PORTUGALIAE MATHEMATICA
Vol. 51 Fasc. 4 – 1994

ON OPERATORS OF SAPHAR TYPE

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Abstract: A bounded linear operator T on a complex Banach space X is called an operator of Saphar type, if T is relatively regular and if its null space is contained in its generalized range $\bigcap_{n=1}^{\infty} T^n(X)$. This paper contains some characterizations of operators of Saphar type. Furthermore, for a function f admissible in the analytic calculus, we obtain a necessary and sufficient condition in order that $f(T)$ is an operator of Saphar type.

1 – Terminology and introduction

Let X denote a Banach space over the complex field $\mathbb{C}$ and let $\mathcal{L}(X)$ be the Banach algebra of all bounded linear operators on X. If $T \in \mathcal{L}(X)$, we denote by $N(T)$ the kernel and by $T(X)$ the range of T. The spectrum of T is denoted by $\sigma(T)$. The resolvent set $\rho(T)$ is defined by $\rho(T) = \mathbb{C} \backslash \sigma(T)$. We write $\mathcal{H}(T)$ for the set of all complex valued functions which are analytic in some neighbourhood of $\sigma(T)$. For $f \in \mathcal{H}(T)$, the operator $f(T)$ is defined by the well known analytic calculus (see [3, §99]).

Let $T \in \mathcal{L}(X)$. Then an operator $S \in \mathcal{L}(X)$ will be called a pseudo inverse for T if

$$TST = T \ .$$

We then say that T is relatively regular. A relativity regular operator T is called an operator of Saphar type if its null space $N(T)$ is contained in its generalized range

$$T^\infty(X) = \bigcap_{n=1}^{\infty} T^n(X) \ .$$

Received: October 2, 1992.

We write $\mathcal{S}(X)$ for the set of all operators of Saphar type. This class of operators has been studied by P. Saphar [6] (see also [1]). Although operators in $\mathcal{S}(X)$ seem rather special, they have an important property:

Theorem 1. *T is an operator of Saphar type if and only if there is a neighbourhood $U \subseteq \mathbb{C}$ of 0 and a holomorphic function $F\colon U \to \mathcal{L}(X)$ such that*

$$(T - \lambda I)\, F(\lambda)(T - \lambda I) = T - \lambda I \quad \text{ for all } \lambda \in U\ .$$

Proof: [5, Théorème 2.6] or [8, Theorem 1.4]. ∎

In [6] Saphar considered the following question: if $T \in \mathcal{S}(X)$ and A is an operator in $\mathcal{L}(X)$, when is $T - A$ an operator of Saphar type? In Section 2 of this paper we use the perturbation results of [6] to characterize operators of Saphar type.

Section 3 deals with Atkinson operators, i.e. relatively regular operators having at least one of $\dim N(T)$, codim $T(X)$ finite. The main results in Section 1 allow us to give a simple proof of the following well known fact: if T is an Atkinson operator, then $\dim N(T - \lambda I)$ (resp. codim $(T - \lambda I)(X)$) is constant in a neighbourhood of 0 if and only if $T \in \mathcal{S}(X)$.

In Section 4 of the present paper we obtain a necessary and sufficient condition in order that $f(T) \in \mathcal{S}(X)$. Moreover, if $f(T)$ is an operator of Saphar type, we obtain a formula for a pseudo inverse for $f(T)$.

We close this section with some definitions, notations and preliminary results which we need in the sequel.

$\mathcal{R}(X)$ will denote the set of all relatively regular operators in $\mathcal{L}(X)$. Let $T \in \mathcal{L}(X)$. If $S \in \mathcal{L}(X)$ satisfies the two equations

$$TST = T \quad \text{and} \quad STS = S$$

then S will be called a g_2-inverse for T. We shall make frequent use of the following results which will be quoted without further reference.

1) $T \in \mathcal{R}(X)$ if and only if $N(T)$ and $T(X)$ are closed complemented subspaces of X (see [3, Satz 74.2]).

2) If $TST = T$ for some operator S, then TS is a projection onto $T(X)$ and $I - ST$ is a projection onto $N(T)$ (see [3, p. 385]).

3) If S is a peudo inverse for T, then STS is a g_2-inverse for T (simple verification).

2 – Perturbation properties

We begin with the following basic facts.

