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**GENERALIZED PICONE IDENTITY
AND COMPARISON OF HALF-LINEAR
DIFFERENTIAL EQUATIONS
OF ORDER $4m$**

*Dedicated to Professor Kusano Takaši
on the occasion of his 80th birthday anniversary*

Abstract. A Picone-type identity and the Sturm-type comparison theorems are established for ordinary differential equations of the form

$$(p(t)\varphi(u^{(2m)}))^{(2m)} + q(t)\varphi(u) = 0$$

and

$$(P(t)\varphi(v^{(2m)}))^{(2m)} + Q(t)\varphi(v) = 0,$$

where $m \geq 1$, $p, P \in C^{2m}([a, b], (0, \infty))$, $q, Q \in C([a, b], \mathbf{R})$, $\varphi(s) := |s|^\alpha \operatorname{sgn} s$ and $\alpha > 0$.

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რეზიუმე. ჩვეულებრივი დიფერენციალური განტოლებებისათვის

$$(p(t)\varphi(u^{(2m)}))^{(2m)} + q(t)\varphi(u) = 0$$

და

$$(P(t)\varphi(v^{(2m)}))^{(2m)} + Q(t)\varphi(v) = 0,$$

სადაც $m \geq 1$, $p, P \in C^{2m}([a, b], (0, \infty))$, $q, Q \in C([a, b], \mathbf{R})$, $\varphi(s) := |s|^\alpha \operatorname{sgn} s$ და $\alpha > 0$, დადგენილია პიკონეს ტიპის იკვობა და შტურმის შედარების თეორემა.

1. INTRODUCTION

In the classical Sturm comparison theory for linear self-adjoint differential equations of the second order a fundamental role plays by the so-called Picone's formula (see [14]). It states that if x , px' , y and $P y'$ are continuously differentiable functions on an interval I with $y(t) \neq 0$, then

$$\begin{aligned} & \frac{d}{dt} \left[\frac{x}{y} (px'y - Pxy') \right] = \\ & = -\frac{x^2}{y} (P y')' + x(px')' + (p - P)x'^2 + P \left(x' - \frac{x}{y} y' \right)^2. \end{aligned} \quad (1.1)$$

If, in addition, x and y solve in I the equations

$$-(p(t)u')' + q(t)u = 0 \quad (1.2)$$

and

$$-(P(t)v')' + Q(t)v = 0, \quad (1.3)$$

respectively, where $0 < P(t) \leq p(t)$ and $Q(t) \leq q(t)$ in I , and x have consecutive zeros at a and b ($a < b$), then integrating (1.1) between a and b , we obtain

$$0 = \int_a^b \left[(q(t) - Q(t))x^2 + (p(t) - P(t))x'^2 + P(t) \left(x' - \frac{x}{y} y' \right)^2 \right] dt \quad (1.4)$$

and the Sturmian conclusion about the existence of a zero in $[a, b]$ for any solution y of the majorant equation (1.3) readily follows from (1.4).

Generalizations and extensions of the Sturm's comparison principle and underlying Picone-type identities to nonlinear equations and higher-order (ordinary and partial) differential operators have been obtained by various authors. We refer, in particular, to the papers [1]–[17] and the references cited therein.

The purpose of the present paper is to extend (1.1) to half-linear ordinary differential operators of the form

$$l_\alpha[x] \equiv (p\varphi(x^{(2m)}))^{(2m)} + q\varphi(x) \quad (1.5)$$

and

$$L_\alpha[y] \equiv (P\varphi(y^{(2m)}))^{(2m)} + Q\varphi(y), \quad (1.6)$$

where $m \geq 1$, $p, P \in C^{2m}([a, b], (0, \infty))$, $q, Q \in C([a, b], \mathbf{R})$ and $\varphi(s) := |s|^{\alpha-1}s$ for $s \neq 0$, $\alpha > 0$, and $\varphi(0) = 0$. Next, in Section 3, we illustrate the usefulness of the obtained identity by deriving Sturm's comparison theorems and other qualitative results concerning half-linear differential equations of the order $4m$.

