$$\Re \frac{(1-\alpha)zh'(z) + (1+ke^{i\phi})z^2h''(z) + (1+\alpha+2ke^{i\phi})\overline{zg'(z)} + (1+ke^{i\phi})\overline{z^2g''(z)}}{zh'(z) - \overline{zg'(z)}} \geq 0. \tag{2.8}$$

Upon choosing the values of  $z$  on the positive real axis where  $0 \leq z = r < 1$ , the above inequality reduces to

$$\frac{1-\alpha - \{\sum_{n=2}^{\infty} n(nk+n-k-\alpha)|a_n| + \sum_{n=1}^{\infty} n(nk+n+k+\alpha)|b_n|\}r^{n-1}}{1 - \sum_{n=2}^{\infty} n|a_n|r^{n-1} + \sum_{n=1}^{\infty} n|b_n|r^{n-1}} \geq 0. \tag{2.9}$$

If condition (2.7) does not hold then the numerator in (2.9) is negative for  $r$  sufficiently close to 1. Thus there exists  $z_0 = r_0$  in  $(0, 1)$  for which the quotient (2.9) is negative. This contradicts the required condition for  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  and so the proof is complete.  $\square$

**3. Inclusion relations.** As mentioned earlier in the proof of Theorem 2.1, the functions in  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  are convex harmonic in  $\Delta$ . In the following example we show that this inclusion is proper.

**EXAMPLE 3.1.** Consider the harmonic functions

$$f_n(z) = z - \frac{1}{2}\bar{z} - \frac{1}{2n^2}\bar{z}^n, \quad z \in \Delta, \quad n = 2, 3, \dots \tag{3.1}$$

For  $a_n \equiv 0$  and  $b_n = -1/2n^2$ , we observe that

$$\sum_{n=2}^{\infty} n^2|a_n| + \sum_{n=1}^{\infty} n^2|b_n| = \frac{1}{2} + n^2\left(\frac{1}{2n^2}\right) = \frac{1}{2} + \frac{1}{2} = 1. \tag{3.2}$$

Therefore, by Theorem 1.1,  $f_n \in \overline{\mathcal{H}\mathcal{H}}(0)$ .

On the other hand,

$$\frac{2k+1+\alpha}{1-\alpha} \left| -\frac{1}{2} \right| + \frac{n(nk+n+k+\alpha)}{1-\alpha} \left| -\frac{1}{2n} \right| = \frac{2k+1+\alpha}{2(1-\alpha)} + \frac{nk+n+k+\alpha}{2n(1-\alpha)} > 1. \tag{3.3}$$

Thus, by Theorem 2.2,  $f \notin \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ .

More generally, we can prove the following theorem.

**THEOREM 3.2.** *Let  $0 \leq k < \infty$ ,  $0 \leq \alpha < 1$ , and  $0 \leq \beta < 1$ . If  $k > \beta/(1-\beta)$  then the proper inclusion relation  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha) \subset \overline{\mathcal{H}\mathcal{H}}(\beta)$ .*

**PROOF.** Let  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ , then, by [Theorem 2.2](#),

$$\sum_{n=2}^{\infty} \frac{n(nk+n-k-\alpha)}{1-\alpha} |a_n| + \sum_{n=1}^{\infty} \frac{n(nk+n+k+\alpha)}{1-\alpha} |b_n| \leq 1. \tag{3.4}$$

Since  $(n-\beta)/(1-\beta) < (nk+n-k-\alpha)/(1-\alpha)$  and  $(n+\beta)/(1-\beta) < (nk+n+k+\alpha)/(1-\alpha)$ , by [Theorem 1.1](#), we conclude that  $f \in \overline{\mathcal{H}\mathcal{H}}(\beta)$ .

To show that the inclusion is proper, consider the harmonic functions

$$f_n(z) = z - \frac{1-\beta}{2(1+\beta)} \bar{z} - \frac{1-\beta}{2n(n+\beta)} \bar{z}^n, \quad z \in \Delta, \quad n = 2, 3, \dots \tag{3.5}$$

By [Theorem 1.1](#),  $f_n \in \overline{\mathcal{H}\mathcal{H}}(\beta)$ , because

$$\sum_{n=2}^{\infty} \frac{n(n-\beta)}{1-\beta} |a_n| + \sum_{n=1}^{\infty} \frac{n(n+\beta)}{1-\beta} |b_n| = \frac{1+\beta}{1-\beta} \frac{1-\beta}{2(1+\beta)} + \frac{n(n+\beta)}{1-\beta} \frac{1-\beta}{2n(n+\beta)} = 1. \tag{3.6}$$

On the contrary, by [Theorem 2.2](#),  $f_n \notin \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ , because

