



**ANOTHER VERSION OF ANDERSON'S INEQUALITY IN THE IDEAL OF ALL
COMPACT OPERATORS**

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ABSTRACT. This note studies how certain problems in quantum theory have motivated some recent research in pure Mathematics in matrix and operator theory. The mathematical key is that of a commutator. We introduce the notion of the pair (A, B) of operators having the Fuglede-Putnam's property in the ideal of all compact operators. The characterization of this class leads us to generalize some recent results. We also give some applications of these results.

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1. INTRODUCTION

Let H denote a separable infinite-dimensional complex Hilbert space. Let

$$\mathcal{L}(H) \supset \mathcal{K}(H) \supset C_p \supset \mathcal{F}(H)$$

$(0 < p < \infty)$ denote, respectively, the class of all bounded linear operators, the class of compact operators, the Schatten p -class, and the class of finite rank operators on H . All operators herein are assumed to be linear and bounded. Let $\|\cdot\|_p, \|\cdot\|_\infty$ denote, respectively, the C_p -norm and the $\mathcal{K}(H)$ -norm. Let \mathcal{I} be a proper bilateral ideal of $\mathcal{L}(H)$. It is well known that if $\mathcal{I} \neq \{0\}$, then $\mathcal{K}(H) \supset \mathcal{I} \supset \mathcal{F}(H)$. For $A, B \in \mathcal{L}(H)$ we define the generalized derivation $\delta_{A,B}$ as follows

$$\delta_{A,B}(X) = AX - XB$$

for $X \in \mathcal{L}(H)$ (so that $\delta_{A,A} = \delta_A$). In [1, Theorem 1.7], J. Anderson shows that if A is normal and commutes with T then,

$$(1.1) \quad \|T - (AX - XA)\| \geq \|T\|,$$

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for all $X \in \mathcal{L}(H)$. In [11] we generalized this inequality, showing that if the pair (A, B) has the Fuglede-Putnam's property (in particular if A and B are normal operators) and $AT = TB$, then for all $X \in \mathcal{L}(H)$,

$$\|T - (AX - XB)\| \geq \|T\|.$$

The related inequality (1.1) was obtained by P.J. Maher [13, Theorem 3.2] showing that if A is normal and $AT = TA$, where $T \in C_p$, then

$$\|T - (AX - XA)\|_p \geq \|T\|_p$$

for all $X \in \mathcal{L}(H)$, where C_p is the von Neumann-Schatten class,

$1 \leq p < \infty$ and $\|\cdot\|_p$ its norm. In [12] we generalized P.J. Maher's result, proving that if the pair (A, B) has the Fuglede-Putnam's property $(FP)_{C_p}$, then

$$\|T - (AX - XB)\|_p \geq \|T\|_p$$

for all $X \in \mathcal{L}(H)$, and for all $T \in C_p \cap \ker \delta_{A,B}$. In [9] F. Kittaneh shows that if the pair (A, B) has the Fuglede-Putnam's property in $\mathcal{L}(H)$ then

$$\|T - (AX - XB)\|_I \geq \|T\|_I$$

for all $X \in \mathcal{L}(H)$, and for all $T \in I \cap \ker \delta_{A,B}$. In order to generalize these results, we prove that if the pair (A, B) has the $(FP)_{\mathcal{K}(H)}$ property (the Fuglede-Putnam's property in $\mathcal{K}(H)$), then

$$\|T - (AX - XB)\|_\infty \geq \|T\|_\infty$$

for all $X \in \mathcal{K}(H)$ and for all $T \in \mathcal{K}(H) \cap \ker \delta_{A,B}$. That is, the zero generalized commutator is the generalized commutator in $\mathcal{K}(H)$ of T .