Lemma 1. *Let $T \in \mathcal{L}(X)$.*

(**a**) *If $N(T) \subseteq T^\infty(X)$, then $T(T^\infty(X)) = T^\infty(X)$.*

(**b**) *If $N(T) \subseteq T^\infty(X)$ and $T(X)$ is closed, then $T^n(X)$ is closed for each $n \in \mathbb{N}$, hence $T^\infty(X)$ is closed.*

Proof:

(**a**) The inclusion $T(T^\infty(X)) \subseteq T^\infty(X)$ is obvious. Let y be an arbitrary element of $T^\infty(X)$. Then for every $k=1,2,...$ there exists $x_k \in X$ so that $y=T^k x_k$. If we set $z_k = x_1 - T^{k-1}x_k$ for $k \geq 1$, then $Tz_k = Tx_1 - T^k x_k = y - y = 0$, hence $z_k \in N(T) \subseteq T^{k-1}(X)$. It follows that $x_1 = z_k + T^{k-1}x_k \in T^{k-1}(X)$ for all $k \in \mathbb{N}$. Because of $y = Tx_1$ we see that $y \in T(T^\infty(X))$.

(**b**) [7, Satz 4]. ∎

Corollary 1. *An operator T of Saphar type has the following properties:*

(**a**) *$T^n(X)$ is closed for each $n \in \mathbb{N}$, $T^\infty(X)$ is closed.*

(**b**) *T maps $T^\infty(X)$ onto itself.*

Lemma 2. *Let T be a relatively regular operator in $\mathcal{L}(X)$, S a pseudo inverse for T and $A \in \mathcal{L}(X)$. If $\|A\| < \|S\|^{-1}$, then*

(**a**) *$N(T-A) \subseteq (I-SA)^{-1}(N(T))$.*

(**b**) *$T(X) \subseteq (I-AS)^{-1}((T-A)(X))$.*

Proof:

(**a**) Since $S(T-A) = ST-SA = I-SA-(I-ST)$, we have $(I-SA)^{-1}S(T-A) = I-(I-SA)^{-1}(I-ST)$. Let $x \in N(T-A)$, then $0 = (I-SA)^{-1}S(T-A)\,x = x-(I-SA)^{-1}(I-ST)\,x$, hence $x \in (I-SA)^{-1}((I-ST)(X)) = (I-SA)^{-1}((N(T))$.

(**b**) $(I-AS)TS = TS - ASTS = T(STS) - A(STS) = (T-A)STS$, thus $TS = (I-AS)^{-1}(T-A)STS$. This gives $T(X) = (TS)(X) = [(I-AS)^{-1}(T-A)](STS)(X) \subseteq (I-AS)^{-1}((T-A)(X))$. ∎

The next theorem shows that under the hypotheses of Lemma 2 it follows that T is an operator of Saphar type, if equality holds in (a) (or (b)) for *all* A with $\|A\|$ sufficiently small.

Theorem 2. *Let T be a relatively regular operator and $S \in \mathcal{L}(X)$ a pseudo inverse for T.*

(**a**) *If $N(T-\lambda I) = (I-\lambda S)^{-1}(N(T))$ for all $\lambda \in \mathbb{C}$ in a neighbourhood of 0, then $T \in \mathcal{S}(X)$.*

(**b**) *If $T(X) = (I-\lambda S)^{-1}((T-\lambda I)(X))$ for all $\lambda \in \mathbb{C}$ in a neighbourhood of 0, then $T \in \mathcal{S}(X)$.*

Proof: Put $G(\lambda) = (I-\lambda S)^1 = \sum_{n=0}^{\infty} \lambda^n S^n$ ($|\lambda| < \|S\|^{-1}$), $P = I - ST$ and $Q = TS$. Recall that $P(X) = N(T)$ and $Q(X) = T(X)$.

