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# Higher Rank Graph $C^*$ -Algebras

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ABSTRACT. Building on recent work of Robertson and Steger, we associate a  $C^*$ -algebra to a combinatorial object which may be thought of as a higher rank graph. This  $C^*$ -algebra is shown to be isomorphic to that of the associated path groupoid. Various results in this paper give sufficient conditions on the higher rank graph for the associated  $C^*$ -algebra to be: simple, purely infinite and AF. Results concerning the structure of crossed products by certain natural actions of discrete groups are obtained; a technique for constructing rank 2 graphs from "commuting" rank 1 graphs is given.

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In this paper we shall introduce the notion of a higher rank graph and associate a  $C^*$ -algebra to it in such a way as to generalise the construction of the  $C^*$ -algebra of a directed graph as studied in [CK, KPRR, KPR] (amongst others). Graph  $C^*$ algebras include up to strong Morita equivalence Cuntz-Krieger algebras and AF algebras. The motivation for the form of our generalisation comes from the recent work of Robertson and Steger [RS1, RS2, RS3]. In [RS1] the authors study crossed product  $C^*$ -algebras arising from certain group actions on  $\tilde{A}_2$ -buildings and show that they are generated by two families of partial isometries which satisfy certain relations amongst which are Cuntz-Krieger type relations [RS1, Equations (2), (5)] as well as more intriguing commutation relations [RS1, Equation (7)]. In [RS2] they give a more general framework for studying such algebras involving certain families

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of commuting 0-1 matrices. In particular the associated  $C^*$ -algebras are simple, purely infinite and generated by a family of Cuntz-Krieger algebras associated to these matrices. It is this framework which we seek to cast in graphical terms to include a wider class of examples (including graph  $C^*$ -algebras).

What follows is a brief outline of the paper. In the first section we introduce the notion of a higher rank graph as a purely combinatorial object: a small category  $\Lambda$  gifted with a degree map  $d : \Lambda \to \mathbf{N}^k$  (called shape in [RS2]) playing the role of the length function. No detailed knowledge of category theory is required to read this paper. The associated  $C^*$ -algebra  $C^*(\Lambda)$  is defined as the universal  $C^*$ -algebra generated by a family of partial isometries  $\{s_{\lambda} : \lambda \in \Lambda\}$  satisfying relations similar to those of [KPR]. (Our standing assumption is that our higher rank graphs satisfy conditions analogous to a directed graph being row-finite and having no sinks.) We then describe some basic examples and indicate the relationship between our formalism and that of [RS2].

In the second section we introduce the path groupoid  $\mathcal{G}_{\Lambda}$  associated to a higher rank graph  $\Lambda$  (cf. [R, D, KPRR]). Once the infinite path space  $\Lambda^{\infty}$  is formed (and a few elementary facts are obtained) the construction is fairly routine. It follows from the gauge-invariant uniqueness theorem (Theorem 3.4) that  $C^*(\Lambda) \cong C^*(\mathcal{G}_{\Lambda})$ . By the universal property  $C^*(\Lambda)$  carries a canonical action of  $\mathbf{T}^k$  defined by

(1) 
$$\alpha_t(s_\lambda) = t^{d(\lambda)} s_\lambda$$

called the gauge action. In the third section we prove the gauge–invariant uniqueness theorem, which is the key result for analysing  $C^*(\Lambda)$  (cf. [BPRS, aHR], see also [CK, RS2] where similar techniques are used to prove simplicity). It gives conditions under which a homomorphism with domain  $C^*(\Lambda)$  is faithful: roughly speaking, if the homomorphism is equivariant for the gauge action and nonzero on the generators then it is faithful. This theorem has a number of interesting consequences, amongst which are the isomorphism mentioned above and the fact that the higher rank Cuntz–Krieger algebras of [RS2] are isomorphic to  $C^*$ –algebras associated to suitably chosen higher rank graphs.

In the fourth section we characterise, in terms of an aperiodicity condition on  $\Lambda$ , the circumstances under which the groupoid  $\mathcal{G}_{\Lambda}$  is essentially free. This aperiodicity condition allows us to prove a second uniqueness theorem analogous to the original theorem of [CK]. In 4.8 and 4.9 we obtain conditions under which  $C^*(\Lambda)$  is simple and purely infinite respectively which are similar to those in [KPR] but with the aperiodicity condition replacing condition (L).

In the next section we show that, given a functor  $c : \Lambda \to G$  where G is a discrete group, then as in [KP] one may construct a skew product  $G \times_c \Lambda$  which is also a higher rank graph. If G is abelian then there is a natural action  $\alpha^c : \widehat{G} \to \operatorname{Aut} C^*(\Lambda)$ such that

(2) 
$$\alpha_{\chi}^{c}(s_{\lambda}) = \langle \chi, c(\lambda) \rangle s_{\lambda};$$

moreover  $C^*(\Lambda) \rtimes_{\alpha^c} \widehat{G} \cong C^*(G \times_c \Lambda)$ . Comparing (1) and (2) we see that the gauge action  $\alpha$  is of the form  $\alpha^d$  and as a consequence we may show that the crossed product of  $C^*(\Lambda)$  by the gauge action is isomorphic to  $C^*(\mathbf{Z}^k \times_d \Lambda)$ ; this  $C^*$ -algebra is then shown to be AF. By Takai duality  $C^*(\Lambda)$  is strongly Morita equivalent to a crossed product of this AF algebra by the dual action of  $\mathbf{Z}^k$ . Hence  $C^*(\Lambda)$  belongs to the bootstrap class  $\mathcal{N}$  of  $C^*$ -algebras for which the UCT applies

(see [RSc]) and is consequently nuclear. If a discrete group G acts freely on a k-graph  $\Lambda$ , then the quotient object  $\Lambda/G$  inherits the structure of a k-graph; moreover (as a generalisation of [GT, Theorem 2.2.2]) there is a functor  $c : \Lambda/G \to G$  such that  $\Lambda \cong G \times_c (\Lambda/G)$  in an equivariant way. This fact allows us to prove that

$$C^*(\Lambda) \rtimes G \cong C^*(\Lambda/G) \otimes \mathcal{K}\left(\ell^2(G)\right)$$

where the action of G on  $C^*(\Lambda)$  is induced from that on  $\Lambda$ . Finally in Section 6, a technique for constructing a 2-graph from "commuting" 1-graphs A, B with the same vertex set is given. The construction depends on the choice of a certain bijection between pairs of composable edges:  $\theta : (a, b) \mapsto (b', a')$  where  $a, a' \in A^1$ and  $b, b' \in B^1$ ; the resulting 2-graph is denoted  $A *_{\theta} B$ . It is not hard to show that every 2-graph is of this form.

Throughout this paper we let  $\mathbf{N} = \{0, 1, ...\}$  denote the monoid of natural numbers under addition. For  $k \geq 1$ , regard  $\mathbf{N}^k$  as an abelian monoid under addition with identity 0 (it will sometimes be useful to regard  $\mathbf{N}^k$  as a small category with one object) and canonical generators  $e_i$  for i = 1, ..., k. We shall also regard  $\mathbf{N}^k$  as the positive cone of  $\mathbf{Z}^k$  under the usual coordinatewise partial order: thus  $m \leq n$  if and only if  $m_i \leq n_i$  for all i, where  $m = (m_1, ..., m_k)$ , and  $n = (n_1, ..., n_k)$ . (This makes  $\mathbf{N}^k$  a lattice.)

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## 1. Higher rank graph $C^*$ -algebras

In this section we first introduce what we shall call a higher rank graph as a purely combinatorial object. (We do not know whether this concept has been studied before.) Our definition of a higher rank graph is modelled on the path category of a directed graph (see [H], [Mu], [MacL, §II.7] and Example 1.3). Thus a higher rank graph will be defined to be a small category gifted with a degree map (called shape in [RS2]) satisfying a certain factorisation property. We then introduce the associated  $C^*$ -algebra whose definition is modelled on that of the  $C^*$ -algebra of a graph as well as the definition of [RS2].

**Definitions 1.1.** A k-graph (rank k graph or higher rank graph) ( $\Lambda$ , d) consists of a countable small category  $\Lambda$  (with range and source maps r and s respectively) together with a functor  $d : \Lambda \to \mathbf{N}^k$  satisfying the **factorisation property:** for every  $\lambda \in \Lambda$  and  $m, n \in \mathbf{N}^k$  with  $d(\lambda) = m + n$ , there are unique elements  $\mu, \nu \in \Lambda$ such that  $\lambda = \mu\nu$  and  $d(\mu) = m$ ,  $d(\nu) = n$ . For  $n \in \mathbf{N}^k$  we write  $\Lambda^n := d^{-1}(n)$ . A morphism between k-graphs ( $\Lambda_1, d_1$ ) and ( $\Lambda_2, d_2$ ) is a functor  $f : \Lambda_1 \to \Lambda_2$ compatible with the degree maps.

**Remarks 1.2.** The factorisation property of 1.1 allows us to identify  $\text{Obj}(\Lambda)$ , the objects of  $\Lambda$  with  $\Lambda^0$ . Suppose  $\lambda \alpha = \mu \alpha$  in  $\Lambda$  then by the the factorisation property  $\lambda = \mu$ ; left cancellation follows similarly. We shall write the objects of  $\Lambda$  as  $u, v, w, \ldots$  and the morphisms as greek letters  $\lambda, \mu, \nu \ldots$ . We shall frequently refer to  $\Lambda$  as a k-graph without mentioning d explicitly.

It might be interesting to replace  $\mathbf{N}^k$  in Definition 1.1 above by a monoid or perhaps the positive cone of an ordered abelian group.

Recall that  $\lambda, \mu \in \Lambda$  are composable if and only if  $r(\mu) = s(\lambda)$ , and then  $\lambda \mu \in \Lambda$ ; on the other hand two finite paths  $\lambda, \mu$  in a directed graph may be composed to give the path  $\lambda \mu$  provided that  $r(\lambda) = s(\mu)$ ; so in 1.3 below we will need to switch the range and source maps.

**Example 1.3.** Given a 1-graph  $\Lambda$ , define  $E^0 = \Lambda^0$  and  $E^1 = \Lambda^1$ . If we define  $s_E(\lambda) = r(\lambda)$  and  $r_E(\lambda) = s(\lambda)$  then the quadruple  $(E^0, E^1, r_E, s_E)$  is a directed graph in the sense of [KPR, KP]. On the other hand, given a directed graph  $E = (E^0, E^1, r_E, s_E)$ , then  $E^* = \bigcup_{n \ge 0} E^n$ , the collection of finite paths, may be viewed as small category with range and source maps given by  $s(\lambda) = r_E(\lambda)$  and  $r(\lambda) = s_E(\lambda)$ . If we let  $d : E^* \to \mathbf{N}$  be the length function (i.e.,  $d(\lambda) = n$  iff  $\lambda \in E^n$ ) then  $(E^*, d)$  is a 1-graph.

We shall associate a  $C^*$ -algebra to a k-graph in such a way that for k = 1 the associated  $C^*$ -algebra is the same as that of the directed graph. We shall consider other examples later.

