

# EXPANSIONS IN NON-INTEGER BASES: LOWER ORDER REVISITED

#### Simon Baker

School of Mathematics, University of Manchester, Manchester, United Kingdom simonbaker4120gmail.com

Nikita Sidorov

School of Mathematics, University of Manchester, Manchester, United Kingdom sidorov@manchester.ac.uk

Received: 1/2/14, Accepted: 5/21/14, Published: 10/8/14

### Abstract

Let  $q \in (1,2)$  and  $x \in [0,\frac{1}{q-1}]$ . We say that a sequence  $(\varepsilon_i)_{i=1}^{\infty} \in \{0,1\}^{\mathbb{N}}$  is an expansion of x in base q (or a q-expansion) if

$$x = \sum_{i=1}^{\infty} \varepsilon_i q^{-i}$$

For any  $k \in \mathbb{N}$ , let  $\mathcal{B}_k$  denote the set of q such that there exists x with exactly k expansions in base q. In 2009, the second-named author showed  $\min \mathcal{B}_2 = q_2 \approx 1.71064$ , the appropriate root of  $x^4 = 2x^2 + x + 1$ . In this paper we show that for any  $k \geq 3$ ,  $\min \mathcal{B}_k = q_f \approx 1.75488$ , the appropriate root of  $x^3 = 2x^2 - x + 1$ .

### 1. Introduction

Let  $q \in (1,2)$  and  $I_q = [0, \frac{1}{q-1}]$ . Given  $x \in \mathbb{R}$ , we say that a sequence  $(\varepsilon_i)_{i=1}^{\infty} \in \{0,1\}^{\mathbb{N}}$  is a *q*-expansion for x if

$$x = \sum_{i=1}^{\infty} \frac{\varepsilon_i}{q^i}.$$
 (1)

Expansions in non-integer bases were pioneered in the papers of Rényi [10] and Parry [9].

It is a simple exercise to show that x has a q-expansion if and only if  $x \in I_q$ . When (1) holds, we will adopt the notation  $x = (\varepsilon_1, \varepsilon_2, \ldots)_q$ . Given  $x \in I_q$ , we denote the set of q-expansions for x by  $\Sigma_q(x)$ , i.e.,

$$\Sigma_q(x) = \Big\{ (\varepsilon_i)_{i=1}^{\infty} \in \{0,1\}^{\mathbb{N}} : \sum_{i=1}^{\infty} \frac{\varepsilon_i}{q^i} = x \Big\}.$$

In [5] it is shown that for  $q \in (1, \frac{1+\sqrt{5}}{2})$  the set  $\Sigma_q(x)$  is uncountable for all  $x \in (0, \frac{1}{q-1})$ . The endpoints of  $I_q$  trivially have a unique q-expansion for all  $q \in (1, 2)$ . In [14] it is shown that for  $q = \frac{1+\sqrt{5}}{2}$  every  $x \in (0, \frac{1}{q-1})$  has uncountably many q-expansions unless  $x = \frac{(1+\sqrt{5})n}{2}$  mod 1, for some  $n \in \mathbb{Z}$ , in which case  $\Sigma_q(x)$  is infinite countable. Moreover, in [3] it is shown that for all  $q \in (\frac{1+\sqrt{5}}{2}, 2)$  there exists  $x \in (0, \frac{1}{q-1})$  with a unique q-expansion. In this paper we will be interested in the set of  $q \in (1, 2)$  for which there exists  $x \in I_q$  with precisely k q-expansions. More specifically, we will be interested in the set

$$\mathcal{B}_k := \left\{ q \in (1,2) | \text{ there exists } x \in \left(0, \frac{1}{q-1}\right) \text{ satisfying } \# \Sigma_q(x) = k \right\}.$$

It was shown in [4] that  $\mathcal{B}_k \neq \emptyset$  for any  $k \geq 2$ . Similarly we can define  $\mathcal{B}_{\aleph_0}$  and  $\mathcal{B}_{2^{\aleph_0}}$ . The reader should bear in mind the possibility that the number of expansions could lie strictly between countable infinite and the continuum. By the above remarks it is clear that  $\mathcal{B}_1 = (\frac{1+\sqrt{5}}{2}, 2)$ . In [12] the following theorem was shown to hold.

**Theorem 1.1.** • The smallest element of  $\mathcal{B}_2$  is

 $q_2 \approx 1.71064,$ 

the appropriate root of  $x^4 = 2x^2 + x + 1$ .

• The next smallest element of  $\mathcal{B}_2$  is

 $q_f \approx 1.75488,$ 

the appropriate root of  $x^3 = 2x^2 - x + 1$ .

• For each  $k \in \mathbb{N}$  there exists  $\gamma_k > 0$  such that  $(2 - \gamma_k, 2) \subset \mathcal{B}_j$  for all  $1 \leq j \leq k$ .

The following theorem is the central result of the present paper. It answers a question posed by V. Komornik [7] (see also [12, Section 5]).

**Theorem 1.2.** For  $k \geq 3$  the smallest element of  $\mathcal{B}_k$  is  $q_f$ .

The range of  $q > \frac{1+\sqrt{5}}{2}$  which are "sufficiently close" to the golden ratio is referred to in [12] as the *lower order*, which explains the title of the present paper.

In the course of our proof of Theorem 1.2 we will also show that  $q_f \in \mathcal{B}_{\aleph_0}$ . Combined with our earlier remarks, Theorem 1.1, Theorem 1.2, and a result in [11] which states that for  $q \in [\frac{1+\sqrt{5}}{2}, 2)$  almost every  $x \in I_q$  has a continuum of q-expansions, we can conclude the following. **Theorem 1.3.** In base  $q_f$  all situations occur: there exist  $x \in I_q$  having exactly k q-expansions for each  $k = 1, 2, ..., k = \aleph_0$  or  $k = 2^{\aleph_0}$ . Moreover,  $q_f$  is the smallest  $q \in (1, 2)$  satisfying this property.

Before proving Theorem 1.2 it is necessary to recall some theory. In what follows we fix  $T_{q,0}(x) = qx$  and  $T_{q,1}(x) = qx - 1$ . We will typically denote an element of  $\bigcup_{n=0}^{\infty} \{T_{q,0}, T_{q,1}\}^n$  by a; here  $\{T_{q,0}, T_{q,1}\}^0$  denotes the set consisting of the identity map. Moreover, if  $a = (a_1, \ldots, a_n)$  we shall use a(x) to denote  $(a_n \circ \cdots \circ a_1)(x)$  and |a| to denote the length of a.

We let

$$\Omega_q(x) = \left\{ (a_i)_{i=1}^{\infty} \in \{T_{q,0}, T_{q,1}\}^{\mathbb{N}} : (a_n \circ \ldots \circ a_1)(x) \in I_q \text{ for all } n \in \mathbb{N} \right\}.$$

The significance of  $\Omega_q(x)$  is made clear by the following lemma.

