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# Triangle-Free Outerplanar 3-Graphs are Pairwise Compatibility Graphs

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#### Abstract

A graph G = (V, E) is called a *pairwise compatibility graph* (*PCG*) if there exists an edge-weighted tree T and two non-negative real numbers  $d_{min}$  and  $d_{max}$  such that each vertex  $u' \in V$  corresponds to a leaf u of Tand there is an edge  $(u', v') \in E$  if and only if  $d_{min} \leq d_T(u, v) \leq d_{max}$  in T. Here,  $d_T(u, v)$  denotes the distance between u and v in T, which is the sum of the weights of the edges on the path from u to v. It is known that not all graphs are *PCGs*. Thus it is interesting to know which classes of graphs are *PCGs*. In this paper we show that triangle-free outerplanar graphs with the maximum degree 3 are *PCGs*.

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### 1 Introduction

Let T be an edge-weighted tree and let  $d_{min}$  and  $d_{max}$  be two non-negative real numbers such that  $d_{min} \leq d_{max}$ . A pairwise compatibility graph(PCG) of T for  $d_{min}$  and  $d_{max}$  is a graph G = (V, E), where each vertex  $u' \in V$  represents a leaf u of T and there is an edge  $(u', v') \in E$  if and only if the distance between u and v in T lies within the range from  $d_{min}$  to  $d_{max}$ . T is called the pairwise compatibility tree of G. We denote a pairwise compatibility graph of T for  $d_{min}$ and  $d_{max}$  by  $PCG(T, d_{min}, d_{max})$ . Figure 1(b) depicts an edge-weighted tree T and Fig. 1(a) depicts a pairwise compatibility graph G of T for  $d_{min} = 4$ and  $d_{max} = 7$ ; there is an edge between a' and b' in G since in T the distance between a and b is six, which is within the range; but G does not contain the edge (a', c') since in T the distance between a and c is eight, which is larger than seven; also there is an edge between b' and c' in G since in T the distance between b and c is four, but G does not contain the edge (b', d') since in T the distance between b and d is three, which is smaller than four. It is quite apparent



Figure 1: (a) A pairwise compatibility graph G, (b) an edge-weighted tree T and (c) an edge-weighted tree  $T_2$ .

that a single edge-weighted tree may have many pairwise compatibility graphs for different values of  $d_{min}$  and  $d_{max}$ . Likewise, a single pairwise compatibility graph may have many trees of different topologies as its pairwise compatibility trees. For example, the graph in Fig. 1(a) is a *PCG* of the tree in Fig. 1(b)for  $d_{min} = 4$  and  $d_{max} = 7$ , and it is also a *PCG* of the tree in Fig. 1(c) for  $d_{min} = 5$  and  $d_{max} = 8$ . Thus, the pairwise compatibility concept concerns two bounds  $d_{min}$  and  $d_{max}$ . The special case where  $d_{min} = 0$  reduces *PCG*s to graph classes, namely "leaf power graphs", investigated by Kolen [15] and later by Brandstadt *et al.* [2, 3]. This interesting case where  $d_{min} = 0$  leads to graphs that are a subclass of strongly chordal graphs [8] which have many practical applications. A graph G(V, E) is a leaf power graph (LPG) iff there exists a tree T and a nonnegetive number  $d_{max}$  such that for an edge (u', v')in E and their corresponding leaves u, v in T we have  $d_T(u, v) \leq d_{max}$  [17]. A lot of works has been done on this class (LPG) of graphs by Kennedy et al. at [14], again by Brandstadt *et al.* at [5, 4, 1], also by Fellows *et al.* at [9]. However the complete description of leaf power graphs is still unknown. This class LPG is a subclass of PCG. In [7] the authors have introduced another subclass of PCG, namely "mLPG", by concerning only the minimum distance constraint and showed the relations between PCG, LPG and mLPG. In mLPG (we set  $d_{max} = +\infty$ ), there is an edge in E if and only if the corresponding leaves are at a distance greater than  $d_{min}$  in the tree.

There are two fundamental problems in the realm of pairwise compatibility graphs. One is the tree construction problem and the other is the pairwise compatibility graph recognition problem. Given a PCG G, the tree construction problem asks to construct an edge-weighted tree T, such that G is a pairwise compatibility graph of T for suitable  $d_{min}$  and  $d_{max}$ . The second problem, pairwise compatibility graph recognition problem, seeks the answer whether or not a given graph is a PCG.

Pairwise compatibility graphs have their applications in reconstructing evolutionary relationships among organisms from biological data (also called phylogeny) [12, p.196-200] [16, p.189-199]. The phylogeny reconstruction problem is known to be NP-hard [10, 11]. Phylogenetic relationships are usually represented as trees known as the phylogenetic trees. Dealing with the problem of collecting leaf samples from large phylogenetic trees, Kearney *et al.* introduced the concept of pairwise compatibility graphs [13]. Furthermore, in that paper, the proponents of *PCGs* have shown that "the clique problem", a well known NP-complete problem, is polynomially solvable for pairwise compatibility graphs if the pairwise compatibility tree construction problem can be solved in polynomial time.

Since their inception, several interesting problems have been raised in pairwise compatibility graphs concept, and hitherto most of these problems have remained unsolved. Among the others, identifying different graph classes as pairwise compatibility graphs is an important concern. Seeing the exponentially increasing number of possible tree topologies for large graphs, the proponents of PCGs conjectured that all undirected graphs are PCGs [13]. Yanhaona et al. refute the conjecture by showing that not all graphs are PCGs [22]. Phillips has shown that every graph of five vertices or less is a PCG [18] and also very recently it is proved that every graph of seven vertices or less is a PCG [6]. It has also been shown that all cycles, single chord cycles, cactus graph, tree power graphs, Steiner k-power and phylogenetic k-power graphs, some particular subclasses of bipartite graphs, some particular subclasses of split matrogenetic graphs are PCGs [22, 23, 7]. In this paper we show that trees, ladder graphs, outer subdivision of ladder graphs and triangle-free outerplanar 3-graphs are  $PCG_{\rm S}$ . We also provide algorithms for constructing pairwise compatibility trees for graphs of these classes.