**Definitions 1.4.** The k-graph  $\Lambda$  is row finite if for each  $m \in \mathbf{N}^k$  and  $v \in \Lambda^0$  the set  $\Lambda^m(v) := \{\lambda \in \Lambda^m : r(\lambda) = v\}$  is finite. Similarly  $\Lambda$  has no sources if  $\Lambda^m(v) \neq \emptyset$  for all  $v \in \Lambda^0$  and  $m \in \mathbf{N}^k$ .

Clearly if E is a directed graph then E is row finite (resp. has no sinks) if and only if  $E^*$  is row finite (resp. has no sources). Throughout this paper we will assume (unless otherwise stated) that any k-graph  $\Lambda$  is row finite and has no sources, that is

(3) 
$$0 < \#\Lambda^n(v) < \infty$$
 for every  $v \in \Lambda^0$  and  $n \in \mathbf{N}^k$ .

The Cuntz-Krieger relations [CK, p.253] and the relations given in [KPR, §1] may be interpreted as providing a representation of a certain directed graph by partial isometries and orthogonal projections. This view motivates the definition of  $C^*(\Lambda)$ .

**Definitions 1.5.** Let  $\Lambda$  be a k-graph (which satisfies the standing hypothesis (3)). Then  $C^*(\Lambda)$  is defined to be the universal  $C^*$ -algebra generated by a family  $\{s_{\lambda} : \lambda \in \Lambda\}$  of partial isometries satisfying:

- (i)  $\{s_v : v \in \Lambda^0\}$  is a family of mutually orthogonal projections,
- (ii)  $s_{\lambda\mu} = s_{\lambda}s_{\mu}$  for all  $\lambda, \mu \in \Lambda$  such that  $s(\lambda) = r(\mu)$ ,
- (iii)  $s_{\lambda}^* s_{\lambda} = s_{s(\lambda)}$  for all  $\lambda \in \Lambda$ ,

(iv) for all 
$$v \in \Lambda^0$$
 and  $n \in \mathbf{N}^k$  we have  $s_v = \sum_{\lambda \in \Lambda^n(v)} s_\lambda s_\lambda^*$ .

For  $\lambda \in \Lambda$ , define  $p_{\lambda} = s_{\lambda}s_{\lambda}^{*}$  (note that  $p_{v} = s_{v}$  for all  $v \in \Lambda^{0}$ ). A family of partial isometries satisfying (i)–(iv) above is called a \*–representation of  $\Lambda$ .

**Remarks 1.6.** (i) If  $\{t_{\lambda} : \lambda \in \Lambda\}$  is a \*-representation of  $\Lambda$  then the map  $s_{\lambda} \mapsto t_{\lambda}$  defines a \*-homomorphism from  $C^*(\Lambda)$  to  $C^*(\{t_{\lambda} : \lambda \in \Lambda\})$ .

(ii) If  $E^*$  is the 1-graph associated to the directed graph E (see 1.3), then by restricting a \*-representation to  $E^0$  and  $E^1$  one obtains a Cuntz-Krieger family for E in the sense of [KPR, §1]. Conversely every Cuntz-Krieger family for E extends uniquely to a \*-representation of  $E^*$ .

- (iii) In fact we only need the relation (iv) above to be satisfied for  $n = e_i \in \mathbf{N}^k$  for  $i = 1, \ldots, k$ , the relations for all n will then follow (cf. [RS2, Lemma 3.2]). Note that the definition of  $C^*(\Lambda)$  given in 1.5 may be extended to the case where there are sources by only requiring that relation (iv) hold for  $n = e_i$  and then only if  $\Lambda^{e_i}(v) \neq \emptyset$  (cf. [KPR, Equation (2)]).
- (iv) For  $\lambda, \mu \in \Lambda$  if  $s(\lambda) \neq s(\mu)$  then  $s_{\lambda}s_{\mu}^* = 0$ . The converse follows from 2.11.
- (v) Increasing finite sums of  $p_v$ 's form an approximate identity for  $C^*(\Lambda)$  (if  $\Lambda^0$  is finite then  $\sum_{v \in \Lambda^0} p_v$  is the unit for  $C^*(\Lambda)$ ). It follows from relations (i) and (iv) above that for any  $n \in \mathbf{N}^k$ ,  $\{p_{\lambda} : d(\lambda) = n\}$  forms a collection of orthogonal projections (cf. [RS2, 3.3]); likewise increasing finite sums of these form an approximate identity for  $C^*(\Lambda)$  (see 2.5).
- (vi) The above definition is not stated most efficiently. Any family of operators  $\{s_{\lambda} : \lambda \in \Lambda\}$  satisfying the above conditions must consist of partial isometries. The first two axioms could also be replaced by:

$$s_{\lambda}s_{\mu} = \begin{cases} s_{\lambda\mu} & \text{if } s(\lambda) = r(\mu) \\ 0 & \text{otherwise.} \end{cases}$$

- **Examples 1.7.** (i) If E is a directed graph, then by 1.6 (i) and (ii) we have  $C^*(E^*) \cong C^*(E)$  (see 1.3).
  - (ii) For  $k \geq 1$  let  $\Omega = \Omega_k$  be the small category with objects  $\operatorname{Obj}(\Omega) = \mathbf{N}^k$ , and morphisms  $\Omega = \{(m, n) \in \mathbf{N}^k \times \mathbf{N}^k : m \leq n\}$ ; the range and source maps are given by r(m, n) = m, s(m, n) = n. Let  $d : \Omega \to \mathbf{N}^k$  be defined by d(m, n) = n - m. It is then straightforward to show that  $\Omega_k$  is a k-graph and  $C^*(\Omega_k) \cong \mathcal{K}(\ell^2(\mathbf{N}^k))$ .
- (iii) Let  $T = T_k$  be the semigroup  $\mathbf{N}^k$  viewed as a small category, then if  $d: T \to \mathbf{N}^k$  is the identity map then (T, d) is a k-graph. It is not hard to show that  $C^*(T) \cong C(\mathbf{T}^k)$ , where  $s_{e_i}$  for  $1 \le i \le k$  are the canonical unitary generators.
- (iv) Let  $\{M_1, \ldots, M_k\}$  be square  $\{0, 1\}$  matrices satisfying conditions (H0)–(H3) of [RS2] and let  $\mathcal{A}$  be the associated  $C^*$ -algebra. For  $m \in \mathbf{N}^k$  let  $W_m$  be the collection of undecorated words in the finite alphabet  $\mathcal{A}$  of shape m as defined in [RS2] then let

$$W = \bigcup_{m \in \mathbf{N}^k} W_m$$

Together with range and source maps  $r(\lambda) = o(\lambda)$ ,  $s(\lambda) = t(\lambda)$  and product defined in [RS2, Definition 0.1] W is a small category. If we define  $d: W \to \mathbf{N}^k$ by  $d(\lambda) = \sigma(\lambda)$ , then one checks that d satisfies the factorisation property, and then from the second part of (H2) we see that (W,d) is an irreducible k-graph in the sense that for all  $u, v \in W_0$  there is  $\lambda \in W$  such that  $s(\lambda) = u$ and  $r(\lambda) = v$ .

We claim that the map  $s_{\lambda} \mapsto s_{\lambda,s(\lambda)}$  for  $\lambda \in W$  extends to a \*-homomorphism  $C^*(W) \to \mathcal{A}$  for which  $s_{\lambda}s^*_{\mu} \mapsto s_{\lambda,\mu}$  (since these generate  $\mathcal{A}$  this will show that the map is onto). It suffices to verify that  $\{s_{\lambda,s(\lambda)} : \lambda \in W\}$  constitutes a \*-representation of W. Conditions (i) and (iii) are easy to check, (iv) follows from [RS2, 0.1c,3.2] with  $u = v \in W^0$ . We check condition (ii): if  $s(\lambda) = r(\mu)$ 

apply [RS2, 3.2]

$$s_{\lambda,s(\lambda)}s_{\mu,s(\mu)} = \sum_{W^{d(\mu)}(s(\lambda))} s_{\lambda\nu,\nu}s_{\mu,s(\mu)} = s_{\lambda\mu,\mu}s_{\mu,s(\mu)} = s_{\lambda\mu,s(\lambda\mu)}$$

where the sum simplifies using [RS2, 3.1, 3.3] . We shall show below that  $C^*(W) \cong \mathcal{A}$ .

We may combine higher rank graphs using the following fact, whose proof is straightforward.

**Proposition 1.8.** Let  $(\Lambda_1, d_1)$  and  $(\Lambda_2, d_2)$  be rank  $k_1$ ,  $k_2$  graphs respectively, then  $(\Lambda_1 \times \Lambda_2, d_1 \times d_2)$  is a rank  $k_1 + k_2$  graph where  $\Lambda_1 \times \Lambda_2$  is the product category and  $d_1 \times d_2 : \Lambda_1 \times \Lambda_2 \to \mathbf{N}^{k_1+k_2}$  is given by  $d_1 \times d_2(\lambda_1, \lambda_2) = (d_1(\lambda_1), d_2(\lambda_2)) \in \mathbf{N}^{k_1} \times \mathbf{N}^{k_2}$  for  $\lambda_1 \in \Lambda_1$  and  $\lambda_2 \in \Lambda_2$ .

An example of this construction is discussed in [RS2, Remark 3.11]. It is clear that  $\Omega_{k+\ell} \cong \Omega_k \times \Omega_\ell$  where  $k, \ell > 0$ .

**Definition 1.9.** Let  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  be a monoid morphism, then if  $(\Lambda, d)$  is a kgraph we may form the  $\ell$ -graph  $f^{*}(\Lambda)$  as follows: (the objects of  $f^{*}(\Lambda)$  may be identified with those of  $\Lambda$  and)  $f^{*}(\Lambda) = \{(\lambda, n) : d(\lambda) = f(n)\}$  with  $d(\lambda, n) = n$ ,  $s(\lambda, n) = s(\lambda)$  and  $r(\lambda, n) = r(\lambda)$ .

- **Examples 1.10.** (i) Let  $\Lambda$  be a k-graph and put  $\ell = 1$ , then if we define the morphism  $f_i(n) = ne_i$  for  $1 \leq i \leq k$ , we obtain the **coordinate graphs**  $\Lambda_i := f_i^*(\Lambda)$  of  $\Lambda$  (these are 1-graphs).
- (ii) Suppose E is a directed graph and define  $f : \mathbf{N}^2 \to \mathbf{N}$  by  $(m_1, m_2) \mapsto m_1 + m_2$ ; then the two coordinate graphs of  $f^*(E^*)$  are isomorphic to  $E^*$ . We will show below that  $C^*(f^*(E^*)) \cong C^*(E^*) \otimes C(\mathbf{T})$ .
- (iii) Suppose E and F are directed graphs and define  $f : \mathbf{N} \to \mathbf{N}^2$  by f(m) = (m, m) then  $f^*(E^* \times F^*) = (E \times F)^*$  where  $E \times F$  denotes the cartesian product graph (see [KP, Def. 2.1]).