In the linear case, i.e. if (1.5) and (1.6) reduce to a pair of $4m$ th-order self-adjoint operators of the form $l_1[x] \equiv (px^{(2m)})^{(2m)} + qx$ and $L_1[y] \equiv (Py^{(2m)})^{(2m)} + Qy$, respectively, two different kinds of Picone-type identities are known in the literature. The first one which can be found in Kusano

et al. [12] says (when specialized to (1.5) and (1.6)), that if $x \in D_{l_1}(I)$, $y \in D_{L_1}(I)$, and none of $y, y', \dots, y^{(2m-1)}$ vanishes in I , then

$$\begin{aligned} & \frac{d}{dt} \left\{ \sum_{k=0}^{2m-1} (-1)^k \frac{x^{(k)}}{y^{(k)}} \left[x^{(k)} (Py^{(2m)})^{(2m-k-1)} - y^{(k)} (px^{(2m)})^{(2m-k-1)} \right] \right\} = \\ & = \frac{x^2}{y} L_1[y] - xl_1[x] + (q - Q)x^2 + (p - P)[x^{(2m)}]^2 + \\ & + P \left[x^{(2m)} - \frac{x^{(2m-1)}}{y^{(2m-1)}} y^{(2m)} \right]^2 - y^{(2m-1)} (Py^{(2m)})' \left[\frac{x^{(2m-1)}}{y^{(2m-1)}} - \frac{x^{(2m-2)}}{y^{(2m-2)}} \right]^2. \quad (1.7) \end{aligned}$$

A typical comparison result based on the above formula is the following theorem (see [12]).

Theorem A. *Suppose there exists a nontrivial real-valued function $u \in \mathcal{D}_{l_1}([a, b])$ which satisfies*

$$\int_a^b ul_1[u] dt \leq 0,$$

$$u(a) = u'(a) = \dots = u^{(2m-1)}(a) = u(b) = \dots = u^{(2m-1)}(b) = 0$$

and

$$\int_a^b \left[(p(t) - P(t))(u^{(2m)})^2 + (q(t) - Q(t))u^2 \right] dt \geq 0.$$

If $v \in \mathcal{D}_{L_1}([a, b])$ satisfies

$$vL_1[v] \geq 0 \text{ in } (a, b), \text{ where } P(t) \geq 0,$$

$$v^{(k)} [P(t)v^{(2m)}]^{(2m-k)} \geq 0 \text{ in } (a, b), \quad 1 \leq k \leq 2m - 1,$$

and

$$[P(t)v^{(2m)}]^{(2m-\nu)} \neq 0 \text{ in } (a, b) \text{ for some } \nu, \quad 1 \leq \nu \leq 2m - 1,$$

then at least one of $v, v', \dots, v^{(2m-1)}$ has a zero in (a, b) .

Recently, Kusano–Yoshida's formula (1.7) was generalized to half-linear ordinary differential operators of an arbitrary even order (see [5]).

The second Picone type identity applied to (1.5) and (1.6) has been obtained by N. Yoshida [16]. The specialization to the one-dimensional case studied here says that if $x \in D_{l_1}(I)$, $y \in D_{L_1}(I)$ and none of $y, y', \dots, y^{(2m-2)}$ vanishes in I , then

$$\begin{aligned} & \frac{d}{dt} \left\{ \sum_{k=0}^{m-1} \frac{x^{(2m-2k-2)}}{y^{(2m-2k-2)}} \left[x^{(2m-2k-2)} (Py^{(2m)})^{(2k+1)} - \right. \right. \\ & \quad \left. \left. - y^{(2m-2k-2)} (px^{(2m)})^{(2k+1)} \right] \right\} + \end{aligned}$$

$$\begin{aligned}
& + \sum_{k=0}^{m-1} \left[(px^{(2m)})^{(2m-2k-2)} x^{(2k+1)} - (Py^{(2m)})^{(2k)} \left(\frac{(x^{(2m-2k-2)})^2}{y^{(2m-2k-2)}} \right)' \right] \Bigg\} = \\
& = \frac{x^2}{y} L_1[y] - x l_1[x] + (p-P)[x^{(2m)}]^2 + (q-Q)x^2 + \\
& \quad + P \left[x^{(2m)} - \frac{x^{(2m-2)}}{y^{(2m-2)}} y^{(2m)} \right]^2 + \\
& \quad + \sum_{k=1}^{m-1} \frac{(Py^{(2m)})^{(2k)}}{y^{(2m-2k)}} \left[x^{(2m-2k)} - \frac{x^{(2m-2k-2)}}{y^{(2m-2k-2)}} y^{(2m-2k)} \right]^2 - \\
& \quad - 2 \sum_{k=0}^{m-1} \frac{(Py^{(2m)})^{(2k)}}{y^{(2m-2k-2)}} \left[x^{(2m-2k-1)} - \frac{x^{(2m-2k-2)}}{y^{(2m-2k-2)}} y^{(2m-2k-1)} \right]^2. \quad (1.8)
\end{aligned}$$

The following comparison theorem can be easily obtained with the help of the identity (1.8) (see [16]).