The rest of the paper is organized as follows. Section 2 gives some of the definitions along with some trivial results on trees and ladder graphs. Section 3 deals with outer subdivisions of ladder graphs which is a subclass of triangle free outerplanar 3-graphs. In Section 4 we show that triangle free outerplanar 3-graphs are pairwise compatibility graphs. Finally, Section 5 concludes our paper with discussions. A preliminary version of this paper has been presented at [20].

### 2 Preliminaries

In this section we define some terms that we have used in this paper.

Let G = (V, E) be a simple connected graph with vertex set V and edge set E. The sets of vertices and edges of G are denoted by V(G) and E(G), respectively. An edge between two vertices u and v of G is denoted by (u, v). Two vertices uand v are *adjacent* and called *neighbors* if  $(u, v) \in E$ ; the edge (u, v) is then said to be *incident* to vertices u and v. The *degree* of a vertex v in G is the number of edges incident to it. The maximum degree of a graph G is the maximum degree of its vertices. We call a graph k-graph if the maximum degree of that graph is k. A path  $P_{uv} = w_0, w_1, \cdots, w_n$  is a sequence of distinct vertices in V such that  $u = w_0, v = w_n$  and  $(w_{i-1}, w_i) \in E$  for every  $1 \le i \le n$ . A subgraph of a graph G = (V, E) is a graph G' = (V', E') such that  $V' \subseteq V$  and  $E' \subseteq E$ ; we then write  $G' \subseteq G$ . The connectivity  $\kappa(G)$  of a graph G is the minimum number of vertices whose removal results in a disconnected graph or a single vertex graph. G is called a k-connected graph if  $\kappa(G) > k$ . We call a vertex of G a cut vertex if its removal results in a disconnected or single-vertex graph. A biconnected graph of n vertices is a *ladder* if it consists of two distinct paths of the same length  $(u_1, u_2, \cdots, u_{\frac{n}{2}})$  and  $(v_1, v_2, \cdots, v_{\frac{n}{2}})$  plus the edges  $(u_i, v_i)$  $(i = 1, 2, \cdots, \frac{n}{2}).$ 

A cycle of G is a sequence of distinct vertices starting and ending at the same vertex such that two vertices are adjacent if they appear consecutively in the sequence. A tree T is a connected graph with no cycle. Vertices of degree one in T are called *leaves* and the others are *internal nodes*. A tree T is weighted if each edge is assigned a number as the weight of the edge. The weight of an edge (u, v) is denoted by W(u, v). The distance between two vertices u and v in T, denoted by  $d_T(u, v)$ , is the sum of the weights of the edges on  $P_{uv}$ . A caterpillar graph is a tree such that if all leaves and their incident edges are removed, the remainder of the graph forms a single path. The path is called the *spine* of the caterpillar.

A graph is *planar* if it can be embedded in the plane so that no two edges intersect geometrically except at a vertex to which both of them are incident. A plane graph is a planar graph with a fixed embedding. A plane graph divides the plane into connected regions called *faces*. The unbounded region is called the unbounded or outer face of G. The other faces are called bounded or internal faces. Any face with exactly 3 edges is called a triangulated face. A dual graph of a plane graph G is a graph which has a vertex for each face of G, and an edge for each edge in G joining two neighboring faces. A weak dual of a plane graph G is the subgraph of the dual graph of G whose vertices correspond to the bounded faces of G. A graph is *outerplanar* if it has a planar embedding where all vertices are on the outer face. Throughout the paper by an outerplanar graph we mean an outerplanar embedding of an outerplanar graph, that is, an outerplane graph. A weak dual of a biconnected outerplanar graph is a tree. A triangle-free outerplanar graph is an outerplanar graph containing no triangulated faces. Subdividing an edge (u, v) of a graph G is the operation of deleting the edge (u, v) and adding a path  $u(=w_0), w_1, w_2, \cdots, w_k, v(w_{k+1})$ 

through new vertices  $w_1, w_2, \dots, w_k, k \ge 1$ , of degree two. A graph G' is said to be a *subdivision* of a graph G if G' is obtained from G by subdividing some of the edges of G. An *outer subdivision* of a graph G is a subdivision of G obtained by subdividing some edges on the outer face of G.

We are now going to present some elementary results on pairwise compatibility graphs. The following theorem gives a trivial result on trees.

**Theorem 1** Every tree is a pairwise compatibility graph.



Figure 2: (a) A tree T and (b) a pairwise compatibility tree T'' of T.

**Proof:** We give a constructive proof. Let T be a tree as illustrated in Fig. 2(a). We take a replica T' of T. We construct a tree T'' from T' by introducing a new (pendant) vertex v'' for each  $v' \in T'$  such that  $(v', v'') \in E(T'')$ . Then we assign weight r to all the edges of T'' as illustrated in Fig. 2(b). The leaves of T'' represent the vertices of T. Clearly, T'' is a pairwise compatibility tree of T with  $d_{min} = d_{max} = 3r$ .

The construction in the proof of Theorem 1 can be done in linear time. We now present our result on ladder graphs as in the following theorem.

### **Theorem 2** Every ladder graph is a pairwise compatibility graph.<sup>1</sup>

**Proof:** Let G = (V, E) be a ladder graph with 2n vertices where  $V = \{v'_1, v'_2, v'_3, \cdots, v'_n, u'_1, u'_2, u'_3, \cdots, u'_n\}$  such that  $\{v'_1, v'_2, v'_3, \cdots, v'_n\}$  and  $\{u'_1, u'_2, u'_3, \cdots, u'_n\}$  are two distinct paths and for  $1 \leq i \leq n$ ,  $(u'_i, v'_i) \in E$ , as illustrated in Fig. 3(a). We construct a caterpillar T where the leaves  $v_1, v_2, v_3, \cdots, v_n$ ,  $u_1, u_2, u_3, \cdots, u_n$  represent the vertices  $v'_1, v'_2, v'_3, \cdots, v'_n, u'_1, u'_2, u'_3, \cdots, u'_n$  of G, respectively, as illustrated in Fig. 3(b). Let  $p_i$  be the vertex adjacent to  $v_i$  and  $q_i$  be the vertex adjacent to  $u_i$  in the caterpillar for  $1 \leq i \leq n$ . We now assign weights for the edges of T as follows. For  $1 \leq i \leq n$ , we assign  $W(v_i, p_i) = r + 1, W(p_i, q_i) = r - 1, W(q_i, u_i) = r$  and for  $1 \leq i < n$ , we assign  $W(q_i, p_{i+1}) = r - 2$ . We next show  $G = PCG(T, d_{min}, d_{max})$  with  $d_{min} = 3r$ ,  $d_{max} = 4r - 1$  and  $r \geq 3$ .

