



# A Note on Thin P-Polynomial and Dual-Thin Q-Polynomial Symmetric Association Schemes

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**Abstract.** Let  $Y$  denote a  $d$ -class symmetric association scheme, with  $d \geq 3$ . We show the following: If  $Y$  admits a P-polynomial structure with intersection numbers  $p_{ij}^h$  and  $Y$  is  $1$ -thin with respect to at least one vertex, then

$$p_{11}^1 = 0 \Rightarrow p_{1i}^i = 0 \quad 1 \leq i \leq d-1.$$

If  $Y$  admits a Q-polynomial structure with Krein parameters  $q_{ij}^h$ , and  $Y$  is *dual 1-thin* with respect to at least one vertex, then

$$q_{11}^1 = 0 \Rightarrow q_{1i}^i = 0 \quad 1 \leq i \leq d-1.$$

**Keywords:** Association scheme, distance-regular graph, intersection number, Q-polynomial

## 1. Introduction

Let  $Y$  denote a  $d$ -class symmetric association scheme, with  $d \geq 3$ . It is well-known that if  $Y$  admits a P-polynomial structure with intersection numbers  $p_{ij}^h$ , then

$$p_{11}^1 \neq 0 \Rightarrow p_{1i}^i \neq 0 \quad 1 \leq i \leq d-1 \quad (1)$$

[1, Theorem 5.5.1]. The first author shows in [3] that if  $Y$  admits a Q-polynomial structure with Krein parameters  $q_{ij}^h$ , then

$$q_{11}^1 \neq 0 \Rightarrow q_{1i}^i \neq 0 \quad 1 \leq i \leq d-1. \quad (2)$$

In the present paper we show the following: If  $Y$  admits a P-polynomial structure with intersection numbers  $p_{ij}^h$ , and  $Y$  is  $1$ -thin with respect to at least one vertex, then

$$p_{11}^1 = 0 \Rightarrow p_{1i}^i = 0 \quad 1 \leq i \leq d-1. \quad (3)$$

If  $Y$  admits a Q-polynomial structure with Krein parameters  $q_{ij}^h$ , and  $Y$  is *dual 1-thin* with respect to at least one vertex, then

$$q_{11}^1 = 0 \Rightarrow q_{1i}^i = 0 \quad 1 \leq i \leq d-1. \quad (4)$$

The *1-thin* and *dual 1-thin* conditions are defined in Section 1.4. Our main results are in Theorems 2.1 and 2.2.

In the following sections we introduce notation and recall basic results, following [1, Section 2.1] and [4, Section 3].

### 1.1. Symmetric association schemes

By a *d-class symmetric association scheme* we mean a pair  $Y = (X, \{R_i\}_{0 \leq i \leq d})$ , where  $X$  is a non-empty finite set, and where

- (i)  $\{R_i\}_{0 \leq i \leq d}$  is a partition of  $X \times X$ ;
- (ii)  $R_0 = \{xx \mid x \in X\}$ ;
- (iii)  $R_i = R_i^t$  for  $0 \leq i \leq d$ , where  $R_i^t = \{yx \mid xy \in R_i\}$ ;
- (iv) there exist integers  $p_{ij}^h$  such that for all integers  $h$  with  $0 \leq h \leq d$  and all vertices  $x, y \in X$  with  $xy \in R_h$ ,

$$p_{ij}^h = |\{z \in X \mid xz \in R_i, yz \in R_j\}| \quad 0 \leq i, j \leq d. \quad (5)$$

We refer to  $X$  as the *vertex set* of  $Y$ , and refer to the integers  $p_{ij}^h$  as the *intersection numbers* of  $Y$ . Abbreviate  $k_i = p_{ii}^0$ , and observe  $k_i$  is non-zero for  $0 \leq i \leq d$ .

