

## SOLUTIONS FOR SINGULAR CRITICAL GROWTH SCHRÖDINGER EQUATIONS WITH MAGNETIC FIELD

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*Recommended by Luís Sanchez*

**Abstract:** In this paper, we consider the semilinear stationary Schrödinger equation with a magnetic field:  $-\Delta_A u - V(x)u = |u|^{2^*-2}u$  in  $\mathbb{R}^N$ , where  $A$  is the vector (or magnetic) potential and  $V$  is the scalar (or electric) potential. By means of variational method, we establish the existence of nontrivial solutions in the critical case.

### 1 – Introduction and main result

In this paper, we are concerned with the semilinear Schrödinger equation

$$(1.1) \quad -\Delta_A u - V(x)u = |u|^{2^*-2}u, \quad x \in \mathbb{R}^N,$$

where  $-\Delta_A = (-i\nabla + A)^2$ ,  $u: \mathbb{R}^N \rightarrow \mathbb{C}$ ,  $N \geq 3$ ,  $2^* = \frac{2N}{N-2}$  denotes the critical Sobolev exponent,  $A = (A_1, A_2, \dots, A_N): \mathbb{R}^N \rightarrow \mathbb{R}^N$  is the vector (or magnetic) potential, the coefficient  $V$  is the scalar (or electric) potential and may be sign-changing.

The nonlinear Schrödinger equation arises in different physical theories (e.g., the description of Bose–Einstein condensates and nonlinear optics), and has been widely considered in the literature, see [1, 6, 7, 8, 11, 13].

Throughout this paper, suppose  $A \in L^2_{\text{loc}}(\mathbb{R}^N, \mathbb{R}^N)$ . Define

$$L^2(\mathbb{R}^N, V^- dx) := \left\{ u: \mathbb{R}^N \rightarrow \mathbb{C} \mid \int_{\mathbb{R}^N} |u|^2 V^- dx < \infty \right\}$$

and

$$H^1_{A, V^-}(\mathbb{R}^N) := \left\{ u \in L^2(\mathbb{R}^N, V^- dx) \mid \nabla_A u \in L^2(\mathbb{R}^N) \right\},$$

where  $\nabla_A = (\nabla + iA)$ ,  $V^\pm = \max\{\pm V, 0\} \neq 0$ .

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$H_{A,V^-}^1(\mathbb{R}^N)$  is a Hilbert space with the inner product

$$\int_{\mathbb{R}^N} (\nabla_A u \cdot \overline{\nabla_A v} + V^- u \bar{v}) dx ,$$

where the bar denotes complex conjugation.

It is known that  $C_0^\infty(\mathbb{R}^N)$  is dense in  $H_{A,V^-}^1(\mathbb{R}^N)$  (see [8]).

**Definition 1.1.**  $u \in H_{A,V^-}^1(\mathbb{R}^N)$  is said to be a weak solution of problem (1.1) if

$$(1.2) \quad \int_{\mathbb{R}^N} (\nabla_A u \cdot \overline{\nabla_A \varphi} - V(x) u \bar{\varphi} - |u|^{2^*-2} u \bar{\varphi}) dx = 0 \quad \forall \varphi \in H_{A,V^-}^1(\mathbb{R}^N) . \square$$

The corresponding energy functional of problem (1.1) is defined by

$$(1.3) \quad I_{A,V}(u) = \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla_A u|^2 - V(x)|u|^2) dx - \frac{1}{2^*} \int_{\mathbb{R}^N} |u|^{2^*} dx, \quad u \in H_{A,V^-}^1(\mathbb{R}^N) .$$

It is well known that the nontrivial solutions of problem (1.1) are equivalent to the nonzero critical points of  $I_{A,V}$  in  $H_{A,V^-}^1(\mathbb{R}^N)$ .