**Lemma 1.4.**  $\#\Sigma_q(x) = \#\Omega_q(x)$  where our bijection identifies  $(\varepsilon_i)_{i=1}^{\infty}$  with  $(T_{q,\varepsilon_i})_{i=1}^{\infty}$ .

The proof of Lemma 1.4 is contained within [2]. It is an immediate consequence of Lemma 1.4 that we can interpret Theorem 1.2 in terms of  $\Omega_q(x)$  rather than  $\Sigma_q(x)$ .

An element  $x \in I_q$  satisfies  $T_{q,0}(x) \in I_q$  and  $T_{q,1}(x) \in I_q$  if and only if  $x \in [\frac{1}{q}, \frac{1}{q(q-1)}]$ . Moreover, if  $\#\Sigma_q(x) > 1$  or equivalently  $\#\Omega_q(x) > 1$ , then there exists a unique minimal sequence of transformations a such that  $a(x) \in [\frac{1}{q}, \frac{1}{q(q-1)}]$ . In what follows we let  $S_q := [\frac{1}{q}, \frac{1}{q(q-1)}]$ . The set  $S_q$  is usually referred to as the *switch region*. We will also make regular use of the fact that if  $x \in I_q$  and a is a sequence of transformations such that  $a(x) \in I_q$ , then

$$\#\Omega_q(x) \ge \#\Omega_q(a(x)) \text{ or equivalently } \#\Sigma_q(x) \ge \#\Sigma_q(a(x)).$$
(2)

This is immediate from the definition of  $\Omega_q(x)$  and Lemma 1.4.

In the course of our proof of Theorem 1.2 we will frequently switch between  $\Sigma_q(x)$  and the dynamical interpretation of  $\Sigma_q(x)$  provided by Lemma 1.4. Often considering  $\Omega_q(x)$  will help our exposition.

The following lemma is a consequence of [6, Theorem 2].

Lemma 1.5. Let  $q \in (\frac{1+\sqrt{5}}{2}, q_f]$ , if  $x \in I_q$  has a unique q-expansion  $(\varepsilon_i)_{i=1}^{\infty}$ , then  $(\varepsilon_i)_{i=1}^{\infty} \in \left\{ 0^k (10)^{\infty}, 1^k (10)^{\infty}, 0^{\infty}, 1^{\infty} \right\},$ 

where  $k \geq 0$ . Similarly, if  $(\varepsilon_i)_{i=1}^{\infty} \in \{0^k(10)^{\infty}, 1^k(10)^{\infty}, 0^{\infty}, 1^{\infty}\}$ , then for  $q \in (\frac{1+\sqrt{5}}{2}, 2)$   $x = ((\varepsilon_i)_{i=1}^{\infty})_q$  has a unique q-expansion given by  $(\varepsilon_i)_{i=1}^{\infty}$ .

In Lemma 1.5 we have adopted the notation  $(\varepsilon_1 \dots \varepsilon_n)^k$  to denote the concatenation of  $(\varepsilon_1 \dots \varepsilon_n) \in \{0, 1\}^n$  by itself k times and  $(\varepsilon_1 \dots \varepsilon_n)^\infty$  to denote the infinite sequence obtained by concatenating  $\varepsilon_1 \dots \varepsilon_n$  by itself infinitely many times. We will use this notation throughout.

The following lemma follows from the branching argument first introduced in [13].

**Lemma 1.6.** Let  $k \ge 2$ ,  $x \in I_q$ , and suppose  $\#\Sigma_q(x) = k$  or equivalently  $\#\Omega_q(x) = k$ . If a is the unique minimal sequence of transformations such that  $a(x) \in S_q$ , then

$$#\Omega_q(T_{q,1}(a(x))) + #\Omega_q(T_{q,0}(a(x))) = k.$$

Moreover,  $1 \le \#\Omega_q(T_{q,1}(a(x))) < k$  and  $1 \le \#\Omega_q(T_{q,0}(a(x))) < k$ .

The following result is an immediate consequence of Lemma 1.4 and Lemma 1.6.

### **Corollary 1.7.** $\mathcal{B}_k \subset \mathcal{B}_2$ for all $k \geq 3$ .

An outline of our proof of Theorem 1.2 is as follows: first of all we will show that  $q_f \in \mathcal{B}_k$  for all  $k \geq 1$ . Then by Theorem 1.1 and Corollary 1.7, to prove Theorem 1.2, it suffices to show that  $q_2 \notin \mathcal{B}_k$  for all  $k \geq 3$ . But by an application of Lemma 1.6, to show that  $q_2 \notin \mathcal{B}_k$  for all  $k \geq 3$  it suffices to show that  $q_2 \notin \mathcal{B}_3$ and  $q_2 \notin \mathcal{B}_4$ . This will yield the claim of Theorem 1.2.

#### 2. Proof that $q_f \in \mathcal{B}_k$ for all $k \geq 1$

To show that  $q_f \in \mathcal{B}_k$  for all  $k \ge 1$ , we construct an  $x \in I_{q_f}$  satisfying  $\# \Sigma_{q_f}(x) = k$  explicitly.

**Proposition 2.1.** For each  $k \ge 1$  the number  $x_k = (1(0000)^{k-1}0(10)^{\infty})_{q_f}$  satisfies  $\#\Sigma_{q_f}(x_k) = k$ . Moreover,  $x_{\aleph_0} = (10^{\infty})_{q_f}$  satisfies  $\operatorname{card} \Sigma_{q_f}(x) = \aleph_0$ .

*Proof.* We proceed by induction. For k = 1 we have  $x_1 = ((10)^{\infty})_{q_f}$ , and therefore  $\#\Sigma_{q_f}(x_1) = 1$  by Lemma 1.5. Let us assume  $x_k = (1(0000)^{k-1}0(10)^{\infty})_{q_f}$ satisfies  $\#\Sigma_{q_f}(x_k) = k$ . To prove our result, it suffices to show that  $x_{k+1} = (1(0000)^k 0(10)^{\infty})_{q_f}$  satisfies  $\#\Sigma_{q_f}(x_{k+1}) = k + 1$ .

We begin by remarking that by Lemma 1.5  $((0000)^k 0(10)^\infty))_{q_f}$  has a unique  $q_f$ -expansion. Therefore there is a unique  $q_f$ -expansion of  $x_{k+1}$  beginning with 1. Furthermore, it is a simple exercise to show that  $q_f$  satisfies the equation  $x^4 = x^3 + x^2 + 1$ , which implies that  $(0(1101)(0000)^{k-1}0(10)^\infty)$  is also a  $q_f$ -expansion for  $x_{k+1}$ .