<sup>&</sup>lt;sup>1</sup>This result was presented at [19].





Figure 3: (a) A ladder graph G and (b) a pairwise compatibility tree T of G.

We first consider the case where  $2 \le i < n$ . Here  $d_T(v_i, u_i) = 3r = d_{min}$ . Since this distance is within the range,  $v'_i$  and  $u'_i$  are adjacent in *G*. Similarly, since  $d_T(v_{i-1}, v_i) = d_T(v_i, v_{i+1}) = (r+1) + (r-2) + (r-1) + (r+1) = 4r - 1 = d_{max}$ , the vertices  $v'_{i-1}$  and  $v'_i$  are adjacent in *G*. Since  $d_T(u_{i-1}, u_i) = d_T(u_i, u_{i+1}) = r + (r-2) + (r-1) + r = 4r - 3 = (4r-1) - 2 = d_{max} - 2 = 3r + (r-3) = d_{min} + (r-3)$ , for  $r \ge 3$ ,  $d_{min} \le d_T(u_{i-1}, u_i)$ ,  $d_T(u_i, u_{i+1}) < d_{max}$  and hence  $u'_{i-1}$  and  $u'_i$  are adjacent in *G*. Since  $d_T(v_i, u_{i-1}) = (r+1) + (r-2) + r = 3r - 1 < d_{min}$ ,  $v'_i$  and  $u'_{i-1}$  are not adjacent in *G*. The vertices  $v'_i$  and  $u'_{i+1}$  are not adjacent because  $d_T(v_i, u_{i+1}) = (r+1) + (r-2) + (r-1) + r = 5r - 3 = (4r - 1) + (r - 2) = d_{max} + (r - 2) > d_{max}$ . Similarly, the vertices  $v'_{i-1}$  and  $u'_i$  are not adjacent because  $d_T(v_{i-1}, u_i) = (r+1) + (r-1) + (r-2) + (r-1) + r = 5r - 3 = (4r - 1) + (r - 2) = d_{max} + (r - 2) > d_{max}$ . Similarly, the vertices  $v'_{i-1}$  and  $u'_i$  are not adjacent because  $d_T(v_{i-1}, u_i) = (r+1) + (r-1) + (r-2) + (r-1) + r = 5r - 3 = (4r - 1) + (r - 2) = d_{max} + (r - 2) > d_{max}$ . Again  $v'_{i+1}$  and  $u'_i$  are not adjacent because  $d_T(v_{i-1}, u_i) = (r+1) + (r-1) + (r-2) + (r-1) + r = 5r - 3 = (4r - 1) + (r - 2) = d_{max} + (r - 2) > d_{max}$ .

One can easily verify the other two cases, where i = 1 and i = n. Hence, by definition,  $G = PCG(T, d_{min}, d_{max})$ .

Based on the proof of Theorem 2, one can obtain an O(n) time algorithm for constructing a pairwise compatibility tree of a ladder graph of n vertices.

### **3** Outer subdivisions of ladder graphs and *PCGs*

In an outer subdivision of a ladder graph every vertex lies on the boundary of the outer face of the graph. In this section, graphs of this class will be shown to be a PCG by constructing the pairwise compatibility trees for these graphs. The main idea is to decompose a graph of this class into cycles, then construct pairwise compatibility trees for these cycles as caterpillars and finally merge the caterpillars to get the desired pairwise compatibility tree. Theorem 3 states the main result of this section.

**Theorem 3** Outer subdivisions of ladder graphs are pairwise compatibility graphs.

To prove the claim of Theorem 3 we need the following lemmas. Our first lemma finds a pairwise compatibility tree of a cycle. Although the authors of [23] gave two algorithms for finding pairwise compatibility trees of given cycle by considering odd cycle and even cycle separately, we give a generalized construction in the proof of Lemma 1 for all cycles.

**Lemma 1** Every cycle is a PCG which has a pairwise compatibility tree as a caterpillar.

**Proof:** Let *C* be a cycle with *n* vertices  $v'_1, v'_2, v'_3, \cdots, v'_n$  where  $(v'_i, v'_j)$  are adjacent for  $i < n, j \le n, j = i + 1$  and  $(v'_1, v'_n)$  are also adjacent. We make a caterpillar *T* for this cycle *C* such that the leaves  $v_1, v_2, v_3, \cdots, v_{n-1}$  represents the vertices  $v'_1, v'_2, v'_3, \cdots, v'_{n-1}$  of *C* where path  $P_{v_i v_j}$  in *T* for j = i + 1 contains three edges including an edge on the spine as illustrated in Fig. 4. We assign weight *d* to each edge on the spine and weight *w* to each of the other edges. Then the distance between any two leaves in the caterpillar is  $d_T(v_i, v_j) = w + (j - i)d + w = 2w + (j - i)d$ . Let  $u_1, u_2, u_3, \cdots, u_{n-1}$  be the vertices on the spine of the caterpillar that are adjacent to the leaves  $v_1, v_2, v_3, \cdots, v_{n-1}$ , respectively. If *n* is odd, we put a vertex  $u_n$  in the middle of the path  $P_{u_1u_{n-1}}$  on the spine and place the last vertex  $v_n$  as a leaf which is adjacent to the vertex  $u_{\frac{n}{2}}$  as  $u_n$  which is in the middle of the path  $P_{u_1u_{n-1}}$  on the spine and place the last vertex  $v_n$  as a leaf which is adjacent to the vertex  $u_{\frac{n}{2}}$  with an edge of weight  $w_n$ , as illustrated in Fig. 4. If *n* is even, we use the vertex  $u_{\frac{n}{2}}$  as  $u_n$  which is in the middle of the path  $P_{u_1u_{n-1}}$  on the spine and place the information is adjacent to the vertex  $u_{\frac{n}{2}}$  with an edge of weight  $w_n$ , as illustrated in Fig. 5.

		w=(n/2-1)*d					
$\begin{array}{c c} u_1 & u_2 \\ \hline & d & \\ w & w \\ \hline & v_1 & v_2 \end{array}$	$\begin{array}{c} u_3 \\ \hline \\ u_1 \\ w \\ \psi_2 \\ v_3 \end{array}$	$\begin{array}{c} u_{n-1} & u_n & u_{n+1} \\ \cdots & & & & \\ & & & & \\ & & & & \\ & & & &$	$u_{n-3}$ w $v_{n-3}$	$u_{n-2}$ w $v_{n-2}$	Un-1 W Vn-1		

Figure 4: A caterpillar which is a pairwise compatibility tree of a cycle C of odd number of vertices.