(**a**) Since $N(T-\lambda I) = (I-\lambda S)^{-1}(N(T))$ in a neighbourhood U of 0, we have $N(T-\lambda I) = (G(\lambda)P)(X)$ for $\lambda \in U$. It follows that

$$\begin{aligned} 0 = (T-\lambda I)\, G(\lambda)\, P &= \sum_{n=0}^{\infty} \lambda^n\, T\, S^n\, P - \sum_{n=0}^{\infty} \lambda^{n+1}\, S^n\, P \\ &= \underbrace{TP}_{=0} + \sum_{n=1}^{\infty} \lambda^n\, (T\, S^n\, P - S^{n-1} P) \quad \text{for all } \lambda \in U\,. \end{aligned}$$

This gives

$$TS^n P = S^{n-1} P \quad \text{for all } n \in \mathbb{N}\,. \tag{1}$$

We prove by induction that for $n \geq 1$

$$P = T^n S^n P\,. \tag{2}$$

(1) shows that (2) holds for $n = 1$. Now suppose that (2) hols for some integer $n \geq 1$. This gives

$$T^{n+1} S^{n+1} P = T^n (T S^{n+1} P) = T^n S^n P = P$$

by (1). Thus (2) is proved.

Since $N(T) = P(X)$, it follows that

$$N(T) = P(X) = (T^n S^n P)(X) \subseteq T^n(X) \quad \text{for all } n \geq 1\,.$$

Therefore $N(T) \subseteq T^\infty(X)$.

(**b**) Since $Q(X) = T(X) = (I-\lambda S)^{-1}((T-\lambda I)(X))$ in a neighbourhood U of 0, we derive

$$G(\lambda)\,(T-\lambda I) = Q\, G(\lambda)\,(T-\lambda I) \quad (\lambda \in U)\,.$$

Thus

$$\begin{aligned} G(\lambda)(T-\lambda I) &= \sum_{n=0}^{\infty} \lambda^n S^n T - \sum_{n=0}^{\infty} \lambda^{n+1} S^n \\ &= T + \sum_{n=1}^{\infty} \lambda^n (S^n T - S^{n-1}) \\ &= TS\Big(T + \sum_{n=1}^{\infty} \lambda^n (S^n T - S^{n-1})\Big) \\ &= T + \sum_{n=1}^{\infty} \lambda^n (TS^{n+1} T - TS^n) \qquad (\lambda \in U) \end{aligned}$$

and so

$$S^n T - S^{n-1} = TS^{n+1} T - TS^n \qquad \text{for all} \;\; n \in \mathbb{N} \,,$$

therefore $(S^n T - S^{n-1})P = (TS^{n+1}T - TS^n)P$. Since TP vanishes, we get

$$TS^n P = S^{n-1} P \qquad \text{for all} \;\; n \in \mathbb{N} \,.$$

But this is equation (1). As in part (a) of this proof, it follows that $N(T) \subseteq T^\infty(X)$. ∎

Let $T \in \mathcal{R}(X)$ and let S be a pseudo inverse for T. Define

$$\mathcal{P}_S(T) = \Big\{ A \in \mathcal{L}(X) \colon \; \|A\| < \|S\|^{-1} \text{ and } A(T^\infty(X)) \subseteq T^\infty(X) \Big\} \,.$$

Remarks.

1. The condition $A(T^\infty(X)) \subseteq T^\infty(X)$ is satisfied by any operator A which commutes with T.

2. If $\|A\| < \|S\|^{-1}$ then $I - AS$ and $I - SA$ are invertible in $\mathcal{L}(X)$ and $S(I-AS)^{-1} = (I-SA)^{-1}S$.

The next result, a perturbation theorem, is due to P. Saphar [6] (see also [1, Theorem 9 in §5]).

Theorem 3. *Let T be an operator in $\mathcal{S}(X)$ with g_2-inverse S and suppose that $A \in \mathcal{P}_S(T)$. Then $T - A$ is an operator of Saphar type with g_2-inverse $S(I-AS)^{-1} = (I-SA)^{-1}S$ and*

$$N(T-A) \subseteq T^\infty(X) \subseteq (T-A)^\infty(X) \,.$$

Corollary 2. *Under the hypotheses of Theorem 3 the equations*

$$N(T-A) = (I-SA)^{-1}(N(T))$$

and

$$T(X) = (I-AS)^{-1}((T-A)(X))$$

are valid for all $A \in \mathcal{P}_S(T)$.