**Proposition 1.11.** Let  $\Lambda$  be a k-graph and  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  a monoid morphism, then there is a \*-homomorphism  $\pi_{f} : C^{*}(f^{*}(\Lambda)) \to C^{*}(\Lambda)$  such that  $s_{(\lambda,n)} \mapsto s_{\lambda}$ ; moreover if f is surjective, then  $\pi_{f}$  is too.

**Proof.** By 1.6(i) it suffices to show that this is a \*-representation of  $f^*(\Lambda)$ . Properties (i)–(iii) are straightforward to verify and property (iv) follows by observing that for fixed  $n \in \mathbb{N}^{\ell}$  and  $v \in \Lambda^0$  the map  $f^*(\Lambda)^n(v) \to \Lambda^{f(n)}(v)$  given by  $(\lambda, n) \mapsto \lambda$  is a bijection. If f is surjective, then it is clear that every generator  $s_{\lambda}$  of  $C^*(\Lambda)$  is in the range of  $\pi_f$ .

Later in 3.5 we will also show that  $\pi_f$  is injective if f is injective.

### 2. The path groupoid

In this section we construct the path groupoid  $\mathcal{G}_{\Lambda}$  associated to a higher rank graph  $(\Lambda, d)$  along the lines of [KPRR, §2]. Because some of the details are not quite the same as those in [KPRR, §2] we feel it is useful to sketch the construction. First we introduce the following analog of an infinite path in a higher rank graph: **Definitions 2.1.** Let  $\Lambda$  be a k-graph, then

 $\Lambda^{\infty} = \{ x : \Omega_k \to \Lambda : x \text{ is a } k \text{-graph morphism} \},\$ 

is the infinite path space of  $\Lambda$ . For  $v \in \Lambda^0$  let  $\Lambda^{\infty}(v) = \{x \in \Lambda^{\infty} : x(0) = v\}$ . For each  $p \in \mathbf{N}^k$  define  $\sigma^p : \Lambda^{\infty} \to \Lambda^{\infty}$  by  $\sigma^p(x)(m,n) = x(m+p,n+p)$  for  $x \in \Lambda^{\infty}$  and  $(m,n) \in \Omega$ . (Note that  $\sigma^{p+q} = \sigma^p \circ \sigma^q$ ).

By our standing assumption (3) one can show that for every  $v \in \Lambda^0$  we have  $\Lambda^{\infty}(v) \neq \emptyset$ . Our definition of  $\Lambda^{\infty}$  is related to the definition of  $W_{\infty}$ , the space of infinite words, given in the proof of [RS2, Lemma 3.8]. If  $E^*$  is the 1-graph associated to the directed graph E then  $(E^*)^{\infty}$  may be identified with  $E^{\infty}$ .

**Remarks 2.2.** By the factorisation property the values of x(0,m) for  $m \in \mathbf{N}^k$  completely determine  $x \in \Lambda^{\infty}$ . To see this, suppose that x(0,m) is given for all  $m \in \mathbf{N}^k$  then for  $(m,n) \in \Omega$ , x(m,n) is the unique element  $\lambda \in \Lambda$  such that  $x(0,n) = x(0,m)\lambda$ .

More generally, let  $\{n_j : j \ge 0\}$  be an increasing cofinal sequence in  $\mathbf{N}^k$  with  $n_0 = 0$  (for example one could take  $n_j = jp$  where  $p = (1, \ldots, 1) \in \mathbf{N}^k$ ); then  $x \in \Lambda^\infty$  is completely determined by the values of  $x(0, n_j)$ . Moreover, given a sequence  $\{\lambda_j : j \ge 1\}$  in  $\Lambda$  such that  $s(\lambda_j) = r(\lambda_{j+1})$  and  $d(\lambda_j) = n_j - n_{j-1}$  there is a unique  $x \in \Lambda^\infty$  such that  $x(n_{j-1}, n_j) = \lambda_j$ . For  $(m, n) \in \Omega$  we define x(m, n) by the factorisation property as follows: let j be the smallest index such that  $n \le n_j$ . Then x(m, n) is the unique element of degree n - m such that  $\lambda_1 \cdots \lambda_j = \mu x(m, n)\nu$  where  $d(\mu) = m$  and  $d(\nu) = n_j - n$ . It is straightforward to show that x has the desired properties.

We now establish a factorisation property for  $\Lambda^\infty$  which is an easy consequence of the above remarks.

**Proposition 2.3.** Let  $\Lambda$  be a rank k graph. For all  $\lambda \in \Lambda$  and  $x \in \Lambda^{\infty}$  with  $x(0) = s(\lambda)$ , there is a unique  $y \in \Lambda^{\infty}$  such that  $x = \sigma^{d(\lambda)}y$  and  $\lambda = y(0, d(\lambda))$ ; we write  $y = \lambda x$ . Note that for every  $x \in \Lambda^{\infty}$  and  $p \in \mathbf{N}^k$  we have  $x = x(0, p)\sigma^p x$ .

**Proof.** Fix  $\lambda \in \Lambda$  and  $x \in \Lambda^{\infty}$  with  $x(0) = s(\lambda)$ . The sequence  $\{n_j : j \ge 0\}$  defined by  $n_0 = 0$  and  $n_j = (j-1)p + d(\lambda)$  for  $j \ge 1$  is cofinal. Set  $\lambda_1 = \lambda$  and  $\lambda_j = x((j-2)p, (j-1)p)$  for  $j \ge 2$  and let  $y \in \Lambda^{\infty}$  be defined by the method given in 2.2. Then y has the desired properties.

Next we construct a basis of compact open sets for the topology on  $\Lambda^\infty$  indexed by  $\Lambda.$ 

**Definitions 2.4.** Let  $\Lambda$  be a rank k graph. For  $\lambda \in \Lambda$  define

$$Z(\lambda) = \{\lambda x \in \Lambda^{\infty} : s(\lambda) = x(0)\} = \{x : x(0, d(\lambda)) = \lambda\}.$$

**Remarks 2.5.** Note that  $Z(v) = \Lambda^{\infty}(v)$  for all  $v \in \Lambda^0$ . For fixed  $n \in \mathbf{N}^k$  the sets  $\{Z(\lambda) : d(\lambda) = n\}$  form a partition of  $\Lambda^{\infty}$  (see 1.6(v)); moreover for every  $\lambda \in \Lambda$  we have

(4) 
$$Z(\lambda) = \bigcup_{\substack{d(\mu)=n\\r(\mu)=s(\lambda)}} Z(\lambda\mu).$$

We endow  $\Lambda^{\infty}$  with the topology generated by the collection  $\{Z(\lambda) : \lambda \in \Lambda\}$ . Note that the map given by  $\lambda x \mapsto x$  induces a homeomorphism between  $Z(\lambda)$  and  $Z(s(\lambda))$  for all  $\lambda \in \Lambda$ . Hence, for every  $p \in \mathbf{N}^k$  the map  $\sigma^p : \Lambda^\infty \to \Lambda^\infty$  is a local homeomorphism.

**Lemma 2.6.** For each  $\lambda \in \Lambda$ ,  $Z(\lambda)$  is compact.

**Proof.** By 2.5 it suffices to show that Z(v) is compact for all  $v \in \Lambda^0$ . Fix  $v \in \Lambda^0$ and let  $\{x_n\}_{n\geq 1}$  be a sequence in Z(v). For every  $m, x_n(0, m)$  may take only finitely many values (by (3)). Hence there is a  $\lambda \in \Lambda^m$  such that  $x_n(0,m) = \lambda$  for infinitely many n. We may therefore inductively construct a sequence  $\{\lambda_j : j \geq 1\}$  in  $\Lambda^p$ such that  $s(\lambda_j) = r(\lambda_{j+1})$  and  $x_n(0, jp) = \lambda_1 \cdots \lambda_j$  for infinitely many n (recall  $p = (1, \ldots, 1) \in \mathbf{N}^k$ ). Choose a subsequence  $\{x_{n_j}\}$  such that  $x_{n_j}(0, jp) = \lambda_1 \cdots \lambda_j$ . Since  $\{jp\}$  is cofinal, there is a unique  $y \in \Lambda^\infty(v)$  such that  $y((j-1)p, jp) = \lambda_j$  for  $j \geq 1$ ; then  $x_{n_j} \to y$  and hence Z(v) is compact.  $\Box$ 

Note that  $\Lambda^{\infty}$  is compact if and only if  $\Lambda^0$  is finite.

**Definition 2.7.** If  $\Lambda$  is k-graph then let

$$\mathcal{G}_{\Lambda} = \{ (x, n, y) \in \Lambda^{\infty} \times \mathbf{Z}^{k} \times \Lambda^{\infty} : \sigma^{\ell} x = \sigma^{m} y, n = \ell - m \}.$$

Define range and source maps  $r, s : \mathcal{G}_{\Lambda} \to \Lambda^{\infty}$  by r(x, n, y) = x, s(x, n, y) = y. For  $(x, n, y), (y, \ell, z) \in \mathcal{G}_{\Lambda}$  set  $(x, n, y)(y, \ell, z) = (x, n+\ell, z)$ , and  $(x, n, y)^{-1} = (y, -n, x)$ ;  $\mathcal{G}_{\Lambda}$  is called the path groupoid of  $\Lambda$  (cf. [R, D, KPRR]).

One may check that  $\mathcal{G}_{\Lambda}$  is a groupoid with  $\Lambda^{\infty} = \mathcal{G}_{\Lambda}^{0}$  under the identification  $x \mapsto (x, 0, x)$ . For  $\lambda, \mu \in \Lambda$  such that  $s(\lambda) = s(\mu)$  define

$$Z(\lambda,\mu) = \{ (\lambda z, d(\lambda) - d(\mu), \mu z) : z \in \Lambda^{\infty}(s(\lambda)) \}.$$

We collect certain standard facts about  $\mathcal{G}_{\Lambda}$  in the following result.

**Proposition 2.8.** Let  $\Lambda$  be a k-graph. The sets  $\{Z(\lambda,\mu) : \lambda, \mu \in \Lambda, s(\lambda) = s(\mu)\}$ form a basis for a locally compact Hausdorff topology on  $\mathcal{G}_{\Lambda}$ . With this topology  $\mathcal{G}_{\Lambda}$  is a second countable, r-discrete locally compact groupoid in which each  $Z(\lambda,\mu)$ is a compact open bisection. The topology on  $\Lambda^{\infty}$  agrees with the relative topology under the identification of  $\Lambda^{\infty}$  with the subset  $\mathcal{G}_{\Lambda}^{0}$  of  $\mathcal{G}_{\Lambda}$ .

**Proof.** One may check that the sets  $Z(\lambda, \mu)$  form a basis for a topology on  $\mathcal{G}_{\Lambda}$ . To see that multiplication is continuous, suppose that  $(x, n, y)(y, \ell, z) = (x, n + \ell, z) \in Z(\gamma, \delta)$ . Since  $(x, n, y), (y, \ell, z)$  are composable in  $\mathcal{G}_{\Lambda}$  there are  $\kappa, \nu \in \Lambda$  and  $t \in \Lambda^{\infty}$  such that  $x = \gamma \kappa t$ ,  $y = \nu t$  and  $z = \delta \kappa t$ . Hence  $(x, k, y) \in Z(\gamma \kappa, \nu)$  and  $(y, \ell, z) \in Z(\nu, \delta \kappa)$  and the product maps the open set  $\mathcal{G}_{\Lambda}^2 \cap (Z(\gamma \kappa, \nu) \times Z(\nu, \delta \kappa))$  into  $Z(\gamma, \delta)$ . The remaining parts of the proof are similar to those given in [KPRR, Proposition 2.6].