Figure 5: A caterpillar which is a pairwise compatibility tree of a cycle C of even number of vertices.

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Now let us keep the relations between the weights w and d such that w be the distance between vertices  $u_{n-1}$  and  $u_n$ , i.e. w = (n/2 - 1) \* d (see Figures 4 and 5). Let us assign  $w_n = 2d - r$  where  $r \ll d$ ,  $d_{min} = 2w + d$  and  $d_{max} = 2w + 2d - r = d_{min} + d - r$ . One can easily verify that the distance between the last vertex and any other vertex that is not adjacent to the last vertex is less than  $d_{min}$ . On the other hand, the distance between any other pair of non-adjacent vertices is greater than  $d_{max}$  and  $C = PCG(T, d_{min}, d_{max})$ .  $\Box$ 

The outer subdivisions of the ladder graph G can be decomposed into several cycles and we can merge corresponding compatibility tree to get PCG of G. We cannot merge the caterpillars of different cycles unless they have the same  $d_{min}$  and  $d_{max}$ . However, the following lemma focuses on constructing caterpillars of different cycles with the same  $d_{min}$  and  $d_{max}$ .

**Lemma 2** Let C be a cycle of n vertices. Assume that C has a pairwise compatibility tree T for some  $d_{min}$  and  $d_{max}$ . Let C' be a cycle of  $n' \leq n$  vertices. Then C' has a pairwise compatibility tree T' for the same  $d_{min}$  and  $d_{max}$ .

**Proof:** According to Lemma 1, Cycle C has a pairwise compatibility tree as a caterpillar T where every edge on the spine has weight d, the edge with the last vertex has weight  $w_n = 2d - r$  and each of the other edges has weight w where w = d(n/2 - 1),  $d_{min} = 2w + d$  and  $d_{max} = 2w + 2d - r$ .

Let T be the pairwise compatibility tree of cycle C of n vertices. Let d be the assigned weight to the each edge of spine in T. So according to Lemma 1 w = d(n/2 - 1) and the weight of the edge with the last vertex is  $w_n = 2d - r$ where  $d_{min} = 2w + d$  and  $d_{max} = 2w + 2d - r$ . The distance  $d_T(v_n, v_1) =$  $d_T(v_n, v_{n-1}) = d_{max} = 2w + 2d - r$ .

Let T' be the pairwise compatibility tree of C'. We set weight d to each edge of spine and w = d(n/2 - 1) to each of the other edges in T'. One can easily observe that, the distance between any two vertices of  $v_1, v_2, \ldots, v_{n'-1}$  in T' hold the relation for being  $C' = PCG(T', d_{min}, d_{max})$ . Since the distance of  $d_{T'}(v_{n'}, v_1)$  or  $d_{T'}(v_{n'}, v_{n'-1})$  must be equal to  $d_{max}$ , we set  $w_{n'} = d_{max} - d_{T'}(v_1, u_{n'})$ . Since  $d_{max} = 2w + 2d - r$ ,  $d_{T'}(u_1, u_{n'}) = d(n'/2 - 1)$  and w = d(n/2 - 1),  $w_{n'} = d(n - n')/2 + 2d - r$ .

The weight chosen above satisfies the condition that  $d_{T'}(v_{n'}, v_2) < d_{min}$  and  $d_{T'}(v_{n'}, v_{n'-2}) < d_{min}$ . This implies that the distance between  $v_{n'}$  and any vertex which is not adjacent to  $v_{n'}$  is less than  $d_{min}$ .

Once we have the caterpillars of different cycles with the same  $d_{min} = 2w + d$ and  $d_{max} = 2w + 2d - r$ , we have to proceed to merge the caterpillars. Before merging we adjust some weights of all the caterpillars; we set  $w'_n = w_n - (d - r)$ ; and for  $1 \le i \le (n-1), d_T(u_i, v_i) = w + b$ , where  $b \ll r \ll d$  and  $b \ll (d-r)$ , as illustrated in Fig. 6. Then  $d_T(v_n, v_1) = d_T(v_n, v_{n-1}) = 2w + d + b = (w+b) + d + w$ and  $d_T(v_n, u_2) = d_T(v_n, u_{n-2}) = w$ . One can easily observe that, the distance between any two vertices of  $v_1, v_2, \ldots, v_{n-1}$  in T hold the relation for being C = $PCG(T, d_{min}, d_{max})$  when we set  $d_T(u_i, v_i) = w + b$ . The distance  $d_T(v_n, v_1) =$   $\begin{array}{l} d_T(v_n,v_{n-1})=d_{max}-(d-r)+b=(2w+2d-r)-(d-r)+b=2w+d+b \mbox{ which}\\ \mbox{is greater than } d_{min} \mbox{ and less than } d_{max} \mbox{ and again } d_T(v_n,v_2)=d_T(v_n,v_{n-2})=\\ d_T(v_n,v_1)-(w+b)-d+(w+b)=(2w+d+b)-d=2w+b< d_{min}. \mbox{ So the relations between the leaves of the caterpillars remain unchanged after adjusting the weights. Now we observe that } d_T(v_n,u_2)=d_T(v_n,u_{n-2})=w. \end{array}$ 

The following lemma focuses on merging the caterpillars.



Figure 6: Caterpillar of a cycle after adjusting weights.

**Lemma 3** Let G be a graph such that  $G = C_1 \cup C_2$  where  $C_1$  and  $C_2$  are two cycles having exactly one common edge. Let  $T_1$  and  $T_2$  be two caterpillars such that  $T_1$  and  $T_2$  are pairwise compatibility trees of  $C_1$  and  $C_2$ , respectively, with the same  $d_{\min}$  and  $d_{\max}$ . Then, a pairwise compatibility tree T for G can be obtained for the same  $d_{\min}$  and  $d_{\max}$  by merging  $T_1$  and  $T_2$ .