A.H. Almoadjil [2] shows that if A is normal and for every $X \in \mathcal{L}(H)$, $A^2X = XA^2$ and $A^3X = XA^3$, then $AX = XA$. However F. Kittaneh [7] generalizes the Almoadjil's theorem by choosing A and B^* subnormal. There are of course other co-prime pairs of powers of A and B , such as 2 and $2n + 1$ or 3 and $2n + 1$ (with 3 and $2n + 1$ co-prime), for which a similar result can be proved. Notice here that for such co-prime powers of A and B , the hypothesis that the pair (A, B) has the $(FP)_{\mathcal{K}(H)}$ property implies that $\delta_{A,B}^m(X) = 0$ for some integer $m > 1$, and the conclusion $X \in \ker \delta_{A,B}$ is a consequence of the following general result: Let $\delta_{A,B}^m$ denote an m -times application of $\delta_{A,B}$. If the pair (A, B) has the $(FP)_{\mathcal{K}(H)}$ property and $\delta_{A,B}^m(X) = 0$ for some integer $m > 1$, then $\delta_{A,B}(X) = 0$.

2. ORTHOGONALITY

We begin by the following definition of the orthogonality in the sense of G. Birkhoff [3] which generalizes the idea of orthogonality in Hilbert space.

Definition 2.1. Let \mathbb{C} be the field of complex numbers and let E be a normed linear space. Let $x, y \in E$. If $\|x - \lambda y\| \geq \|\lambda y\|$ for all $\lambda \in \mathbb{C}$, then x is said to be orthogonal to y . Let F and G be two subspaces in E . If $\|x + y\| \geq \|y\|$, for all $x \in F$ and for all $y \in G$, then F is said to be orthogonal to G .

Definition 2.2. Let $A, B \in \mathcal{L}(H)$. We say that the pair (A, B) satisfies $(FP)_{\mathcal{K}(H)}$, if $AC = CB$ where $C \in \mathcal{K}(H)$ implies $A^*C = CB^*$.

Theorem 2.1. Let $A, B \in \mathcal{L}(H)$. If A and B are normal operators, then

$$\|S - (AX - XB)\|_\infty \geq \|S\|_\infty$$

for all $X \in \mathcal{L}(H)$ and for all $S \in \ker \delta_{A,B} \cap \mathcal{K}(H)$.

Proof. Let $S = U|S|$ be the polar decomposition of S , where U is an isometry such that $\ker U = \ker |S|$. Since

$$\|U^*S\|_\infty \leq \|U^*\|_\infty \|S\|_\infty = \|S\|_\infty$$

for all $S \in \mathcal{K}(H)$,

$$(2.1) \quad \|S - (AX - XB)\|_\infty \geq \sup_n |(U^*[S - (AX - XB)]\varphi_n, \varphi_n)| \\ = \sup_n ([|S| - U^*(AX - XB)]\varphi_n, \varphi_n)$$

for any orthonormal basis $\{\varphi_n\}_{n \geq 1}$ of H . Since $AS = SB$ and A, B are normal operators, then it follows from the Fuglede-Putnam's theorem that $S^*A = BS^*$; consequently $S^*AS = BS^*S$ or $S^*SB = BS^*S$, i.e, $B|S| = |S|B$. Since $|S|$ is a compact normal operator and commutes with B , there exists an orthonormal basis $\{f_k\} \cup \{g_m\}$ of H such that $\{f_k\}$ consists of common eigenvectors of B and $|S|$, and $\{g_m\}$ is an orthonormal basis of $\ker |S|$. Since $\{f_k\}$ is an orthonormal basis of the normal operator B , then there exists a scalar α_k such that $f_k = \alpha_k f_k$ and $B^*f_k = \bar{\alpha}_k f_k$; consequently

$$\langle U^*(AX - XB)f_k, |S|f_k \rangle = \langle S^*(AX - XB)f_k, f_k \rangle \\ = \langle (B(S^*X) - (S^*X)B)f_k, f_k \rangle = 0.$$

That is, $\langle U^*(AX - XB)f_k, f_k \rangle = 0$. In (2.1) take $\{\varphi_n\} = \{f_k\} \cup \{g_m\}$ as an orthonormal basis of H . Then

$$\|S - (AX - XB)\|_\infty \geq \sup_n ([|S| - U^*(AX - XB)]\varphi_n, \varphi_n) \\ = \sup_{k,m} [|S|f_k, f_k] + (U^*(AX - XB)g_m, g_m) \\ \geq \sup_k (|S|f_k, f_k) \\ = \| |S| \| = \|S\|_\infty.$$