To prove the claim, we will show that if  $(\varepsilon_i)_{i=1}^{\infty}$  is a *q*-expansion for  $x_{k+1}$  and  $\varepsilon_1 = 0$ , then  $\varepsilon_2 = 1$ ,  $\varepsilon_3 = 1$  and  $\varepsilon_4 = 0$ . Which combined with our inductive hypothesis implies that the set of *q*-expansions for  $x_{k+1}$  satisfying  $\varepsilon_1 = 0$  consists of *k* distinct elements. Combining these *q*-expansions with the unique *q*-expansion of  $x_{k+1}$  satisfying  $\varepsilon_1 = 1$  we may conclude  $\#\Sigma_{q_f}(x_{k+1}) = k+1$ .

Let us suppose  $\varepsilon_1 = 0$ ; if  $\varepsilon_2 = 0$ , then we would require

$$x_{k+1} = (1(0000)^k 0(10)^\infty)_{q_f} \le (00(1)^\infty)_{q_f};$$

however,  $x_{k+1} > \frac{1}{q_f}$  and  $\sum_{i=3}^{\infty} \frac{1}{q^i} < \frac{1}{q}$  for all  $q > \frac{1+\sqrt{5}}{2}$ , and therefore  $\varepsilon_2 = 1$ . If  $\varepsilon_3 = 0$ , then we would require

$$x_{k+1} = (1(0000)^k 0(10)^\infty)_{q_f} \le (010(1)^\infty)_{q_f},\tag{3}$$

which is equivalent to

$$x_{k+1} = \frac{1}{q_f} + \frac{1}{q_f^{4k+3}} \sum_{i=0}^{\infty} \frac{1}{q_f^{2i}} \le \frac{1}{q_f^2} + \frac{1}{q_f^4} \sum_{i=0}^{\infty} \frac{1}{q_f^i};$$

however,

$$\frac{1}{q_f} = \frac{1}{q_f^2} + \frac{1}{q_f^4} \sum_{i=0}^{\infty} \frac{1}{q_f^i},$$

whence (3) cannot occur and  $\varepsilon_3 = 1$ . Now let us suppose  $\varepsilon_4 = 1$ . Then we must have

$$x_{k+1} = (1(0000)^k 0(10)^\infty)_{q_f} \ge (01110^\infty)_{q_f},\tag{4}$$

which is equivalent to

$$x_{k+1} = \frac{1}{q_f} + \frac{1}{q_f^{4k+3}} \sum_{i=0}^{\infty} \frac{1}{q_f^{2i}} \ge \frac{1}{q_f^2} + \frac{1}{q_f^3} + \frac{1}{q_f^4}.$$
 (5)

The left-hand side of (5) is maximized when k = 1, and therefore to show that  $\varepsilon_4 = 0$  it suffices to show that

$$\frac{1}{q_f} + \frac{1}{q_f^7} \sum_{i=0}^{\infty} \frac{1}{q_f^{2i}} \ge \frac{1}{q_f^2} + \frac{1}{q_f^3} + \frac{1}{q_f^4}$$
(6)

does not hold. By a simple manipulation (6) is equivalent to

$$q_f^6 - q_f^5 - 2q_f^4 + q_f^2 + q_f + 1 \ge 0, (7)$$

but by an explicit calculation we can show that the left-hand side of (7) is strictly negative; therefore (4) does not hold and  $\varepsilon_4 = 0$ .

Now we consider  $x_{\aleph_0}$ . Replicating our analysis for  $x_k$ , we can show that if  $(\varepsilon_i)_{i=1}^{\infty}$  is a *q*-expansion for  $x_{\aleph_0}$  and  $\varepsilon_1 = 0$ , then  $\varepsilon_2 = 1$ . Unlike our previous case it is possible for  $\varepsilon_3$  be equal to 0; however, in this case  $\varepsilon_i = 1$  for all  $i \ge 4$ . If  $\varepsilon_3 = 1$ , then as in our previous case we must have  $\varepsilon_4 = 0$ . We observe that

$$x_{\aleph_0} = (10^{\infty})_{q_f} = (010(1)^{\infty})_{q_f} = (011010^{\infty})_{q_f}.$$

Clearly, there exists a unique q-expansion for  $x_{\aleph_0}$  satisfying  $\varepsilon_1 = 1$  and a unique q-expansion for  $x_{\aleph_0}$  satisfying  $\varepsilon_1 = 0$ ,  $\varepsilon_2 = 1$  and  $\varepsilon_3 = 0$ . Therefore all other q-expansions of  $x_{\aleph_0}$  have (0110) as a prefix. Repeating the above argument arbitrarily many times we can determine that all the  $q_f$ -expansions of  $x_{\aleph_0}$  are of the form:

$$\begin{aligned} x_{\aleph_0} &= (10^{\infty})_{q_f} \\ &= (010(1)^{\infty})_{q_f} \\ &= (011010^{\infty})_{q_f} \\ &= (0110010(1)^{\infty})_{q_f} \\ &= (0110011001^{\infty})_{q_f} \\ &= (01100110010(1)^{\infty})_{q_f} \\ &= (01100110011010^{\infty})_{q_f} \\ &\vdots \end{aligned}$$

which is clearly infinite countable.

Thus, to prove Theorem 1.2, it suffices to show that  $q_2 \notin \mathcal{B}_3 \cup \mathcal{B}_4$ . This may look like a fairly innocuous exercise, but in reality it requires a substantial effort.

### 3. Proof that $q_2 \notin \mathcal{B}_3$

By Lemma 1.6, to show that  $q_2 \notin \mathcal{B}_k$  for all  $k \geq 3$ , it suffices to show  $q_2 \notin \mathcal{B}_3$ and  $q_2 \notin \mathcal{B}_4$ . To prove this, we begin by characterizing those  $x \in S_{q_2}$  that satisfy  $\#\Sigma_{q_2}(x) = 2$ . To simplify our notation, we denote for the rest of the paper  $\beta := q_2$ and  $T_i := T_{q_2,i}$  for i = 0, 1.

**Proposition 3.1.** The only  $x \in S_{\beta}$  which satisfy  $\#\Sigma_{\beta}(x) = 2$  are

$$x = (01(10)^{\infty})_{\beta} = (10000(10)^{\infty})_{\beta} \text{ and } x = (0111(10)^{\infty})_{\beta} = (100(10)^{\infty})_{\beta}.$$

*Proof.* It was shown in the proof of [12, Proposition 2.4] that if  $\frac{1+\sqrt{5}}{2} < q < q_f$ and y, y + 1 have unique q-expansions, then necessarily  $q = \beta$  and either  $y = (0000(10)^{\infty})_{\beta}$  and  $y + 1 = (1(10)^{\infty})_{\beta}$  or  $y = (00(10)^{\infty})_{\beta}$  and  $y + 1 = (111(10)^{\infty})_{\beta}$ respectively. Since for either case there exists a unique  $x \in S_{\beta}$  such that  $\beta x - 1 = y$ , Lemma 1.6 yields the claim.

In what follows we will let  $(\varepsilon_i^1)_{i=1}^{\infty} = 01(10)^{\infty}$ ,  $(\varepsilon_i^2)_{i=1}^{\infty} = 10000(10)^{\infty}$ ,  $(\varepsilon_i^3)_{i=1}^{\infty} = 0111(10)^{\infty}$  and  $(\varepsilon_i^4)_{i=1}^{\infty} = 100(10)^{\infty}$ .