Now we list some assumptions on the potential  $V$ :

(A<sub>1</sub>)  $0 \not\equiv V^- \in L^{\frac{N}{2}}(\mathbb{R}^N)$  and  $V \in L^{\frac{N}{2}}(\mathbb{R}^N \setminus B_R(0))$  for any  $R > 0$ . Moreover, there exist  $\delta > 0, \lambda > 0$  such that

$$|x|^2 V(x) = \mu + \lambda |x|^\alpha, \quad \forall x \in B_\delta(0),$$

where  $0 < \mu < \bar{\mu} = (\frac{N-2}{2})^2$  and  $0 < \alpha < \min\{2, 2\sqrt{\bar{\mu} - \mu}\}$ .

(A<sub>2</sub>) There is  $\theta \in (0, 1)$  such that

$$\int_{\mathbb{R}^N} V^+(x) |u|^2 dx \leq \theta \int_{\mathbb{R}^N} (|\nabla_A u|^2 + V^- |u|^2) dx \quad \text{for any } u \in H_{A,V^-}^1(\mathbb{R}^N) .$$

**Remark.** There does exist such potential  $V$  satisfying assumptions (A<sub>1</sub>), (A<sub>2</sub>). For example, take  $0 < \delta < 1$

$$V(x) = \begin{cases} \frac{\mu}{|x|^2} + \lambda |x|^{\alpha-2} & \text{if } |x| \leq \delta, \\ -\frac{k}{|x|^\beta} & \text{if } |x| > \delta, \end{cases}$$

where  $0 < \lambda < \bar{\mu} - \mu, \beta > 2$  and  $k > 0$ .  $\square$

A direct computation shows that  $V(x)$  satisfies assumptions  $(A_1), (A_2)$ .  
Our main result is the following:

**Theorem 1.1.** *Assume that  $(A_1), (A_2)$  hold, and  $A$  is continuous at 0. Then problem (1.1) admits at least one nontrivial solution.*

We prove Theorem 1.1 by critical point theory. However, since the functional  $I_{A,V}$  does not satisfy the Palais–Smale condition due to the lack of compactness of the embedding:  $H^1_{A,V^-}(\mathbb{R}^N) \hookrightarrow L^{2^*}(\mathbb{R}^N)$ , the standard variational argument is not applicable directly. In addition, from assumption  $(A_1)$ , the potential  $V$  has a strong singularity at the origin, which also brings some difficulty in dealing with (1.1). Precisely, the embedding:  $H^1_{A,V^-}(\Omega) \hookrightarrow L^2(\Omega, |x|^{-2}dx)$  is continuous but not compact, where  $\Omega \ni 0$  is an arbitrary bounded set in  $\mathbb{R}^N$ . Nevertheless, we can prove that  $I_{A,V}$  satisfies the  $(P.S.)_c$  condition with  $c$  below some energy level. We need to construct a suitable  $(P.S.)_c$  compact sequence, which is obtained by the mountain-pass theorem (see [3]).

Throughout this paper, we shall denote the norm of the space  $H^1_{A,V^-}(\mathbb{R}^N)$  by  $\|u\|_{H^1_{A,V^-}(\mathbb{R}^N)} = (\int_{\mathbb{R}^N} (|\nabla_A u|^2 + V^-|u|^2) dx)^{\frac{1}{2}}$ , and the positive constants (possibly different) by  $C, C_1, C_2, \dots$ .

## 2 – Proof of Theorem 1.1

Before giving the proof of Theorem 1.1, we introduce some notations and preliminary lemmas.

Set

$$S_\mu := \inf_{u \in D^{1,2}(\mathbb{R}^N) \setminus \{0\}} \frac{\int_{\mathbb{R}^N} \left( |\nabla u|^2 - \mu \frac{u^2}{|x|^2} \right) dx}{\left( \int_{\mathbb{R}^N} |u|^{2^*} dx \right)^{\frac{2}{2^*}}}.$$

From [9, 10],  $S_\mu$  is independent of any  $\Omega \subset \mathbb{R}^N$  in the sense that if

$$S_\mu(\Omega) := \inf_{u \in H^1_0(\Omega) \setminus \{0\}} \frac{\int_{\Omega} \left( |\nabla u|^2 - \mu \frac{u^2}{|x|^2} \right) dx}{\left( \int_{\Omega} |u|^{2^*} dx \right)^{\frac{2}{2^*}}},$$

then  $S_\mu(\Omega) = S_\mu(\mathbb{R}^N) = S_\mu$ .