□

Theorem 2.2. Let $A, B \in \mathcal{L}(H)$. If the pair (A, B) satisfies the $(FP)_{\mathcal{K}(H)}$ property, then

$$(2.2) \quad \|\delta_{A,B}(X) + S\|_\infty \geq \|S\|_\infty,$$

for all $X \in \mathcal{K}(H)$, and for all $S \in \mathcal{K}(H) \cap \ker(\delta_{A,B})$. In particular we have

$$(2.3) \quad R(\delta_{A,B} |_{\mathcal{K}(H)}) \cap \ker(\delta_{A,B} |_{\mathcal{K}(H)}) = \{0\},$$

where $R(\delta_{A,B})$ and $\ker(\delta_{A,B})$ denote the range and the kernel of $\delta_{A,B}$.

Proof. It is well known that if the pair (A, B) satisfies the $(FP)_{\mathcal{K}(H)}$ property, then $\overline{R(S)}$ reduces A , $\ker^\perp S$ reduces B and $A|_{\overline{R(S)}}$, $B|_{\ker^\perp S}$ are normal operators. Letting $S_0 : \ker^\perp S \rightarrow \overline{R(S)}$ be the quasi-affinity defined by setting $S_0x = Sx$ for each $x \in \ker^\perp S$, then it results that $\delta_{A_1, B_1}(S_0) = \delta_{A_1^*, B_1^*}(S_0) = 0$. Let $A = A_1 \oplus A_2$, with respect to $H = \overline{R(S)} \oplus \overline{R(S)}^\perp$, $B = B_1 \oplus B_2$, with respect to $H = \ker(S)^\perp \oplus \ker S$ and $X : \overline{R(S)} \oplus \overline{R(S)}^\perp \rightarrow \ker(S)^\perp \oplus \ker S$ have the matrix representation

$$X = \begin{bmatrix} X_1 & X_2 \\ X_3 & X_4 \end{bmatrix}.$$

Then we have

$$\|S - (AX - XB)\|_\infty = \left\| \begin{bmatrix} S_1 - (A_1X_1 - X_1B_1) & * \\ * & * \end{bmatrix} \right\|_\infty.$$

The result of I.C. Gohberg and M.G. Krein [6] guarantees that

$$\|S - (AX - XB)\|_\infty \geq \|S_1 - (A_1X_1 - X_1B_1)\|_\infty.$$

Since A_1 and B_1 are two normal operators, it results from Theorem 2.2 that

$$\|S_1 - (A_1X_1 - X_1B_1)\|_\infty \geq \|S_1\|_\infty = \|S\|_\infty$$

and

$$\|S - (AX - XB)\|_\infty \geq \|S_1 - (A_1X_1 - X_1B_1)\|_\infty \geq \|S_1\|_\infty = \|S\|_\infty.$$

□

We can ask “Is the sufficient condition in Theorem 2.2 necessary?”

3. EXAMPLES AND APPLICATIONS

The related topic of approximation by commutators $AX - XA$ or by generalized commutator $AX - XB$, which has attracted much interest, has its roots in quantum theory. The Heinsnberg Uncertainly principle may be mathematically formulated as saying that there exists a pair A, X of linear transformations and a non-zero scalar α for which

$$(3.1) \quad AX - XA = \alpha I.$$

Clearly, (3.1) cannot hold for square matrices A and X and for bounded linear operators A and X . This prompts the question:

How close can $AX - XA$ be the identity?

Williams [17] proved that if A is normal, then, for all X in $B(H)$,

$$(3.2) \quad \|I - (AX - XA)\| \geq \|I\|.$$

Mecheri [14] generalized Williams inequality (3.2): he proved that if A, B are normal, then for all $X \in B(H)$

$$(3.3) \quad \|I - (AX - XB)\| \geq \|I\|.$$

Anderson [1] generalized Williams inequality (3.2): he proved that if A is normal and commutes with B then, for all $X \in B(H)$

$$(3.4) \quad \|B - (AX - XA)\| \geq \|B\|.$$

Maher [13] obtained the C_p variants of Anderson’s result. Mecheri [14] studied approximation by generalized commutators $AX - XC$: he showed that the following inequality holds

$$(3.5) \quad \|B - (AX - XC)\|_p \geq \|B\|_p,$$

for all $X \in C_p$ if and only if $B \in \ker \delta_{A,B}$. In Theorem 2.2 we obtained the $\mathcal{K}(H)$ of Maher and Mecheri’s results.