Proof: By Theorem 3, $I-(I-SA)^{-1}S(T-A) = (I-SA)^{-1}[I-SA-S(T-A)] = (I-SA)^{-1}(I-ST)$ is a projection onto $N(T-A)$. It follows that

$$N(T-A) = (I-SA)^{-1}((I-ST)(X)) = (I-SA)^{-1}(N(T)) \ .$$

According to Theorem 3, $(T-A)\,S(I-AS)^{-1}$ is a projection onto $(T-A)(X)$, thus $(T-A)(X) = (T-A)\,S(I-AS)^{-1}(X) = (T-A)(S(X)) = (T-A)(ST)(X) = (TST-AST)(X) = (T-AST)(X) = (I-AS)(T(X))$. This gives $T(X) = (I-AS)^{-1}((T-A)(X))$. ∎

From Theorem 2 and Corollary 2 we obtain immediately the following characterizations of operators of Saphar type.

Theorem 4. *Let T be a relatively regular operator in $\mathcal{L}(X)$. Then the following assertions are equivalent:*

(**a**) $T \in \mathcal{S}(X)$.

(**b**) $N(T-A) = (I-SA)^{-1}(N(T))$ *whenever S is a g_2-inverse for T and* $A \in \mathcal{P}_S(T)$.

(**c**) $T(X) = (I-AS)^{-1}((T-A)(X))$ *whenever S is a g_2-inverse for T and* $A \in \mathcal{P}_S(T)$.

(**d**) *There is a pseudo inverse S for T such that*

$$N(T-A) = (I-SA)^{-1}(N(T)) \quad \text{for all } \ A \in \mathcal{P}_S(T) \ .$$

(**e**) *There is a pseudo inverse S for T such that*

$$T(X) = (I-AS)^{-1}(T-A)(X) \quad \text{for all } \ A \in \mathcal{P}_S(T) \ .$$

(**f**) *There is a pseudo inverse S for T such that*

$$N(T-\lambda I) = (I-\lambda S)^{-1}(N(T)) \quad \text{for all } \ |\lambda| < \|S\|^{-1} \ .$$

(**g**) *There is a pseudo inverse S for T such that*

$$T(X) = (I-\lambda S)^{-1}((T-\lambda I)(X)) \quad \text{for all } \ |\lambda| < \|S\|^{-1} \ .$$

3 – Atkinson operators

Recall that $T \in \mathcal{L}(X)$ is an Atkinson operator, if T is relatively regular and at least one of the dim $N(T)$, codim $T(X)$ is finite. The set of Atkinson operators will be denoted by $\mathcal{A}(X)$.

It is well known (see [1, Theorem 5 in §5]) that $T \in \mathcal{A}(X)$ and dim $N(T) < \infty$ (resp. codim $T(X) < \infty$) if and only if T is left invertible (resp. right invertible) modulo $\mathcal{K}(X)$, where $\mathcal{K}(X)$ denotes the closed ideal of compact operators on X. Therefore the following assertions are valid:

(**a**) $\mathcal{A}(X)$ is open in $\mathcal{L}(X)$.

(**b**) With T also $T + K$ lies in $\mathcal{A}(X)$ for every $K \in \mathcal{K}(X)$.

(**c**) If $T \in \mathcal{A}(X)$ then $T^n \in \mathcal{A}(X)$ for every $n \in \mathbb{N}$.

The above results are also valid for semi-Fredholm operators, i.e., operators with closed range having at least one of $\dim N(T)$, codim $T(X)$ finite (see [3, §82]).

To each $T \in \mathcal{L}(X)$, $T \neq 0$, we can associate a number $\gamma(T)$, the minimum modulus of T, wich plays an important role in perturbation theory:

$$\gamma(T) = \inf\left\{\frac{\|Tx\|}{d(x, N(T))} : x \notin N(T)\right\} ,$$

where $d(x, N(T))$ is the distance of x from $N(T)$. It is of central importance that $T \neq 0$ has closed range if and only if $\gamma(T) > 0$ [4, Lemma 322].

Lemma 3. *Suppose that $T \in \mathcal{R}(X)$ and $TST = T$. Then*

$$\|S\|^{-1} < \gamma(T) .$$

Proof: Let $x \in X$. Then $x - STx \in N(T)$, thus $d(x, N(T)) = d(STx, N(T)) \leq \|STx\| \leq \|S\| \, \|Tx\|$. ∎

The next theorem, the punctured neighbourhood theorem for Atkinson operators, is well-known. Part (c) of this theorem follows immediately from our results in Section 1.