Note that  $Z(\lambda,\mu) \cong Z(s(\lambda))$ , via the map  $(\lambda z, d(\lambda) - d(\mu), \mu z) \mapsto z$ . Again we note that in the case k = 1 we have  $\Lambda = E^*$  for some directed graph E and the groupoid  $\mathcal{G}_{E^*} \cong \mathcal{G}_E$ , the graph groupoid of E which is described in detail in [KPRR, §2].

**Proposition 2.9.** Let  $\Lambda$  be a k-graph and let  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  be a morphism. The map  $x \mapsto f^{*}(x)$  given by  $f^{*}(x)(m,n) = (x(f(m), f(n)), n-m)$  defines a continuous surjective map  $f^{*} : \Lambda^{\infty} \to f^{*}(\Lambda)^{\infty}$ . Moreover, if the image of f is cofinal (equivalently f(p) is strictly positive in the sense that all of its coordinates are nonzero) then  $f^{*}$  is a homeomorphism.

**Proof.** Given  $x \in f^*(\Lambda)^{\infty}$  choose a sequence  $\{m_i\}$  such that  $n_j = \sum_{i=1}^j m_i$  is cofinal in  $\mathbb{N}^{\ell}$ . Set  $n_0 = 0$  and let  $\lambda_j \in \Lambda^{f(m_j)}$  be defined by the condition that  $x(n_{j-1}, n_j) = (\lambda_j, m_j)$ . We must show that there is an  $x' \in \Lambda^{\infty}$  such that  $x'(f(n_{j-1}), f(n_j)) = \lambda_j$ . It suffices to show that the the intersection  $\bigcap_j Z(\lambda_1 \cdots \lambda_j) \neq \emptyset$ . But this follows by the finite intersection property. One checks that  $x = f^*(x')$ . Furthermore the inverse image of  $Z(\lambda, n)$  is  $Z(\lambda)$  and hence  $f^*$  is continuous.

Now suppose that the image of f is cofinal, then the procedure defined above gives a continuous inverse for  $f^*$ . Given  $x \in f^*(\Lambda)^{\infty}$ , then since  $f(n_j)$  is cofinal, the intersection  $\bigcap_j Z(\lambda_1 \cdots \lambda_j)$  contains a single point x'. Note that x' depends on x continuously.

For higher rank graphs of the form  $f^*(\Lambda)$  with f surjective (see 1.9), the associated groupoid  $\mathcal{G}_{f^*(\Lambda)}$  decomposes as a direct product as follows.

**Proposition 2.10.** Let  $\Lambda$  be a k-graph and let  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  be a surjective morphism. Then

$$\mathcal{G}_{f^*(\Lambda)} \cong \mathcal{G}_{\Lambda} \times \mathbf{Z}^{\ell-k}.$$

**Proof.** Since f is surjective, the map  $f^* : \Lambda^{\infty} \to f^*(\Lambda)^{\infty}$  is a homeomorphism (see 2.9). The map f extends to a surjective morphism  $f : \mathbf{Z}^{\ell} \to \mathbf{Z}^{k}$ . Let  $j : \mathbf{Z}^{k} \to \mathbf{Z}^{\ell}$  be a section for f and let  $i : \mathbf{Z}^{\ell-k} \to \mathbf{Z}^{\ell}$  be an identification of  $\mathbf{Z}^{\ell-k}$  with ker f. Then we get a groupoid isomorphism by the map

$$f((x,n,y),m) \mapsto (f^*x, i(m) + j(n), f^*y),$$

where  $((x, n, y), m) \in \mathcal{G}_{\Lambda} \times \mathbf{Z}^{\ell-k}$ .

Finally, as in [RS2, Lemma 3.8] we demonstrate that there is a nontrivial \*-representation of  $(\Lambda, d)$ .

**Proposition 2.11.** Let  $(\Lambda, d)$  be a k-graph. Then there exists a representation  $\{S_{\lambda} : \lambda \in \Lambda\}$  of  $\Lambda$  on a Hilbert space with all partial isometries  $S_{\lambda}$  nonzero.

**Proof.** Let  $\mathcal{H} = \ell^2(\Lambda^{\infty})$ , then for  $\lambda \in \Lambda$  define  $S_{\lambda} \in \mathcal{B}(\mathcal{H})$  by

$$S_{\lambda}e_y = \begin{cases} e_{\lambda y} & \text{if } s(\lambda) = y(0), \\ 0 & \text{otherwise,} \end{cases}$$

where  $\{e_y : y \in \Lambda^{\infty}\}$  is the canonical basis for  $\mathcal{H}$ . Notice that  $S_{\lambda}$  is nonzero since  $\Lambda^{\infty}(s(\lambda)) \neq \emptyset$ ; one then checks that the family  $\{S_{\lambda} : \lambda \in \Lambda\}$  satisfies conditions 1.5(i)-(iv).

### 3. The gauge invariant uniqueness theorem

By the universal property of  $C^*(\Lambda)$  there is a canonical action of the k-torus  $\mathbf{T}^k$ , called the **gauge action**:  $\alpha : \mathbf{T}^k \to \operatorname{Aut} C^*(\Lambda)$  defined for  $t = (t_1, \ldots, t_k) \in \mathbf{T}^k$ and  $s_\lambda \in C^*(\Lambda)$  by

(5) 
$$\alpha_t(s_\lambda) = t^{d(\lambda)} s_\lambda$$

where  $t^m = t_1^{m_1} \cdots t_k^{m_k}$  for  $m = (m_1, \ldots, m_k) \in \mathbf{N}^k$ . It is straightforward to show that  $\alpha$  is strongly continuous. As in [CK, Lemma 2.2] and [RS2, Lemma 3.6] we shall need the following.

**Lemma 3.1.** Let  $\Lambda$  be a k-graph. Then for  $\lambda, \mu \in \Lambda$  and  $q \in \mathbf{N}^k$  with  $d(\lambda)$ ,  $d(\mu) \leq q$  we have

(6) 
$$s_{\lambda}^* s_{\mu} = \sum_{\substack{\lambda \alpha = \mu \beta \\ d(\lambda \alpha) = q}} s_{\alpha} s_{\beta}^*$$

Hence every nonzero word in  $s_{\lambda}, s_{\mu}^*$  may be written as a finite sum of partial isometries of the form  $s_{\alpha}s_{\beta}^*$  where  $s(\alpha) = s(\beta)$ ; their linear span then forms a dense \*-subalgebra of  $C^*(\Lambda)$ .

**Proof.** Applying 1.5(iv) to  $s(\lambda)$  with  $n = q - d(\lambda)$ , to  $s(\mu)$  with  $n = q - d(\mu)$  and using 1.5 (ii) we get

(7) 
$$s_{\lambda}^{*}s_{\mu} = p_{s(\lambda)}s_{\lambda}^{*}s_{\mu}p_{s(\mu)} = \left(\sum_{\Lambda^{q-d(\lambda)}(s(\lambda))}s_{\alpha}s_{\alpha}^{*}\right)s_{\lambda}^{*}s_{\mu}\left(\sum_{\Lambda^{q-d(\mu)}(s(\mu))}s_{\beta}s_{\beta}s_{\beta}^{*}\right)$$
$$= \left(\sum_{\Lambda^{q-d(\lambda)}(s(\lambda))}s_{\alpha}s_{\lambda\alpha}^{*}\right)\left(\sum_{\Lambda^{q-d(\mu)}(s(\mu))}s_{\beta}\mu_{\beta}s_{\beta}^{*}\right).$$

By 1.6(iv) if  $d(\lambda \alpha) = d(\mu\beta)$  but  $\lambda \alpha \neq \mu\beta$ , then the range projections  $p_{\lambda\alpha}$ ,  $p_{\mu\beta}$ are orthogonal and hence one has  $s^*_{\lambda\alpha}s_{\mu\beta} = 0$ . If  $\lambda \alpha = \mu\beta$  then  $s^*_{\lambda\alpha}s_{\mu\beta} = p_v$ where  $v = s(\alpha)$  and so  $s_{\alpha}s^*_{\lambda\alpha}s_{\mu\beta}s^*_{\beta} = s_{\alpha}p_vs^*_{\beta} = s_{\alpha}s^*_{\beta}$ ; formula (6) then follows from formula (7). The rest of the proof is now routine.

Following [RS2, §4]: for  $m \in \mathbf{N}^k$  let  $\mathcal{F}_m$  denote the  $C^*$ -subalgebra of  $C^*(\Lambda)$  generated by the elements  $s_{\lambda}s^*_{\mu}$  for  $\lambda, \mu \in \Lambda^m$  where  $s(\lambda) = s(\mu)$ , and for  $v \in \Lambda^0$  denote  $\mathcal{F}_m(v)$  the  $C^*$ -subalgebra generated by  $s_{\lambda}s^*_{\mu}$  where  $s(\lambda) = v$ .

**Lemma 3.2.** For  $m \in \mathbf{N}^k$ ,  $v \in \Lambda^0$  there exist isomorphisms

$$\mathcal{F}_m(v) \cong \mathcal{K}\left(\ell^2(\{\lambda \in \Lambda^m : s(\lambda) = v\})\right)$$

and  $\mathcal{F}_m \cong \bigoplus_{v \in \Lambda^0} \mathcal{F}_m(v)$ . Moreover, the  $C^*$ -algebras  $\mathcal{F}_m$ ,  $m \in \mathbf{N}^k$ , form a directed system under inclusion, and  $\mathcal{F}_{\Lambda} = \overline{\cup \mathcal{F}_m}$  is an AF  $C^*$ -algebra.

**Proof.** Fix  $v \in \Lambda^0$  and let  $\lambda$ ,  $\mu$ ,  $\alpha$ ,  $\beta \in \Lambda^m$  be such that  $s(\lambda) = s(\mu)$  and  $s(\alpha) = s(\beta)$ , then by 1.6(v) we have

(8) 
$$(s_{\lambda}s_{\mu}^{*})(s_{\alpha}s_{\beta}^{*}) = \delta_{\mu,\alpha}s_{\lambda}s_{\beta}^{*},$$

so that the map which sends  $s_{\lambda}s_{\mu}^* \in \mathcal{F}_m(v)$  to the matrix unit

$$e^{v}_{\lambda,\mu} \in \mathcal{K}\left(\ell^{2}(\{\lambda \in \Lambda^{m} : s(\lambda) = v\})\right)$$

for all  $\lambda, \mu \in \Lambda^m$  with  $s(\lambda) = s(\mu) = v$  extends to an isomorphism. The second isomorphism also follows from (8) (since  $s(\mu) \neq s(\alpha)$  implies  $\mu \neq \alpha$ ). We claim that  $\mathcal{F}_m$  is contained in  $\mathcal{F}_n$  whenever  $m \leq n$ . To see this we apply 1.5(iv) to give

(9) 
$$s_{\lambda}s_{\mu}^{*} = s_{\lambda}p_{s(\lambda)}s_{\mu}^{*} = \sum_{\Lambda^{\ell}(s(\lambda))} s_{\lambda}s_{\gamma}s_{\gamma}^{*}s_{\mu}^{*} = \sum_{\Lambda^{\ell}(s(\lambda))} s_{\lambda\gamma}s_{\mu\gamma}^{*}$$

where  $\ell = n - m$ . Hence the  $C^*$ -algebras  $\mathcal{F}_m, m \in \mathbf{N}^k$ , form a directed system as required.