**Remark 3.2.** Let  $(\bar{\varepsilon}_i)_{i=1}^{\infty} = (1 - \varepsilon_i)_{i=1}^{\infty}$ , we refer to  $(\bar{\varepsilon}_i)_{i=1}^{\infty}$  as the *reflection* of  $(\varepsilon_i)_{i=1}^{\infty}$ . Clearly  $(\bar{\varepsilon}_i^1)_{i=1}^{\infty} = (\varepsilon_i^4)_{i=1}^{\infty}$  and  $(\bar{\varepsilon}_i^2)_{i=1}^{\infty} = (\varepsilon_i^3)_{i=1}^{\infty}$ . This is to be expected

as every  $x \in I_q$  satisfies  $\#\Sigma_q(x) = \#\Sigma_q(\frac{1}{q-1} - x)$  and mapping  $(\varepsilon_i)_{i=1}^{\infty}$  to  $(\bar{\varepsilon}_i)_{i=1}^{\infty}$ is a bijection between  $\Sigma_q(x)$  and  $\Sigma_q(\frac{1}{q-1} - x)$ . If  $(\varepsilon_i^1)_{i=1}^{\infty}$  and  $(\varepsilon_i^2)_{i=1}^{\infty}$  were not the reflections of  $(\varepsilon_i^4)_{i=1}^{\infty}$  and  $(\varepsilon_i^3)_{i=1}^{\infty}$  respectively, then there would exist other  $x \in S_\beta$ satisfying  $\#\Sigma_\beta(x) = 2$ , contradicting Proposition 3.1.

In this section we show that no  $x \in I_{\beta}$  can satisfy  $\#\Sigma_{\beta}(x) = 3$ . To show that  $\beta \notin \mathcal{B}_3$  and  $\beta \notin \mathcal{B}_4$  we will make use of the following proposition.

**Proposition 3.3.** Suppose  $x \in I_{\beta}$  satisfies  $\#\Sigma_{\beta}(x) = 2$  or equivalently  $\#\Omega_{\beta}(x) = 2$ . 2. Then there exists a unique sequence of transformations a such that  $a(x) \in S_{\beta}$ . Moreover,  $a(x) = ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  or  $a(x) = ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ .

*Proof.* Since  $\#\Omega_{\beta}(x) = 2$ , there must exist a satisfying  $a(x) \in S_{\beta}$ ; otherwise  $\#\Omega_{\beta}(x) = 1$ . We begin by showing uniqueness; suppose a' satisfies  $a'(x) \in S_{\beta}$  and  $a' \neq a$ . If |a'| < |a|, then we have two cases. If a' is a prefix of a, then by (2) and Lemma 1.6,

$$\#\Omega_{\beta}(x) \ge \#\Omega_{\beta}(a'(x)) = \#\Omega_{\beta}(T_0(a'(x))) + \#\Omega_{\beta}(T_1(a'(x))) \ge 3,$$

which contradicts  $\#\Omega_{\beta}(x) = 2$ . If a' is not a prefix of a, then there exists  $b \in \bigcup_{n=0}^{\infty} \{T_0, T_1\}^n$  such that  $b(x) \in S_{\beta}$  and either b0 is a prefix for a' and b1 is a prefix for a, or b0 is a prefix for a and b1 is a prefix for a'. In either case it follows from (2) and Lemma 1.6 that

$$\#\Omega_{\beta}(x) \ge \#\Omega_{\beta}(b(x)) = \#\Omega_{\beta}(T_0(b(x))) + \#\Omega_{\beta}(T_1(b(x))) \ge 4$$

a contradiction. By analogous arguments we can show that if |a'| = |a| or |a'| > |a|, then this implies  $\#\Omega_{\beta}(x) > 2$ . Therefore, a must be unique.

Now let a be the unique sequence of transformations such that  $a(x) \in S_{\beta}$ . By Lemma 1.6,

$$\#\Omega_{\beta}(T_0(a(x))) = \#\Omega_{\beta}(T_1(a(x))) = 1.$$

However, it follows from Proposition 3.1 that this can only happen when  $a(x) = ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  or  $a(x) = ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ .

**Remark 3.4.** By Proposition 3.3, to show that  $x \in I_{\beta}$  satisfies  $\operatorname{card} \Sigma_{\beta}(x) > 2$  (or equivalently,  $\operatorname{card} \Omega_{\beta}(x) > 2$ ), it suffices to construct a sequence of transformations a such that  $a(x) \in S_{\beta}$  with  $a(x) \neq ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $a(x) \neq ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . We will make regular use of this strategy in our later proofs.

Before proving  $\beta \notin \mathcal{B}_3$  it is appropriate to state numerical estimates<sup>1</sup> for  $S_{\beta}$ ,  $((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . Our calculations yield

$$S_{\beta} = [0.584575\dots, 0.822599\dots],$$

<sup>&</sup>lt;sup>1</sup>The explicit calculations performed in this paper were done using MATLAB. In our calculations we approximated  $\beta$  by 1.710644095045033, which is correct to the first fifteen decimal places.

$(0^k(01)^\infty)_\beta + 1$	Iterates of $(0^k(01)^\infty)_\beta + 1$ (To 6 decimal places)
$(0(01)^{\infty})_{\beta} + 1$	Unique $q$ -expansion by Proposition 3.1
$(00(01)^{\infty})_{\beta} + 1$	1.177400, 1.014114, 0.734788
$(000(01)^{\infty})_{\beta} + 1$	Unique $q$ -expansion by Proposition 3.1
$(0000(01)^{\infty})_{\beta} + 1$	1.060622, 0.8143482
$(00000(01)^{\infty})_{\beta} + 1$	1.035438, 0.771266
$(000000(01)^{\infty})_{\beta} + 1$	1.020716, 0.746082
1	1,0.710644

Table 1: Successive iterates of  $(0^k(01)^{\infty})_{\beta} + 1$  falling into  $S_{\beta} \setminus \{(\varepsilon^1)_{\beta}, (\varepsilon^3)_{\beta}\}$ 

 $((\varepsilon_i^1)_{i=1}^\infty)_\beta = 0.645198\dots$  and  $((\varepsilon_i^3)_{i=1}^\infty)_\beta = 0.761976\dots$ 

These estimates will make clear when  $a(x) \in S_{\beta}$  and whether  $a(x) = ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  or  $a(x) = ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ .

**Theorem 3.5.** We have  $\beta \notin \mathcal{B}_3$ .

Proof. Suppose  $x' \in I_{\beta}$  satisfies  $\#\Sigma_{\beta}(x') = 3$  or equivalently  $\#\Omega_{\beta}(x') = 3$ . Let a denote the unique minimal sequence of transformations such that  $a(x') \in S_{\beta}$ . By considering reflections, we may assume without loss of generality that

$$\#\Omega_{\beta}(T_1(a(x'))) = 1 \text{ and } \#\Omega_{\beta}(T_0(a(x'))) = 2.$$

Put  $x = T_1(a(x'))$ ; by a simple argument it can be shown that  $x \neq 0$ , so we may assume that  $x = (0^k(01)^\infty)_\beta$  for some  $k \ge 1$ . To show that  $\beta \notin \mathcal{B}_3$  we consider  $T_0(a(x')) = x + 1 = (0^k(01)^\infty)_\beta + 1$ . We will show that for each  $k \ge 1$  there exists a finite sequence of transformations a such that  $a(x+1) \in S_\beta$ ,  $a(x+1) \neq$  $((\varepsilon_i^1)_{i=1}^\infty)_\beta$  and  $a(x+1) \neq ((\varepsilon_i^3)_{i=1}^\infty)_\beta$ . By Proposition 3.3 and Remark 3.4, this implies  $\#\Omega_\beta(x+1) > 2$ , which is a contradiction. Hence  $\beta \notin \mathcal{B}_3$ .

Table 1 states the orbits of  $(0^k(01)^{\infty})_{\beta} + 1$  under  $T_0$  and  $T_1$  until eventually  $(0^k(01)^{\infty})_{\beta} + 1$  is mapped into  $S_{\beta}$ . Table 1 also includes the orbit of 1 under  $T_0$  and  $T_1$  until 1 is mapped into  $S_{\beta}$ . The reason we have included the orbit of 1 is because  $(0^k(01)^{\infty})_{\beta} + 1 \rightarrow 1$  as  $k \rightarrow \infty$ . Therefore understanding the orbit of 1 allows us to understand the orbit of  $(0^k(01)^{\infty})_{\beta} + 1$  for large values of k.

By inspection of Table 1, we conclude that for  $1 \le k \le 6$  either  $(0^k(01)^{\infty})_{\beta} + 1$ has a unique q-expansion which contradicts  $\#\Omega_{\beta}(T_0(a(x'))) = 2$ , or there exists a such that  $a((0^k(01)^{\infty})_{\beta} + 1) \in S_{\beta}$  with  $a((0^k(01)^{\infty})_{\beta} + 1) \ne ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $a((0^k(01)^{\infty})_{\beta} + 1) \ne ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . By Proposition 3.3, this contradicts  $\#\Omega_{\beta}(x+1) = 2$ .

To conclude our proof, it suffices to show that for each  $k \ge 7$  there exists a such that  $a((0^k(01)^{\infty})_{\beta} + 1) \in S_{\beta}$ ,  $a((0^k(01)^{\infty})_{\beta} + 1) \ne ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $a((0^k(01)^{\infty})_{\beta} + 1) \ne ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . For all  $k \ge 7$ , we have  $(0^k(01)^{\infty})_{\beta} + 1 \in (1, (000000(01)^{\infty})_{\beta} + 1);$  however, by inspection of Table 1, it is clear that  $T_1(x) \in (0.710644..., 0.746082...)$ 

for all  $x \in (1, (00000(01)^{\infty})_{\beta} + 1)$ . Therefore, we can infer that such an *a* exists for all  $k \ge 7$ , which concludes our proof.

## 4. Proof that $q_2 \notin \mathcal{B}_4$

To prove  $\beta \notin \mathcal{B}_4$ , we will use a similar method to that used in the previous section, the primary difference being there are more cases to consider. Before giving our proof we give details of these cases.

Suppose  $x' \in I_{\beta}$  satisfies  $\#\Sigma_{\beta}(x') = 4$  or equivalently  $\#\Omega_{\beta}(x') = 4$ . Let a' denote the unique minimal sequence of transformations such that  $a'(x) \in S_{\beta}$ . By Lemma 1.6,

$$\#\Omega_{\beta}(T_0(a'(x'))) + \#\Omega_{\beta}(T_1(a'(x'))) = 4.$$

By Theorem 3.5,  $\#\Omega_{\beta}(T_0(a'(x'))) \neq 3$  and  $\#\Omega_{\beta}(T_1(a'(x'))) \neq 3$ , whence

$$\#\Omega_{\beta}(T_0(a'(x'))) = \#\Omega_{\beta}(T_1(a'(x'))) = 2.$$
(8)

Letting  $x = T_1(a'(x'))$ , we observe that (8) is equivalent to

$$#\Omega_{\beta}(x) = #\Omega_{\beta}(x+1) = 2.$$
(9)

By Proposition 3.3, there exists a unique sequence of transformations a such that  $a(x) \in S_{\beta}$  and  $a(x) = ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  or  $a(x) = ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . We now determine the possible unique sequences of transformations a that satisfy  $a(x) \in S_{\beta}$ .

To determine the unique *a* such that  $a(x) \in S_{\beta}$ , it is useful to consider the interval  $\left[\frac{1}{\beta^2-1}, \frac{\beta}{\beta^2-1}\right]$ . The significance of this interval is that  $T_0\left(\frac{1}{\beta^2-1}\right) = \frac{\beta}{\beta^2-1}$  and  $T_1\left(\frac{\beta}{\beta^2-1}\right) = \frac{1}{\beta^2-1}$ . The monotonicity of the maps  $T_0$  and  $T_1$  implies that if  $x \in (0, \frac{1}{\beta-1})$  and  $x \notin \left[\frac{1}{\beta^2-1}, \frac{\beta}{\beta^2-1}\right]$ , then there exists  $i \in \{0, 1\}$  and a minimal  $k \ge 1$  such that  $T_i^k(x) \in \left[\frac{1}{\beta^2-1}, \frac{\beta}{\beta^2-1}\right]$ . Furthermore,  $S_{\beta} \subset \left[\frac{1}{\beta^2-1}, \frac{\beta}{\beta^2-1}\right]$ , in view of  $\beta > \frac{1+\sqrt{5}}{2}$ .

In particular, if  $x \in (0, \frac{1}{\beta^2 - 1})$ , then there exists a minimal  $k \ge 1$  such that  $T_0^k(x) \in (\frac{1}{\beta^2 - 1}, \frac{\beta}{\beta^2 - 1})$ ;  $T_0^k(x)$  cannot equal  $\frac{1}{\beta^2 - 1}$  or  $\frac{\beta}{\beta^2 - 1}$  as this would imply  $\#\Omega_\beta(x) = 1$ . There are three cases to consider: either  $T_0^k(x) \in S_\beta$ , in which case  $T_0^k(x) = ((\varepsilon_i^1)_{i=1}^\infty)_\beta$  or  $T_0^k(x) = ((\varepsilon_i^3)_{i=1}^\infty)_\beta$  by Proposition 3.3, or alternatively  $T_0^k(x) \in (\frac{1}{\beta^2 - 1}, \frac{1}{\beta})$  or  $T_0^k(x) \in (\frac{1}{\beta(\beta - 1)}, \frac{\beta}{\beta^2 - 1})$ . It is a simple exercise to show that if  $T_0^k(x) = ((\varepsilon_i^3)_{i=1}^\infty)_\beta$  or  $T_0^k(x) \in (\frac{1}{\beta(\beta - 1)}, \frac{\beta}{\beta^2 - 1})$ , then  $k \ge 2$ . By Lemma 1.4 and Proposition 3.3, if  $T_0^k(x) \in S_\beta$ , then

$$x = (0^k (\varepsilon_i^1)_{i=1}^\infty)_\beta$$
 for some  $k \ge 1$  or  $x = (0^k (\varepsilon_i^3)_{i=1}^\infty)_\beta$  for some  $k \ge 2$ .