### 1.2. The Bose-Mesner algebra

Let  $Y = (X, \{R_i\}_{0 \leq i \leq d})$  denote a symmetric association scheme. Let  $\text{Mat}_X(\mathbb{R})$  denote the algebra of matrices over  $\mathbb{R}$  with rows and columns indexed by  $X$ . The *associate matrices* for  $Y$  are the matrices  $A_0, \dots, A_d \in \text{Mat}_X(\mathbb{R})$  defined by

$$(A_i)_{xy} = \begin{cases} 1 & \text{if } xy \in R_i, \\ 0 & \text{otherwise} \end{cases} \quad x, y \in X. \quad (6)$$

From (i)–(iv) above we obtain

$$A_0 + \dots + A_d = J, \quad (7)$$

$$A_i \circ A_j = \delta_{ij} A_i \quad 0 \leq i, j \leq d, \quad (8)$$

$$A_0 = I, \quad (9)$$

$$A_i = A_i^t \quad 0 \leq i \leq d, \quad (10)$$

$$A_i A_j = \sum_{h=0}^d p_{ij}^h A_h \quad 0 \leq i, j \leq d, \quad (11)$$

where  $J$  is the all-1s matrix and  $\circ$  denotes the entry-wise matrix product.

By the *Bose-Mesner algebra* of  $Y$  we mean the subalgebra  $M$  of  $\text{Mat}_X(\mathbb{R})$  generated by the associate matrices  $A_0, \dots, A_d$ . Observe by (8) and (11) that the associate matrices form a basis for  $M$ . In particular,  $M$  is symmetric and closed under  $\circ$ .

The algebra  $M$  has a second basis  $E_0, \dots, E_d$  such that

$$E_0 + \dots + E_d = I, \tag{12}$$

$$E_i E_j = \delta_{ij} E_i \quad 0 \leq i, j \leq d, \tag{13}$$

$$E_0 = \frac{1}{|X|} J, \tag{14}$$

$$E_i = E_i^t \quad 0 \leq i \leq d, \tag{15}$$

[1, Theorem 2.6.1]. We refer to  $E_0, \dots, E_d$  as the *primitive idempotents* of  $Y$ . Since  $M$  is closed under  $\circ$ , there exist real numbers  $q_{ij}^h$  satisfying

$$E_i \circ E_j = \frac{1}{|X|} \sum_{h=0}^d q_{ij}^h E_h \quad 0 \leq i, j \leq d. \tag{16}$$

The numbers  $q_{ij}^h$  are the *Krein parameters* for  $Y$ . Abbreviate  $k_i^* = q_{ii}^0$  for  $0 \leq i \leq d$ .

By (8), (9), and the fact that  $A_0, \dots, A_d$  is a basis for  $M$ , the primitive idempotents have constant diagonal; in fact

$$(E_i)_{xx} = \frac{k_i^*}{|X|} \quad 0 \leq i \leq d, \quad x \in X \tag{17}$$

and  $k_i^* \neq 0$  [1, p. 45]. We apply (17) in the proof of Lemma 4.1.

### 1.3. The dual Bose-Mesner algebra

Let  $Y$  denote a  $d$ -class symmetric association scheme with vertex set  $X$ , associate matrices  $A_0, \dots, A_d$ , primitive idempotents  $E_0, \dots, E_d$ , and Bose-Mesner algebra  $M$ . Fix a vertex  $x \in X$ .

For each integer  $i$  with  $0 \leq i \leq d$  let  $A_i^* = A_i^*(x)$  denote the diagonal matrix in  $\text{Mat}_X(\mathbb{R})$  defined by

$$(A_i^*)_{yy} = |X|(E_i)_{xy} \quad y \in X. \tag{18}$$

We refer to  $A_0^*, \dots, A_d^*$  as the *dual associate matrices* for  $Y$  with respect to  $x$ . Let  $M^* = M^*(x)$  denote the subalgebra of  $\text{Mat}_X(\mathbb{R})$  generated by the dual associate matrices. We refer to  $M^*$  as the *dual Bose-Mesner algebra* for  $Y$  with respect to  $x$ . From (16) we obtain

$$A_i^* A_j^* = \sum_{h=0}^d q_{ij}^h A_h^* \quad 0 \leq i, j \leq d. \tag{19}$$

In particular, the dual associate matrices form a basis for  $M^*$ .