Note that  $\mathcal{F}_{\Lambda}$  may also be expressed as the closure of  $\bigcup_{j=1}^{\infty} \mathcal{F}_{jp}$  where  $p = (1, \ldots, 1) \in \mathbf{N}^k$ .

Clearly for  $t \in \mathbf{T}^k$  the gauge automorphism  $\alpha_t$  defined in (5) fixes those elements  $s_\lambda s^*_\mu \in C^*(\Lambda)$  with  $d(\lambda) = d(\mu)$  (since  $\alpha_t(s_\lambda s^*_\mu) = t^{d(\lambda)-d(\mu)}s_\lambda s^*_\mu$ ) and hence  $\mathcal{F}_\Lambda$  is contained in the fixed point algebra  $C^*(\Lambda)^{\alpha}$ . Consider the linear map on  $C^*(\Lambda)$  defined by

$$\Phi(x) = \int_{\mathbf{T}^k} \alpha_t(x) \, dt$$

where dt denotes normalised Haar measure on  $\mathbf{T}^k$  and note that  $\Phi(x) \in C^*(\Lambda)^{\alpha}$  for all  $x \in C^*(\Lambda)$ . As the proof of the following result is now standard, we omit it (see [CK, Proposition 2.11], [RS2, Lemma 3.3], [BPRS, Lemma 2.2]).

**Lemma 3.3.** Let  $\Phi$ ,  $\mathcal{F}_{\Lambda}$  be as described above.

(i) The map Φ is a faithful conditional expectation from C\*(Λ) onto C\*(Λ)<sup>α</sup>.
(ii) F<sub>Λ</sub> = C\*(Λ)<sup>α</sup>.

Hence the fixed point algebra  $C^*(\Lambda)^{\alpha}$  is an AF algebra. This fact is key to the proof of the gauge–invariant uniqueness theorem for  $C^*(\Lambda)$  (see [BPRS, Theorem 2.1], [aHR, Theorem 2.3], see also [CK, RS2] where a similar technique is used in the proof of simplicity).

**Theorem 3.4.** Let B be a  $C^*$ -algebra,  $\pi : C^*(\Lambda) \to B$  be a homomorphism and let  $\beta : \mathbf{T}^k \to Aut(B)$  be an action such that  $\pi \circ \alpha_t = \beta_t \circ \pi$  for all  $t \in \mathbf{T}^k$ . Then  $\pi$ is faithful if and only if  $\pi(p_v) \neq 0$  for all  $v \in \Lambda^0$ .

**Proof.** If  $\pi(p_v) = 0$  for some  $v \in \Lambda^0$  then clearly  $\pi$  is not faithful. Conversely, suppose that  $\pi$  is equivariant and that  $\pi(p_v) \neq 0$  for all  $v \in \Lambda^0$ . We first show that  $\pi$  is faithful on  $C^*(\Lambda)^{\alpha} = \bigcup_{j \geq 0} \mathcal{F}_{jp}$ . For any ideal I in  $C^*(\Lambda)^{\alpha}$ , we have  $I = \bigcup_{j \geq 0} (I \cap \mathcal{F}_{jp})$  (see [B, Lemma 3.1], [ALNR, Lemma 1.3]). Thus it is enough to prove that  $\pi$  is faithful on each  $\mathcal{F}_n$ . But by 3.2 it suffices to show that it is faithful on  $\mathcal{F}_n(v)$ , for all  $v \in \Lambda^0$ . Fix  $v \in \Lambda^0$  and  $\lambda, \mu \in \Lambda^n$  with  $s(\lambda) = s(\mu) = v$  we need only show that  $\pi(s_\lambda s^*_{\mu}) \neq 0$ . Since  $\pi(p_v) \neq 0$  we have

$$0 \neq \pi(p_v^2) = \pi(s_\lambda^* s_\lambda s_\mu^* s_\mu) = \pi(s_\lambda^*) \pi(s_\lambda s_\mu^*) \pi(s_\mu).$$

Hence  $\pi(s_{\lambda}s_{\mu}^{*}) \neq 0$  and  $\pi$  is faithful on  $C^{*}(\Lambda)^{\alpha}$ . Let  $a \in C^{*}(\Lambda)$  be a nonzero positive element; then since  $\Phi$  is faithful  $\Phi(a) \neq 0$  and as  $\pi$  is faithful on  $C^{*}(\Lambda^{\alpha})$  we have

$$0 \neq \pi(\Phi(a)) = \pi\left(\int_{\mathbf{T}^k} \alpha_t(a) \, dt\right) = \int_{\mathbf{T}^k} \beta_t(\pi(a)) \, dt$$

hence  $\pi(a) \neq 0$  and  $\pi$  is faithful on  $C^*(\Lambda)$  as required.

#### Corollary 3.5.

- (i) Let  $(\Lambda, d)$  be a k-graph and let  $\mathcal{G}_{\Lambda}$  be its associated groupoid. Then there is an isomorphism  $C^*(\Lambda) \cong C^*(\mathcal{G}_{\Lambda})$  such that  $s_{\lambda} \mapsto 1_{Z(\lambda, s(\lambda))}$  for  $\lambda \in \Lambda$ . Moreover, the canonical map  $C^*(\mathcal{G}_{\Lambda}) \to C^*_r(\mathcal{G}_{\Lambda})$  is an isomorphism.
- (ii) Let  $\{M_1, \ldots, M_k\}$  be a collection of matrices satisfying (H0)–(H3) of [RS2] and W the k-graph defined in 1.7(iv). Then  $C^*(W) \cong \mathcal{A}$ , via the map  $s_{\lambda} \mapsto s_{\lambda,s(\lambda)}$  for  $\lambda \in W$ .

- (iii) If  $\Lambda$  is a k-graph and  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  is injective, then the \*-homomorphism  $\pi_{f} : C^{*}(f^{*}(\Lambda)) \to C^{*}(\Lambda)$  (see 1.11) is injective. In particular the C\*-algebras of the coordinate graphs  $\Lambda_{i}$  for  $1 \leq i \leq k$  form a generating family of subalgebras of  $C^{*}(\Lambda)$ . Moreover, if f is surjective then  $C^{*}(f^{*}(\Lambda)) \cong C^{*}(\Lambda) \otimes C(\mathbf{T}^{\ell-k})$ .
- (iv) Let  $(\Lambda_i, d_i)$  be  $k_i$ -graphs for i = 1, 2, then  $C^*(\Lambda_1 \times \Lambda_2) \cong C^*(\Lambda_1) \otimes C^*(\Lambda_2)$ via the map  $s_{(\lambda_1, \lambda_2)} \mapsto s_{\lambda_1} \otimes s_{\lambda_2}$  for  $(\lambda_1, \lambda_2) \in \Lambda_1 \times \Lambda_2$ .

**Proof.** For (i) we note that  $s_{\lambda} \mapsto 1_{Z(\lambda,s(\lambda))}$  for  $\lambda \in \Lambda$  is a \*-representation of  $\Lambda$ ; hence there is a \*-homomorphism  $\pi : C^*(\Lambda) \to C^*(\mathcal{G}_{\Lambda})$  such that  $\pi(s_{\lambda}) = 1_{Z(\lambda,s(\lambda))}$  for  $\lambda \in \Lambda$  (see 1.6(i)). Let  $\beta$  denote the  $\mathbf{T}^k$ -action on  $C^*(\mathcal{G}_{\Lambda})$  induced by the  $\mathbf{Z}^k$ -valued 1-cocycle defined on  $\mathcal{G}_{\Lambda}$  by  $(x, k, y) \mapsto k$  (see [R, II.5.1]); one checks that  $\pi \circ \alpha_t = \beta_t \circ \pi$  for all  $t \in \mathbf{T}^k$ . Clearly for  $v \in \Lambda^0$  we have  $1_{Z(v,v)} \neq 0$ , since  $\Lambda^{\infty}(v) \neq \emptyset$  and  $\pi$  is injective. Surjectivity follows from the fact that  $\pi(s_{\lambda}s^*_{\mu}) = 1_{Z(\lambda,\mu)}$  together with the observation that  $C^*(\mathcal{G}_{\Lambda}) = \overline{\operatorname{span}}\{1_{Z(\lambda,\mu)}\}$ . The same argument shows that  $C^*_r(\mathcal{G}_{\Lambda}) \cong C^*(\Lambda)$  and so  $C^*_r(\mathcal{G}_{\Lambda})^1$ .

For (ii) we note that there is a surjective \*-homomorphism  $\pi : C^*(W) \to \mathcal{A}$ such that  $\pi(s_{\lambda}) = s_{\lambda,s(\lambda)}$  for  $\lambda \in W$  (see 1.7(iv)) which is clearly equivariant for the respective  $\mathbf{T}^k$ -actions. Moreover by [RS2, Lemma 2.9] we have  $s_{v,v} \neq 0$  for all  $v \in W_0 = A$  and so the result follows.