**Proof:** Let G be a graph such that  $G = C_1 \cup C_2$  where  $C_1$  and  $C_2$  are two cycles having exactly one common edge. Hence  $C_1$  and  $C_2$  have exactly two common vertices. Let cycles  $C_1$  and  $C_2$  contain s and t vertices respectively. Let  $T_1$  and  $T_2$  be two caterpillars such that  $T_1$  and  $T_2$  are pairwise compatibility trees of  $C_1$  and  $C_2$ , respectively, with the same  $d_{min}$  and  $d_{max}$ , as illustrated in Fig. 7. We have to create pairwise compatibility tree T of G by using  $T_1$  and  $T_2$  for the same  $d_{min}$  and  $d_{max}$ .



Figure 7: (a) A pairwise compatibility tree  $T_1$  of cycle  $C_1$  and (b) a pairwise compatibility tree  $T_2$  of cycle  $C_2$ .

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Without loss of generality we can consider that  $(q'_1, q'_2)$  of  $C_2$  is the common edge  $(p'_x, p'_y)$  of  $C_1$  where  $p'_y = p'_{x+1}$ . Since  $C_1$  is a cycle, there are two paths between  $p'_1$  and  $p'_y$ . We call the path from  $p'_1$  to  $p'_y$  the *reference path* on which neither  $p'_2$  nor  $p'_x$  lies. The reference paths are shown by thick lines in Figs. 8(a), 9(a) and 10(a). Depending on the number of vertices on the reference path, we have three cases to consider.

Case 1: The reference path contains exactly three vertices. (See Fig. 8(a).) In this case, in  $C_1$ ,  $p'_x$  is  $p'_{s-2}$  and  $p'_y$  is  $p'_{s-1}$ . We label the vertices of  $C_2$  as counter clock wise as shown in the Fig. 8(a).

Let  $T_1$  be the pairwise compatibility tree of  $C_1$ . We set  $d_{T_1}(p_{s-1}, u_{s-1}) = w + 2b$  and  $d_{T_1}(u_{s-1}, u_{s-2}) = d - b$ . Hence the resultant  $d_{T_1}(p_{s-1}, u_{s-2}) = w + b + d$  (see the Fig. 8(b)). Since, the vertex  $u_{s-1}$  is a vertex of degree 2, this weight adjustment does not affect to other relation.

Let  $T_2$  be the pairwise compatibility tree of  $C_2$ . In  $T_2$  we set  $d_{T_2}(v_1, v_2) = d - b$  and  $d_{T_2}(v_2, q_2) = w + 2b$ . We are changing the weight at most b and  $b \ll r \ll d$ , so the relations of the distances (distance >  $d_{max}$ , distance <  $d_{min}, d_{min} \leq distance \leq d_{max}$ ) between the leaves of the caterpillars remain unchanged after adjusting the weights as shown in the Fig. 8(c).



Figure 8: Pairwise compatibility tree construction for Case 1; (a) a graph G with cycles  $C_1$  and  $C_2$ , (b) the pairwise compatibility tree  $T_1$  of  $C_1$ , (c) the pairwise compatibility tree  $T_2$  of  $C_2$ , and (d) the pairwise compatibility tree T of G.

Pairwise compatibility tree T for G can be obtained by merging  $T_1$  and  $T_2$  such that the vertices  $q_1, v_1, v_2$ , and  $q_2$  of  $T_2$  lie on the vertices  $p_{s-2}, u_{s-2}, u_{s-1}$  and  $p_{s-1}$  of  $T_1$ , receptively as illustrated in Fig. 8(d).

Now we show that T is a pairwise compatibility tree of G. The distance from  $p_s$  to  $q_1$  is w + (w + b) which is smaller than  $d_{min}$ , the distance from  $p_s$ to  $q_2$  is equal to 2w + d + b which is greater than  $d_{min}$  and less than  $d_{max}$  and the distances from  $p_s$  to each of the leaves  $q_3, q_4, \ldots, q_{t-1}$  are greater than  $d_{max}$ . Again, the distance from  $q_t$  to  $p_y$  is smaller than  $d_{min}$ , the distance from  $q_t$  to  $p_x$  is equal to 2w + d which is equal to  $d_{min}$  and the distances from  $q_t$  to each of the leaves  $p_1, p_2, p_3, ..., p_{s-3}$  are greater than  $d_{max}$ . Hence all the leaves except  $p_s$  and  $q_t$  hold the relations for being  $G = PCG(T, d_{min}, d_{max})$ .

Here  $p'_s$  and  $q'_t$  are not adjacent in G and

 $d_T(p_s, q_t) = d_T(p_s, u_{s-1}) + d_T(u_{s-1}, q_t)$ 

 $= d_T(p_s, p_y) - (w+2b) + w$ 

= (2w+d+b) - (w+2b) + w

 $= 2w + d - b < d_{min}$ . i.e.  $d_T(p_s, q_t) < d_{min}$ . Thus  $G = PCG(T, d_{min}, d_{max})$ .

Case 2: The reference path contains more than three vertices. (See Fig. 9(a).) In this case, in  $C_1$ , neither  $p'_x$  nor  $p'_y$  is  $p'_s$  or  $p'_{s-1}$ . Let us assume  $p_x$ , among the consecutive leaves  $p_x$  and  $p_y$ , is nearer to  $p_s$  in  $T_1$ . Let the leaf  $p_z$  be at an equal distance from  $p_x$  as  $p_y$  from  $p_x$ .

We insert a vertex  $u_l$  between  $u_z$  and  $u_x$  in  $T_1$  such that  $d_{T_1}(u_z, u_l) = d - l$ and  $d_{T_1}(u_l, u_x) = l$  as shown in the Fig. 9(b). One can easily observe that adding such a vertex preserves  $C_1 = PCG(T_1, d_{min}, d_{max})$ .

We insert a vertex  $v_l$  between  $v_2$  and  $v_3$  in  $T_2$  such that  $d_{T_2}(v_3, v_l) = d$  and  $d_{T_2}(v_l, v_2) = l$  where  $(r \gg l \gg b)$  as shown in the Fig. 9(c). Now  $d_{T_2}(v_2, v_3) = d + l$ . We can easily verify that adding such a small weight on the spine does not break the relation for being  $C_2 = PCG(T_2, d_{min}, d_{max})$ .