For each integer  $i$  with  $0 \leq i \leq d$  let  $E_i^* = E_i^*(x)$  denote the diagonal matrix in  $\text{Mat}_X(\mathbb{R})$  defined by

$$(E_i^*)_{yy} = (A_i)_{xy} \quad y \in X. \quad (20)$$

From (7), (8) we obtain

$$E_0^* + \cdots + E_d^* = I, \quad (21)$$

$$E_i^* E_j^* = \delta_{ij} E_i^* \quad 0 \leq i, j \leq d. \quad (22)$$

We refer to  $E_0^*, \dots, E_d^*$  as the *dual idempotents* for  $Y$  with respect to  $x$ . Note that the dual idempotents form a second basis for  $M^*$ .

#### 1.4. The thin and dual-thin conditions

Let  $Y$  denote a  $d$ -class symmetric association scheme with vertex set  $X$ . Fix a vertex  $x \in X$ , and write  $M^* = M^*(x)$ .

Let  $T = T(x)$  denote the subalgebra of  $\text{Mat}_X(\mathbb{R})$  generated by  $M$  and  $M^*$ . We refer to  $T$  as the *subconstituent algebra* for  $Y$  with respect to  $x$ . By a  $T$ -module we mean a subspace of the standard module  $V = \mathbb{R}^X$  which is closed under multiplication by  $T$ . A  $T$ -module is said to be *irreducible* if it properly contains no  $T$ -modules other than 0. Recall that  $T$  is semi-simple, so that  $V$  may be decomposed as a direct sum of irreducible  $T$ -modules [4, Lemma 3.4].

An irreducible  $T$ -module  $W$  is said to be *thin* if

$$\dim E_i^* W \leq 1 \quad 0 \leq i \leq d, \quad (23)$$

and *dual thin* if

$$\dim E_i W \leq 1 \quad 0 \leq i \leq d. \quad (24)$$

We say  $Y$  is  *$i$ -thin* with respect to  $x$  if every irreducible  $T$ -module  $W$  with  $E_i^* W \neq 0$  is thin. We say  $Y$  is *dual  $i$ -thin* with respect to  $x$  if every irreducible  $T$ -module  $W$  with  $E_i W \neq 0$  is dual thin.

#### 1.5. $P$ - and $Q$ -polynomial structures

Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ , intersection numbers  $p_{ij}^h$ , and Krein parameters  $q_{ij}^h$ . We say that an ordering  $A_0, \dots, A_d$  of the associate matrices is a  *$P$ -polynomial structure* for  $Y$  whenever

$$p_{ij}^h = 0 \quad \text{if one of } h, i, j \text{ is greater than the sum of the other two,} \quad (25)$$

$$p_{ij}^h \neq 0 \quad \text{if one of } h, i, j \text{ is equal to the sum of the other two} \quad (26)$$

for  $0 \leq h, i, j \leq d$ . Recall that if  $A_0, \dots, A_d$  is a P-polynomial structure for  $Y$ , then  $A_1$  generates  $M$  [4, Lemma 3.8].

We say that an ordering  $E_0, \dots, E_d$  of the primitive idempotents is a *Q-polynomial structure* for  $Y$  whenever

$$q_{ij}^h = 0 \quad \text{if one of } h, i, j \text{ is greater than the sum of the other two,} \quad (27)$$

$$q_{ij}^h \neq 0 \quad \text{if one of } h, i, j \text{ is equal to the sum of the other two} \quad (28)$$

for  $0 \leq h, i, j \leq d$ . Recall that if  $E_0, \dots, E_d$  is a Q-polynomial structure for  $Y$ , then for each  $x \in X$  the dual associate matrix  $A_1^*(x)$  generates  $M^*(x)$  [4, Lemma 3.11].

## 2. Results

Our main results are the following:

**Theorem 2.1** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with  $d \geq 3$ . Suppose  $A_0, \dots, A_d$  is a P-polynomial structure for  $Y$  with intersection numbers  $p_{ij}^h$ , and suppose  $Y$  is 1-thin with respect to at least one vertex. Then*

$$p_{11}^1 = 0 \Rightarrow p_{1i}^i = 0 \quad 1 \leq i \leq d-1. \quad (29)$$

We prove Theorem 2.1 in Section 3.