For (iii) note that the injection  $f : \mathbf{N}^{\ell} \to \mathbf{N}^k$  extends naturally to a homomorphism  $f : \mathbf{Z}^{\ell} \to \mathbf{Z}^k$  which in turn induces a map  $\hat{f} : \mathbf{T}^k \to \mathbf{T}^{\ell}$  characterised by  $\hat{f}(t)^p = t^{f(p)}$  for  $p \in \mathbf{N}^{\ell}$ . Let *B* be the fixed point algebra of the gauge action of  $\mathbf{T}^k$  on  $C^*(\Lambda)$  restricted to the kernel of  $\hat{f}$ . The gauge action restricted to *B* descends to an action of  $\mathbf{T}^{\ell} = \mathbf{T}^k / \text{Ker } \hat{f}$  on *B* which we denote  $\overline{\alpha}$ . Observe that for  $t \in \mathbf{T}^k$  and  $(\lambda, n) \in f^*(\Lambda)$  we have

$$\alpha_t(\pi_f(s_{(\lambda,n)})) = t^{f(n)}s_\lambda = \hat{f}(t)^n s_\lambda;$$

hence  $\operatorname{Im} \pi_f \subseteq B$  (if  $t \in \operatorname{Ker} \hat{f}$ , then  $\hat{f}(t)^n = 1$ ). By the same formula we see that  $\pi_f \circ \alpha = \overline{\alpha} \circ \pi_f$  and the result now follows by 3.4. The last assertion follows from part (i) together with the fact that  $\mathcal{G}_{f^*(\Lambda)} \cong \mathcal{G}_{\Lambda} \times \mathbb{Z}^{\ell-k}$  (see 2.10). For (iv), define a map  $\pi : C^*(\Lambda_1 \times \Lambda_2) \to C^*(\Lambda_1) \otimes C^*(\Lambda_2)$  given by  $s_{(\lambda_1,\lambda_2)} \mapsto$ 

For (iv), define a map  $\pi : C^*(\Lambda_1 \times \Lambda_2) \to C^*(\Lambda_1) \otimes C^*(\Lambda_2)$  given by  $s_{(\lambda_1,\lambda_2)} \mapsto s_{\lambda_1} \otimes s_{\lambda_2}$ ; this is surjective as these elements generate  $C^*(\Lambda_1) \otimes C^*(\Lambda_2)$ . We note that  $C^*(\Lambda_1) \otimes C^*(\Lambda_2)$  carries a  $\mathbf{T}^{k_1+k_2}$  action  $\beta$  defined for  $(t_1, t_2) \in \mathbf{T}^{k_1+k_2}$  and  $(\lambda_0, \lambda_1) \in \Lambda_1 \times \Lambda_2$  by  $\beta_{(t_1, t_2)}(s_{\lambda_1} \otimes s_{\lambda_2}) = \alpha_{t_1} s_{\lambda_1} \otimes \alpha_{t_2} s_{\lambda_2}$ . Injectivity then follows by 3.4, since  $\pi$  is equivariant and for  $(v, w) \in (\Lambda_1 \times \Lambda_2)^0$  we have  $p_v \otimes p_w \neq 0$ .  $\Box$ 

Henceforth we shall tacitly identify  $C^*(\Lambda)$  with  $C^*(\mathcal{G}_{\Lambda})$ .

**Remark 3.6.** Let  $\Lambda$  be a k-graph and suppose that  $f : \mathbf{N}^{\ell} \to \mathbf{N}^{k}$  is an injective morphism for which H, the image of f, is cofinal. Then  $\pi_{f}$  induces an isomorphism of  $C^{*}(f^{*}(\Lambda))$  with its range, the fixed point algebra of the restriction of the gauge action to  $H^{\perp}$ .

### 4. Aperiodicity and its consequences

The aperiodicity condition we study in this section is an analog of condition (L) used in [KPR]. We first define what it means for an infinite path to be periodic or aperiodic.

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<sup>&</sup>lt;sup>1</sup>This can be also deduced from the amenability of  $\mathcal{G}_{\Lambda}$  (see 5.5).

**Definitions 4.1.** For  $x \in \Lambda^{\infty}$  and  $p \in \mathbf{Z}^k$  we say that p is a **period** of x if for every  $(m, n) \in \Omega$  with  $m + p \ge 0$  we have x(m + p, n + p) = x(m, n). We say that x is **periodic** if it has a nonzero period. We say that x is **eventually periodic** if  $\sigma^n x$  is periodic for some  $n \in \mathbf{N}^k$ , otherwise x is said to be **aperiodic**.

**Remarks 4.2.** For  $x \in \Lambda^{\infty}$  and  $p \in \mathbb{Z}^k$ , p is a **period** of x if and only if  $\sigma^m x = \sigma^n x$  for all  $m, n \in \mathbb{N}^k$  such that p = m - n. Similarly x is eventually periodic, with eventual period  $p \neq 0$  if and only if  $\sigma^m x = \sigma^n x$  for some  $m, n \in \mathbb{N}^k$  such that p = m - n.

**Definition 4.3.** The k-graph  $\Lambda$  is said to satisfy the **aperiodicity condition** (A) if for every  $v \in \Lambda^0$  there is an aperiodic path  $x \in \Lambda^{\infty}(v)$ .

**Remark 4.4.** Let E be a directed graph which is row finite and has no sinks. Then the associated 1-graph  $E^*$  satisfies the aperiodicity condition if and only if every loop in E has an exit (i.e., satisfies condition (L) of [KPR]). However, if we consider the 2-graph  $f^*(E^*)$  where  $f: \mathbb{N}^2 \to \mathbb{N}$  is given by  $f(m_1, m_2) = m_1 + m_2$ then p = (1, -1) is a period for every point in  $f^*(E^*)^\infty$  (even if E has no loops).

**Proposition 4.5.** The groupoid  $\mathcal{G}_{\Lambda}$  is essentially free (i.e., the points with trivial isotropy are dense in  $\mathcal{G}_{\Lambda}^{0}$ ) if and only if  $\Lambda$  satisfies the aperiodicity condition.

**Proof.** Observe that if  $x \in \Lambda^{\infty}$  is aperiodic then  $\sigma^m x = \sigma^n x$  implies that m = nand hence  $x \in \Lambda^{\infty} = \mathcal{G}^0_{\Lambda}$  has trivial isotropy, and conversely. Hence  $\mathcal{G}_{\Lambda}$  is essentially free if and only if aperiodic points are dense in  $\Lambda^{\infty}$ . If aperiodic points are dense in  $\Lambda^{\infty}$  then  $\Lambda$  clearly satisfies the aperiodicity condition, for  $Z(v) = \Lambda^{\infty}(v)$  must then contain aperiodic points for every  $v \in \Lambda^0$ . Conversely, suppose that  $\Lambda$  satisfies the aperiodicity condition, then for every  $\lambda \in \Lambda$  there is  $x \in \Lambda^{\infty}(s(\lambda))$  which is aperiodic. Then  $\lambda x \in Z(\lambda)$  is aperiodic. Hence the aperiodic points are dense in  $\Lambda^{\infty}$ .

The isotropy group of an element  $x \in \Lambda^{\infty}$  is equal to the subgroup of its eventual periods (including 0).

**Theorem 4.6.** Let  $\pi : C^*(\Lambda) \to B$  be a \*-homomorphism and suppose that  $\Lambda$  satisfies the aperiodicity condition. Then  $\pi$  is faithful if and only if  $\pi(p_v) \neq 0$  for all  $v \in \Lambda^0$ .

**Proof.** If  $\pi(p_v) = 0$  for some  $v \in \Lambda^0$  then clearly  $\pi$  is not faithful. Conversely, suppose  $\pi(p_v) \neq 0$  for all  $v \in \Lambda^0$ ; then by 3.5(i) we have  $C^*(\Lambda) = C_r^*(\mathcal{G}_\Lambda)$  and hence from [KPR, Corollary 3.6] it suffices to show that  $\pi$  is faithful on  $C_0(\mathcal{G}_\Lambda^0)$ . If the kernel of the restriction of  $\pi$  to  $C_0(\mathcal{G}_\Lambda^0)$  is nonzero, it must contain the characteristic function  $1_{Z(\lambda)}$  for some  $\lambda \in \Lambda$ . It follows that  $\pi(s_\lambda s_\lambda^*) = 0$  and hence  $\pi(s_\lambda) = 0$ ; in which case  $\pi(p_{s(\lambda)}) = \pi(s_\lambda^* s_\lambda) = 0$ , a contradiction.

**Definition 4.7.** We say that  $\Lambda$  is **cofinal** if for every  $x \in \Lambda^{\infty}$  and  $v \in \Lambda^{0}$  there is  $\lambda \in \Lambda$  and  $n \in \mathbf{N}^{k}$  such that  $s(\lambda) = x(n)$  and  $r(\lambda) = v$ .

**Proposition 4.8.** Suppose  $\Lambda$  satisfies the aperiodicity condition, then  $C^*(\Lambda)$  is simple if and only if  $\Lambda$  is cofinal.

**Proof.** By 3.5(i)  $C^*(\Lambda) = C^*_r(\mathcal{G}_\Lambda)$ ; since  $\mathcal{G}_\Lambda$  is essentially free,  $C^*(\Lambda)$  is simple if and only if  $\mathcal{G}_\Lambda$  is minimal. Suppose that  $\Lambda$  is cofinal and fix  $x \in \Lambda^\infty$  and  $\lambda \in \Lambda$ ; then by cofinality there is a  $\mu \in \Lambda$  and  $n \in \mathbf{N}^k$  so that  $s(\mu) = x(n)$  and  $r(\mu) = s(\lambda)$ . Then  $y = \lambda \mu \sigma^n x \in Z(\lambda)$  and y is in the same orbit as x; hence all orbits are dense and  $\mathcal{G}_{\Lambda}$  is minimal.

Conversely, suppose that  $\mathcal{G}_{\Lambda}$  is minimal and that  $x \in \Lambda^{\infty}$  and  $v \in \Lambda^{0}$ . Then there is  $y \in Z(v)$  such that x, y are in the same orbit. Hence there exist  $m, n \in \mathbb{N}^{k}$ such that  $\sigma^{n}x = \sigma^{m}y$ ; then it is easy to check that  $\lambda = y(0,m)$  and n have the desired properties.

Notice that second hypothesis used in the following corollary is the analog of the condition that every vertex connects to a loop and it is equivalent to requiring that for every  $v \in \Lambda^0$ , there is an eventually periodic  $x \in \Lambda^{\infty}(v)$  with positive eventual period (i.e., the eventual period lies in  $\mathbf{N}^k \setminus \{0\}$ ). The proof follows the same lines as [KPR, Theorem 3.9].

**Proposition 4.9.** Let  $\Lambda$  satisfy the aperiodicity condition. Suppose that for every  $v \in \Lambda^0$  there are  $\lambda, \mu \in \Lambda$  with  $d(\mu) \neq 0$  such that  $r(\lambda) = v$  and  $s(\lambda) = r(\mu) = s(\mu)$ . Then  $C^*(\Lambda)$  is purely infinite in the sense that every hereditary subalgebra contains an infinite projection.

**Proof.** Arguing as in [KPR, Lemma 3.8] one shows that  $\mathcal{G}_{\Lambda}$  is locally contracting. The aperiodicity condition guarantees that  $\mathcal{G}_{\Lambda}$  is essentially free, hence by [A-D, Proposition 2.4] (see also [LS]) we have  $C^*(\Lambda) = C^*_r(\mathcal{G}_{\Lambda})$  is purely infinite.  $\Box$ 

#### 5. Skew products and group actions

Let G be a discrete group,  $\Lambda$  a k-graph and  $c : \Lambda \to G$  a functor. We introduce an analog of the skew product graph considered in [KP, §2] (see also [GT]); the resulting object, which we denote  $G \times_c \Lambda$ , is also a k-graph. As in [KP] if G is abelian the associated  $C^*$ -algebra is isomorphic to a crossed product of  $C^*(\Lambda)$  by the natural action of  $\widehat{G}$  induced by c (more generally it is a crossed product by a coaction — see [Ma, KQR]). As a corollary we show that the crossed product of  $C^*(\Lambda)$  by the gauge action,  $C^*(\Lambda) \rtimes_{\alpha} \mathbf{T}^k$ , is isomorphic to  $C^*(\mathbf{Z}^k \times_d \Lambda)$ , the  $C^*$ -algebra of the skew-product k-graph arising from the degree map. It will then follow that  $C^*(\Lambda) \rtimes_{\alpha} \mathbf{T}^k$  is AF and that  $\mathcal{G}_{\Lambda}$  is amenable.