For any  $q \in (\frac{1+\sqrt{5}}{2}, q_f)$  and  $y \in (\frac{1}{q^2-1}, \frac{1}{q})$  there exists a unique minimal sequence a'' such that  $a''(y) \in S_q$ . Moreover,  $a''(y) = (T_{q,1} \circ T_{q,0})^j(y)$  for some  $j \geq 1$ 

and  $(T_{q,1} \circ T_{q,0})^i(y) \in (\frac{1}{q^2-1}, \frac{1}{q})$  for all i < k. For all  $y \in (\frac{1}{q^2-1}, \frac{1}{q})$  we have that  $(T_{q,1} \circ T_{q,0})(y) = q^2y - 1 < q - 1$ . Furthermore, it can be checked directly that  $\beta - 1 < ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . Hence if  $T_0^k(x) \in (\frac{1}{\beta^2-1}, \frac{1}{\beta})$ , then

$$x = (0^k (01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta,$$

for some  $k \ge 1$  and  $j \ge 1$ . By a similar argument it can be shown that if  $T_0^k(x) \in (\frac{1}{\beta(\beta-1)}, \frac{\beta}{\beta^2-1})$ , then

$$x = (0^k (10)^j (\varepsilon_i^3)_{i=1}^\infty)_\beta,$$

for some  $k \ge 2$  and  $j \ge 1$ . The above arguments are summarized in the following proposition.

**Proposition 4.1.** Let x be as in (9); then one of the following four cases holds:

$$x = (0^k (\varepsilon_i^1)_{i=1}^\infty)_\beta \text{ for some } k \ge 1,$$
(10)

$$x = (0^k (\varepsilon_i^3)_{i=1}^\infty)_\beta \text{ for some } k \ge 2,$$
(11)

$$x = (0^{k} (01)^{j} (\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} \text{ for some } k \ge 1 \text{ and } j \ge 1$$
(12)

or

$$x = (0^k (10)^j (\varepsilon_i^3)_{i=1}^\infty)_\beta \text{ for some } k \ge 2 \text{ and } j \ge 1.$$

$$(13)$$

To prove that  $\beta \notin \mathcal{B}_4$  we will show that for each of the four cases described in Proposition 4.1 there exists a such that

$$a(x+1) \in S_{\beta} \setminus \{ ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}, ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta} \},$$

$$(14)$$

which contradicts  $\#\Omega_{\beta}(x+1) = 2$  by Proposition 3.3 and Remark 3.4.

For the majority of our cases an argument analogous to that used in Section 3 will suffice. However, in the case where k = 1, 3 in (12) and k = 2, 4 in (13) a different argument is required. We refer to these cases as the *exceptional cases*. For the exceptional cases we will also show (14); however, the approach used in slightly more technical and as such we will treat these cases separately.

**Proposition 4.2.** For each of the cases described by Proposition 4.1 there exists a such that (14) holds.

Proof of Proposition 4.2 for the non-exceptional cases. In the cases where we have  $x = (0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  for some  $k \ge 1$  or  $x = (0^k (\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$  for some  $k \ge 1$  it is clear that  $x \to 0$  as  $k \to \infty$ . Therefore, to understand the orbit of  $(0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$  or  $(0^k (\varepsilon_i^3)_{i=1}^{\infty})_{\beta} + 1$  for large values of k, it suffices to consider the orbit of 1. Similarly, in the cases described by (12) and (13), if we fix  $k \ge 1$ , then, as  $j \to \infty$ , both  $(0^k (01)^j (\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $(0^k (10)^j (\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$  converge to  $(0^l (10)^{\infty})$  for some  $l \ge 1$ . Consequently, in order to understand the orbits of  $(0^k (01)^j (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$ 

$(0^k (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	Iterates of $(0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$ (to 6 decimal places)
$(0(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.377166, 1.355842, 1.319363, 1.256961,
	1.150213, 0.967605, 0.655228
$(00(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1$	1.220482, 1.087810, 0.860857, 0.472620, 0.808484
$(000(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.128888, 0.931126, 0.592825
$(0000(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1$	1.075344, 0.839532, 0.436141, 0.746082
$(00000(\varepsilon_i^1)_{i=1}^\infty)_{\beta} + 1$	1.044044, 0.785989
$(000000(\varepsilon_i^1)_{i=1}^\infty)_{\beta} + 1$	1.025747, 0.754688
1	1,0.710644

Table 2: Successive iterates of  $(0^k (\varepsilon_i^1)_{i=1}^\infty)_{\beta} + 1$ 

and  $(0^k(10)^j(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$  for large values of j, it suffices to consider the orbit of  $(0^l(10)^\infty)_\beta + 1$ , for some  $l \ge 1$ . By considering these limits it will be clear when a sequence of transformations a exists that satisfies (14) for large values of k and j.

We begin by considering the case  $x = (0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$ . Table 2 plots successive (unique) iterates of  $(0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$  until  $(0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$  is mapped into  $S_{\beta}$  for  $1 \leq k \leq 6$ . It is clear from inspection of Table 2 that for  $1 \leq k \leq 6$  there exists a such that  $a(x+1) \in S_{\beta}$ ,  $a(x+1) \neq ((\varepsilon_i^1)_{i=1}^{\infty})_{\beta}$  and  $a(x+1) \neq ((\varepsilon_i^3)_{i=1}^{\infty})_{\beta}$ . The case  $k \geq 7$  follows from the fact that  $(0^k (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1 \in (1, (000000(\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1)$  for all  $k \geq 7$  and  $T_1(y) \in (0.710644..., 0.754688...)$  for all  $y \in (1, (000000(\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1)$ . The case described by (11) follows by an analogous argument, so we omit the details and just include the relevant orbits in Table 3.

For the non-exceptional cases described by (12) and (13) an analogous argument works for the first few values of k by considering the limit of x + 1 as  $j \to \infty$ , so, as above, we just include the relevant orbits in Table 3. It is clear by inspection of Table 3 that  $(0^k(01)^j(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1 \in (1, (0000001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1)$  for all  $k \ge 7$  and  $j \ge 1$ . However,  $T_1(y) \in (0.710644..., 0.749023...)$  for all  $y \in$  $(1, (00000001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1)$ ; by inspection of Table 3, we can conclude the case described by (12) in the non-exceptional cases. Similarly, it is clear from inspection of Table 3 that  $(0^k(10)^j(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1 \in (1, (000000(10)^\infty)_\beta + 1)$  for all  $k \ge 8$  and  $j \ge 1$ . However,  $T_1(y) \in (0.710644..., 0.7460826...)$  for all  $y \in (1, (0000000(10)^\infty)_\beta + 1)$ , therefore by inspection of Table 3 we can conclude the case described by (13) in the non-exceptional cases.