**Theorem 2.2** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with  $d \geq 3$ . Suppose  $E_0, \dots, E_d$  is a Q-polynomial structure for  $Y$  with Krein parameters  $q_{ij}^h$ , and suppose  $Y$  is dual 1-thin with respect to at least one vertex. Then*

$$q_{11}^1 = 0 \Rightarrow q_{1i}^i = 0 \quad 1 \leq i \leq d-1. \quad (30)$$

We prove Theorem 2.2 in Section 4.

## 3. Proof of Theorem 2.1

Define a symmetric bilinear form on  $\text{Mat}_X(\mathbb{R})$  (where  $X$  is any set) by

$$\langle B, C \rangle = \text{tr}(B^t C) \quad B, C \in \text{Mat}_X(\mathbb{R}). \quad (31)$$

Observe that  $\langle B, C \rangle$  is just the sum of the entries of  $B \circ C$ . In particular, the form is positive definite.

**Lemma 3.1 (Terwilliger [4])** *Let  $Y = (X, \{R_i\}_{0 \leq i \leq d})$  denote a symmetric association scheme with associate matrices  $A_0, \dots, A_d$  and intersection numbers  $p_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $E_i^* = E_i^*(x)$  for  $0 \leq i \leq d$ . Then:*

(i) for  $0 \leq h, h', i, i', j, j' \leq d$ ,

$$\langle E_i^* A_h E_j^*, E_{i'}^* A_{h'} E_{j'}^* \rangle = \delta_{hh'} \delta_{ii'} \delta_{jj'} k_h p_{ij}^h; \quad (32)$$

(ii) for  $0 \leq h, i, j \leq d$ ,

$$E_h^* A_i E_j^* = 0 \Leftrightarrow p_{ij}^h = 0. \quad (33)$$

**Proof of (i):** Observe

$$(E_i^* A_h E_j^*)_{yz} = (E_i^*)_{yy} (A_h)_{yz} (E_j^*)_{zz} \quad (34)$$

$$= (A_i)_{xy} (A_h)_{yz} (A_j)_{xz}, \quad (35)$$

so that  $(E_i^* A_h E_j^*)_{yz} \neq 0$  if and only if  $xy \in R_i$ ,  $yz \in R_h$ , and  $xz \in R_j$ . Since the relations  $R_0, \dots, R_d$  are disjoint, the matrices  $E_i^* A_h E_j^*$  and  $E_{i'}^* A_{h'} E_{j'}^*$  have no non-zero entries in common unless  $h = h'$ ,  $i = i'$ ,  $j = j'$ . In this case there are precisely  $k_h p_{ij}^h$  non-zero entries, each equal to 1. The result follows.

**Proof of (ii):** Immediate from (i). □

Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $A_0, \dots, A_d$  is a P-polynomial structure for  $Y$ , with intersection numbers  $p_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $T = T(x)$ ,  $M^* = M^*(x)$ , and  $E_i^* = E_i^*(x)$  for  $0 \leq i \leq d$ .

There are three matrices in  $T$  which are of particular interest to us (their duals will be used in Section 4). These are the *lowering* matrix  $L = L(x)$ , the *flat* matrix  $F = F(x)$ , and the *raising* matrix  $R = R(x)$ , defined by

$$L = \sum_{i=1}^d E_{i-1}^* A_1 E_i^*, \quad (36)$$

$$F = \sum_{i=0}^d E_i^* A_1 E_i^*, \quad (37)$$

$$R = \sum_{i=0}^{d-1} E_{i+1}^* A_1 E_i^*. \quad (38)$$

It is easily shown using (25), (21), and (33) that

$$A_1 = L + F + R. \quad (39)$$

Recall that  $A_1$  generates the Bose-Mesner algebra  $M$ , so that  $A_1$  and  $E_0^*, \dots, E_d^*$  generate  $T$ . In particular,  $L, F, R$ , and  $E_0^*, \dots, E_d^*$  generate  $T$  by (39).