Note that  $d_{T_2}(v_l, v_2) = d_{T_1}(u_l, u_x) = l$ ,  $d_{T_2}(v_2, q_2) = d_{T_1}(u_x, p_x) = w + b$ ,  $d_{T_2}(v_1, v_2) = d_{T_1}(u_x, u_y) = d$ , and  $d_{T_2}(v_1, q_1) = d_{T_1}(u_y, p_y) = w + b$ .

So we can easily merge  $T_1$  and  $T_2$  such that the vertices  $v_l$ ,  $v_2$ ,  $q_2$ ,  $v_1$  and  $q_1$  of  $T_2$  lie on the vertices on  $u_l$ ,  $u_x$ ,  $p_x$ ,  $u_y$  and  $p_y$  of  $T_1$ , respectively, as illustrated in Fig. 9(d).

Now the distances from  $p_s$  to  $q_1$  and from  $p_s$  to  $q_2$  are smaller than  $d_{min}$ and the distances from  $p_s$  to each of the leaves  $q_3, q_4, ..., q_{t-1}$  are either less or equal to w - l + d + w + b which is less than  $d_{min}$  or greater or equal to w - l + 2d + w + b which is greater than  $d_{max}$ . Again, the distance from  $q_t$  to  $p_x = q_2$  is  $d_T(q_t, u_l) + l + w + b = (w) + l + w + b = 2w + l + b < d_{min}$ , the distance from  $q_t$  to  $p_y = q_1$  is  $d_T(q_t, u_l) + l + d + w + b = (w) + l + d + w + b = 2w + d + l + b$ which is within the range from  $d_{min}$  to  $d_{max}$ , the distance from  $q_t$  to  $p_z$  is  $d_T(q_t, u_l) + d - l + w + b = 2w + d - (l - b) < d_{min}$  and the distances from  $q_t$ to each of the leaves  $p_1, p_2, p_3, ..., p_{z-1}$  and  $p_{y+1}, ..., p_{s-1}$  are greater than  $d_{max}$ . Hence all the leaves except  $p_s$  and  $q_t$  hold the relations for being

 $G = PCG(T, d_{min}, d_{max})$ . Again  $p'_s$  and  $q'_t$  are not adjacent in G, and  $d_T(p_s, q_t) = d_T(p_s, u_l) + d_T(u_l, q_t) < (w - d - l) + (w) = 2w - (d + l) < d_{min}$ . Thus  $G = PCG(T, d_{min}, d_{max})$ .

Case 3: The reference path contains only two vertices. (See Fig. 10(a).) In this case,  $p'_y$  is  $p'_s$  and  $p'_x$  is  $p'_{s-1}$  in  $C_1$ .

We set  $d_{T_1}(u_{s-2}, u_{s-1}) = d+b$  and  $d_{T_1}(u_{s-1}, p_{s-1}) = w$  in  $T_1$  so the resultant distance is  $d_{T_1}(u_{s-2}, p_{s-1}) = w + d + b$ . Since  $u_{s-1}$  is a vertex of degree 2 this weight adjustment preserves  $C_1 = PCG(T_1, d_{min}, d_{max})$  (see in Fig. 10(b)).

In  $T_2$  we set  $d_{T_2}(q_1, v_1) = w$ ,  $d_{T_2}(v_1, v_2) = d + b$ ,  $d_{T_2}(v_2, q_2) = w$ . We insert a new vertex  $v_l$  on the spine between  $v_2$  and  $v_3$  and set  $d_{T_2}(v_2, v_l) = d - r - b$ and  $d_{T_2}(v_l, v_3) = d$ , as illustrated in the Fig. 10(c). Now we prove that such



Figure 9: Pairwise compatibility tree construction for Case 2; (a) a graph G with cycles  $C_1$  and  $C_2$ , (b) the pairwise compatibility tree  $T_1$  of  $C_1$ , (c) the pairwise compatibility tree  $T_2$  of  $C_2$ , and (d) the pairwise compatibility tree T of G.



Figure 10: Pairwise compatibility tree construction for Case 3; (a) a graph G with cycles  $C_1$  and  $C_2$ , (b) the pairwise compatibility tree  $T_1$  of  $C_1$ , (c) the pairwise compatibility tree  $T_2$  of  $C_2$ , and (d) the pairwise compatibility tree T of G.

changes preserve the relation for being  $C_2 = PCG(T_2, d_{min}, d_{max})$ .  $d_{T_2}(q_1, q_2) = w + d + b + w = 2w + b + d$ , so  $d_{min} < d_{T_2}(q_1, q_2) < d_{max}$ .  $d_{T_2}(q_t, v_2) = w + (d - r - b)$ .

 $\begin{aligned} &d_{T_2}(q_t, q_1) = w + (d - r - b) + d + b + w = 2w + 2d - r, \text{ so } d_{T_2}(q_t, q_1) = d_{max}. \\ &d_{T_2}(q_1, q_3) = w + d + b + d - r - b + d + w + b = 2w + 3d + b - r > d_{max} \text{ so } \\ &d_{T_2}(q_1, q_k) > d_{max}, 3 \le k \le t - 1. \\ &d_{T_2}(q_2, q_3) = w + d - r - b + d + w + b = 2w + 2d - r, \text{ so } d_{T_2}(q_2, q_3) = d_{max}. \\ &d_{T_2}(q_2, q_4) = w + d - r - b + d + d + w + b = 2w + 3d - r > d_{max}, \text{ so } \\ &d_{T_2}(q_2, q_k) > d_{max}, 4 \le k \le t - 1. \\ &d_{T_2}(q_2, q_k) > d_{max}, 4 \le k \le t - 1. \end{aligned}$ 

Note that  $d_{T_1}(p_s, u_{s-2}) = w$ .

We merge  $T_1$  and  $T_2$  such that the vertices  $q_1, v_1, v_2$  and  $q_2$  of  $T_2$  lie on the vertices  $p_s, u_{s-2}, u_{s-1}$  and  $p_{s-1}$  of  $T_1$ , respectively, as illustrated in the Fig. 10(d).