Proof of Proposition 4.2 for the exceptional cases. The reason we cannot use the same method as used for the non-exceptional cases is because as  $j \to \infty$  the limits of  $(0(01)^j(\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$ ,  $(000(01)^j(\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1$ ,  $(00(10)^j(\varepsilon_i^3)_{i=1}^{\infty})_{\beta} + 1$  and  $(0000(10)^j(\varepsilon_i^3)_{i=1}^{\infty})_{\beta} + 1$  all have unique  $\beta$ -expansions, which follows from Proposition 3.1. As a consequence of the uniqueness of the  $\beta$ -expansion of the relevant limit, the number of transformations required to map x + 1 into  $S_{\beta}$  becomes arbitrarily large as  $j \to \infty$ . However, the following proposition shows that we can still

$(0^k (\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	Iterates of $(0^k (\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$ (to 6 decimal places)
$(00(\varepsilon_i^3)_{i=1}^\infty)_{\beta} + 1$	1.260388, 1.156076, 0.977635, 0.672385
$(000(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$	1.152216, 0.971032, 0.661091
$(0000(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.088982, 0.862860, 0.476047, 0.814348
$(00000(\varepsilon_i^3)_{i=1}^\infty)_{\beta} + 1$	1.052016, 0.799626
$(000000(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.030407, 0.762660
$(0000000(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.017775, 0.741051
1	1,0.710644
$\frac{(00(01)^j (\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1}{(0001(\varepsilon_i^1)_{i=1}^{\infty})_{\beta} + 1}$	Iterates of $(00(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$
$(0001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.192123, 1.039298, 0.777869
$(000101(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.182431, 1.022720, 0.749510
$\frac{(00(01)^{\infty})_{\beta} + 1}{(0000(01)^{j}(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1}$	1.177400, 1.014114, 0.734788
$(0000(01)^{j}(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1$	Iterates of $(0000(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$
$\frac{(000001(\varepsilon_i^1)_{i=1}^{\infty})\beta + 1}{(000001(\varepsilon_i^1)_{i=1}^{\infty})\beta + 1}$	1.065653, 0.822954, 0.407782, 0.697570
$(00000101(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.062342, 0.817289
$(0000(01)^{\infty})_{\beta} + 1$	1.060622, 0.814348
$(00000(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	Iterates of $(00000(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$
$(0000001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.038379, 0.776297
$(00000(01)^{\infty})_{\beta} + 1$	1.035438, 0.771266
$\frac{(00000(01)^{j}(\varepsilon_{1}^{1})_{i=1}^{\infty})_{\beta} + 1}{(000000(01)^{j}(\varepsilon_{1}^{1})_{i=1}^{\infty})_{\beta} + 1}$	Iterates of $(000000(01)^{j}(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1$
$(0000001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.022435, 0.749023
$(000000(01)^{\infty})_{\beta} + 1$	1.020716, 0.746082
$\frac{(000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1}{(000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1}$	Iterates of $(000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$
$(00010(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$	1.168794, 0.999391, 0.709603
$\frac{(000(10)^{\infty})_{\beta} + 1}{(00000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1}}{(0000010(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1}$	1.177400, 1.014114, 0.734788
$(00000(10)^j (\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	Iterates of $(00000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$
$(0000010(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.057681, 0.809317
$(00000(10)^{\infty})_{\beta} + 1$	1.060622, 0.814348
$(000000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$	Iterates of $(000000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$
$(00000010(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.033719, 0.768326
$(000000(10)^{\infty})_{\beta} + 1$	1.035438, 0.771266
$(000000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$	Iterates of $(000000(10)^{j}(\varepsilon_{i}^{3})_{i=1}^{\infty})_{\beta} + 1$
$\frac{(00000010(\varepsilon_i^3)_{i=1}^\infty)_\beta}{(00000010(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1}$	1.019711, 0.744363
$(0000000(10)^{\infty})_{\beta} + 1$	1.020716, 0.746082

Table 3: Successive iterates of  $(0^k (\varepsilon_i^3)_{i=1}^{\infty})_{\beta} + 1$ 

construct an a satisfying (14) for all but three of the exceptional cases.

Proposition 4.3. The following identities hold:

$$((T_1 \circ T_0)^{j-2} \circ (T_1)^4)((0(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1) = \frac{\beta - 1}{\beta^3 (\beta^2 - 1)} + \frac{1}{\beta^2 - 1}$$
(15)  
\$\approx 0.59282\$ for \$j \ge 3\$,

$$((T_1 \circ T_0)^j \circ (T_1)^2)((000(01)^j (\varepsilon_i^1)_{i=1}^\infty)_\beta + 1) = \frac{\beta - 1}{\beta^3 (\beta^2 - 1)} + \frac{1}{\beta^2 - 1}$$
(16)  
\$\approx 0.59282\$ for \$j \ge 1\$,

$$((T_0 \circ T_1)^{j-1} \circ (T_1)^3)((00(10)^j (\varepsilon_i^3)_{i=1}^\infty)_\beta + 1) = \frac{\beta}{\beta^2 - 1} + \frac{1 - \beta}{\beta^3 (\beta^2 - 1)}$$
(17)  
\$\approx 0.81434\$ for \$j \ge 2\$

and

$$((T_0 \circ T_1)^{j+1} \circ (T_1))((0000(10)^j (\varepsilon_i^3)_{i=1}^\infty)_\beta + 1) = \frac{\beta}{\beta^2 - 1} + \frac{1 - \beta}{\beta^3 (\beta^2 - 1)}$$
(18)  
\$\approx 0.81434\$ for \$j \ge 1\$.