Theorem B. *Assume that there exists a nontrivial function $u \in D_{L_1}([a, b])$ which satisfies*

$$\int_a^b ul_1[u] dt \leq 0,$$

$$u(a) = u'(a) = \dots = u^{(2m-1)}(a) = u(b) = u'(b) = \dots = u^{(2m-1)}(b) = 0$$

and

$$V[u] \equiv \int_a^b [(p(t) - P(t))(u^{(2m)})^2 + (q(t) - Q(t))u^2] dt \geq 0.$$

If $v \in D_{L_1}([a, b])$ satisfies

$$L_1[v] \geq 0 \quad \text{in } (a, b),$$

$$(-1)^k v^{(2k)}(t) > 0 \quad \text{at some point } t \in (a, b), \quad 0 \leq k \leq m-1,$$

$$(-1)^{m+k} (Pv^{(2m)})^{(2k)} \geq 0 \quad \text{in } (a, b), \quad 0 \leq k \leq m-2,$$

$$(Pv^{(2m)})^{(2m-2)} < 0 \quad \text{in } (a, b),$$

then at least one of the functions $v, v', \dots, v^{(2m-2)}$ must vanish at some point of $[a, b]$.

2. THE GENERALIZED PICONE'S IDENTITY

Let $p, P \in C^{2m}([a, b], (0, \infty))$, $m \geq 1$ and $q, Q \in C([a, b], \mathbf{R})$. For a fixed $\alpha > 0$ we define the function $\varphi : \mathbf{R} \rightarrow \mathbf{R}$ by $\varphi(s) = |s|^{\alpha-1}s$ for $s \neq 0$ and $\varphi(0) = 0$, and consider ordinary differential operators of the form

$$l_\alpha[x] = (p(t)\varphi(x^{(2m)}))^{(2m)} + q(t)\varphi(x)$$

and

$$L_\alpha[y] = (P(t)\varphi(y^{(2m)}))^{(2m)} + Q(t)\varphi(y)$$

with the domains $D_{l_\alpha}(a, b)$ (resp., $D_{L_\alpha}(a, b)$) defined to be the sets of all functions x (resp., y) of the class $C^{2m}([a, b], \mathbf{R})$ such that $p\varphi(x^{(2m)})$ (resp., $P\varphi(y^{(2m)})$) are in $C^{2m}((a, b), \mathbf{R}) \cap C([a, b], \mathbf{R})$.

Also, by Φ_α we denote the form defined for $X, Y \in \mathbf{R}$ and $\alpha > 0$ by

$$\Phi_\alpha(X, Y) := |X|^{\alpha+1} + \alpha|Y|^{\alpha+1} - (\alpha+1)X\varphi(Y).$$

According to the Young inequality, it follows that $\Phi_\alpha(X, Y) \geq 0$ for all $X, Y \in \mathbf{R}$ and the equality holds if and only if $X = Y$.

We begin with the following lemma which can be verified by a routine computation.

Lemma 2.1. *If $x \in C^{2m}([a, b], \mathbf{R})$, $y \in D_{L_\alpha}((a, b))$ and none of $y, y', \dots, y^{(2m-2)}$ vanishes in (a, b) , then*