Now  $p_s$  is  $q_1$ , the distance from  $p_s$  to  $q_2$  is equal to w+d+b+w which is within the range from  $d_{min}$  to  $d_{max}$  and the distances from  $p_s$  to each of the leaves  $q_3, q_4, \ldots, q_{t-1}$  are greater than  $d_{max}$ . Again, the distance from  $q_t$  to  $p_{s-1} = q_2$ is less than  $d_{min}$ ,  $d_T(q_t, p_{s-2}) = d_T(q_t, v_l) + (d - r - b) + (d + b) + (w + b) =$  $w + (d - r - b) + (d + b) + (w + b) = 2w + 2d - r + b > d_{max}$  and the distances from  $q_t$  to each of the leaves  $p_1, p_2, p_3, \ldots, p_{s-3}$  are greater than  $d_{max}$ . Hence all the leaves except  $p_s$  and  $q_t$  hold the relations for being  $G = PCG(T, d_{min}, d_{max})$ . Here  $p'_s$  and  $q'_t$  are adjacent in G and  $d_T(p_s, q_t) = d_{T_2}(q_1, q_t) = d_{max}$ . Thus  $G = PCG(T, d_{min}, d_{max})$ .

Note that when we merge two trees the weights of the edges changed locally, as indicated by the shaded areas in Figs. 8, 9 and 10. The weights of the edges outside shaded areas remain unchanged. Based on this observation we obtain a generalization of Lemma 3 as in the following lemma.

**Lemma 4** Let G be a triangle-free biconnected outerplanar 3-graph such that  $G = C_1 \cup C_2 \cup C_3 \cdots \cup C_l$  where  $C_1, C_2, C_3, \cdots C_l$  are cycles of the graph. In G a pair of adjacent cycles have exactly one common edge. Let  $G' = C_1 \cup C_2 \cup C_3 \cdots \cup C_{l-1}$ . Let cycle  $C_l$  has a common edge on the outer face of G' and the common edge is a part of cycle  $C_j$  of G'. Let T' and  $T_l$  are pairwise compatibility trees of G' and  $C_l$ , respectively, with the same  $d_{min}$  and  $d_{max}$ . Then, a pairwise compatibility tree T for G can be obtained for the same  $d_{min}$  and  $d_{max}$  by merging T' and  $T_l$ .

**Proof:** Let G be a triangle-free biconnected outerplanar 3-graph such that  $G = C_1 \cup C_2 \cup C_3 \cdots \cup C_l$  where  $C_1, C_2, C_3, \cdots, C_l$  are cycles of the graph. In G a pair of adjacent cycles have exactly one common edge, i.e., exactly two common vertices. Let  $G' = C_1 \cup C_2 \cup C_3 \cup \cdots \cup C_{l-1}$ . Let cycle  $C_l$  has a common edge on the outer face of G' and the common edge is a part of cycle  $C_j$  of G'. Let T' and  $T_l$  be pairwise compatibility trees of G' and  $C_l$  respectively. Since G is a graph with the maximum degree 3, no 3 cycles of G have a common vertex. Let  $V_j$  be the corresponding vertices of the cycle  $C_j$  in T'. Let  $T_j$  be the minimal subtree of T' containing the vertices in  $V_j$ . The first two vertices of  $T_l$  are the common leaves with T'. Let  $C_x$  be a cycle of G' such that the common vertices of  $C_x$  and  $C_j$  are not first and second vertices of  $C_j$  (see Fig. 11.) Let  $T_x$  be the minimal subtree of T' containing the corresponding vertices of  $C_x$ . Let  $C_y$  be a cycle of G' such that the common vertices of  $C_y$  and  $C_j$  are first and second vertices of  $C_j$ (see Fig. 11.) Let  $T_y$  be the minimal subtree of T' containing the corresponding vertices of  $C_y$ .

We merge T' and  $T_l$  and get T using the technique similar to that in the proof of Lemma 3. Every pair of leaves in T corresponding to non-adjacent vertices except the last vertex of the cycles are at a distance more than  $d_{max}$ . Since no three cycles have common vertices, the last vertices of  $T_x$  and  $T_l$  are at a distance greater than  $d_{max}$ . Now we have to observe the distances of the last vertices of  $T_y$  and  $T_l$ . We have to consider nine cases, since  $T_y$  and  $T_j$  have been merged according to any one case of the three cases and also  $T_i$  and  $T_l$ have been merged according to any one case of the three cases of the proof of Lemma 3. For the cases where  $T_y$  and  $T_j$  have been merged according to either Case 1 or Case 3 and  $T_i$  and  $T_l$  have been merged according to any one case of the three cases, the last vertices of  $T_y$  and  $T_l$  are at a distance greater than  $d_{max}$ . Now let  $T_{u}$  and  $T_{i}$  have been merged according to Case 2. If  $T_{i}$  and  $T_{l}$ have been merged according to Case 1, the distance between the last vertices of  $T_y$  and  $T_l$  is either less or equal to w - l + d + w which is less than  $d_{min}$  or greater or equal to w - l + 2d + w which is greater than  $d_{max}$ . If  $T_i$  and  $T_l$  have been merged according to Case 2, the distance between the last vertices of  $T_{y}$ and  $T_l$  is either less or equal to w - 2l + d + w which is less than  $d_{min}$  or greater or equal to w - 2l + 2d + w which is greater than  $d_{max}$ . If  $T_i$  and  $T_l$  have been merged according to Case 3, the distance between the last vertices of  $T_{y}$  and  $T_l$  is greater than w - l + 3d - r + w which is greater than  $d_{max}$ . Thus T is a pairwise compatibility tree of G.

We are now ready to prove Theorem 3.

**Proof of Theorem 3:** Let G be an outer subdivision of a ladder graph. We first decompose G into cycles  $C_1, C_2, \dots, C_l$  where  $C_i$  and  $C_{i+1}$ , for  $1 \leq i < l$ , have exactly one common edge and  $C_i$  and  $C_{i+2}$ , for  $1 \leq i < l-1$ , has no common vertices. We then create individual caterpillars  $T_i$  as pairwise compatibility trees for the cycles  $C_i$ , for  $1 \leq i \leq l$ , for the same  $d_{min}$  and  $d_{max}$  according to Lemma 2. We have to merge the caterpillars  $T_1, T_2, \dots, T_l$  of cycles  $C_1, C_2, \dots, C_l$ .