*Proof.* Each of the identities (15), (16), (17) and (18) is proved by similar arguments, so we will just show that (15) holds. Note that

$$(0(01)^{j}(\varepsilon_{i}^{1})_{i=1}^{\infty})_{\beta} + 1 = \frac{\beta^{2j+2} + \beta - 1}{\beta^{2j+3}(\beta^{2} - 1)} + 1,$$

for all  $j \ge 1$ . We observe the following:

$$\begin{split} &((T_1 \circ T_0)^{j-2} \circ (T_1)^4) \Big( \frac{\beta^{2j+2} + \beta - 1}{\beta^{2j+3}(\beta^2 - 1)} + 1 \Big) \\ = &(T_1 \circ T_0)^{j-2} \Big( \frac{\beta^{2j+2} + \beta - 1}{\beta^{2j-1}(\beta^2 - 1)} + \beta^4 - \beta^3 - \beta^2 - \beta - 1 \Big) \\ = &\beta^{2j-4} \Big( \frac{\beta^{2j+2} + \beta - 1}{\beta^{2j-1}(\beta^2 - 1)} + \beta^4 - \beta^3 - \beta^2 - \beta - 1 \Big) - \sum_{i=0}^{j-3} \beta^{2i} \\ = &\frac{\beta^{2j+2} + \beta - 1}{\beta^3(\beta^2 - 1)} + \beta^{2j} - \beta^{2j-1} - \beta^{2j-2} - \beta^{2j-3} - \beta^{2j-4} - \frac{\beta^{2j-4} - 1}{\beta^2 - 1} \\ = &\frac{\beta^{2j+2}}{\beta^3(\beta^2 - 1)} + \beta^{2j} - \beta^{2j-1} - \beta^{2j-2} - \beta^{2j-3} - \beta^{2j-4} - \frac{\beta^{2j-4} - 1}{\beta^3 - 1} + \frac{\beta^{2j-4} - 1}{\beta^2 - 1} + \frac{\beta^{2j-4} - 1}{$$

Therefore, to conclude our proof, it suffices to show that

$$\frac{\beta^{2j+2}}{\beta^3(\beta^2-1)} + \beta^{2j} - \beta^{2j-1} - \beta^{2j-2} - \beta^{2j-3} - \beta^{2j-4} - \frac{\beta^{2j-4}}{\beta^2-1} = 0.$$
(19)

Exceptional cases	Iterates (to 6 decimal places)
$(001(\varepsilon_i^1)_{i=1}^\infty)_\beta + 1$	1.328654, 1.272854, 1.177400, 1.014114, 0.734788
$(00101(\varepsilon_i^1)_{i=1}^\infty)_{\beta} + 1$	1.312076, 1.244495, 1.128888, 0.931126, 0.592825
$(0010(\varepsilon_i^3)_{i=1}^\infty)_\beta + 1$	1.288747, 1.204588, 1.060622, 0.814348

Table 4: Remaining exceptional cases:  $k = 1, j \in \{1, 2\}$  in (12) and k = 2, j = 1 in (13)

By manipulating the left-hand side of (19), we conclude that satisfying (19) is equivalent to

$$\frac{\beta^{2j-1} - \beta^{2j-4} + (\beta^{2j} - \beta^{2j-1} - \beta^{2j-2} - \beta^{2j-3} - \beta^{2j-4})(\beta^2 - 1)}{\beta^2 - 1} = 0$$

or

$$\frac{\beta^{2j-3}(\beta-1)(\beta^4-2\beta^2-\beta-1)}{\beta^2-1} = 0$$

This is true in view of  $\beta^4 - 2\beta^2 - \beta - 1 = 0$ .

Proposition 4.3 and Table 4 (which displays the orbits of the exceptional cases that are not covered by Proposition 4.3) conclude our proof of Proposition 4.2 for all the exceptional cases. Therefore,  $\beta \notin \mathcal{B}_4$ , and Theorem 1.2 holds.

5. Open Questions

To conclude the paper, we pose a few open questions:

- What is the topology of  $\mathcal{B}_k$  for  $k \geq 2$ ? In particular, what is the smallest limit point of  $\mathcal{B}_k$ ? Is it below or above the Komornik-Loreti constant introduced in [8]?
- What is the smallest q such that x = 1 has k q-expansions? (For k = 1 this is precisely the Komornik-Loreti constant.)
- What is the structure of  $\mathcal{B}_{\aleph_0} \cap \left(\frac{1+\sqrt{5}}{2}, q_f\right)$ ? In view of the results of the present paper, knowing this would lead to a complete understanding of card  $\Sigma_q(x)$  for all  $q \leq q_f$  and all  $x \in I_q$ .
- Let, as above,

$$\mathcal{B}_{\infty} = igcap_{k=1}^{\infty} \mathcal{B}_k \cap \mathcal{B}_{leph_0} \cap \mathcal{B}_{2^{leph_0}}.$$

By Theorem 1.3,  $q_f$  is the smallest element of  $\mathcal{B}_{\infty}$ . What is the second smallest element of  $\mathcal{B}_{\infty}$ ? What is the topology of  $\mathcal{B}_{\infty}$ ?

• In [1] the authors study the order in which periodic orbits appear in the set of points with unique q-expansion; they show that as  $q \uparrow 2$ , the order in which periodic orbits appear in the set of uniqueness is intimately related to the classical Sharkovskiĭ ordering. Does a similar result hold in our case? That is, if k > k' with respect to the usual Sharkovskiĭ ordering, does this imply  $\mathcal{B}_k \subset \mathcal{B}_{k'}$ ?

Acknowledgment. The authors are grateful to Vilmos Komornik for useful suggestions.

#### References

- J.-P. Allouche, M. Clarke and N. Sidorov, *Periodic unique beta-expansions: the Sharkovskii ordering*, Ergod. Th. Dynam. Systems **29** (2009), 1055–1074.
- [2] S. Baker, Generalised golden ratios over integer alphabets, arXiv:1210.8397 [math.DS].
- [3] Z. Daróczy and I. Katai, Univoque sequences, Publ. Math. Debrecen 42 (1993), 397-407.
- [4] P. Erdős, I. Joó, On the number of expansions  $1 = \sum q^{-n_i}$ , Ann. Univ. Sci. Budapest **35** (1992), 129–132.
- [5] P. Erdős, I. Joó and V. Komornik, Characterization of the unique expansions  $1 = \sum_{i=1}^{\infty} q^{-n_i}$  and related problems, Bull. Soc. Math. Fr. **118** (1990), 377–390.
- [6] P. Glendinning and N. Sidorov, Unique representations of real numbers in non-integer bases, Math. Res. Letters 8 (2001), 535–543.
- [7] V. Komornik, Open problems session of "Dynamical Aspects of Numeration" workshop, Paris, 2006.
- [8] V. Komornik and P. Loreti, Unique developments in non-integer bases, Amer. Math. Monthly 105 (1998), no. 7, 636–639.
- [9] W. Parry, On the β-expansions of real numbers, Acta Math. Acad. Sci. Hung. 11 (1960) 401–416.
- [10] A. Rényi, Representations for real numbers and their ergodic properties, Acta Math. Acad. Sci. Hung. 8 (1957) 477–493.
- [11] N. Sidorov, Almost every number has a continuum of  $\beta$ -expansions, Amer. Math. Monthly **110** (2003), 838-842.
- [12] N. Sidorov, Expansions in non-integer bases: lower, middle and top orders, J. Number Th. 129 (2009), 741–754.
- [13] N. Sidorov, Universal β-expansions, Period. Math. Hungar. 47 (2003), 221–231.
- [14] N. Sidorov and A. Vershik, Ergodic properties of the Erdős measure, the entropy of the goldenshift, and related problems Monatsh. Math. 126 (1998), 215–261.