Let  $\bar{\mu} = (\frac{N-2}{2})^2$ ,  $\gamma = \sqrt{\bar{\mu}} + \sqrt{\bar{\mu} - \mu}$ ,  $\gamma' = \sqrt{\bar{\mu}} - \sqrt{\bar{\mu} - \mu}$ , F. Catrina and Z.Q. Wang [5], S. Terracini [14] proved that for  $\epsilon > 0$

$$U_\epsilon(x) = \frac{\left(4\epsilon^2 N(\bar{\mu} - \mu)/(N-2)\right)^{\frac{N-2}{4}}}{\left(\epsilon^2 |x|^{\frac{\gamma'}{\sqrt{\bar{\mu}}}} + |x|^{\frac{\gamma}{\sqrt{\bar{\mu}}}}\right)^{\sqrt{\bar{\mu}}}}$$

satisfies

$$\begin{cases} -\Delta u = |u|^{2^*-2} u + \mu \frac{u}{|x|^2} & \text{in } \mathbb{R}^N \setminus \{0\}, \\ u \rightarrow 0 & \text{as } |x| \rightarrow \infty. \end{cases}$$

Moreover,  $U_\epsilon$  achieves  $S_\mu$ .

**Lemma 2.1.** *For any bounded set  $\Omega \subset \mathbb{R}^N$ ,  $0 \in \Omega$ , the embedding:  $H^1(\Omega) \hookrightarrow L^2(\Omega, |x|^l)$  is compact with  $l > -2$ .*

**Proof:** Let  $\{u_m\} \subset H^1(\Omega)$  be a bounded sequence. Then, up to a subsequence, we may assume

$$u_m \rightharpoonup u \quad \text{weakly in } H^1(\Omega);$$

$$u_m \rightarrow u \quad \text{strongly in } L^p(\Omega) \quad \text{with } 1 < p < 2^*;$$

$$u_m \rightarrow u \quad \text{a.e. in } \Omega.$$

Choose  $\max\{2, \frac{2N}{N+1}\} < q < 2^*$ . Then

$$\int_{\Omega} |x|^l |u_m - u|^2 dx \leq \left( \int_{\Omega} |x|^{\frac{lq}{q-2}} dx \right)^{\frac{q-2}{q}} \left( \int_{\Omega} |u_m - u|^q dx \right)^{\frac{2}{q}}.$$

By the choice of  $q$ , we easily have

$$\int_{\Omega} |x|^{\frac{lq}{q-2}} dx \leq C \quad \text{and} \quad \lim_{m \rightarrow \infty} \int_{\Omega} |u_m - u|^q dx = 0.$$

Thus,  $\lim_{m \rightarrow \infty} \int_{\Omega} |x|^l |u_m - u|^2 dx = 0$ . ■

**Lemma 2.2.** *The functional  $I_{A,V}$  satisfies the  $(P.S.)_c$  condition with  $c < \frac{1}{N} S_\mu^{\frac{N}{2}}$ .*

**Proof:** Assume that  $\{u_m\} \subset H_{A,V^-}^1(\mathbb{R}^N)$  satisfies

$$I_{A,V}(u_m) \rightarrow c \quad \text{and} \quad dI_{A,V}(u_m) \rightarrow 0 \quad \text{as } m \rightarrow \infty .$$

Then, by assumption (A<sub>2</sub>), we get

$$\begin{aligned} \left(\frac{1}{2} - \frac{1}{2^*}\right) \int_{\mathbb{R}^N} \left(|\nabla_A u_m|^2 + V^- |u_m|^2\right) dx &= \\ &= I_{A,V}(u_m) - \frac{1}{2^*} \langle dI_{A,V}(u_m), u_m \rangle + \left(\frac{1}{2} - \frac{1}{2^*}\right) \int_{\mathbb{R}^N} V^+(x) |u_m|^2 dx \\ &\leq c + \left(\frac{1}{2} - \frac{1}{2^*}\right) \theta \int_{\mathbb{R}^N} \left(|\nabla_A u_m|^2 + V^- |u_m|^2\right) dx + o(1) , \end{aligned}$$

which implies  $\int_{\mathbb{R}^N} \left(|\nabla_A u_m|^2 + V^- |u_m|^2\right) dx \leq C$ .