**Definition 5.1.** Let G be a discrete group,  $(\Lambda, d)$  a k-graph. Given  $c : \Lambda \to G$  a functor then define the **skew product**  $G \times_c \Lambda$  as follows: the objects are identified with  $G \times \Lambda^0$  and the morphisms are identified with  $G \times \Lambda$  with the following structure maps

$$s(g,\lambda) = (gc(\lambda), s(\lambda))$$
 and  $r(g,\lambda) = (g, r(\lambda)).$ 

If  $s(\lambda) = r(\mu)$  then  $(g, \lambda)$  and  $(gc(\lambda), \mu)$  are composable in  $G \times_c \Lambda$  and

$$(g,\lambda)(gc(\lambda),\mu) = (g,\lambda\mu).$$

The degree map is given by  $d(q, \lambda) = d(\lambda)$ .

One must check that  $G \times_c \Lambda$  is a k-graph. If k = 1 then any function  $c : E^1 \to G$  extends to a unique functor  $c : E^* \to G$  (as in [KP, §2]). The skew product graph E(c) of [KP] is related to our skew product in a simple way:  $G \times_c E^* = E(c)^*$ . A key example of this construction arises by regarding the degree map d as a functor with values in  $\mathbb{Z}^k$ .

The functor c induces a cocycle  $\tilde{c}: \mathcal{G}_{\Lambda} \to G$  as follows: given  $(x, \ell - m, y) \in \mathcal{G}_{\Lambda}$ so that  $\sigma^{\ell} x = \sigma^{m} y$  then set

$$\tilde{c}(x, \ell - m, y) = c(x(0, \ell))c(y(0, m))^{-1}$$

As in [KP] one checks that this is well-defined and that  $\tilde{c}$  is a (continuous) cocycle; regarding the degree map d as a functor with values in  $\mathbf{Z}^k$ , we have  $\tilde{d}(x, n, y) = n$  for  $(x, n, y) \in \mathcal{G}_{\Lambda}$ . In the following we show that the skew product groupoid obtained from  $\tilde{c}$  (as defined in [R]) is the same as the path groupoid of the skew product (cf. [KP, Theorem 2.4]).

**Theorem 5.2.** Let G be a discrete group,  $\Lambda$  a k-graph and  $c : \Lambda \to G$  a functor. Then  $\mathcal{G}_{G\times_c\Lambda} \cong \mathcal{G}_{\Lambda}(\tilde{c})$  where  $\tilde{c} : \mathcal{G}_{\Lambda} \to G$  is defined as above.

**Proof.** We first identify  $G \times \Lambda^{\infty}$  with  $(G \times_c \Lambda)^{\infty}$  as follows: for  $(g, x) \in G \times \Lambda^{\infty}$  define  $(g, x) : \Omega \to G \times_c \Lambda$  by

$$(g, x)(m, n) = (gc(x(0, m)), x(m, n));$$

it is straightforward to check that this defines a degree–preserving functor and thus an element of  $(G \times_c \Lambda)^{\infty}$ . Under this identification  $\sigma^n(g, x) = (gc(x(0, n)), \sigma^n x)$  for all  $n \in \mathbf{N}^k$ ,  $(g, x) \in (G \times_c \Lambda)^{\infty}$ . As in the proof of [KP, Theorem 2.4] define a map  $\phi : \mathcal{G}_{\Lambda}(\tilde{c}) \to \mathcal{G}_{G \times_c \Lambda}$  as follows: for  $x, y \in \Lambda^{\infty}$  with  $\sigma^{\ell} x = \sigma^m y$  set  $\phi([x, \ell - m, y], g) =$  $(x', \ell - m, y')$  where x' = (g, x) and  $y' = (g\tilde{c}(x, \ell - m, y), y)$ . Note that

$$\begin{split} \sigma^m y' &= \sigma^m (g\tilde{c}(x, \ell - m, y), y) = \sigma^m (gc(x(0, \ell))c(y(0, m))^{-1}, y) \\ &= (gc(x(0, \ell)), \sigma^m y) = (gc(x(0, \ell)), \sigma^\ell x) = \sigma^\ell (g, x) \\ &= \sigma^\ell x', \end{split}$$

and hence  $(x', \ell - m, y') \in \mathcal{G}_{G \times_c \Lambda}$ . The rest of the proof proceeds as in [KP, Theorem 2.4] mutatis mutandis.

**Corollary 5.3.** Let G be a discrete abelian group,  $\Lambda$  a k-graph and  $c : \Lambda \to G$  a functor. There is an action  $\alpha^c : \widehat{G} \to \operatorname{Aut} C^*(\Lambda)$  such that for  $\chi \in \widehat{G}$  and  $\lambda \in \Lambda$ 

$$\alpha_{\chi}^{c}(s_{\lambda}) = \langle \chi, c(\lambda) \rangle s_{\lambda}.$$

Moreover  $C^*(\Lambda) \rtimes_{\alpha^c} \widehat{G} \cong C^*(G \times_c \Lambda)$ . In particular the gauge action is of the form,  $\alpha = \alpha^d$ , and so  $C^*(\Lambda) \rtimes_{\alpha} \mathbf{T}^k \cong C^*(\mathbf{Z}^k \times_d \Lambda)$ .

**Proof.** Since  $C^*(\Lambda)$  is defined to be the universal  $C^*$ -algebra generated by the  $s_{\lambda}$ 's subject to the relations (1.5) and  $\alpha^c$  preserves these relations it is clear that it defines an action of  $\hat{G}$  on  $C^*(\Lambda)$ . The rest of the proof follows in the same manner as that of [KP, Corollary 2.5] (see [R, II.5.7]).

In order to show that  $C^*(\Lambda) \rtimes_{\alpha} \mathbf{T}^k$  is AF, we need the following lemma.

**Lemma 5.4.** Let  $\Lambda$  be a k-graph and suppose there is a map  $b : \Lambda^0 \to \mathbf{Z}^k$  such that  $d(\lambda) = b(s(\lambda)) - b(r(\lambda))$  for all  $\lambda \in \Lambda$ , then  $C^*(\Lambda)$  is AF.

**Proof.** For every  $n \in \mathbb{Z}^k$  let  $A_n$  be the closed linear span of elements of the form  $s_\lambda s^*_\mu$  with  $b(s(\lambda)) = n$ . Fix  $\lambda$ ,  $\mu \in \Lambda$  with  $b(s(\lambda)) = b(s(\mu)) = n$ . We claim that  $s^*_\lambda s_\mu = 0$  if  $\lambda \neq \mu$ . If  $s^*_\lambda s_\mu \neq 0$  then by 3.1 there are  $\alpha, \beta \in \Lambda$  with  $s(\lambda) = r(\alpha)$  and  $s(\mu) = r(\beta)$  such that  $\lambda \alpha = \mu\beta$ ; but then we have

$$d(\alpha) + n = d(\alpha) + b(s(\lambda)) = b(s(\lambda\alpha)) = b(s(\mu\beta)) = d(\beta) + b(s(\mu)) = d(\beta) + n.$$

Thus  $d(\alpha) = d(\beta)$  and hence by the factorisation property  $\alpha = \beta$ . Consequently  $\lambda = \mu$  by cancellation and the claim is established. It follows that for each v with b(v) = n the elements  $s_{\lambda}s_{\mu}^{*}$  with  $s(\lambda) = s(\mu) = v$  form a system of matrix units and two systems associated to distinct v's are orthogonal (see 3.2). Hence we have

$$A_n \cong \bigoplus_{b(v)=n} \mathcal{K}\left(\ell^2(s^{-1}(v))\right).$$

By an argument similar to that in the proof of Lemma 3.2, if  $n \leq m$  then  $A_n \subseteq A_m$  (see equation (9)); our conclusion now follows.

Note that  $A_n$  in the above proof is the  $C^*$ -algebra of a subgroupoid of  $\mathcal{G}_{\Lambda}$  which is isomorphic to the disjoint union

$$\bigsqcup_{b(v)=n} R_v \times \Lambda^\infty(v)$$

where  $R_v$  is the transitive principal groupoid on  $s^{-1}(v)$ . Since  $\mathcal{G}_{\Lambda}$  is the increasing union of these elementary groupoids, it is an AF-groupoid and hence amenable (see [R, III.1.1]). The existence of such a function  $b : \Lambda^0 \to \mathbb{Z}^k$  is not necessary for  $C^*(\Lambda)$  to be AF since there are 1–graphs with no loops which do not have this property (see [KPR, Theorem 2.4]).

**Theorem 5.5.** Let  $\Lambda$  be a k-graph, then  $C^*(\Lambda) \rtimes_{\alpha} \mathbf{T}^k$  is AF and the groupoid  $\mathcal{G}_{\Lambda}$  is amenable. Moreover,  $C^*(\Lambda)$  falls in the bootstrap class  $\mathcal{N}$  of [RSc] and is therefore nuclear. Hence, if  $C^*(\Lambda)$  is simple and purely infinite (see Proposition 4.9), then it may be classified by its K-theory.

**Proof.** Observe that the map  $b: (\mathbf{Z}^k \times_d \Lambda)^0 \to \mathbf{Z}^k$  given by b(n, v) = n satisfies

$$b(s(n,\lambda)) - b(r(n,\lambda)) = b(n + d(\lambda), \lambda) - b(n, r(\lambda)) = n + d(\lambda) - n = d(n,\lambda)$$

The first part of the result then follows from 5.4 and 5.3. To show that  $\mathcal{G}_{\Lambda}$  is amenable we first observe that  $\mathcal{G}_{\Lambda}(\tilde{d}) \cong \mathcal{G}_{\mathbf{Z}^k \times d\Lambda}$  is amenable. Since  $\mathbf{Z}^k$  is amenable, we may apply [R, Proposition II.3.8] to deduce that  $\mathcal{G}_{\Lambda}$  is amenable. Since  $C^*(\Lambda)$ is strongly Morita equivalent to the crossed product of an AF algebra by a  $\mathbf{Z}^{k}$ action, it falls in the bootstrap class  $\mathcal{N}$  of [RSc]. The final assertion follows from the Kirchberg-Phillips classification theorem (see [K, P]).

We now consider free actions of groups on k-graphs (cf. [KP, §3]). Let  $\Lambda$  be a kgraph and G a countable group, then G **acts on**  $\Lambda$  if there is a group homomorphism  $G \to \operatorname{Aut} \Lambda$  (automorphisms are compatible with all structure maps, including the degree): write  $(g, \lambda) \mapsto g\lambda$ . The action of G on  $\Lambda$  is said to be **free** if it is free on  $\Lambda^0$ . By the universality of  $C^*(\Lambda)$  an action of G on  $\Lambda$  induces an action  $\beta$  on  $C^*(\Lambda)$ such that  $\beta_q s_{\lambda} = s_{q\lambda}$ .