**Lemma 3.2** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $A_0, \dots, A_d$  is a P-polynomial structure for  $Y$ , with intersection numbers  $p_{ij}^h$ . Fix*

a vertex  $x \in X$ , and write  $T = T(x)$ ,  $L = L(x)$ , and  $E_i^* = E_i^*(x)$  for  $0 \leq i \leq d$ . If  $Y$  is  $l$ -thin with respect to  $x$ , then:

(i) for any irreducible  $T$ -module  $W$  with  $E_1^*W \neq 0$ ,

$$LE_i^*W = 0 \Rightarrow E_i^*W = 0 \quad 2 \leq i \leq d; \quad (40)$$

(ii) for  $w \in TE_1^*V$ ,

$$LE_i^*w = 0 \Rightarrow E_i^*w = 0 \quad 2 \leq i \leq d; \quad (41)$$

(iii) for  $B \in TE_1^*$ ,

$$LE_i^*B = 0 \Rightarrow E_i^*B = 0 \quad 2 \leq i \leq d. \quad (42)$$

**Proof of (i):** Let  $W$  be given. Fix an integer  $i$  with  $2 \leq i \leq d$ , and suppose  $LE_i^*W = 0$ . Let  $W'$  denote the subspace of  $W$  defined by

$$W' = E_i^*W + \cdots + E_d^*W. \quad (43)$$

Observe by (36)–(38) and (13) that  $W'$  is closed under multiplication by  $L$ ,  $F$ ,  $R$ , and  $E_0^*, \dots, E_d^*$ . Since  $T$  is generated by these matrices,  $W'$  is a  $T$ -module. Since  $E_1^*W' = 0$  and  $E_1^*W \neq 0$ ,  $W'$  is a proper submodule of  $W$ . Since  $W$  is irreducible, we now have  $W' = 0$ , and  $E_i^*W \subseteq W'$  is zero as desired.

**Proof of (ii):** Since  $V$  may be decomposed into a direct sum of irreducible  $T$ -modules, it suffices to show that the result holds for  $w \in TE_1^*W$  where  $W$  is an irreducible  $T$ -module. Fix an integer  $i$  with  $2 \leq i \leq d$  and an irreducible  $T$ -module  $W$ , and suppose  $w \in TE_1^*W$  has  $LE_i^*w = 0$ .

Suppose  $E_i^*w \neq 0$ . Observe  $E_1^*W \neq 0$ , since  $0 \neq E_i^*w \in E_i^*TE_1^*W$ . Since  $Y$  is  $l$ -thin with respect to  $x$ ,  $W$  is thin and  $\dim E_i^*W \leq 1$ . In particular,  $E_i^*w \in E_i^*W$  spans  $E_i^*W$ , and  $LE_i^*W = 0$ . By (i) we have  $E_i^*W = 0$ , and  $E_i^*w = 0$  for a contradiction. Thus  $E_i^*w = 0$  as desired.

**Proof of (iii):** Immediate from (ii). □

**Lemma 3.3** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $A_0, \dots, A_d$  is a  $P$ -polynomial structure for  $Y$ , with intersection numbers  $p_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $L = L(x)$  and  $E_i^* = E_i^*(x)$  for  $0 \leq i \leq d$ . Then:*

(i) for  $1 \leq i \leq d-1$ ,

$$LE_i^*A_{i+1}E_1^* = p_{1,i+1}^i E_{i-1}^*A_iE_1^*; \quad (44)$$

(ii) for  $1 \leq i \leq d$ , if  $p_{1,i-1}^{i-1} = 0$  then

$$LE_i^*A_iE_1^* = p_{1i}^i E_{i-1}^*A_iE_1^*. \quad (45)$$

**Proof of (i):** Let  $i$  be given. Observe by (22), (25), (33), (21), and (11) that

$$LE_i^* A_{i+1} E_1^* = E_{i-1}^* A E_i^* A_{i+1} E_1^* \quad (46)$$

$$= E_{i-1}^* A \left( \sum_{h=0}^d E_h^* \right) A_{i+1} E_1^* \quad (47)$$

$$= E_{i-1}^* A A_{i+1} E_1^* \quad (48)$$

$$= E_{i-1}^* \left( \sum_{h=0}^d p_{1,i+1}^h A_h \right) E_1^* \quad (49)$$

$$= p_{1,i+1}^i E_{i-1}^* A_i E_1^*, \quad (50)$$

as desired.