In the previous inequality (3.5) the zero generalized commutator is a generalized commutator approximant in C_p of B .

Now we are ready to give some operators for which the inequality (2.2) holds.

Corollary 3.1. *Let $A, B \in L(H)$. Then the pair (A, B) has the $(FP)_{\mathcal{K}(H)}$ property in each of the following cases:*

- (1) *If $A, B \in \mathcal{L}(H)$ such that $\|Ax\| \geq \|x\| \geq \|Bx\|$ for all $x \in H$.*
- (2) *If A is invertible and B such that $\|A^{-1}\| \|B\| \leq 1$.*
- (3) *If $A = B$ is a cyclic subnormal operator.*

Proof. The result of Y. Tong [16, Lemma 1] guarantees that the above condition implies that for all $T \in \ker(\delta_{A,B} | \mathcal{K}(H))$, $\overline{R(T)}$ reduces A , $\ker(T)^\perp$ reduces B , and $A|_{\overline{R(T)}}$ and $B|_{\ker(T)^\perp}$ are unitary operators. Hence it results from Theorem 2.2 that the pair (A, B) has the property $(FP)_{\mathcal{K}(H)}$ and the result holds by the above theorem. The above inequality holds in particular if $A = B$ is isometric, in other words $\|Ax\| = \|x\|$ for all $x \in H$.

(2) In this case it suffices to take $A_1 = \|B\|^{-1}A$ and $B_1 = \|B\|^{-1}B$, then $\|A_1x\| \geq \|x\| \geq \|B_1x\|$ and the result holds by (1) for all $x \in H$.

(3) Since T commutes with A , it follows that T is subnormal [18]. But any compact subnormal operator is normal. Hence T is normal. Now $AT = TA$ implies $A^*T = TA^*$, i.e., the pair (A, A) has the $(FP)_{\mathcal{K}(H)}$ property. \square

Theorem 3.2. *Let $A, B \in \mathcal{L}(H)$ such that the pairs (A, A) and (B, B) have the $(FP)_{\mathcal{K}(H)}$ property. If $\sigma(A) \cap \sigma(B) = \phi$, then*

$$\|T - \delta_{A \oplus B, A \oplus B}(X)\|_\infty \geq \|T\|_\infty$$

for all $X \in \mathcal{K}(H)$, and for all $T \in \mathcal{K}(H) \cap \ker(\delta_{A,B})$.

Proof. It suffices to show that the pair $(A \oplus B, A \oplus B)$ has the $(FP)_{\mathcal{K}(H)}$ property. Let

$$T = \begin{bmatrix} T_1 & T_2 \\ T_3 & T_4 \end{bmatrix}$$

be in $\mathcal{K}(H \oplus H)$. If $(A \oplus B)T = T(A \oplus B)$, then $AT_1 = T_1A$, $BT_4 = T_4B$, $AT_2 = T_2B$ and $BT_3 = T_3A$. Since $\sigma(A) \cap \sigma(B) = \phi$, then $\delta_{A,B}$, $\delta_{B,A}$ are invertible [12]. Consequently $T_2 = T_3 = 0$ and since (A, A) and (B, B) have the $(FP)_{\mathcal{K}(H)}$ property, $AT_1^* = T_1^*A$ and $BT_4^* = T_4^*B$, that is, $(A \oplus B)T^* = T^*(A \oplus B)$. \square

4. ON THE COMMUTANT OF A AND ITS POWERS

In this section we will be interested on the investigation of the relation between the commutant of a bounded linear operator A and its powers.

Lemma 4.1. *Let $A, B \in \mathcal{L}(H)$. Then*

$$R(\delta_{A,B}) \cap \ker \delta_{A,B} = \{0\} \Leftrightarrow \ker \delta_{A,B}^m = \ker \delta_{A,B},$$

for all $m \geq 1$.