By choosing a subsequence if necessary, we may assume that

$$u_m \rightharpoonup u \quad \text{weakly in } H_{A,V^-}^1(\mathbb{R}^N) \quad \text{and} \quad u_m \rightarrow u \quad \text{a.e. on } \mathbb{R}^N .$$

It is easy to verify that  $u$  is a weak solution of problem (1.1). Set  $u_m = v_m + u$ . Then

$$\begin{aligned} \int_{\mathbb{R}^N} \left(|\nabla_A v_m|^2 - V |v_m|^2\right) dx &= \\ &= \int_{\mathbb{R}^N} \left(|\nabla_A u_m|^2 - V |u_m|^2\right) dx - \int_{\mathbb{R}^N} \left(|\nabla_A u|^2 - V |u|^2\right) dx + o(1) \end{aligned}$$

and by Brezis–Lieb lemma (see [2])

$$\int_{\mathbb{R}^N} |v_m|^{2^*} dx = \int_{\mathbb{R}^N} |u_m|^{2^*} dx - \int_{\mathbb{R}^N} |u|^{2^*} dx + o(1) .$$

Therefore, we get

$$\langle dI_{A,V}(v_m), v_m \rangle = \langle dI_{A,V}(u_m), u_m \rangle - \langle dI_{A,V}(u), u \rangle + o(1) = o(1) .$$

Thus,

$$(2.1) \quad \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} \left(|\nabla_A v_m|^2 - V |v_m|^2\right) dx = \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} |v_m|^{2^*} dx = a ,$$

where  $a$  is a nonnegative number.

If  $a = 0$ , then we infer

$$\begin{aligned} \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} \left(|\nabla_A v_m|^2 + V^- |v_m|^2\right) dx &= \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} V^+ |v_m|^2 dx \\ &\leq \theta \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} \left(|\nabla_A v_m|^2 + V^- |v_m|^2\right) dx , \end{aligned}$$

which implies

$$(2.2) \quad \lim_{m \rightarrow \infty} \int_{\mathbb{R}^N} (|\nabla_A v_m|^2 + V^- |v_m|^2) dx = 0 .$$

If  $a > 0$  then, by Sobolev inequality, we obtain

$$(2.3) \quad \begin{aligned} \left( \int_{\mathbb{R}^N} |v_m|^{2^*} dx \right)^{\frac{2}{2^*}} &\leq S_\mu^{-1} \int_{\mathbb{R}^N} \left( |\nabla |v_m||^2 - \frac{\mu |v_m|^2}{|x|^2} \right) dx \\ &\leq S_\mu^{-1} \int_{\mathbb{R}^N} \left( |\nabla_A v_m|^2 - \frac{\mu |v_m|^2}{|x|^2} \right) dx \\ &\leq S_\mu^{-1} \left( \int_{\mathbb{R}^N} |\nabla_A v_m|^2 dx - \int_{B_\delta(0)} \frac{\mu |v_m|^2}{|x|^2} dx \right) \\ &\leq S_\mu^{-1} \int_{\mathbb{R}^N} (|\nabla_A v_m|^2 - V(x) |v_m|^2) dx \\ &\quad + \lambda S_\mu^{-1} \int_{B_\delta(0)} |x|^{\alpha-2} |v_m|^2 dx + S_\mu^{-1} \int_{\mathbb{R}^N \setminus B_\delta(0)} V(x) |v_m|^2 dx , \end{aligned}$$

where we use the diamagnetic inequality in the above argument (see [12]):

$$|\nabla |u|| \leq |\nabla_A u| \quad \text{a.e. in } \mathbb{R}^N .$$

Hence, by assumption (A<sub>1</sub>), Lemma 2.1 and (2.1), (2.3), we derive  $a^{\frac{2}{2^*}} \leq S_\mu^{-1} a$ , and then  $a \geq S_\mu^{\frac{N}{2}}$ .