Theorem 5. *Let $T \in \mathcal{A}(X)$ with dim $N(T) < \infty$ (resp. codim $T(X) < \infty$) and pseudo inverse S. Then*

(**a**) *$T - A \in \mathcal{A}(X)$ for all $A \in \mathcal{L}(X)$ with $\|A\| < \|S\|^{-1}$.*

(**b**) *dim* $N(T-\lambda I)$ *is a constant* $\leq$ *dim* $N(T)$ *(resp. codim* $(T-\lambda I)(X)$ *is a constant* $\leq$ *codim* $T(X)$*) for* $0<|\lambda|<\|S\|^{-1}$.

(**c**) *dim* $N(T-\lambda I)$ *is constant (resp. codim* $(T-\lambda I)(X)$ *is constant) for* $|\lambda|<\|S\|^{-1}$ *if and only if* T *is an operator of Saphar type.*

Proof:

(**a**) [1, Theorem 6 in §5].

(**b**) Theorem V.1.6 and Corollary V.1.7 in [2] show that $T-\lambda I$ is a semi-Fredholm operator for $|\lambda|<\gamma(T)$ and $\dim N(T-\lambda I)$ is a constant $\leq \dim N(T)$ (resp. codim $(T-\lambda I)(X)$ is a constant $\leq$ codim $T(X)$) in the annulus $0<|\lambda|<\gamma(T)$. Since $\|S\|^{-1}<\gamma(T)$, by Lemma 3, and $T-\lambda I\in\mathcal{R}(X)$ for $|\lambda|<\|S\|^{-1}$, by (a), the proof of (b) is complete. ∎

At the beginning of this section we have seen that the set $\mathcal{A}(X)$ of all Atkinson operators is open. The following assertion is obtained from [2, Theorem V.2.6] and shows that the set $\mathcal{R}(X)$ of all relatively regular operators is in general not open.

Lemma 4. *Suppose that* $T\in\mathcal{L}(X)$ *has closed range and* $\dim N(T)=$ *codim* $T(X)=\infty$. *Then there exists a compact operator* K *such that* $T+\lambda K$ *does not have closed range for all* $\lambda\neq 0$.

With the help of the above result we now characterize the interior points of $\mathcal{R}(X)$.

Theorem 6. *For an operator* T *in* $\mathcal{L}(X)$ *the folllowing assertions are equivalent:*

(**a**) T *is an interior point of* $\mathcal{R}(X)$.

(**b**) $T\in\mathcal{A}(X)$.

(**c**) $T+K\in\mathcal{R}(X)$ *for all* $K\in\mathcal{K}(X)$.

Proof:

(**a**)⇒(**b**)**:** Suppose that T is an interior point of $\mathcal{R}(X)$ but $T\notin\mathcal{A}(X)$, hence $\dim N(T)=$ codim $T(X)=\infty$. Because of Lemma 4 there exists an operator $K\in\mathcal{K}(X)$ such that $T+\lambda K$ does not have closed range for all $\lambda\neq 0$, therefore $T+\lambda K\notin\mathcal{R}(X)$ for all $\lambda\neq 0$. Since T is an interior point of $\mathcal{R}(X)$, it follows that $T+\lambda K\in\mathcal{R}(X)$ for $|\lambda|$ sufficiently small, a contradiction. Hence $T\in\mathcal{A}(X)$.

(**b**)⇒(**a**)**:** Clear since $\mathcal{A}(X)$ is open and $\mathcal{A}(X)\subseteq\mathcal{R}(X)$.

(**b**)⇒(**c**)**:** Since $T \in \mathcal{A}(X)$ implies $T + K \in \mathcal{A}(X)$ for every $K \in \mathcal{K}(X)$, we get $T + K \in \mathcal{R}(X)$ for every $K \in \mathcal{K}(X)$.

(**c**)⟹(**b**)**:** We have $T + \lambda K \in \mathcal{R}(X)$ for all $\lambda \in \mathbb{C}$ and all $K \in \mathcal{K}(X)$, thus (put $\lambda = 0$) T is relatively regular. Lemma 4 shows that $\dim N(T) < \infty$ or $\operatorname{codim} T(X) < \infty$. ∎

Let us write $\mathcal{C}(X)$ for the set of all operators $T \in \mathcal{L}(X)$ with $T(X)$ closed. Then, by Lemma 4, $\mathcal{C}(X)$is in general not open. If we go through the above proof and make the necessary modifications, we see that for $T \in \mathcal{L}(X)$ the following assertions are equivalent:

(**a**) T is an interior point of $\mathcal{C}(X)$.