Proof. Suppose that $R(\delta_{A,B}) \cap \ker \delta_{A,B} = \{0\}$. It suffices to prove that

$$\ker \delta_{A,B}^2 \subset \ker \delta_{A,B}.$$

If $X \in \ker \delta_{A,B}^2$, then $\delta_{A,B}(X) \in R(\delta_{A,B}) \cap \ker \delta_{A,B} = \{0\}$, i.e. $X \in \ker \delta_{A,B}$. Conversely if $Y \in R(\delta_{A,B}) \cap \ker \delta_{A,B}$, then $Y = \delta_{A,B}(X)$ for some $X \in \mathcal{L}(H)$ and $\delta_{A,B}(Y) = 0$. Consequently we have $\delta_{A,B}^2(X) = 0$, i.e. $X \in \ker \delta_{A,B}^2 = \ker \delta_{A,B}$. Then we obtain $\delta_{A,B}(X) = 0$, i.e. $Y = 0$. \square

Lemma 4.2. *If $R(\delta_{A,B}) \cap \ker \delta_{A,B} = \{0\}$, then*

$$\ker \delta_{A,B} = \bigcap_{i=2}^{\infty} \ker \delta_{A,B}^i.$$

Proof. Note that $\ker \delta_{A,B} \subset \bigcap_{i=2}^{\infty} \ker \delta_{A,B}^i$. Hence it suffices to prove the opposite inclusion. If $X \in \bigcap_{i=2}^{\infty} \ker \delta_{A,B}^i$, then $A^2X = XB^2$ and $A^3X = XB^3$. Hence $A^2XB = XB^3$ and $AXB^2 = A^3X$. Let $C = AX - XB$. Then,

$$A^2C = A^3X - A^2XB = XB^3 - XB^3 = 0;$$

$$\begin{aligned}CB^2 &= AXB^2 - XB^3 = A^3X - A^3X = 0; \\ACB &= A^2XB - AXB^2 = XB^3 - XB^3 = 0;\end{aligned}$$

hence

$$(4.1) \quad A(AC - CB) = A^2C - ACB = 0;$$

$$(4.2) \quad (AC - CB)B = ACB - CB^2 = 0.$$

Thus (4.1) and (4.2) imply that

$$AC - CB \in R(\delta_{A,B}) \cap \ker \delta_{A,B} = \{0\},$$

from which it results that $AC = CB$. Hence

$$C \in R(\delta_{A,B}) \cap \ker \delta_{A,B},$$

that is, $C = 0$ and thus $AX = XB$, i.e, $X \in \ker \delta_{A,B}$. □

Theorem 4.3. *If (A, B) has the $(FP)_{\mathcal{K}(H)}$ property, then*

$$\ker \delta_{A,B}^m = \ker \delta_{A,B} = \bigcap_{i=2}^{\infty} \ker \delta_{A^i, B^i}, \quad m \geq 1.$$

In particular if $A^2X = XB^2$ and $A^3X = XB^3$ for some $X \in \mathcal{K}(H)$, then $AX = XB$.

Proof. This is an immediate consequence of Lemma 4.1, Lemma 4.2 and Theorem 2.2. □

Remark 4.4. The above theorem generalizes the results of F. Kittaneh [9] and Almoadjil [2]. In [8] F. Kittaneh shows that if the pair (A, B) has the $(FP)_{\mathcal{L}(H)}$ property, then for all $T \in \ker(\delta_{A,B} |_{\mathcal{I}})$ and for all $X \in \mathcal{I}$,

$$\|\delta_{A,B}(X) + S\|_{\mathcal{I}} \geq \|S\|_{\mathcal{I}}.$$

In Theorem 2.2 we show that it suffices that the pair (A, B) has the $(FP)_{\mathcal{K}(H)}$ property for which $R(\delta_{A,B} |_{\mathcal{K}(H)})$ is orthogonal to $\ker(\delta_{A,B} |_{\mathcal{K}(H)})$. The results of this paper are also true in the case where $\mathcal{K}(H)$ is replaced by a two sided ideal of $\mathcal{L}(H)$. Hence Theorem 2.2 generalizes the results of F. Kittaneh [8], [9] and of S. Mecheri [12].

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