In addition,

$$I_{A,V}(u) = I_{A,V}(u) - \frac{1}{2} \langle dI_{A,V}(u), u \rangle = \frac{1}{N} \int_{\mathbb{R}^N} |u|^{2^*} dx \geq 0 .$$

Therefore,

$$\begin{aligned} c &= I_{A,V}(u_m) + o(1) \\ &= I_{A,V}(v_m) + I_{A,V}(u) + o(1) \\ &\geq \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla_A v_m|^2 - V(x) |v_m|^2) dx - \frac{1}{2^*} \int_{\mathbb{R}^N} |v_m|^{2^*} dx + o(1) \\ &= \left( \frac{1}{2} - \frac{1}{2^*} \right) a \\ &\geq \frac{1}{N} S_\mu^{\frac{N}{2}} , \end{aligned}$$

which contradicts  $c < \frac{1}{N} S_\mu^{\frac{N}{2}}$ . ■

Define

$$c_A = \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I_{A,V}(\gamma(t)) ,$$

where  $\Gamma = \{\gamma \in C([0,1], H^1_{A,V^-}(\mathbb{R}^N)) \mid \gamma(0) = 0, I_{A,V}(\gamma(1)) < 0\}$ .

**Lemma 2.3.** *Let the assumptions of Theorem 1.1 hold. Then  $c_A < \frac{1}{N} S_\mu^{\frac{N}{2}}$ .*

**Proof:** Since  $A(x)$  is continuous at 0, we infer  $|A(x)| \leq c_0$  for all  $|x| \leq \eta$  ( $\leq \delta$ ). Set  $u_\epsilon(x) = \psi(x) U_\epsilon(x)$ , where  $\psi$  is a cut off function satisfying  $\psi(x) \equiv 1$  if  $|x| \leq \frac{\eta}{2}$ ,  $\psi(x) \equiv 0$  if  $|x| \geq \eta$  and  $0 \leq \psi(x) \leq 1$ .

Following [3] and after a detailed calculation, we have the following estimates:

$$(2.4) \quad \int_{\mathbb{R}^N} \left( |\nabla(\psi U_\epsilon)|^2 - \mu \frac{|\psi U_\epsilon|^2}{|x|^2} \right) dx = S_\mu^{\frac{N}{2}} + O(\epsilon^{N-2}) ,$$

$$(2.5) \quad \int_{\mathbb{R}^N} |\psi U_\epsilon|^{2^*} dx = S_\mu^{\frac{N}{2}} + O(\epsilon^N) ,$$

$$(2.6) \quad \int_{\mathbb{R}^N} |\psi U_\epsilon|^2 dx \approx \beta(\epsilon) = \begin{cases} \epsilon^{\frac{N-2}{\sqrt{\bar{\mu}}-\mu}} , & \text{if } 0 < \mu < \bar{\mu} - 1 , \\ \epsilon^{N-2} |\log \epsilon| & \text{if } \mu = \bar{\mu} - 1 , \\ \epsilon^{N-2} & \text{if } \bar{\mu} - 1 < \mu < \bar{\mu} , \end{cases}$$

$$(2.7) \quad \int_{\mathbb{R}^N} |x|^{\alpha-2} |\psi U_\epsilon|^2 dx \approx \epsilon^{\frac{\alpha\sqrt{\bar{\mu}}}{\sqrt{\bar{\mu}}-\mu}} ,$$

where  $A_\epsilon \approx B_\epsilon$  means  $C_1 B_\epsilon \leq A_\epsilon \leq C_2 B_\epsilon$ .

Observe that

$$(2.8) \quad \begin{aligned} \int_{\mathbb{R}^N} \left( |\nabla_A u_\epsilon|^2 - V(x) |u_\epsilon|^2 \right) dx &= \\ &= \int_{\mathbb{R}^N} \left( |\nabla(\psi U_\epsilon)|^2 + |A|^2 |\psi U_\epsilon|^2 - V(x) |\psi U_\epsilon|^2 \right) dx \\ &= \int_{\mathbb{R}^N} \left( |\nabla(\psi U_\epsilon)|^2 - \mu \frac{|\psi U_\epsilon|^2}{|x|^2} \right) dx \\ &\quad + \int_{\mathbb{R}^N} |A|^2 |\psi U_\epsilon|^2 dx - \lambda \int_{\mathbb{R}^N} |x|^{\alpha-2} |\psi U_\epsilon|^2 dx \\ &\leq S_\mu^{\frac{N}{2}} - C_1 \epsilon^{\frac{\alpha\sqrt{\bar{\mu}}}{\sqrt{\bar{\mu}}-\mu}} + C_2 \beta(\epsilon) + O(\epsilon^{N-2}) , \end{aligned}$$

where  $\beta(\epsilon)$  is given by (2.6).