**Proof of (ii):** Let  $i$  be given, with  $p_{1,i-1}^{i-1} = 0$ . Observe as in (i) that

$$LE_i^* A_i E_1^* = E_{i-1}^* A E_i^* A_i E_1^* \quad (51)$$

$$= E_{i-1}^* A \left( \sum_{h=0}^d E_h^* \right) A_i E_1^* \quad (52)$$

$$= E_{i-1}^* A A_i E_1^* \quad (53)$$

$$= E_{i-1}^* \left( \sum_{h=0}^d p_{1i}^h A_h \right) E_1^* \quad (54)$$

$$= p_{1i}^i E_{i-1}^* A_i E_1^*, \quad (55)$$

as desired. □

**Proof of Theorem 2.1:** Suppose  $Y$  is  $l$ -thin with respect to  $x$ , and write  $L = L(x)$  and  $E_i^* = E_i^*(x)$  for  $0 \leq i \leq d$ . Suppose  $p_{11}^1 = 0$ , and suppose for a contradiction that  $p_{1i}^i \neq 0$  for some  $i$  with  $2 \leq i \leq d-1$ . Fix  $i \geq 2$  minimal with  $p_{1i}^i \neq 0$ . Then by Lemma 3.3,

$$0 = L(p_{1i}^i E_i^* A_{i+1} E_1^* - p_{1,i+1}^i E_i^* A_i E_1^*), \quad (56)$$

and by Lemma 3.2(iii),

$$0 = p_{1i}^i E_i^* A_{i+1} E_1^* - p_{1,i+1}^i E_i^* A_i E_1^*. \quad (57)$$

The summands in (57) are nonzero by (33) and orthogonal by (32), for a contradiction. Thus  $p_{1i}^i = 0$  for  $2 \leq i \leq d-1$ , as desired. □

#### 4. Proof of Theorem 2.2

Our proof is based upon the following result:



**Lemma 4.1 (Cameron, Goethals, Seidel [2])** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ , primitive idempotents  $E_0, \dots, E_d$ , and Krein parameters  $q_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $A_i^* = A_i^*(x)$  for  $0 \leq i \leq d$ . Then:*

(i) for  $0 \leq h, h', i, i', j, j' \leq d$ ,

$$\langle E_i A_h^* E_j, E_{i'} A_{h'}^* E_{j'} \rangle = \delta_{hh'} \delta_{ii'} \delta_{jj'} k_h^* q_{ij}^h; \quad (58)$$

(ii) for  $0 \leq h, i, j \leq d$ ,

$$E_h A_i^* E_j = 0 \Leftrightarrow q_{ij}^h = 0. \quad (59)$$

**Proof of (i):** Recall  $\text{tr}(BC) = \text{tr}(CB)$ , and observe by (15), (13), (18), (16), and (17) that

$$\langle E_i A_h^* E_j, E_{i'} A_{h'}^* E_{j'} \rangle = \text{tr}(E_j A_h^* E_i E_{i'} A_{h'}^* E_{j'}) \quad (60)$$

$$= \text{tr}(E_{j'} E_j A_h^* E_i E_{i'} A_{h'}^*) \quad (61)$$

$$= \delta_{ii'} \delta_{jj'} \text{tr}(E_j A_h^* E_i A_{h'}^*) \quad (62)$$

$$= \delta_{ii'} \delta_{jj'} \sum_{y, z \in X} (E_j)_{yz} (A_h^*)_{zz} (E_i)_{zy} (A_{h'}^*)_{yy} \quad (63)$$

$$= \delta_{ii'} \delta_{jj'} |X|^2 \sum_{y, z \in X} (E_j)_{yz} (E_h)_{xz} (E_i)_{zy} (E_{h'})_{xy} \quad (64)$$

$$= \delta_{ii'} \delta_{jj'} |X|^2 \sum_{y \in X} ((E_i \circ E_j) E_h)_{yx} (E_{h'})_{xy} \quad (65)$$