$$\begin{aligned} & \frac{d}{dt} \left\{ \sum_{k=0}^{m-1} \left[- \frac{|x^{(2m-2k-2)}|^{\alpha+1}}{\varphi(y^{(2m-2k-2)})} (P\varphi(y^{(2m)}))^{(2k+1)} + \right. \right. \\ & \quad \left. \left. + \left(\frac{|x^{(2m-2k-2)}|^{\alpha+1}}{\varphi(y^{(2m-2k-2)})} \right)' (P\varphi(y^{(2m)}))^{(2k)} \right] \right\} = \\ & = - \frac{|x|^{\alpha+1}}{\varphi(y)} L_\alpha[y] + Q|x|^{\alpha+1} + P|x^{(2m)}|^{\alpha+1} - P\Phi_\alpha\left(x^{(2m)}, \frac{x^{(2m-2)}}{y^{(2m-2)}} y^{(2m)}\right) - \\ & \quad - \sum_{k=1}^{m-1} \frac{(P\varphi(y^{(2m)}))^{(2k)}}{\varphi(y^{(2m-2k)})} \Phi_\alpha\left(x^{(2m-2k)}, \frac{x^{(2m-2k-2)}}{y^{(2m-2k-2)}} y^{(2m-2k)}\right) + \\ & \quad + \alpha(\alpha+1) \sum_{k=0}^{m-1} \frac{(P\varphi(y^{(2m)}))^{(2k)}}{\varphi(y^{(2m-2k-2)})} |x^{(2m-2k-2)}|^{\alpha-1} \times \\ & \quad \times \left[x^{(2m-2k-1)} - \frac{x^{(2m-2k-2)}}{y^{(2m-2k-2)}} y^{(2m-2k-1)} \right]^2. \quad (2.1) \end{aligned}$$

We now establish a *stronger form* of Picone's identity in which the relatively weak hypothesis from Lemma 2.1 that x is any $2m$ -times continuously differentiable function is replaced by the assumption that x is from the domain \mathcal{D}_{l_α} of the operator l_α .

Lemma 2.2. *If $x \in \mathcal{D}_{l_\alpha}((a, b))$, $y \in D_{L_\alpha}((a, b))$ and none of $y, y', \dots, y^{(2m-2)}$ vanishes in (a, b) , then*

$$\begin{aligned} & \frac{d}{dt} \left\{ \sum_{k=0}^{m-1} \left[\frac{|x^{(2m-2k-2)}|^{\alpha+1}}{\varphi(y^{(2m-2k-2)})} (P\varphi(y^{(2m)}))^{(2k+1)} - \right. \right. \\ & \quad \left. \left. - (P\varphi(y^{(2m)}))^{(2k)} \left(\frac{|x^{(2m-2k-2)}|^{\alpha+1}}{\varphi(y^{(2m-2k-2)})} \right)' \right] \right\} + \end{aligned}$$

$$\geq -\alpha(\alpha + 1) \int_a^b \frac{(P\varphi(v^{(2m)}))^{(2m-2)}}{v^\alpha} |u|^{\alpha-1} \left(u' - \frac{u}{v} v'\right)^2 dt \geq 0.$$

It follows that $u' - uv'/v = 0$ in (a, b) and therefore $u/v = k$ in $[a, b]$ for some nonzero constant k . Since $u(a) = u(b) = 0$ and $v(a) > 0, v(b) > 0$, we have a contradiction. Hence there can exist no v satisfying (3.3)–(3.7). \square

Theorem 3.2. *If there exists a nontrivial $u \in C^{2m}([a, b], \mathbf{R})$ satisfying (3.1) and (3.2), then every solution $v \in \mathcal{D}_{L_\alpha}((a, b))$ of the inequality (3.3) satisfying (3.5)–(3.7) and*

$$v(t_0) > 0 \text{ for some } t_0 \in (a, b) \quad (3.8)$$

has zero in $[a, b]$.

Proof. If the function v satisfies (3.3), (3.5)–(3.7) and (3.8), then either $v(a) < 0$, and hence v , must vanish somewhere in (a, b) , or $v(a) \geq 0$. In the latter case, however, Theorem 3.1 implies that $v(a) = 0$ or $v(b) = 0$, and thus the proof is complete. \square

As an application of the identity (2.2), we derive the Sturm-type comparison theorem. It belongs to weak comparison results in the sense that the conclusion regarding to v applies to $[a, b]$ rather than (a, b) .

Theorem 3.3. *If there exists a nontrivial $u \in \mathcal{D}_{l_\alpha}((a, b))$ such that*

$$\int_a^b ul_\alpha[u] dt \leq 0, \quad (3.9)$$

$$u(a) = u'(a) = \dots = u^{(2m-1)}(a) = u(b) = \dots = u^{(2m-1)}(b) = 0, \quad (3.10)$$

$$V_\alpha[u] \equiv \int_a^b \left[(p(t) - P(t))|u^{(2m)}|^{\alpha+1} + (q(t) - Q(t))|u|^{\alpha+1} \right] dt \geq 0, \quad (3.11)$$

and if $v \in \mathcal{D}_{L_\alpha}((a, b))$ satisfies

$$L_\alpha[v] \geq 0 \text{ in } (a, b), \quad (3.12)$$

$$(-1)^k v^{(2k)}(t_k) > 0 \text{ at some point } t_k \in (a, b), \quad 0 \leq k \leq m-1, \quad (3.13)$$

$$(-1)^{m+k} (P\varphi(v^{(2m)}))^{(2k)} \geq 0 \text{ in } (a, b), \quad 0 \leq k \leq m-2, \quad (3.14)$$

and

$$(P\varphi(v^{(2m)}))^{(2m-2)} < 0 \text{ in } (a, b), \quad (3.15)$$

then at least one of $v, v'', \dots, v^{(2m-2)}$ vanishes somewhere in $[a, b]$.