(**b**) T is a semi-Fredholm operator.

(**c**) $T + K \in \mathcal{C}(X)$ for all $K \in \mathcal{K}(X)$.

4 – Mapping properties

We begin this section with products of relatively regular operators.

Lemma 5.

(**a**) *Let $T_1, T_2 \in \mathcal{R}(X)$ with pseudo inverses S_1 and S_2, respectively. If $N(T_1) \subseteq T_2(X)$, then $T_1T_2 \in \mathcal{R}(X)$ and S_2S_1 is a pseudo inverse for T_1T_2.*

(**b**) *Let $T_1, \ldots, T_m$ be relatively regular operators with pseudo inverses $S_1, \ldots, S_m$, respectively. If*

$$N(T_1 \cdots T_k) \subseteq T_{k+1}(X) \quad \text{for } k = 1, \ldots, m-1 \;, \tag{3}$$

then $T_1 \cdots T_m$ is relatively regular and $S_1 \cdots S_m$ is a pseudo inverse for $T_1 \cdots T_m$.

(**c**) *Let $T \in \mathcal{S}(X)$ and $TST = T$ for some $S \in \mathcal{L}(X)$. Then $T^n \in \mathcal{S}(X)$ and $T^n S^n T^n = T^n$ for all $n \in \mathbb{N}$.*

Proof:

(**a**) Since $(I - S_1T_1)(X) = N(T_1) \subseteq T_2(X) = (T_2S_2)(X)$, it follows that $T_2S_2(I - S_1T_1) = I - S_1T_1$, hence $T_2S_2S_1T_1 = T_2S_2 - I + S_1T_1$. Then we have

$$\begin{aligned} T_1T_2(S_2S_1)T_1T_2 &= T_1(T_2S_2 - I + S_1T_1)T_2 \\ &= T_1 \underbrace{T_2S_2T_2}_{=T_2} - T_1T_2 + \underbrace{T_1S_1T_1}_{=T_1} T_2 \\ &= T_1T_2 \;. \end{aligned}$$

(**b**) By (a) and (3), $T_1T_2(S_2S_1)T_1T_2 = T_1T_2$. Suppose that

$$T_1 \cdots T_j \, (S_j \cdots S_1) \, T_1 \cdots T_j = T_1 \cdots T_j$$

for some $j \in \{1, \dots, m-1\}$. (3) implies that

$$N(T_1 \cdots T_j) \subseteq T_{j+1}(X) \ ,$$

consequently, by (a),

$$(T_1 \cdots T_j) \, T_{j+1}(S_{j+1}(S_j \cdots S_1)) \, (T_1 \cdots T_j) \, T_{j+1} = (T_1 \cdots T_j) \, T_{j+1} \ .$$

(**c**) Since $T \in \mathcal{S}(X)$, $N(T) \subseteq T^n(X)$ for $n \geq 1$, thus $N(T^n) \subseteq T(X)$ for $n \geq 1$ [4, Lemma 511]. Now use (b). ∎

In this section, we shall consider the following question: If T is an operator in $\mathcal{L}(X)$ and f is a function in $\mathcal{H}(T)$, when is $f(T)$ an operator of Saphar type? Furthermore, if $f(T) \in \mathcal{S}(X)$, we shall consider the problem of finding a pseudo inverse for $f(T)$.

To this end, we need some concepts from [7] and [9]. We define

$$\rho_{rr}(T) = \Big\{ \lambda \in \mathbb{C} \colon \ T - \lambda I \in \mathcal{S}(X) \Big\}$$

and

$$\rho_k(T) = \Big\{ \lambda \in \mathbb{C} \colon \ \ (T - \lambda I)(X) \text{ is closed } \ \text{and} \ \ N(T - \lambda I) \subseteq (T - \lambda I)^\infty(X) \Big\} \ .$$