$$= \delta_{ii'} \delta_{jj'} |X| q_{ij}^h \sum_{y \in X} (E_h)_{yx} (E_{h'})_{xy} \quad (66)$$

$$= \delta_{ii'} \delta_{jj'} |X| q_{ij}^h (E_{h'} E_h)_{xx} \quad (67)$$

$$= \delta_{hh'} \delta_{ii'} \delta_{jj'} |X| q_{ij}^h (E_h)_{xx} \quad (68)$$

$$= \delta_{hh'} \delta_{ii'} \delta_{jj'} k_h^* q_{ij}^h, \quad (69)$$

as desired.

**Proof of (ii):** Immediate from (i). □

Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $E_0, \dots, E_d$  is a Q-polynomial structure for  $Y$ , with Krein parameters  $q_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $T = T(x)$ ,  $M^* = M^*(x)$ , and  $A_i^* = A_i^*(x)$  for  $0 \leq i \leq d$ .

The dual lowering matrix  $L^* = L^*(x)$ , the dual flat matrix  $F^* = F^*(x)$ , and the dual raising matrix  $R^* = R^*(x)$  are defined by

$$L^* = \sum_{i=1}^d E_{i-1} A_i^* E_i, \quad (70)$$

$$F^* = \sum_{i=0}^d E_i A_1^* E_i, \quad (71)$$

$$R^* = \sum_{i=0}^{d-1} E_{i+1} A_1^* E_i. \quad (72)$$

It is easily shown using (27), (12), and (59) that

$$A_1^* = L^* + F^* + R^*. \quad (73)$$

Recall that  $A_1^*$  generates the dual Bose-Mesner algebra  $M^*$ , so that  $A_1^*$  and  $E_0, \dots, E_d$  generate  $T$ . In particular,  $L^*, F^*, R^*$ , and  $E_0^*, \dots, E_d^*$  generate  $T$  by (73).

**Lemma 4.2** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $E_0, \dots, E_d$  is a  $Q$ -polynomial structure for  $Y$ , with Krein parameters  $q_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $T = T(x)$ ,  $L^* = L^*(x)$ , and  $A_i^* = A_i^*(x)$  for  $0 \leq i \leq d$ . If  $Y$  is dual 1-thin with respect to  $x$ , then:*

(i) *for any irreducible  $T$ -module  $W$  with  $E_1 W \neq 0$ ,*

$$L^* E_i W = 0 \Rightarrow E_i W = 0 \quad 2 \leq i \leq d; \quad (74)$$

(ii) *for  $w \in T E_1 V$ ,*

$$L^* E_i w = 0 \Rightarrow E_i w = 0 \quad 2 \leq i \leq d; \quad (75)$$

(iii) *for  $B \in T E_1$ ,*

$$L^* E_i B = 0 \Rightarrow E_i B = 0 \quad 2 \leq i \leq d. \quad (76)$$

**Proof:** Similar to the proof of Lemma 3.2. □

**Lemma 4.3** *Let  $Y$  denote a  $d$ -class symmetric association scheme, with vertex set  $X$ . Suppose  $E_0, \dots, E_d$  is a  $Q$ -polynomial structure for  $Y$ , with Krein parameters  $q_{ij}^h$ . Fix a vertex  $x \in X$ , and write  $L^* = L^*(x)$  and  $A_i^* = A_i^*(x)$  for  $0 \leq i \leq d$ . Then:*

(i) *for  $1 \leq i \leq d$ ,*

$$L^* E_i A_{i+1}^* E_1 = q_{1,i+1}^i E_{i-1} A_i^* E_1; \quad (77)$$

(ii) *for  $1 \leq i \leq d$ , if  $q_{1,i-1}^{i-1} = 0$  then*

$$L^* E_i A_i^* E_1 = q_{1i}^i E_{i-1} A_i^* E_1. \quad (78)$$

**Proof:** Similar to the proof of Lemma 3.3. □

**Proof of Theorem 2.2:** Similar to the proof of Theorem 2.1. □

## References

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