Proof. Suppose that none of $v, v', \dots, v^{(2m-2)}$ vanishes in $[a, b]$. From the identity (2.2) integrated on $[a, b]$ we obtain, in view of the conditions of the theorem, that

$$\begin{aligned}
 0 &= V_\alpha[u] + \int_a^b \frac{|u|^{\alpha+1}}{v^\alpha} L_\alpha[v] dt - \int_a^b u l_\alpha[u] dt + \int_a^b P\Phi_\alpha\left(u^{(2m)}, \frac{u^{(2m-2)}}{v^{(2m-2)}} v^{(2m)}\right) dt + \\
 &+ \int_a^b \left\{ \sum_{k=1}^{m-1} \frac{(P\varphi(v^{(2m)}))^{(2k)}}{\varphi(v^{(2m-2k)})} \Phi_\alpha\left(u^{(2m-2k)}, \frac{u^{(2m-2k-2)}}{v^{(2m-2k-2)}} v^{(2m-2k)}\right) \right\} dt - \\
 &- \alpha(\alpha+1) \int_a^b \left\{ \sum_{k=0}^{m-1} \frac{(P\varphi(v^{(2m)}))^{(2k)}}{\varphi(v^{(2m-2k-2)})} |u^{(2m-2k-2)}|^{\alpha-1} \times \right. \\
 &\quad \left. \times \left[u^{2m-2k-1} - \frac{u^{(2m-2k-2)}}{v^{(2m-2k-2)}} v^{(2m-2k-1)} \right]^2 \right\} dt \geq \\
 &\geq -\alpha(\alpha+1) \int_a^b \frac{(P\varphi(v^{(2m)}))^{(2m-2)}}{v^\alpha} |u|^{\alpha-1} \left(u' - \frac{u}{v} v'\right)^2 dt \geq 0.
 \end{aligned}$$

Consequently, $u' - uv'/v = 0$ in (a, b) , that is, $u/v = k$ in (a, b) , and hence on $[a, b]$ by continuity, for some nonzero constant k . However, this is not the case since $u(a) = u(b) = 0$, whereas $v(t) > 0$ on $[a, b]$. This contradiction shows that at least one of $v, v', \dots, v^{(2m-2)}$ must vanish in $[a, b]$. \square

Finally, we use the identity (2.2) to obtain a lower bound for the first eigenvalue of the nonlinear eigenvalue problem

$$l_\alpha[u] = \lambda\varphi(u) \text{ in } (a, b), \tag{3.16}$$

$$u(a) = u'(a) = \dots = u^{(2m-1)}(a) = u(b) = \dots = u^{(2m-1)}(b) = 0. \tag{3.17}$$

Theorem 3.4. *Let λ_1 be the first eigenvalue of the problem (3.16)–(3.17) and $u_1 \in \mathcal{D}_{l_\alpha}((a, b))$ be the corresponding eigenfunction. If there exists a function $v \in \mathcal{D}_{L_\alpha}((a, b))$ such that*

$$(-1)^k v^{(2k)} > 0 \text{ in } [a, b], \quad 0 \leq k \leq m-1,$$

$$(-1)^{m+k} (P\varphi(v^{(2m)}))^{(2k)} \geq 0 \text{ in } (a, b), \quad 0 \leq k \leq m-1,$$

and if $V_\alpha[u_1] \geq 0$, then $\lambda_1 \geq \inf_{t \in (a, b)} \left[\frac{L_\alpha[v]}{v^\alpha} \right]$.

Proof. The identity (2.2) in view of the above hypotheses implies that

$$\lambda_1 \int_a^b |u_1|^{\alpha+1} dt - \int_a^b |u_1|^{\alpha+1} \frac{L_\alpha[v]}{v^\alpha} dt \geq 0,$$

from which the conclusion follows readily. \square

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