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{n(nk+n+k+\alpha)}{1-\alpha} |b_n| &= \frac{1+\alpha+2k}{1-\alpha} \frac{1-\beta}{2(1+\beta)} + \frac{n(n+\alpha+(n+1)k)}{1-\alpha} \frac{1-\beta}{2n(n+\beta)} \\ &= \frac{1-\beta}{2(1-\alpha)} \left\{ \frac{1+\alpha+2k}{1+\beta} + \frac{n+\alpha+(n+1)k}{n+\beta} \right\} \\ &> \frac{1-\beta}{2(1-\alpha)} \left\{ \frac{1+\alpha+2\beta/(1-\beta)}{1+\beta} + \frac{n+\alpha+(n+1)\beta/(1-\beta)}{n+\beta} \right\} \\ &= \frac{1}{2(1-\alpha)} \left\{ 2 + \frac{\alpha(1-\beta)(n+1+2\beta)}{(1+\beta)(n+\beta)} \right\} \geq 1. \end{aligned} \tag{3.7}$$

□

**4. Extreme points and distortion bounds.** Using definition (1.7), and according to the arguments given in [3], we obtain the following extreme points of the closed convex hulls of  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  denoted by  $\overline{\text{clco}}\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ .

**THEOREM 4.1.** *Let  $f$  be the form of (1.4). Then  $f \in \overline{\text{clco}}\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  if and only if  $f(z) = \sum_{n=1}^{\infty} (X_n h_n + Y_n g_n)$  where  $h_1(z) = z$ ,  $h_n(z) = z - ((1-\alpha)/n(n+nk-k-\alpha))z^n$  ( $n = 2, 3, \dots$ ),  $g_n(z) = z - ((1-\alpha)/n(n+nk+k+\alpha))\bar{z}^n$  ( $n = 1, 2, 3, \dots$ ),  $\sum_{n=1}^{\infty} (X_n + Y_n) = 1$ ,  $X_n \geq 0$  and  $Y_n \geq 0$ . In particular, the extreme points of  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  are  $\{h_n\}$  and  $\{g_n\}$ .*

Similarly, follows the distortion bounds for functions in  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ .

**THEOREM 4.2.** *If  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  then*

$$\begin{aligned} |f(z)| &\leq (1 + |b_1|)r + \frac{1}{2} \left( \frac{1-\alpha}{2+k-\alpha} - \frac{1+2k+\alpha}{2+k-\alpha} |b_1| \right) r^2, \quad |z| = r < 1, \\ |f(z)| &\geq (1 - |b_1|)r - \frac{1}{2} \left( \frac{1-\alpha}{2+k-\alpha} - \frac{1+2k+\alpha}{2+k-\alpha} |b_1| \right) r^2, \quad |z| = r < 1. \end{aligned} \tag{4.1}$$

If we let  $r \rightarrow 1$  in the left-hand inequality of [Theorem 4.2](#) and collect the like terms, we obtain the following theorem.

**THEOREM 4.3.** *If  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  then  $\{w : |w| < (3+2k-\alpha)/2(2+k-\alpha)-3(1-\alpha)/2(2+k-\alpha)|b_1|\} \subset f(\Delta)$ .*

**5. Convolutions and convex combinations.** For harmonic functions  $f(z) = z - \sum_{n=2}^{\infty} |a_n|z^n - \sum_{n=1}^{\infty} |b_n|\bar{z}^n$  and  $F(z) = z - \sum_{n=2}^{\infty} |A_n|z^n - \sum_{n=1}^{\infty} |B_n|\bar{z}^n$ , we define the convolution of  $f$  and  $F$  as

$$(f * F)(z) = f(z) * F(z) = z - \sum_{n=2}^{\infty} |a_n| |A_n| z^n - \sum_{n=1}^{\infty} |b_n| |B_n| \bar{z}^n. \tag{5.1}$$

In the following theorem we examine the convolution properties of the class  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$ .

**THEOREM 5.1.** *For  $0 \leq \alpha \leq \beta < 1$ , let  $f \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \beta)$  and  $F \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  then*

$$f * F \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \beta) \subset \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha). \tag{5.2}$$

**PROOF.** Express the convolution of  $f$  and  $F$  as that given by (5.1) and note that  $|A_n| \leq 1$  and  $|B_n| \leq 1$ . Now the theorem follows upon the application of the required condition (2.7). □

The convex combination properties of the class  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  is given in the following theorem.

**THEOREM 5.2.** *The class  $\overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  is closed under convex combinations.*

**PROOF.** For  $i = 1, 2, \dots$ , suppose that  $f_i \in \overline{\mathcal{H}\mathcal{C}\mathcal{V}}(k, \alpha)$  where  $f_i$  is given by  $f_i(z) = z - \sum_{n=2}^{\infty} |a_{in}|z^n - \sum_{n=1}^{\infty} |b_{in}|\bar{z}^n$ . For  $\sum_{i=1}^{\infty} t_i = 1, 0 \leq t_i \leq 1$ , the convex combinations of  $f_i$  may be written as

$$\sum_{i=1}^{\infty} t_i f_i(z) = z - \sum_{n=2}^{\infty} \left( \sum_{i=1}^{\infty} t_i |a_{in}| \right) z^n - \sum_{n=1}^{\infty} \left( \sum_{i=1}^{\infty} t_i |b_{in}| \right) \bar{z}^n. \tag{5.3}$$

Now, the theorem follows by (2.7) upon noting that  $\sum_{i=1}^{\infty} t_i = 1$ . □

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