Therefore, from (2.4)–(2.8), we conclude

$$\begin{aligned}
c_A &\leq \max_{t \geq 0} I_{A,V}(tu_\epsilon) \\
&= \frac{1}{N} \left( \frac{\int_{\mathbb{R}^N} (|\nabla_A u_\epsilon|^2 - V(x)|u_\epsilon|^2) dx}{\left(\int_{\mathbb{R}^N} |u_\epsilon|^{2^*} dx\right)^{\frac{2}{2^*}}} \right)^{\frac{N}{2}} \\
&\leq \frac{1}{N} \left( \frac{S_\mu^{\frac{N}{2}} - C_1 \epsilon^{\frac{\alpha\sqrt{\mu}}{\sqrt{\mu}-\mu}} + C_2 \beta(\epsilon) + O(\epsilon^{N-2})}{S_\mu^{\frac{N-2}{2}} + O(\epsilon^{N-2})} \right)^{\frac{N}{2}} \\
&< \frac{1}{N} S_\mu^{\frac{N}{2}} \quad (\text{by the choice of } \alpha : 0 < \alpha < \min\{2, 2\sqrt{\mu}-\mu\}). \blacksquare
\end{aligned}$$

**Proof of Theorem 1.1:** By assumption (A<sub>2</sub>), for any  $u \in H_{A,V^-}^1(\mathbb{R}^N)$ , we have

$$\begin{aligned}
I_{A,V}(u) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla_A u|^2 - V(x)|u|^2) dx - \frac{1}{2^*} \int_{\mathbb{R}^N} |u|^{2^*} dx \\
&\geq \frac{1-\theta}{2} \int_{\mathbb{R}^N} (|\nabla_A u|^2 + V^-|u|^2) dx - C \left( \int_{\mathbb{R}^N} (|\nabla_A u|^2 + V^-|u|^2) dx \right)^{\frac{2^*}{2}}.
\end{aligned}$$

Thus, there exists a sufficiently small constant  $\rho > 0$  such that

$$b(u) := \inf_{\|u\|_{H_{A,V^-}^1(\mathbb{R}^N)} = \rho} I_{A,V}(u) > 0 = I_{A,V}(0).$$

In addition, for any  $v \in H_{A,V^-}^1(\mathbb{R}^N) \setminus \{0\}$ ,  $I_{A,V}(tv) \rightarrow -\infty$  as  $t \rightarrow \infty$ . Hence, there is a  $t_0 > 0$  such that  $\|t_0 v\| > \rho$  and  $I_{A,V}(t_0 v) < 0$ . By using a variant of the mountain pass theorem (see [3]), there exists a sequence  $\{u_m\} \subset H_{A,V^-}^1(\mathbb{R}^N)$  such that as  $m \rightarrow \infty$

$$I_{A,V}(u_m) \rightarrow c_A, \quad dI_{A,V}(u_m) \rightarrow 0.$$

By Lemmas 2.2, 2.3, the sequence  $\{u_m\}$  is relatively compact in  $H_{A,V^-}^1(\mathbb{R}^N)$ . So there exist a subsequence, still denoted by  $\{u_m\}$ , and a function  $u \in H_{A,V^-}^1(\mathbb{R}^N)$  such that

$$u_m \rightarrow u \quad \text{strongly in } H_{A,V^-}^1(\mathbb{R}^N).$$

Thus  $c_A$  is a critical value of  $I_{A,V}$ , and  $u$  is a corresponding critical point of  $I_{A,V}$  in  $H_{A,V^-}^1(\mathbb{R}^N)$ .  $\blacksquare$



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