Let  $G_i = C_1 \cup C_2 \cup \cdots \cup C_i$ . We merge the caterpillars  $T_1$  and  $T_2$  and get the resulting tree  $T'_2$  as a pairwise compatibility tree of  $G_2$  according to Lemma 3. Assume that we have merged  $T_1, T_2, \cdots, T_j$  and obtained a pairwise compatibility tree  $T'_j$  for the graph  $G_j = C_1 \cup C_2 \cup \cdots \cup C_j$ , for  $2 \leq j < l$ . We merge  $T'_j$  and  $T_{j+1}$  and get a resulting tree  $T'_{j+1}$  as pairwise compatibility tree of the graph  $G_{j+1}$  according to Lemma 4.

Based on the proof of Theorem 3, one can obtain a linear-time algorithm to construct a pairwise compatibility tree of an outer subdivision of a ladder graph.



Figure 11: Illustration for  $C_y$ ,  $C_j$ ,  $C_x$  and  $C_l$ .

## 4 Triangle-free outerplanar 3-graphs are *PCGs*

In this section we present our main result that triangle-free outerplanar 3-graphs are pairwise compatibility graphs. In Section 4.1 we deal with biconnected triangle-free outerplanar 3-graphs and in Section 4.2 we deal with the general case.

#### 4.1 Triangle-free biconnected outerplanar 3-graphs

In this section we show that every triangle-free biconnected outerplanar 3-graph is a PCG by giving a linear-time construction. We have the following lemma.

**Lemma 5** Every triangle-free biconnected outerplanar 3-graph is a pairwise compatibility graph.

**Proof:** Let G be a biconnected outerplanar 3-graph. Let G' be the weak dual graph of G. Then G' is a tree. Every node of G' corresponds to a face, which is a cycle, of G. We create pairwise compatibility trees for these cycles for the same  $d_{min}$  and  $d_{max}$  according to Lemma 2. We incrementally construct a pairwise compatibility tree T of G as follows. Initially we have an empty tree and begin a depth first search (DFS) on G'. The first time we encounter a vertex in our depth first search, we add the pairwise compatibility tree of the cycle in G corresponding to this node as a branch of T. For the next vertex in the DFS of G', we merge the pairwise compatibility tree of the cycle in G corresponding to this node as a branch of T by Lemma 4. The construction

of T is completed with the completion of DFS on G'. The process is illustrated in Fig. 12.

The algorithm described in the proof of Lemma 5 is called **Biconnected-Construction**. Algorithm **Biconnected-Construction** runs in linear time.

#### 4.2 Triangle-free outerplanar 3-graphs

We call a subgraph B of a connected graph G, a biconnected component of G if B is a maximal biconnected subgraph of G. We call an edge (u, v) a bridge of G if the deletion of (u, v) results in a disconnected graph. Any connected graph can be decomposed to biconnected components and bridges. A block of a connected graph G is either a biconnected component or a bridge of G. The graph in Fig. 13(a) has the blocks  $B_1, B_2, B_3$  depicted in Fig. 13(b). The blocks and cut vertices in G can be represented by a tree T, called the BC-tree of G. In T each block is represented by a B-node and each cut vertex of G is represented by a C-node. We can have a pairwise compatibility tree of a biconnected component by Algorithm **Biconnected-Construction**. For a bridge (u', v'), we can construct pairwise compatibility tree as a caterpillar T where u and v are at a distance equal to  $d_{min}$  in T. We now have the following theorem.

**Theorem 4** Every triangle-free outerplanar 3-graph is a pairwise compatibility graph.

**Proof:** Let G be a connected triangle-free outerplanar 3-graph. Let G' be the block-cutpoint graph of G. Since G is connected, G' is a tree [21]. We consider G' as a rooted tree with root  $B_1$ . Let  $B_1, B_2, \dots, B_b$  be the ordering of the blocks following a depth-first search order starting from  $B_1$ . We assume that we have obtained a pairwise compatibility tree  $T_i$  by merging the pairwise compatibility trees of the blocks  $B_1, B_2, \dots, B_i$  and that we are now going to obtain a pairwise compatibility tree  $T_{i+1}$  by merging  $T_i$  with pairwise compatibility tree of the block  $B_{i+1}$ . Let  $v_t$  be the cut vertex corresponding to the C-node which is the parent of  $B_{i+1}$  in T. Let  $B_x$  be the parent of  $v_t$ in T. Then both  $B_x$  and  $B_{i+1}$  contain  $v_t$ , and  $T_i$  contains the drawing of  $B_x$ . Since G is a 3-graph, both  $B_x$  and  $B_{i+1}$  cannot be biconnected components. Then either both  $B_x$  and  $B_{i+1}$  are bridges or one of  $B_x$  and  $B_{i+1}$  is a biconnected component and the other is a bridge. If  $B_{i+1}$  is a bridge (x', y'), we construct a pairwise compatibility tree of (x', y') as a caterpillar T as illustrated in Fig. 13(c), where x and y are at a distant equal to  $d_{min}$  in T. However, if  $B_{i+1}$  is a biconnected component, we have pairwise compatibility tree  $T_{i+1}$  by Algorithm **Biconnected-Construction**. For both the cases, we can merge  $T_i$ and  $T_{i+1}$  by overlapping the edges containing the vertex  $v_t$ . The construction is illustrated in Fig. 13.  $\square$ 

Clearly, the algorithm described in the proof of Theorem 4 runs in linear time.



Figure 12: (a) A triangle-free biconnected outerplanar graph G, (b) weak dual graph G' of G, (c) pairwise compatibility trees for the internal faces of G and (d) incremental construction of a tree T.



Figure 13: (a) An outerplanar graph G, (b) block-cutpoint graph G' of G, (c) pairwise compatibility trees for the biconnected blocks and (d) incremental construction of a tree T.

## 5 Conclusion

In this paper we have proved that trees and ladder graphs are PCGs by giving linear-time algorithms for constructing pairwise compatibility trees for graphs of these classes. Additionally, we have proved that outer subdivisions of ladder graphs are also PCGs. Finally we have proved that triangle free outerplanar 3graphs are PCGs. However, a complete characterization of PCGs is not known. The characterization of PCGs would be helpful to characterize leaf power graphs and chordal graphs. All graphs of at least seven vertices are PCGs [6] and the only graph of 15 vertices is proved not to be PCG [22]. Thus interested researcher can work on finding the smallest graph that is not a PCG. The clique problem can be solved in polynomial time for the class of compatibility graphs if we are able to construct a weighted tree in polynomial time for those graphs. Hence it is very interesting to identify the pairwise compatibility graph classes.

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