Given a free action of a group G on a k-graph  $\Lambda$  one forms the **quotient**  $\Lambda/G$  by the equivalence relation  $\lambda \sim \mu$  if  $\lambda = g\nu$  for some  $g \in G$ . One checks that all structure maps are compatible with  $\sim$  and so  $\Lambda/G$  is also a k-graph.

**Remark 5.6.** Let G be a countable group and  $c : \Lambda \to G$  a functor, then G acts freely on  $G \times_c \Lambda$  by  $g(h, \lambda) = (gh, \lambda)$ ; furthermore  $(G \times_c \Lambda)/G \cong \Lambda$ .

Suppose now that G acts freely on  $\Lambda$  with quotient  $\Lambda/G$ ; we claim that  $\Lambda$  is isomorphic, in an equivariant way, to a skew product of  $\Lambda/G$  for some suitably chosen c (see [GT, Theorem 2.2.2]). Let q denote the quotient map. For every

 $v \in (\Lambda/G)^0$  choose  $v' \in \Lambda^0$  with q(v') = v and for every  $\lambda \in \Lambda/G$  let  $\lambda'$  denote the unique element in  $\Lambda$  such that  $q(\lambda') = \lambda$  and  $r(\lambda') = r(\lambda)'$ . Now let  $c : \Lambda/G \to G$  be defined by the formula

$$s(\lambda') = c(\lambda)s(\lambda)'.$$

We claim that  $c(\lambda \mu) = c(\lambda)c(\mu)$  for all  $\lambda, \mu \in \Lambda$  with  $s(\lambda) = r(\mu)$ . Note that

$$r(c(\lambda)\mu') = c(\lambda)r(\mu') = c(\lambda)r(\mu)' = c(\lambda)s(\lambda)' = s(\lambda');$$

hence, we have  $(\lambda \mu)' = \lambda'(c(\lambda)\mu')$  (since the image of both sides agree under q and r). Thus

$$c(\lambda\mu)s(\mu)' = c(\lambda\mu)s(\lambda\mu)' = s[(\lambda\mu)'] = s(c(\lambda)\mu') = c(\lambda)s(\mu') = c(\lambda)c(\mu)s(\mu)'$$

which establishes the desired identity (since G acts freely on  $\Lambda$ ). The map  $(g, \lambda) \mapsto g\lambda'$  defines an equivariant isomorphism between  $G \times_c (\Lambda/G)$  and  $\Lambda$  as required.

The following is a generalization of [KPR, 3.9, 3.10] and is proved similarly.

**Theorem 5.7.** Let  $\Lambda$  be a k-graph and suppose that the countable group G acts freely on  $\Lambda$ , then

$$C^*(\Lambda) \rtimes_{\beta} G \cong C^*(\Lambda/G) \otimes \mathcal{K}\left(\ell^2(G)\right).$$

Equivalently, if  $c: \Lambda' \to G$  is a functor, then

$$C^*(G \times_c \Lambda') \rtimes_\beta G \cong C^*(\Lambda') \otimes \mathcal{K}\left(\ell^2(G)\right)$$

where  $\beta$ , the action of G on  $C^*(G \times_c \Lambda')$ , is induced by the natural action on  $G \times_c \Lambda'$ . If G is abelian this action is dual to  $\alpha^c$  under the identification of 5.3.

**Proof.** The first statement follows from the second with  $\Lambda' = \Lambda/G$ ; indeed, by 5.6 there is a functor  $c : \Lambda/G \to G$  such that  $\Lambda \cong G \times_c (\Lambda/G)$  in an equivariant way. The second statement follows from applying [KP, Proposition 3.7] to the natural G-action on  $\mathcal{G}_{G \times_c \Lambda'} \cong \mathcal{G}_{\Lambda'}(\tilde{c})$ . The final statement follows from the identifications

$$C^*(\Lambda) \rtimes_{\alpha^c} G \cong C^*(G \times_c \Lambda) \cong C^*(\mathcal{G}_{\Lambda}(\tilde{c}))$$

and [**R**, II.2.7].

Given a k-graph  $\Lambda$  one obtains for each  $n \in \mathbf{N}^k$  a matrix

$$M^n_{\Lambda}(u,v) = \#\{\lambda \in \Lambda^n : r(\lambda) = u, s(\lambda) = v\}.$$

By our standing assumption the entries are all finite and there are no zero rows. Note that for any  $m, n \in \mathbf{N}^k$  we have  $M_{\Lambda}^{m+n} = M_{\Lambda}^m M_{\Lambda}^n$  (by the factorisation property); consequently, the matrices  $M_{\Lambda}^m$  and  $M_{\Lambda}^n$  commute for all  $m, n \in \mathbf{N}^k$ . If W is the k-graph associated to the commuting matrices  $\{M_1, \ldots, M_k\}$  satisfying conditions (H0)–(H3) of [RS2] which was considered in Example 1.7(iv), then one checks that  $M_{e_i}^{e_i} = M_i^t$ . Further, if  $\Lambda = E^*$  is a 1-graph derived from the directed graph E, then  $M_{\Lambda}^1$  is the vertex matrix of E.

Now suppose that A and B are 1-graphs with  $A^0 = B^0 = V$  such the associated vertex matrices commute. Set  $A^1 * B^1 = \{(\alpha, \beta) \in A^1 \times B^1 : s(\alpha) = r(\beta)\}$  and  $B^1 * A^1 = \{(\beta, \alpha) \in B^1 \times A^1 : s(\beta) = r(\alpha)\}$ ; since the associated vertex matrices commute there is a bijection  $\theta : (\alpha, \beta) \mapsto (\beta', \alpha')$  from  $A^1 * B^1$  to  $B^1 * A^1$  such that  $r(\alpha) = r(\beta')$  and  $s(\beta) = s(\alpha')$ . We construct a 2-graph  $\Lambda$  from A, B and  $\theta$ . This

construction is very much in the spirit of [RS2]; roughly speaking an element in  $\Lambda$  of degree  $(m, n) \in \mathbf{N}^2$  will consist of a rectangular grid of size (m, n) with edges of A horizontally, edges of B vertically and nodes in V arranged compatibly. First identify  $\Lambda^0 = V$ . For  $(m, n) \in \mathbf{N}^2$  set  $W(m, n) = \{(i, j) \in \mathbf{N}^2 : (i, j) \leq (m, n)\}$ . An element in  $\Lambda^{(m,n)}$  is given by  $v(i, j) \in V$  for  $(i, j) \in W(m, n), \alpha(i, j) \in A^1$  for  $(i, j) \in W(m - 1, n)$  and  $\beta(i, j) \in B^1$  for  $(i, j) \in W(m, n - 1)$  (set  $W(m, n) = \emptyset$  if m or n is negative) satisfying the following compatibility conditions wherever they make sense:

- i.  $r(\alpha(i, j)) = v(i, j)$  and  $r(\beta(i, j)) = v(i, j)$
- ii.  $s(\alpha(i, j)) = v(i + 1, j)$  and  $s(\beta(i, j)) = v(i, j + 1)$
- iii.  $\theta(\alpha(i,j),\beta(i+1,j)) = (\beta(i,j),\alpha(i,j+1));$

for brevity and with a slight abuse of notation we regard this element as a triple  $(v, \alpha, \beta)$  (note that  $\alpha$  disappears if m = 0 and  $\beta$  disappears if n = 0 and v is determined by  $\alpha$  and/or  $\beta$  if  $mn \neq 0$ ). Set

$$\Lambda = \bigcup_{(m,n)} \Lambda^{(m,n)}$$

and define  $s(v, \alpha, \beta) = v(m, n)$  and  $r(v, \alpha, \beta) = v(0, 0)$ .

Note that if  $\lambda \in A^m$  and  $\mu \in B^n$  with m, n > 0 such that  $s(\lambda) = r(\mu)$  there is a unique element  $(v, \alpha, \beta) \in \Lambda^{(m,n)}$  such that  $\lambda = \alpha(0, 0)\alpha(1, 0) \cdots \alpha(m-1, 0)$  and  $\mu = \beta(m, 0)\beta(m, 1) \cdots \beta(m, n-1)$ ; denote this element  $\lambda \mu$ . Further if  $\lambda \in A^m$  and  $\mu \in B^n$  with m, n > 0 such that  $r(\lambda) = s(\mu)$  there is a unique element  $(v, \alpha, \beta)$  in  $\Lambda^{(m,n)}$  such that  $\lambda = \alpha(0, n)\alpha(1, n) \cdots \alpha(m-1, n)$  and  $\mu = \beta(0, 0)\beta(0, 1) \cdots \beta(0, n-1)$ ; denote this element  $\mu\lambda$ . Using these two facts it is not difficult to verify that given elements  $(v, \alpha, \beta) \in \Lambda^{(m,n)}$  and  $(v', \alpha', \beta') \in \Lambda^{(m',n')}$  with v(m, n) = v'(0, 0)there is a unique element  $(v'', \alpha'', \beta'') \in \Lambda^{(m+m',n+n')}$  such that v''(i, j) = v(i, j),  $\alpha''(i, j) = \alpha(i, j), \beta''(i, j) = \beta(i, j), v''(m + i, n + j) = v'(i, j), \alpha''(m + i, n + j)$  $= \alpha'(i, j)$  and  $\beta''(m + i, n + j) = \beta'(i, j)$  wherever these formulas make sense. Write  $(v'', \alpha'', \beta'') = (v, \alpha, \beta)(v', \alpha', \beta')$ . This defines composition in  $\Lambda$ ; note that associativity and the factorisation property are built into the construction (as in [RS2]). Finally, we write  $\Lambda = A *_{\theta} B$ . It is straightforward to verify that up to isomorphism any 2-graph may be obtained from its constituent 1-graphs in this way.

If A = B, then we may take  $\theta = \iota$  the identity map. In that case one has  $A *_{\iota} A \cong f^*(A)$  where  $f : \mathbb{N}^2 \to \mathbb{N}$  is given by f(m, n) = m + n. Hence, by Corollary 3.5(iii) we have  $C^*(A *_{\iota} A) \cong C^*(A) \otimes C(\mathbf{T})$ .

To further emphasise the dependence of the product  $A *_{\theta} B$  on the bijection  $\theta : A^1 * B^1 \to B^1 * A^1$  consider the following example.

**Example 6.1.** Let A = B be the 1-graph derived from the directed graph which consists of one vertex and two edges, say  $A^1 = \{e, f\}$  (note  $C^*(A) \cong \mathcal{O}_2$ ). Then  $A^1 * A^1 = \{(e, e), (e, f), (f, e), (f, f)\}$ , and we define the bijection  $\theta$  to be the flip. It is easy to show that  $A *_{\theta} A \cong A \times A$ ; hence,

$$C^*(A*_{\theta} A) \cong \mathcal{O}_2 \otimes \mathcal{O}_2 \cong \mathcal{O}_2$$

where the first isomorphism follows from Corollary 3.5(iv) and the second from the Kirchberg-Phillips classification theorem (see [K, P]). But

$$C^*(A *_\iota A) \cong \mathcal{O}_2 \otimes C(\mathbf{T});$$

hence,  $A *_{\theta} A \not\cong A *_{\iota} A$ .

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