Then $\rho(T) \subseteq \rho_{rr}(T) \subseteq \rho_k(T)$. Theorem 3 in [4] shows that $\rho_k(T)$ is open. By Theorem 1, $\rho_{rr}(T)$ is open. Setting

$$\sigma_{rr}(T) = \mathbb{C} \backslash \rho_{rr}(T) \quad \text{ and } \quad \sigma_k(T) = \mathbb{C} \backslash \rho_k(T) \ ,$$

we obtain two 'essential spectra' of T. We have

$$\sigma_k(T) \subseteq \sigma_{rr}(T) \subseteq \sigma(T) \ .$$

We showed in [7, Satz 2] that $\partial \sigma(T) \subseteq \sigma_k(T)$, hence $\sigma_k(T) \neq \emptyset$. It was shown in [9, Theorem 3] that

$$f(\sigma_{rr}(T)) = \sigma_{rr}(f(T)) \quad \text{ for } \ T \in \mathcal{L}(X) \ \text{ and } \ f \in \mathcal{H}(T) \ .$$

Theorem 7. *Let $T \in \mathcal{L}(X)$, $f \in \mathcal{H}(T)$ and let $Z(f)$ denote the set of zeros of f in $\sigma(T)$. Then $f(T)$ is an operator of Saphar type if and only if $Z(f) \subseteq \rho_{rr}$. In this case $Z(f)$ is finite or empty.*

Proof: Since $f(T)\in\mathcal{S}(X)\Longleftrightarrow 0\notin\sigma_{rr}(f(T))=f(\sigma_{rr}(T))\Longleftrightarrow Z(f)\subseteq\rho_{rr}(T)$, the first assertion is proved. If $Z(f)\subseteq\rho_{rr}(T)$, then f does not vanish on $\sigma_k(T)$, since $\sigma_k(T)\subseteq\sigma_{rr}(T)$. Satz 3 in [7] shows that f has at most a finite number of zeros in $\sigma(T)$. ∎

We are now going to calculate a pseudo inverse for $f(T)\in\mathcal{S}(X)$.

Theorem 8. *Suppose*

(**a**) $T\in\mathcal{L}(X)$ *and* $f\in\mathcal{H}(T)$ *are such that* $f(T)$ *is an operator of Saphar type,*

(**b**) $\lambda_1,\dots,\lambda_m$ *are the zeros of* f *in* $\sigma(T)$ *with respective orders* $n_1,\dots,n_m$*,*

(**c**) S_j *is a pseudo inverse for* $T-\lambda_j I$ $(j=1,\dots,m)$*.*

Put

$$S=\Big(\prod_{j=1}^{m} S_j^{n_j}\Big)h(T)^{-1}\ ,$$

where h *is a function in* $\mathcal{H}(T)$ *such that* $f(\lambda)=\big(\prod_{j=1}^m(\lambda-\lambda_j)^{n_j}\big)\,h(\lambda)$*. Then* S *is a pseudo inverse for* $f(T)$*.*

Proof: Put $p(\lambda)=\prod_{j=1}^m(\lambda-\lambda_j)^{n_j}$. Then $f(\lambda)=p(\lambda)\,h(\lambda)$, thus

$$f(T)=p(T)\,h(T)=h(T)\,p(T)$$

and $h(T)$ is invertible in $\mathcal{L}(X)$. Use [3, Satz 80.1] to derive

$$\begin{aligned}N\Big(\prod_{j=1}^{k}(T-\lambda_j I)^{n_j}\Big)&=N\Big((T-\lambda_1 I)^{n_1}\oplus\cdots\oplus N(T-\lambda_k I)^{n_k}\Big)\\&\subseteq(T-\lambda_{k+1}I)^{n_{k+1}}(X)\end{aligned}\tag{4}$$

for $k=1,\dots,m-1$. By Lemma 5(c), $(T-\lambda_j I)^{n_j}$ is relatively regular and $S_j^{n_j}$ is a pseudo inverse for $(T-\lambda_j I)^{n_j}$ $(j=1,\dots,m)$. Thus, using (4) and Lemma 5(b), we conclude that $p(T)$ is relatively regular and $B=\prod_{j=1}^m S_j^{n_j}$ is a pseudo inverse for $p(T)$. Therefore

$$\begin{aligned}f(T)\,Sf(T)&=f(T)\,Bh(T)^{-1}f(T)=h(T)\,p(T)\,Bh(T)^{-1}h(T)\,p(T)\\&=h(T)\,p(T)\,Bp(T)=h(T)\,p(T)=f(T)\ .\ \blacksquare\end{aligned}$$

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